

Network Working Group  
Request for Comments: 2409  
Category: Standards Track

D. Harkins  
D. Carrel  
cisco Systems  
November 1998

## The Internet Key Exchange (IKE)

### Status of this Memo

This document specifies an Internet standards track protocol for the Internet community, and requests discussion and suggestions for improvements. Please refer to the current edition of the "Internet Official Protocol Standards" (STD 1) for the standardization state and status of this protocol. Distribution of this memo is unlimited.

### Copyright Notice

Copyright (C) The Internet Society (1998). All Rights Reserved.

### Table Of Contents

1 Abstract.....	2
2 Discussion.....	2
3 Terms and Definitions.....	3
3.1 Requirements Terminology.....	3
3.2 Notation.....	3
3.3 Perfect Forward Secrecty.....	5
3.4 Security Association.....	5
4 Introduction.....	5
5 Exchanges.....	8
5.1 Authentication with Digital Signatures.....	10
5.2 Authentication with Public Key Encryption.....	12
5.3 A Revised method of Authentication with Public Key Encryption.....	13
5.4 Authentication with a Pre-Shared Key.....	16
5.5 Quick Mode.....	16
5.6 New Group Mode.....	20
5.7 ISAKMP Informational Exchanges.....	20
6 Oakley Groups.....	21
6.1 First Oakley Group.....	21
6.2 Second Oakley Group.....	22
6.3 Third Oakley Group.....	22
6.4 Fourth Oakley Group.....	23
7 Payload Explosion of Complete Exchange.....	23
7.1 Phase 1 with Main Mode.....	23
7.2 Phase 2 with Quick Mode.....	25
8 Perfect Forward Secrecy Example.....	27
9 Implementation Hints.....	27

10 Security Considerations.....	28
11 IANA Considerations.....	30
12 Acknowledgments.....	31
13 References.....	31
Appendix A.....	33
Appendix B.....	37
Authors' Addresses.....	40
Authors' Note.....	40
Full Copyright Statement.....	41

## 1. Abstract

ISAKMP ([MSST98]) provides a framework for authentication and key exchange but does not define them. ISAKMP is designed to be key exchange independant; that is, it is designed to support many different key exchanges.

Oakley ([Orm96]) describes a series of key exchanges-- called "modes"-- and details the services provided by each (e.g. perfect forward secrecy for keys, identity protection, and authentication).

SKEME ([SKEME]) describes a versatile key exchange technique which provides anonymity, repudiability, and quick key refreshment.

This document describes a protocol using part of Oakley and part of SKEME in conjunction with ISAKMP to obtain authenticated keying material for use with ISAKMP, and for other security associations such as AH and ESP for the IETF IPsec DOI.

## 2. Discussion

This memo describes a hybrid protocol. The purpose is to negotiate, and provide authenticated keying material for, security associations in a protected manner.

Processes which implement this memo can be used for negotiating virtual private networks (VPNs) and also for providing a remote user from a remote site (whose IP address need not be known beforehand) access to a secure host or network.

Client negotiation is supported. Client mode is where the negotiating parties are not the endpoints for which security association negotiation is taking place. When used in client mode, the identities of the end parties remain hidden.

This does not implement the entire Oakley protocol, but only a subset necessary to satisfy its goals. It does not claim conformance or compliance with the entire Oakley protocol nor is it dependant in any way on the Oakley protocol.

Likewise, this does not implement the entire SKEME protocol, but only the method of public key encryption for authentication and its concept of fast re-keying using an exchange of nonces. This protocol is not dependant in any way on the SKEME protocol.

### 3. Terms and Definitions

#### 3.1 Requirements Terminology

Keywords "MUST", "MUST NOT", "REQUIRED", "SHOULD", "SHOULD NOT" and "MAY" that appear in this document are to be interpreted as described in [Bra97].

#### 3.2 Notation

The following notation is used throughout this memo.

HDR is an ISAKMP header whose exchange type is the mode. When written as HDR\* it indicates payload encryption.

SA is an SA negotiation payload with one or more proposals. An initiator MAY provide multiple proposals for negotiation; a responder MUST reply with only one.

