

AO 120 (Rev. 08/10)

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| <b>TO: Mail Stop 8</b><br><b>Director of the U.S. Patent and Trademark Office</b><br><b>P.O. Box 1450</b><br><b>Alexandria, VA 22313-1450</b> | <b>REPORT ON THE</b><br><b>FILING OR DETERMINATION OF AN</b><br><b>ACTION REGARDING A PATENT OR</b><br><b>TRADEMARK</b> |
|---|---|

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Northern District of New York on the following  
 Trademarks or  Patents. (  the patent action involves 35 U.S.C. § 292.):

|  |                             |  |
|--|-----------------------------|--|
| DOCKET NO.<br>1:20-cv-690 (TJM/CFH)  | DATE FILED<br>6/22/2020     | U.S. DISTRICT COURT<br>Northern District of New York     |
| PLAINTIFF<br>Novartis AG, Novartis Pharmaceuticuls Corporation and Novartis Technology |                             | DEFENDANT<br>Regeneron Pharmaceuticals, Inc.             |
| PATENT OR TRADEMARK NO.  | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK                            |
| 1 9,220,631  | 12/29/2015                  | Novartis Pharma AG, Novartis Pharmaceuticals Corporation |
| 2  |                             |  |
| 3  |                             |  |
| 4  |                             |  |
| 5  |                             |  |

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

|                         |   |                               |
|-------------------------|---|-------------------------------|
| DATE INCLUDED           | INCLUDED BY<br><input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading |                               |
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK   | HOLDER OF PATENT OR TRADEMARK |
| 1                       |   |                               |
| 2                       |   |                               |
| 3                       |   |                               |
| 4                       |   |                               |
| 5                       |   |                               |

In the above—entitled case, the following decision has been rendered or judgement issued:

|                    |
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| DECISION/JUDGEMENT |
|--------------------|

|                          |                                     |                   |
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| CLERK<br>John M. Domurad | (BY) DEPUTY CLERK<br>s/Kathy Rogers | DATE<br>6/22/2020 |
|--------------------------|-------------------------------------|-------------------|