Paper 7

Entered: February 10, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TRW AUTOMOTIVE U.S. LLC, Petitioner,

V.

MAGNA ELECTRONICS INC., Patent Owner.

Case IPR2015-01685 Patent 8,710,969 B2

Before PHILLIP J. KAUFFMAN, MITCHELL G. WEATHERLY, and ROBERT J. WEINSCHENK, *Administrative Patent Judges*.

WEINSCHENK, Administrative Patent Judge.

DECISION Institution of *Inter Partes* Review 37 C.F.R. § 42.108



I. INTRODUCTION

TRW Automotive U.S. LLC ("Petitioner") filed a Petition (Paper 2, "Pet.") requesting *inter partes* review of claims 1–11 and 13–23 of U.S. Patent No. 8,710,969 B2 (Ex. 1002, "the '969 patent"). Magna Electronics Inc. ("Patent Owner") filed a Preliminary Response (Paper 6, "Prelim. Resp.") to the Petition. An *inter partes* review may not be instituted "unless . . . there is a reasonable likelihood that the petitioner would prevail with respect to at least 1 of the claims challenged in the petition." 35 U.S.C. § 314(a).

For the reasons set forth below, Petitioner demonstrates a reasonable likelihood of prevailing in showing the unpatentability of claims 1–11 and 13–23 of the '969 patent. Accordingly, we institute an *inter partes* review as to claims 1–11 and 13–23 of the '969 patent on the grounds specified below.

A. Related Proceedings

The parties indicate that the '969 patent is at issue in the following district court case: *Magna Electronics Inc. v. TRW Automotive Holdings Corp.*, No. 1:14-cv-341 (W.D. Mich.). Pet. 3; Paper 5, 1.

B. The '969 Patent

The '969 patent relates to an accessory module that is mountable at an interior surface of a vehicle windshield. Ex. 1002, col. 1, ll. 18–21. The accessory module may house an accessory, such as an imaging device. *Id.* at col. 1, ll. 42–46. Specifically, the accessory module may include a portion that holds an imaging sensor and a portion that holds a lens. *Id.* at col. 1, ll. 48–53, col. 2, ll. 16–21. These portions of the accessory module may be unitarily molded of a polymeric or plastic material so that the imaging



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sensor and lens may be readily attached at the desired location and/or orientation. *Id.* at col. 1, ll. 53–56, col. 2, ll. 43–46.

C. Illustrative Claim

Claims 1, 13, 17, and 21 are independent. Claim 1 is reproduced below.

1. An accessory system for a vehicle, said accessory system comprising:

a windshield, said windshield having an outer surface that is exterior of the vehicle when said windshield is mounted to a vehicle equipped with said accessory system and an inner surface that is interior of the vehicle when said windshield is mounted to the equipped vehicle;

wherein said windshield is at a windshield angle relative to vertical when said windshield is mounted to the equipped vehicle;

wherein said windshield has a mounting element attached at said inner surface:

wherein said mounting element is adapted for mounting of an accessory module thereto and demounting of said accessory module therefrom;

an accessory module adapted for mounting to and demounting from said mounting element;

said accessory module accommodating a camera comprising a CMOS photosensor array and a lens;

wherein said CMOS photosensor array is accommodated at said accessory module separate from said lens;

wherein said CMOS photosensor array is disposed on a circuit board;

wherein said accessory module is configured so that, when mounted to said mounting element attached at said windshield, said lens has a field of view through said windshield appropriate for a driver assistance system of the equipped vehicle; and



wherein said driver assistance system comprises at least one of (i) a lane departure warning system of the equipped vehicle, (ii) an object detection system of the equipped vehicle, (iii) a traffic sign recognition system of the equipped vehicle, (iv) an adaptive cruise control system of the equipped vehicle, (v) a traffic lane control system of the equipped vehicle, (vi) a lane change assist system of the equipped vehicle and (vii) a blind spot detection system of the equipped vehicle.

Id. at col. 16, 1. 46–col. 17, 1. 16.

Evidence of Record D.

Petitioner relies on the following references and declaration (see Pet.

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Reference or Declaration	Exhibit No.
PCT Pub. No. WO 03/065084 A1 ("Schofield PCT")	Ex. 1003
U.S. Patent No. 5,796,094 ("Schofield '094")	Ex. 1004
Declaration of Homayoon Kazerooni, Ph.D.	Ex. 1007
U.S. Patent No. 6,201,642 B1 ("Bos")	Ex. 1009

Asserted Grounds of Unpatentability E.

Petitioner asserts that the challenged claims are unpatentable on the following grounds¹ (see Pet. 2–3):

Claim(s)	Basis	Reference(s)
1-7, 9-11, 13-16, 21,	35 U.S.C. § 102(b)	Schofield PCT
and 22		
1–7, 9–11, 13–16, 21,	35 U.S.C. § 103(a)	Schofield PCT and
and 22		Schofield '094
8, 17–20, and 23	35 U.S.C. § 103(a)	Schofield PCT, Schofield
		'094, and Bos

¹ Patent Owner argues that the asserted grounds are redundant, and, thus, should be denied. Prelim. Resp. 44–45. The decision cited by Patent Owner in support of that argument is not binding precedent and does not require that alternative grounds be denied, and we do not deny any grounds asserted in the Petition on that basis.



II. ANALYSIS

A. Identification of Real Parties in Interest

The Petition identifies Petitioner as the real party in interest. Pet. 3. Patent Owner argues that ZF TRW Automotive Holdings Corp. ("TRW Holdings") and ZF Friedrichshafen AG ("ZF") are real parties in interest that are not identified in the Petition. Prelim. Resp. 45. According to Patent Owner, the Petition should be denied because, under 35 U.S.C. § 312(a)(2), a petition that does not identify all the real parties in interest cannot be considered. *Id*.

Whether a party is a real party in interest is a "highly fact-dependent question" that is evaluated "on a case-by-case basis." Office Patent Trial Practice Guide, 77 Fed. Reg. 48,756, 48,759–60 (Aug. 14, 2012). Some of the common considerations for determining whether a party is a real party in interest include whether the party funds, directs, or controls the petition or proceeding. *Id.* at 48,760. For the reasons discussed below, we are not persuaded, on this record, that TRW Holdings or ZF is a real party in interest in this case.

1. TRW Holdings

Patent Owner argues that TRW Holdings should have been identified as a real party in interest in the Petition because: 1) Petitioner is a wholly owned subsidiary of TRW Holdings (Prelim. Resp. 47); 2) an Annual Report filed by TRW Holdings contains financial information relating to its subsidiaries, including Petitioner (*id.* at 49); and 3) Petitioner and TRW Holdings share a website and sometimes are referenced jointly (*id.* at 49–50). We are not persuaded that TRW Holdings is a real party in interest in this case. Specifically, Patent Owner does not identify evidence indicating



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