Paper 30

Entered: May 21, 2015

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

BUTAMAX ADVANCED BIOFUELS LLC, Petitioner,

v.

GEVO, INC., Patent Owner.

Case IPR2014-00143 Patent 8,378,160 B2

Before SHERIDAN K. SNEDDEN, CHRISTOPHER L. CRUMBLEY, and GEORGIANNA W. BRADEN, *Administrative Patent Judges*.

SNEDDEN, Administrative Patent Judge.

FINAL WRITTEN DECISION 35 U.S.C. § 318 and 37 C.F.R. § 42.73



I. INTRODUCTION

Butamax Advanced Biofuels LLC ("Petitioner") filed a Petition to institute an *inter partes* review of claims 1–21 of U.S. Patent No. 8,378,160 B2 ("the '160 patent") pursuant to 35 U.S.C. §§ 311–319. Paper 1 ("Pet."). Gevo, Inc. ("Patent Owner") did not file a preliminary response to the Petition. On May 22, 2014, we instituted trial for challenged claims 1–21 on the following grounds of unpatentability asserted by Petitioner:

Challenged Claim[s]	Basis	Reference[s]
1–10, 12, 15–18, 20–21	§ 102(e)	D'Amore ¹
1–10, 12, 15–18, 20–21	§ 103(a)	D'Amore and ASTM D4814 ²
11	§ 103(a)	D'Amore, Wilke, ³ and ASTM D4814
13	§ 103(a)	D'Amore and ASTM D975 ⁴
14	§ 103(a)	D'Amore and ASTM D1655 ⁵
19	§ 103(a)	D'Amore and D910 ⁶

Decision to Institute (Paper 9, "Dec.").

After institution of trial, the Patent Owner filed a Patent Owner Response

⁶ ASTM Standard D910, "Standard Specification for Aviation Gasolines," ASTM International, West Conshohocken, PA, Aug. 2007, available at http://www.astm.org ("ASTM D910"). Ex. 1016.



¹ D'Amore, US 2008/0132741 A1, published June 5, 2008. Ex. 1003.

² ASTM Standard D4814, "Standard Specification for Automotive Spark-Ignition Engine Fuel," ASTM International, West Conshohocken, PA, Sept. 2007, available at http://www.astm.org ("ASTM D4814"). Ex. 1014.

³ Wilke, US 4,359,533, issued Nov. 16, 1982. Ex. 1006.

⁴ ASTM Standard D975, "Standard Specification for Diesel Fuel Oils," ASTM International, West Conshohocken, PA, Aug. 2007, available at http://www.astm.org ("ASTM D975"). Ex. 1015.

⁵ ASTM Standard D1655, "Standard Specification for Aviation Turbine Fuels," ASTM International, West Conshohocken, PA, July 2007, available at http://www.astm.org ("ASTM D1655"). Ex. 1017.

(Paper 16, "PO Resp."), to which Petitioner filed a Reply (Paper 18, "Reply").

Petitioner relies upon the Declarations of Dr. Joseph T. Joseph (Exs. 1030 and 1040) in support of its Petition.

Oral argument was conducted on January 14, 2015. A transcript is entered as Paper 29 ("Tr.").

We have jurisdiction under 35 U.S.C. § 6(c). In this Final Written Decision, issued pursuant to 35 U.S.C. § 318(a) and 37 C.F.R. § 42.73, we determine Petitioner has shown by a preponderance of the evidence that claims 1–21 of the '160 patent are unpatentable.

A. Related Proceedings

Petitioner informs us of no related litigations. Pet. 2. Concurrent with the present *inter partes* review, Petitioner also requested review of, and the Board instituted trial on, the following claims in patents in the same family as the '160 patent: claims 1–23 of U.S. Patent No. 8,193,402, Case IPR2014-00142 (PTAB May 22, 2014) (Paper 9); claims 1–14 and 16–19 of U.S. Patent No. 8,487,149, Case IPR2014-00144 (PTAB May 22, 2014) (Paper 9); and claims 1–21 of US Patent No. 8,546,627, Case IPR2014-00250 (PTAB May 22, 2014) (Paper 8). Additionally, Petitioner requested review of, and the Board instituted trial on, claims 1–15 of unrelated U.S. Patent No. 8,373,012 in IPR2014-00402 (PTAB August 8, 2014) (Paper 11).

