Filed: <u>July 8, 2015</u>

Filed on behalf of Spectrum Brands, Inc.

By: Michelle E. Armond

John B. Sganga, Jr.

Brenton R. Babcock

KNOBBE, MARTENS, OLSON & BEAR, LLP

2040 Main Street, 14th Floor

Irvine, CA 92614

Telephone: 949-760-0404 Facsimile: 949-760-9502

Email: BoxSPEBRL@knobbe.com

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SPECTRUM BRANDS, INC.
Petitioner

v.

ASSA ABLOY AB Patent Owner.

Case No. TBD U.S. Patent No. 7,706,778

PETITION FOR INTER PARTES REVIEW OF U.S. PATENT NO. 7,706,778

TABLE OF CONTENTS

Page No.

I.	INTI	NTRODUCTION			
II.	MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(a)(1)				
	A.	Real Party-In-Interest (37 C.F.R. § 42.8(b)(1))			
	B.	Related Matters (37 C.F.R. § 42.8(b)(2))	5		
		1. An IPR Should Be Instituted Based on This Petition, Which Is Not Redundant With UniKey's IPR Petition	6		
	C.	Lead and Backup Counsel (37 C.F.R. § 42.8(b)(3))	7		
	D.	Service Information (37 C.F.R. § 42.8(b)(4))	8		
III. PAYMENT OF FEES PURSUANT TO 37 C.F.R. § 42.103		MENT OF FEES PURSUANT TO 37 C.F.R. § 42.103	8		
IV.	REQUIREMENTS FOR REVIEW UNDER 37 C.F.R. § 42.104				
	A.	Grounds for Standing (37 C.F.R. § 42.104(a))	9		
	B.	Claims and Statutory Grounds (37 C.F.R. § 42.104(b)(1) & (b)(2))	9		
	C.	Claim Construction (37 C.F.R. § 42.104(b)(3))	11		
	D.	Unpatentability of Construed Claims (37 C.F.R. § 42.104(b)(4))	11		
	E.	Supporting Evidence (37 C.F.R. § 42.104(b)(5))	11		
V.	THA	THERE IS MORE THAN A REASONABLE LIKELIHOOD THAT THE CHALLENGED CLAIMS OF the '778 PATENT			
	ARE UNPATENTABLE 12				
	A.	Legal Standard For Obviousness	12		



TABLE OF CONTENTS (cont'd)

Page No.

B.	The	The Purported Invention of the '778 Patent					
C.	Sum	Summary of the Prosecution History of the '778 Patent 14					
D.	Leve	Level of Ordinary Skill in the Art					
E.	Clai	Claim Construction					
	1.	"cred	dential" (Claims 1, 6, 14, 16, 18, 22, 28, 31)	16			
	2.	"self	F-authenticating data" (Claims 1, 16, 33)	17			
	3.	"sma	art mobile device" (Claims 1, 16)	20			
	4.		ervation of Rights to Advocate Different Claim structions In Other Proceedings	21			
F.	Ove	Overview of Nielsen (Ex. 1002)					
G.	Ove	Overview of Karkas (Ex. 1003)					
Н.			Claims 1, 4, 6, 8, 10-14, 16-18, 22-25, 28-31, 33 Obvious Over Nielsen In View of Karkas	26			
	1.	Reas	sons to Combine Nielsen and Karkas	26			
	2.	Diffe	erences Between The Prior Art And '778 Patent	27			
		a.	"self-authenticating data" (Claims 1, 16, 33)	28			
		b.	"smart mobile device" (Claims 1, 16)	29			
		c.	"disabling said memory unless an enabling message is received" (Claims 8, 23)	30			
	3.	Clair	m Charts	31			



TABLE OF CONTENTS (cont'd)

Page No.

	I.	Ground 2: Claims 1, 4, 6, 8, 10-14, 16-18, 22-25, 28-31, 33 and 34 Are Obvious Over Nielson In View of the Knowledge of A Person Of Ordinary Skill In The Art			
		Mod	Persons Of Ordinary Skill In The Art Would Have Modified Nielsen to Arrive at The Purported Invention Of The '778 Patent		
		a.	"self-authenticating data" (Claims 1, 16, 33)	56	
		b.	"smart mobile device" (Claims 1, 16)	57	
		c.	"disabling said memory unless an enabling message is received" (Claims 8, 23)	58	
	J.	•	Considerations, Even if Considered, Fail to the <i>Prima Facie</i> Evidence of Obviousness	59	
VI	CON	ICLUSION		60	



TABLE OF AUTHORITIES

Page No(s).

In re Cuozzo Speed Techs., 778 F.3d 1271 (Fed. Cir. 2015)	15
Graham v. John Deere Co., 383 U.S. 1 (1966)	12
KSR Int'l v. Teleflex Inc., 550 U.S. 398 (2007)	13
Leapfrog Enters. Inc. v. Fisher-Price, Inc., 485 F.3d 1157 (Fed. Cir. 2007)	59
Microsoft Corp. v. Proxyconn, Inc., Nos. 2014-1542, -1543, 2015 WL 3747257 (Fed. Cir. June 16, 2015)	16
Newell Cos., Inc. v. Kenney Mfg. Co., 864 F.2d 757 (Fed. Cir. 1988)	59
Vitronics Corp. v. Conceptronic, Inc., 90 F.3d 1576 (Fed. Cir. 1996)	18
OTHER AUTHORITIES	
35 U.S.C. § 102	4, 7, 10
35 U.S.C. § 103	10, 12
35 U.S.C. § 301	16
35 U.S.C. § 311	1
35 U.S.C. § 315	9
37 C.F.R. § 42.100	1, 15
M.P.E.P. § 2132.01	



DOCKET A L A R M

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

