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UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

UNIVERSAL REMOTE CONTROL, INC., Petitioner,

v.

UNIVERSAL ELECTRONICS, INC., Patent Owner.

Case IPR2014-01084 Patent 7,126,468 B2

Held: September 2, 2015

BEFORE: HOWARD B. BLANKENSHIP, SALLY C. MEDLEY, and LYNNE E. PETTIGREW, Administrative Patent Judges.

The above-entitled matter came on for hearing on Wednesday, September 2, 2015, commencing at 10:00 a.m., at the U.S. Patent and Trademark Office, 600 Dulany Street, Alexandria, Virginia.



APPEARANCES:

ON BEHALF OF THE PETITIONER:

PETER H. KANG, ESQ. FERENC PAZMANDI, ESQ. Sidley Austin LLP 1001 Page Mill Road, Building 1 Palo Alto, California 94304

KEITH J. BARKAUS, ESQ. Ostrolenk Faber LLP 1180 Avenue of the Americas New York, New York 10036

ON BEHALF OF PATENT OWNER:

ERIC J. MAIERS, ESQ.
MATTHEW J. LEVINSTEIN, ESQ.
Greenberg Traurig LLP
77 West Wacker Drive, Suite 3100
Chicago, Illinois 60601

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1	PROCEEDINGS
2	
3	JUDGE MEDLEY: Good morning. Please be seated.
4	This is the hearing for IPR2014-01084 between Petitioner,
5	Universal Remote Control, and Patent Owner, Universal
6	Electronics. Per the August 4th order, each party will have 30
7	minutes of total time to present argument. Petitioner, you'll begin
8	first with your case with respect to the challenged claims and
9	grounds for which we instituted trial, and, Patent Owner, you will
10	have time to respond, the full 30 minutes. And then Petitioner,
11	you may reserve rebuttal time, if you'd like.
12	At this time we would like the parties to please
13	introduce yourselves, beginning with Petitioner.
14	MR. KANG: Good morning, Your Honors. My name
15	is Peter Kang, Sidley Austin. We represent Petitioner, Universal
16	Remote Control. With me is Dr. Ferenc Pazmandi of my firm
17	and our co-counsel, Keith Barkaus, of Ostrolenk Faber.
18	JUDGE MEDLEY: Thank you.
19	And Patent Owner?
20	MR. MAIERS: Good morning, Your Honors. Eric
21	Maiers of the law firm Greenberg Traurig, representing Patent
22	Owner, Universal Electronics, and with me is Matthew
23	Levinstein, also with Greenberg Traurig.
24	JUDGE MEDLEY: Thank you.
25	When you're ready.



1	MR. KANG: Good morning, Your Honors. My name
2	is Peter Kang. In this IPR, the fundamental issue is whether the
3	claims should be construed so narrowly, as the Patent Owner
4	asserts, such that the claim meaning deviates from the plain
5	meaning of the terms and the specification. The term at issue or
6	phrase at issue is "updating the status of an appliance," and the
7	in our view the claims should be construed properly, in which
8	case there is no dispute that the prior art reference, Cohen, does
9	disclose updating the status of the state of the appliance.
10	Cohen does disclose this by monitoring, capturing, and
11	logging transmitted commands for channel change or channel
12	selection from a remote control. Even under the Patent Owner's
13	narrow construction of "updating," the Cohen reference, in fact,
14	invalidates the claim as well.
15	So, if we can go to Exhibit 1057, page 2, just generally,
16	this patent at issue today, the '468 patent, deals with monitoring
17	command transmissions from a remote control to an
18	entertainment system. The specification teaches us and you
19	can see in the figure there, Figure 1 that there are commands
20	transmitted from the remote to the system, and the system in the
21	specification uses what's called a command receiver, which could
22	be a device separate and apart from the appliances themselves,
23	such as the TV, or it may be integrated into one or more of the
24	appliances, and that command receiver performs the functions of
25	monitoring and updating the state of the appliances.



1	If we could turn to page 3 of Exhibit 1057, the issue of
2	updating the state is in roughly two parts. So, the first issue is
3	updating. In the preliminary response, Patent Owner proposed a
4	definition of "updating" that was rejected by the Board in the in
5	this decision on institution.
6	And if we go to slide 4, so in the in the formal
7	response, Patent Owner has now taken the tack of arguing that
8	updating now requires, instead of where they were arguing before
9	that it requires rewriting, it now requires a specific device to do
10	the function of updating.
11	Now, Claim 27 is the method the independent method
12	claim that the parties have briefed, primarily as the representative
13	claim, and as you can see from the plain terms of the method
14	claim, there is no express limitation there of which device is
15	performing the function of updating, or the last method step of
16	updating, and, therefore, on the plain meaning, plain face of the
17	term of the claim itself, the term "updating" does not have a
18	specific limitation as to which device in the system should be
19	performing this step of the method, and, therefore, that on the
20	face of the claim alone, the Patent Owner's claim construction
21	should be rejected.
22	If we could turn to page 5 of the exhibit, 1057, looking
23	at the specification also, the specification does not support the
24	Patent Owner's position. The specification teaches that the way
25	one specific embodiment does this undating is by using state



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