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IPR2014-00915, Paper No. 36 IPR2014-00919, Paper No. 36 September 30, 2015

## UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ERICSSON INC. and TELEFONAKTIEBOLAGET LM ERICSSON, Petitioners,

v.

INTELLECTUAL VENTURES II LLC, Patent Owner.

> Case IPR2014-00915 Patent 8,396,079 B2

> Case IPR2014-00919 Patent 7,848,353 B2

Held: August 25, 2015

BEFORE: JOSIAH C. COCKS, WILLIAM A. CAPP, and DAVID C. McKONE, Administrative Patent Judges.

The above-entitled matter came on for hearing on Tuesday, August 25, 2015, commencing at 10:00 a.m., at the U.S. Patent and Trademark Office, 600 Dulany Street, Alexandria, Virginia. Case IPR2015-00815 Patent 6,404,953 B1

#### **APPEARANCES:**

## ON BEHALF OF THE PETITIONER: J. ANDREW LOWES, ESQ. CLINT WILKINS, ESQ. Haynes and Boone, LLP 2505 North Plano Road, Suite 4000 Richardson, Texas 75082-4101

and

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## ON BEHALF OF PATENT OWNER: HERBERT D. HART, III, ESQ. STEVEN J. HAMPTON, Ph.D., ESQ. McAndrews Held & Malloy LTD 500 West Madison Street, 34th Floor Chicago, Illinois 60661

and

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1	PROCEEDINGS
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3	JUDGE COCKS: Good morning.
4	COUNSEL: Good morning.
5	JUDGE COCKS: We're here for a consolidated oral
6	argument in two proceedings, IPR2014-00915 and 00919
7	involving patents 8,396,079 and 7,848,353. Let's begin by having
8	counsel introduce themselves for the record, starting with
9	Petitioners.
10	MR. LOWES: Andrew Lowes, lead counsel for
11	Petitioner Ericsson. With me at counsel today is Clint Wilkins,
12	backup counsel. Also in attendance today is Jennifer Wells,
13	in-house counsel for Ericsson.
14	JUDGE COCKS: Thank you, Mr. Lowes.
15	And for the Patent Owner?
16	MR. HART: Yes, Your Honor, Herbert Hart, lead
17	counsel for Patent Owner. With me today and presenting our
18	argument is backup counsel Steve Hampton.
19	JUDGE COCKS: Thank you, Mr. Hart.
20	As we set forth in our trial hearing order, each side has
21	up to 60 minutes of argument time. The Petitioner will go first
22	and present their argument. You may reserve rebuttal time. The
23	Patent Owner will then argue their opposition of Petitioners' case,

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and then we will conclude with Petitioner reserving any time for 1 2 rebuttal. 3 So, with that said, Mr. Lowes, you may approach 4 whenever you are ready to begin. Let me make one point, we are joined by Judge 5 6 McKone remotely from Detroit, he cannot see what you have put 7 up on the screen, so if you can just refer to the slide number when 8 you refer to it. 9 MR. LOWES: Yes, I am aware. 10 JUDGE McKONE: And also, I can't hear what you say 11 unless you speak into the microphone at the podium. Thank you. 12 MR. LOWES: Judge McKone, can you hear me now? 13 JUDGE McKONE: Yes. 14 MR. LOWES: Very good. 15 Well, I will go ahead and begin the presentation. As I 16 said, my name is Andrew Lowes, lead counsel for Petitioner, 17 Ericsson. Of the 60 minutes allotted for today's presentation, I 18 would like to reserve 20 minutes for rebuttal after the Patent 19 Owner's presentation. 20 JUDGE COCKS: Thank you. 21 MR. LOWES: Additionally, to make the transcript 22 clear, since we have two IPR proceedings, when I refer to 23 exhibits, I'll refer to the exhibit numbers of IPR2014-00915. For 24 my argument today, first, I will have a brief overview of the 25 challenges, an overview of the patents with respect to

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representative claim, along with an overview of the two primary
references. Then I'll provide additional evidence and arguments
from the record, some of which has occurred after the institution
decision. This evidence will further support and confirm the
Board's initial conclusion that the claims are unpatentable as set
forth in the institution decision.

7 Slide 2, please, of Exhibit 1034. Here is an overview of 8 the challenges that are present in both IPR proceedings. The first 9 four challenges are based on the primary reference McFarland. 10 These cover all the claims in both proceedings, based on 11 McFarland, based on various combinations with van Nee, Shahar, 12 Richardson, and the McFarland reference is Exhibit 1002. 13 The second set of challenges is based on the 14 Trompower reference. Trompower, likewise, covers the same set 15 of claims as McFarland, and is combined with different sets of 16 references for most of the challenges. So, we have two sets of 17 challenges that are parallel, so each claim has two bases for 18 unpatentability. 19 Next slide, please, slide 3 of Exhibit 1034. This is 20 claim 6 of the '079 patent. Just in simple terms, the '079 patent 21 and '353, one is a continuation of the other, so they both have a 22 similar specification, but both patents relate to communication 23 systems which offer dynamic change of transmission bandwidths.

- 24 So, depending on the conditions, the transmitter can decide to
- 25 change the bandwidth of transmission. When the transmitter

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