UNITED STATES INTERNATIONAL TRADE COMMISSION Washington, D.C.

In the Matter of

CERTAIN VAPORIZER DEVICES, CARTRIDGES USED THEREWITH, AND COMPONENTS THEREOF Inv. No. 337-TA-1372

NOTICE OF INSTITUTION OF INVESTIGATION

Institution of Investigation Pursuant to 19 U.S.C. 1337

AGENCY: U.S. International Trade Commission

ACTION: Notice

SUMMARY: Notice is hereby given that a complaint was filed with the U.S. International Trade Commission on August 22, 2023, under section 337 of the Tariff Act of 1930, as amended, on behalf of NJOY, LLC of Scottsdale, Arizona. The complaint alleges violations of section 337 based upon the importation into the United States, the sale for importation, and the sale within the United States after importation of certain vaporizer devices, cartridges used therewith, and components thereof by reason of the infringement of certain claims of U.S. Patent No. 11,497,864 ("the '864 patent") and U.S. Patent No. 10,334,881 ("the '881 patent"). The complaint further alleges that an industry in the United States exists or is in the process of being established as required by the applicable Federal Statute.

The complainant requests that the Commission institute an investigation and, after the investigation, issue a limited exclusion order and a cease and desist order.

ADDRESSES: The complaint, except for any confidential information contained therein, may be viewed on the Commission's electronic docket (EDIS) at https://edis.usitc.gov. For help accessing EDIS, please email EDIS3Help@usitc.gov. Hearing impaired individuals are advised that information on this matter can be obtained by contacting the Commission's TDD terminal on (202) 205-1810. Persons with mobility impairments who will need special assistance in gaining access to the Commission should contact the Office of the Secretary at (202) 205-2000. General information concerning the Commission may also be obtained by accessing its internet server at https://www.usitc.gov.

FOR FURTHER INFORMATION CONTACT: Pathenia M. Proctor, The Office of Unfair Import Investigations, U.S. International Trade Commission, telephone (202) 205-2560.



SUPPLEMENTARY INFORMATION:

AUTHORITY: The authority for institution of this investigation is contained in section 337 of the Tariff Act of 1930, as amended, 19 U.S.C. 1337, and in section 210.10 of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.10 (2023).

SCOPE OF INVESTIGATION: Having considered the complaint, the U.S. International Trade Commission, on September 21, 2023, ORDERED THAT –

- (1) Pursuant to subsection (b) of section 337 of the Tariff Act of 1930, as amended, an investigation be instituted to determine whether there is a violation of subsection (a)(1)(B) of section 337 in the importation into the United States, the sale for importation, or the sale within the United States after importation of certain products identified in paragraph (2) by reason of infringement of one or more of claims 1-48 of the '864 patent and claims 1, 3, 4, 6-9, 11, 12, 14-17, 19, 20, 22, 23, and 25-27 of the '881 patent, and whether an industry in the United States exists or is in the process of being established as required by subsection (a)(2) of section 337;
- (2) Pursuant to section 210.10(b)(1) of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.10(b)(1), the plain language description of the accused products or category of accused products, which defines the scope of the investigation, is "electronic nicotine delivery systems ("ENDS"), cartridges or pods used therewith, and components thereof (cartridge housings, atomizers, subassemblies, devices subassemblies, chargers)";
- (3) Pursuant to Commission Rule 210.50(b)(1), 19 C.F.R. § 210.50(b)(1), the presiding administrative law judge shall take evidence or other information and hear arguments from the parties or other interested persons with respect to the public interest in this investigation, as appropriate, and provide the Commission with findings of fact and a recommended determination on this issue, which shall be limited to the statutory public interest factors set forth in 19 U.S.C. §§ 1337(d)(1), (f)(1), (g)(1);
- (4) For the purpose of the investigation so instituted, the following are hereby named as parties upon which this notice of investigation shall be served:
 - (a) The complainant is:

NJOY, LLC 9977 N. 90th Street, Suite 160 Scottsdale, Arizona 85258

(b) The respondent is the following entity alleged to be in violation of section 337, and is the party upon which the complaint is to be served:

JUUL Labs, Inc. 1000 F Street NW Washington, DC 20004



- (c) The Office of Unfair Import Investigations, U.S. International Trade Commission, 500 E Street, S.W., Suite 401, Washington, D.C. 20436; and
- (5) For the investigation so instituted, the Chief Administrative Law Judge, U.S. International Trade Commission, shall designate the presiding Administrative Law Judge.

Responses to the complaint and the notice of investigation must be submitted by the named respondent in accordance with section 210.13 of the Commission's Rules of Practice and Procedure, 19 C.F.R. 210.13. Pursuant to 19 C.F.R. 201.16(e) and 210.13(a), as amended in 85 Fed. Reg. 15798 (March 19, 2020), such responses will be considered by the Commission if received not later than 20 days after the date of service by the complainant of the complaint and the notice of investigation. Extensions of time for submitting responses to the complaint and the notice of investigation will not be granted unless good cause therefor is shown.

Failure of the respondent to file a timely response to each allegation in the complaint and in this notice may be deemed to constitute a waiver of the right to appear and contest the allegations of the complaint and this notice, and to authorize the administrative law judge and the Commission, without further notice to the respondent, to find the facts to be as alleged in the complaint and this notice and to enter an initial determination and a final determination containing such findings, and may result in the issuance of an exclusion order or a cease and desist order or both directed against the respondent.

By order of the Commission.

Lisa R. Barton

Secretary to the Commission

Issued: September 21, 2023



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Inv. No. 337-TA-1372

DESIGNATION OF OUII ATTORNEY FOR SERVICE OF PROCESS

The Office of Unfair Import Investigations ("OUII") hereby designates Vu Bui, Esq., (202) 205-2582, Vu.Bui@usitc.gov, as OUII's lead attorney for service of process. It is requested that all documents served by the Commission or filed by the parties in the above-referenced investigation be served upon this attorney.

Respectfully submitted,

Margaret Macdonald, Director

OFFICE OF UNFAIR IMPORT INVESTIGATIONS

U.S. International Trade Commission 500 E Street, S.W., Suite 401 Washington, D.C. 20436 (202) 205-2560 (202) 205-2158 (facsimile)

September 21, 2023



PUBLIC CERTIFICATE OF SERVICE

I, Lisa R. Barton, hereby certify that the attached **INSTITUTION OF INVESTIGATION** has been served via EDIS upon the Commission Investigative Attorney, **Vu Bui.**, **Esq.** and the following parties as indicated, on **September 21**, **2023**.

Lisa R. Barton, Secretary U.S. International Trade Commission 500 E Street, SW, Room 112 Washington, DC 20436

On Behalf of Complainant NJOY, LLC:

Anish R. Desai, Esq.	☐ Via Hand Delivery
WEIL GOTSHAL & MANGES LLP	☐ Via Express Delivery
767 5th Avenue	☐ Via First Class Mail
New York, NY 10153	☐ Via First Class Wain ☐ Other: Email Notification
Email: anish.desai@weil.com	
	of Availability for Download
Respondent:	
JUUL Labs, Inc.	☐ Via Hand Delivery
1000 F Street NW	☐ Via Express Delivery
Washington, DC 20004	☐ Via First Class Mail
	☑ Other: Service to Be
	Completed by Complainant
Government Agencies:	
Lynda Marshall	☐ Via Hand Delivery
International Section, Antitrust Division	☐ Via Express Delivery
U.S. Department of Justice	☐ Via First Class Mail
450 5th Street NW, Room 11000	☐ Other: Email Notification
Washington, DC 20530	of Availability for Download
	of Availability for Download



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