<P><sub>b</sub> indicates the body of payload <P>-- the ISAKMP generic vpayload is not included.

SAi<sub>b</sub> is the entire body of the SA payload (minus the ISAKMP generic header)-- i.e. the DOI, situation, all proposals and all transforms offered by the Initiator.

CKY-I and CKY-R are the Initiator's cookie and the Responder's cookie, respectively, from the ISAKMP header.

g<sup>xi</sup> and g<sup>xr</sup> are the Diffie-Hellman ([DH]) public values of the initiator and responder respectively.

g<sup>xy</sup> is the Diffie-Hellman shared secret.

KE is the key exchange payload which contains the public information exchanged in a Diffie-Hellman exchange. There is no particular encoding (e.g. a TLV) used for the data of a KE payload.

Nx is the nonce payload; x can be: i or r for the ISAKMP initiator and responder respectively.

IDx is the identification payload for "x". x can be: "ii" or "ir" for the ISAKMP initiator and responder respectively during phase one negotiation; or "ui" or "ur" for the user initiator and responder respectively during phase two. The ID payload format for the Internet DOI is defined in [Pip97].

SIG is the signature payload. The data to sign is exchange-specific.

CERT is the certificate payload.

HASH (and any derivative such as HASH(2) or HASH\_I) is the hash payload. The contents of the hash are specific to the authentication method.

prf(key, msg) is the keyed pseudo-random function-- often a keyed hash function-- used to generate a deterministic output that appears pseudo-random. prf's are used both for key derivations and for authentication (i.e. as a keyed MAC). (See [KBC96]).

SKEYID is a string derived from secret material known only to the active players in the exchange.

SKEYID\_e is the keying material used by the ISAKMP SA to protect the confidentiality of its messages.

SKEYID\_a is the keying material used by the ISAKMP SA to authenticate its messages.

SKEYID\_d is the keying material used to derive keys for non-ISAKMP security associations.

<x>y indicates that "x" is encrypted with the key "y".

--> signifies "initiator to responder" communication (requests).

<-- signifies "responder to initiator" communication (replies).

| signifies concatenation of information-- e.g. X | Y is the concatenation of X with Y.

[x] indicates that x is optional.

Message encryption (when noted by a '\*' after the ISAKMP header) MUST begin immediately after the ISAKMP header. When communication is protected, all payloads following the ISAKMP header MUST be encrypted. Encryption keys are generated from SKEYID\_e in a manner that is defined for each algorithm.

### 3.3 Perfect Forward Secrecy

When used in the memo Perfect Forward Secrecy (PFS) refers to the notion that compromise of a single key will permit access to only data protected by a single key. For PFS to exist the key used to protect transmission of data MUST NOT be used to derive any additional keys, and if the key used to protect transmission of data was derived from some other keying material, that material MUST NOT be used to derive any more keys.

Perfect Forward Secrecy for both keys and identities is provided in this protocol. (Sections 5.5 and 8).

### 3.4 Security Association

A security association (SA) is a set of policy and key(s) used to protect information. The ISAKMP SA is the shared policy and key(s) used by the negotiating peers in this protocol to protect their communication.

## 4. Introduction

Oakley and SKEME each define a method to establish an authenticated key exchange. This includes payloads construction, the information payloads carry, the order in which they are processed and how they are used.

While Oakley defines "modes", ISAKMP defines "phases". The relationship between the two is very straightforward and IKE presents different exchanges as modes which operate in one of two phases.

Phase 1 is where the two ISAKMP peers establish a secure, authenticated channel with which to communicate. This is called the ISAKMP Security Association (SA). "Main Mode" and "Aggressive Mode" each accomplish a phase 1 exchange. "Main Mode" and "Aggressive Mode" MUST ONLY be used in phase 1.

Phase 2 is where Security Associations are negotiated on behalf of services such as IPsec or any other service which needs key material and/or parameter negotiation. "Quick Mode" accomplishes a phase 2 exchange. "Quick Mode" MUST ONLY be used in phase 2.

# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

## LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

## FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.