

UNITED STATES PATENT AND TRADEMARK OFFICE

---

BEFORE THE PATENT TRIAL AND APPEAL BOARD

---

JIAWEI TECHNOLOGY (HK) LTD., JIAWEI TECHNOLOGY (USA) LTD., SHENZHEN JIAWEI PHOTOVOLTAIC LIGHTING CO., LTD., ATICO INTERNATIONAL (ASIA) LTD., ATICO INTERNATIONAL USA, INC., CHIEN LUEN INDUSTRIES CO., LTD., INC. (SHIEN LUEN FLORIDA), CHIEN LUEN INDUSTRIES CO., LTD., INC. (SHIEN LUEN CHINA), COLEMAN CABLE, LLC, NATURE'S MARK, RITE AID CORP., SMART SOLAR, INC., AND TEST RITE PRODUCTS CORP.

Petitioners

v.

SIMON NICHOLAS RICHMOND

Patent Owner

---

Case IPR2014-00937

Patent 8,362,700

---

**MANDATORY NOTICES BY PATENT OWNER UNDER 37 C.F.R. § 42.8**

Pursuant to 37 C.F.R. § 42.8(a)(2), Patent Owner, Simon Nicholas Richmond, submits the following mandatory notices in connection with the above-captioned Petition for *Inter Partes* Review of U.S. Patent No. 8,362,700 (the '700 patent).

**REAL PARTY-IN-INTEREST UNDER 37 C.F.R. § 42.8(b)(1)**

The real party in interest in this proceeding is Simon Nicholas Richmond.

**RELATED MATTERS UNDER 37 C.F.R. § 42.8(b)(2)**

The table below identifies child or sibling patents of the '700 patent which may affect, or be affected by, a decision in this proceeding.

<b>Patent Application No.</b>	<b>Status</b>
Parent	<b>U.S. Patent No. 7,196,477</b> , issued on March 27, 2007
Parent	<b>U.S. Patent No. 7,429,827</b> , issued on September 30, 2008
12/286,553	<b>ABANDONED</b> , filed on September 29, 2008
13/751,665	<b>ABANDONED</b> , filed on January 28, 2013
14/082,797	<b>PENDING</b> , filed on November 18, 2013

The table below identifies prior or concurrent *inter partes* reviews (and respective status thereof) involving the '700 patent or involving a parent, child, or sibling patent which may affect, or be affected by, a decision in this proceeding.

<b><i>Inter Partes</i> Reviews</b>	<b>Status</b>
IPR2014-00936 for U.S. Patent No. 7,196,477	<b>PENDING</b> , filed June 11, 2014
IPR2014-00938 for U.S. Patent No. 7,429,827	<b>PENDING</b> , filed June 11, 2014

There are no prior or concurrent *inter partes* or *ex parte* reexaminations involving the '700 patent or involving a parent, child, or sibling patent which may affect, or be affected by, a decision in this proceeding.

The table below identifies prior or concurrent district court litigations (and respective status thereof) involving the '700 patent or involving a parent, child, or sibling patent which may affect, or be affected by, a decision in this proceeding.

<b>District Court Litigation</b>	<b>Status</b>
<i>International Development Corporation v. Simon Nicholas Richmond and Adventive Ideas, LLC</i> , Case No. 4:08-cv-111-RAS (E.D. Tex.)	<b>DISMISSED WITHOUT PREJUDICE</b> , but refiled in D.N.J. as Case No. 3:09-cv-02495, see below.
<i>International Development Corporation v. Simon Nicholas Richmond and Adventive Ideas, LLC</i> , Case No. 3:09-cv-02495-GEB (D.N.J.)	<b>SETTLED</b> , following a <i>Markman</i> Order favorable to the Patent Owner.
<i>Simon Nicholas Richmond v. Jiawei Technology (HK) Ltd., et al.</i> , Case Nos. 3:13-cv-1944, -1949, -1950, -1951, -1952, -1953, -1954, -1957, -1958, -1960, -2916 (all MLC-DEA) (D.N.J.)	<b>PENDING</b> , the Court consolidated these cases for case management and pretrial discovery on August 20, 2013 into Civ. Action No. 13-1944 (MLC), along with another one of Richmond's patent infringement case (Case No. 13-1959) that is not related to this <i>inter partes</i> review by Petitioners.

There are no prior or concurrent Federal Circuit appeals involving the '700 patent or involving a parent, child, or sibling patent which may affect, or be

affected by, a decision in this proceeding.

**LEAD AND BACK-UP COUNSEL UNDER 37 C.F.R. § 42.8(b)(3)**

Lead Counsel:

Theodore F. Shiells

Reg. No. 31,569

SHIELLS LAW FIRM, P.C.

Back-Up Counsel:

Marcus Benavides

Reg. No. 58,289

The Law Practice of Marcus Benavides

**SERVICE INFORMATION UNDER 37 C.F.R. § 42.8(b)(4)**

Patent Owner consents to service via email at the email addresses identified below. Further, Patent Owner may be served as follows:

Electronic Mail: tfshiells@shiellslaw.com, admin@shiellslaw.com

Postal Mail: SHIELLS LAW FIRM, P.C.

1201 Main Street, Suite 2470

Dallas, Texas 75202

Hand Delivery: SHIELLS LAW FIRM, P.C.

1201 Main Street, Suite 2470

Dallas, Texas 75202

Telephone No.: 214-979-7312

Facsimile No.: 214-979-7301

Respectfully submitted,

SHIELLS LAW FIRM P.C.

Dated: July 2, 2014

\_\_\_\_\_/Theodore F. Shiells/\_\_\_\_\_

Theodore F. Shiells

Reg. No. 31,569

SHIELLS LAW FIRM P.C.

1201 Main Street – Suite 2470

Dallas, Texas 75202

Telephone: (214) 979-7312

Fax: (214) 979-7301

# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

## LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

## FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.