

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ALARM.COM INCORPORATED
Petitioner

v.

VIVINT, INC.
Patent Owner

Case IPR2016-00116
Patent 6,147,601

**VIVINT, INC.'S UPDATED MANDATORY NOTICES
PURSUANT TO 37 C.F.R. § 42.8(a)(3)**

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Pursuant to 37 C.F.R. § 42.8(a)(3), Patent Owner, Vivint, Inc., hereby files its Updated Mandatory Notices to update the listing of related matters.

I. 37 C.F.R. § 42.8(b)(2) – RELATED MATTERS

A. Proceedings Before the USPTO Involving the Patent-at-Issue

Patent Owner notifies the Board of the following proceedings that may affect or be affected by a decision in this proceeding.

This *Inter Partes* Review is one of thirteen petitions filed against six patents owned by Patent Owner: U.S. Patent Nos. 7,884,713 (“the ’713 patent”), 6,924,727 (“the ’727 patent”), 6,535,123 (“the ’123 patent”), 6,717,513 (“the ’513 patent”), 6,462,654 (“the ’654 patent”), and 6,147,601 (“the ’601 patent”).

Patent No.	Case Caption	Status	Filing Date
6,147,601	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2015-02004	Not Instituted	September 30, 2015
	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2015-00116	On Remand after Appeal to the Court of Appeals for the Federal Circuit (“CAFC”)	October 30, 2015
	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2016-00155	Not Instituted	November 5, 2015
	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2016-00180	Final Written Decision	November 10, 2015
6,462,654	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2015-02003	Not Instituted	September 30, 2015

Patent No.	Case Caption	Status	Filing Date
	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2016-00161	Final Written Decision Affirmed on Appeal to the CAFC	November 6, 2015
	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2016-01110	Not Instituted	May 27, 2016
	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2016-01124	Not Instituted	June 2, 2016
6,535,123	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2015-01995	Not Instituted	September 28, 2015
	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2016-00173	On Remand after Appeal to the CAFC	November 9, 2015
	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2016-01126	Not Instituted	June 2, 2016
6,717,513	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2015-01997	Not Instituted	September 28, 2015
	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2016-00129	Not Instituted	November 2, 2015
	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2016-01091	Not Instituted	May 24, 2016
6,924,727	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2015- 01977	Final Written Decision Affirmed on Appeal to the CAFC	September 25, 2015
	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2015- 02008	Not Instituted	September 30, 2015
7,884,713	<i>Alarm.com, Inc., v. Vivint, Inc.</i> , Case IPR2015-01965	Final Written Decision Affirmed on Appeal to the CAFC	September 24, 2015

Patent No.	Case Caption	Status	Filing Date
	<i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2015-01967	Not Instituted	September 24, 2015

Patent Owner notifies the Board of the following *ex parte* reexamination proceedings that may affect or be affected by a decision in this proceeding

Ex Parte Reexamination Control No. 90/014, 007 of U.S. Patent No. 6,147,601, filed August 30, 2017. A Notice of Appeal of a Final Rejection was filed in this proceeding on April 4, 2019.

Ex Parte Reexamination Control No. 90/020,115 of U.S. Patent No. 6,717,513, filed August 2, 2017. A Notice of Appeal of a Final Rejection was filed in this proceeding on March 29, 2019.

B. Litigation Involving the Patent-at-Issue

Patent Owner notifies the Board of the following litigation proceeding that may affect or be affected by a decision in this proceeding:

Vivint, Inc. v. Alarm.com, Inc., Case No. 2:15-cv-00392 (D. Utah), filed June 2, 2015.

Case IPR2016-00116
Patent 6,147,601

Respectfully Submitted,

Date: April 25, 2019

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