

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

PETITION FOR INTER PARTES REVIEW

OF

UNITED STATES PATENT 7,664,123

Case No. IPR2015-01390

by

HUAWEI TECHNOLOGIES CO., LTD.

and HUAWEI ENTERPRISE USA,

Petitioner

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PETITIONER'S EXHIBIT LIST

June 11, 2015

- 1001 U.S. Patent No. 7,664,123 to Ashwood Smith
- 1002 Prosecution File History of U.S. Patent No. 7,664,123
- 1003 Declaration of Dr. Daniel W. Engels, Ph.D. Under 37 C.F.R. § 1.68
- 1004 Curriculum Vitae and Publication List of Dr. Daniel W. Engels, Ph.D.
- 1005 U.S. Patent No. 6,542,655 to Dragone
- 1006 U.S. Patent No. 7,310,333 to Conklin et al.
- 1007 U.S. Patent Publication No. 2005/0141804 to Yang et al.

I. Mandatory Notices

A. Real Parties in Interest

The real parties in interest are Huawei Technologies Co., Ltd. and Huawei Enterprise USA (collectively as “Petitioner”).

B. Related Matters

As of the date of filing of this Petition and to the best knowledge of the Petitioner, the subject ‘123 Patent is involved in the following litigations: (i) *Spherix, Inc. v. Cisco Systems Inc.*, (1:14-cv-0393, D. Del.); (ii) *Spherix, Inc. v. Juniper Networks, Inc.*, (1:14-cv-0578, D. Del.); and (iii) *NNPT, LLC v. Huawei Investment & Holdings Co., Ltd., et al.* (2:14-cv-0677, E.D. Tex.). The Huawei defendants in the 2:14-cv-0677 matter were served with the complaint on June 11, 2014.

C. Lead and Back-up Counsel and Service Information

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