Trials@uspto.gov 571-272-7822 Paper No. 49 Entered: June 25, 2014

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

VEEAM SOFTWARE CORPORATION Petitioner,

v.

SYMANTEC CORPORATION Patent Owner.

Case IPR2013-00141, Patent 6,931,558 Case IPR2013-00142, Patent 6,931,558 Case IPR2013-00143, Patent 7,191,299 Case IPR2013-00150, Patent 7,093,086

Held: May 5, 2014

Before: FRANCISCO C. PRATS, MEREDITH C. PETRAVICK, THOMAS L. GIANNETTI, and TRENTON A. WARD, Administrative Patent Judges.

APPEARANCES: ON BEHALF OF THE PETITIONER: LORI A. GORDON BYRON L. PICKARD Directors Sterne, Kessler, Goldstein & Fox 1100 New York Avenue, NW Washington, DC 20005

1	ON BEHALF OF PATENT OWNER:
2	JOSEPH J. RICHETTI, ESQUIRE
3	Bryan Cave
4	1290 Avenue of the Americas
5	New York, New York 10104-3300
6	
7	and
8	
9	DANIEL A. CROWE, ESQUIRE
10	Bryan Cave
11	One Metropolitan Square
12	211 North Broadway, Suite 3600
13	St. Louis, Missouri 63102-2750
14	
15	
16	The above-entitled matter came on for hearing on Monday,
17	May 5, 2014, commencing at 1:00 p.m., at the U.S. Patent and
18	Trademark Office, 600 Dulany Street, Alexandria, Virginia.
19	
20	
21	
22	P R O C E E D I N G S
23	
24	JUDGE GIANNETTI: So, good afternoon, everyone. We
25	are here today for a final hearing in a series of four IPRs in which
26	Veeam Software Corporation is the Petitioner and Symantec
27	Corporation is the Patent Owner.

Case Nos. IPR2013-00141/142/143/150 Patent Nos. 6,931,558/6,931,558/7,191,299/7,093,086

1	For the record these are cases IPR2013-00141, 00142,
2	00143 and 00150. Your panel today is from left to right, Judge Ward,
3	Judge Petravick, myself, Judge Giannetti and Judge Prats.
4	Let's get counsel, we will we have three hours for the
5	hearing today. We will take a break at around 3:00, a short break, and
6	then we'll come back and finish the hearing.
7	Do we have let's get the appearances of counsel. Who do
8	we have today for Petitioner?
9	MS. GORDON: Yes. For Petitioner we have Lori Gordon
10	from the law firm of Stern Kessler Goldstein & Fox. Arguing with
11	me today is Byron Pickard also from the law firm of Stern Kessler.
12	JUDGE GIANNETTI: Okay. What was that last name?
13	MR. PICKARD: Pickard.
14	JUDGE GIANNETTI: Pickard. And for the Patent Owner?
15	MR. RICHETTI: Good afternoon, Your Honors. This is
16	Joseph Richetti from Bryan Cave representing Patent Owner,
17	Symantec Corporation. Here with me is my partner, Dan Crowe, also
18	representing Symantec.
19	JUDGE GIANNETTI: Okay. And that was Crowe?
20	MR. RICHETTI: Crowe.
21	JUDGE GIANNETTI: C R O W E?
22	MR. RICHETTI: Correct.
23	JUDGE GIANNETTI: Mr. Pickard, are you admitted pro
24	hac for this proceeding?

3

Case Nos. IPR2013-00141/142/143/150 Patent Nos. 6,931,558/6,931,558/7,191,299/7,093,086

1	MR. PICKARD: No, Your Honor. I have a registration
2	number.
3	JUDGE GIANNETTI: You have a registration number?
4	MR. PICKARD: Yes.
5	JUDGE GIANNETTI: Okay. All right. So now we have
6	appearances. Have I'll address this to counsel. Have you had an
7	opportunity to talk about the format and the timing of the hearing?
8	MS. GORDON: Yes, Your Honor. We have discussed it.
9	Our plan was for Petitioner to present its case in chief on all four IPRs
10	and we'd like to reserve 30 minutes for rebuttal and then Patent Owner
11	will present its case in chief on its four patents and provide rebuttal of
12	our case and then we would go last.
13	JUDGE GIANETTI: Case in chief on its motion to amend.
14	MS. GORDON: To amend.
15	JUDGE GIANNETTI: Okay. So do you want to proceed
16	first with the patentability issues?
17	MS. GORDON: Correct.
18	JUDGE GIANETTI: Okay. And so you will reserve time
19	for rebuttal on that, right?
20	MS. GORDON: Correct.
21	JUDGE GIANNETTI: Okay. And then you will proceed
22	on Mr. Richetti, you will proceed on the motion to amend, right?
23	MR. RICHETTI: As well as the opposition to their petition,
24	Your Honor. I think that was our understanding.

4

Case Nos. IPR2013-00141/142/143/150 Patent Nos. 6,931,558/6,931,558/7,191,299/7,093,086

1	JUDGE GIANNETTI: No. I thought that you were going
2	to do the patentability first and then go on to the motion to amend.
3	MS. GORDON: Yeah, I guess our understanding is that we
4	would present patentability, then Patent Owner would rebut
5	patentability and present their motion to amend and then we would
6	rebut the motion to amend.
7	JUDGE GIANETTI: Okay. And then you have reply
8	MR. RICHETTI: On the motion to amend, exactly.
9	JUDGE GIANNETTI: Okay. And you want to do this for
10	all patents?
11	MS. GORDON: Yes.
12	JUDGE GIANNETTI: All at once? Okay. And so what
13	we'll do is we'll give each of you an hour and a half to do that. At
14	3:00 we'll stop and take a break and I'll try to let you know when
15	you're running low on time, but you'll have to watch your time
16	yourselves. We won't be able to give you warnings, because you're
17	not going patent by patent. All right?
18	So, Ms. Gordon, I guess you are first. All right. And you
19	have an hour and a half and I'll let you know when you have I guess
20	you will just proceed until you stop and then Mr. Richetti will be up.
21	We won't be keeping time or giving a warning. Understood?
22	MS. GORDON: Yes, understood.
23	JUDGE GIANNETTI: Okay. Do you want to reserve time
24	now?

5

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.