B. The '160 patent (Ex. 1001)

Transportation fuels are defined as mixtures of typically aliphatic and (optionally) aromatic hydrocarbons that meet a collection of physical properties and requirements, as defined in standards such as ASTM D4814 (gasoline), ASTM D975 (diesel fuel), ASTM D910 (aviation gasoline), and ASTM D1655 (jet fuel). Ex. 1001, 12:15–17:25. Gasoline, for example, is defined not by its composition,



but by its ability to function in a spark ignition engine according to properties defined by ASTM D4814. *Id.* at 11:36–43. Such properties include vapor pressure, energy density, octane number, water solubility, thermal oxidation stability, gum content, and drivability. *Id.* at 15:27–45. The properties of gasoline can be adjusted by modifying the amounts and types of organic molecules that make up the gasoline. *Id.* For example, the octane number of a gasoline mixture can be raised by adding high octane components. *Id.*

The '160 patent, titled "Renewable Compositions," describes methods for making renewable hydrocarbons. *Id.* at 3:62–4:59. The methods involve preparing a feedstock from a biomass to serve as a carbon source. *Id.* at 5:47–6:13. The feedstock is fermented with microorganisms to form C₂–C₆ alcohols. *Id.* at 3:65–67. The alcohols are dehydrated to form C₂–C₆ olefins (alkenes). *Id.* at 4:1–3. The olefins are reacted with an oligomerization catalyst to form more highly alkylated aromatic hydrocarbons, for example, C₆–C₂₄ unsaturated oligomers. *Id.* at 4:5–8 and 9:62–10:9. The dehydration step and oligomerization step may be carried out separately or combined into a single process. *Id.* at 20:50–54. The olefins are then reduced to heat-stable saturated hydrocarbons in a hydrogenation reaction. *Id.* at 23:53–59. The '160 patent discloses that the compositions produced according to the methods of the patent meet the fuel-defining ASTM International specifications. *Id.* at 18:26–38.

C. Illustrative Claim

Claim 1 is the only independent claim of the '160 patent, and is reproduced below:

- 1. A process for preparing renewable hydrocarbons comprising:
 - (a) treating biomass to form a feedstock;
- (b) fermenting the feedstock with one or more species of microorganism, thereby forming isobutanol;



- (c) dehydrating at least a portion of the isobutanol obtained in step (b), thereby forming a product comprising one or more C₄ olefins:
 - (d) isolating the one or more C_4 olefins;
- (e) oligomerizing at least a portion of the one or more C_4 olefins isolated in step (d), thereby forming a product comprising one or more C_8 – C_{24} unsaturated oligomers; and
- (f) hydrogenating at least a portion of the product of step (e) in the presence of hydrogen, thereby forming a product comprising one or more C_8 – C_{24} saturated alkanes;

whereby the product of step (f) itself meets the requirements of at least one of ASTM D4814, ASTM D975, ASTM D910, or ASTM D1655, or a blend of at least 10% of the product of step (f) with a mixture of hydrocarbons meets the requirements of at least one of ASTM D4814, ASTM D975, ASTM D910 or ASTM D1655.

Id. at 62:48–7:2.

II. ANALYSIS

A. Claim Interpretation

We interpret claims using the "broadest reasonable construction in light of the specification of the patent in which [they] appear[]." 37 C.F.R. § 42.100(b); see also In re Cuozzo Speed Techs., LLC, 778 F.3d 1271, 1279–83 (Fed. Cir. 2015) ("Congress implicitly adopted the broadest reasonable interpretation standard in enacting the AIA," and "the standard was properly adopted by PTO regulation."). Under that standard, and absent any special definitions, we give claim terms their ordinary and customary meaning, as would be understood by one of ordinary skill in the art at the time of the invention. *In re Translogic Tech., Inc.*, 504 F.3d 1249, 1257 (Fed. Cir. 2007).

We interpret the following claim terms of the challenged claims as part of our analysis.



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

