

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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BLUE COAT SYSTEMS, INC.,  
Petitioner,

v.

FINJAN, INC.,  
Patent Owner.

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Case IPR2016-01174  
Patent 8,677,494 B2

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Before JAMES B. ARPIN, ZHENYU YANG, and  
CHARLES J. BOUDREAU, *Administrative Patent Judges*.

BOUDREAU, *Administrative Patent Judge*.

DECISION

Institution of *Inter Partes* Review and Grant of Motion for Joinder  
*37 C.F.R. § 42.108; 37 C.F.R. § 42.122(b)*

## I. INTRODUCTION

Blue Coat Systems, Inc. (“Blue Coat” or “Petitioner”) filed a Petition for *inter partes* review of claims 1–6 and 10–15 of U.S. Patent No. 8,677,494 B2 (Ex. 1001, “the ’494 patent”). Paper 2 (“Pet.”). Concurrently with its Petition, Blue Coat filed a Motion for Joinder with *Palo Alto Networks, Inc. v. Finjan, Inc.*, Case IPR2016-00159 (“the PAN IPR”). Paper 3 (“Mot.”). Finjan, Inc. (“Patent Owner”) filed a Waiver of Its Preliminary Response and Statement of Non-Opposition to Motion for Joinder. Paper 7 (“Waiver”).

For the reasons explained below, we institute an *inter partes* review of claims 1–6 and 10–15 of the ’494 patent and grant Blue Coat’s Motion for Joinder.

## II. RELATED PROCEEDINGS

The Parties report that the ’494 patent has been asserted in *Finjan, Inc. v. Sophos, Inc.*, 3:14-cv-01197 (N.D. Cal.) (filed Mar. 14, 2014); *Finjan, Inc. v. Symantec Corp.*, 3:14-cv-02998 (N.D. Cal.) (filed June 30, 2014); *Finjan, Inc. v. Palo Alto Networks, Inc.*, 3:14-cv-04908 (N.D. Cal.) (filed Nov. 4, 2014), and *Finjan, Inc. v. Blue Coat Systems, Inc.*, 5:15-cv-03295 (N.D. Cal.) (filed July 15, 2015). Pet. 2; Paper 6, 1. Although not reported by the Parties, we understand that the ’494 patent was also asserted previously in *Finjan, Inc. v. Websense, Inc.*, 5:14-cv-01353 (N.D. Cal.) (filed Mar. 24, 2014).

The ’494 patent has previously been challenged in *Sophos, Inc. v. Finjan, Inc.*, Case IPR2015-01022 (“Sophos IPR”); *Symantec Corp. v. Finjan, Inc.*, Case IPR2015-01892 (“Symantec 1892 IPR”); *Symantec Corp.*

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*v. Finjan, Inc.*, Case IPR2015-01897 (“Symantec 1897 IPR”); and *Blue Coat Systems, Inc. v. Finjan, Inc.*, Case IPR2016-00890 (“Blue Coat 890 IPR”), as well as in the PAN IPR. Pet. 1; Paper 6, 1–2. Blue Coat also has filed one additional petition challenging certain claims of the ’494 patent. *Blue Coat Systems, Inc. v. Finjan, Inc.*, Case IPR2016-01443 (Paper 2).

In the PAN IPR, we instituted *inter partes* review of claims 1, 2, 6, 10, 11, and 15 of the ’494 patent under 35 U.S.C. § 103(a) as unpatentable over Morton Swimmer et al., *Dynamic Detection and Classification of Computer Viruses Using General Behaviour Patterns*, Virus Bull. Conf. 75 (Sept. 1995) (“Swimmer”); and claims 3–5 and 12–14 of the ’494 patent under 35 U.S.C. § 103(a) as unpatentable over the combination of Swimmer and David M. Martin, Jr. et al., *Blocking Java Applets at the Firewall*, Proc. 1997 Symp. on Network & Distributed Sys. Sec. (©1997) (“Martin”). See *Palo Alto Networks, Inc. v. Finjan, Inc.*, Case IPR2016-00159, slip op. at 34 (PTAB May 13, 2016) (Paper 8) (“PAN Dec.”). We denied institution of *inter partes* review in the Finjan IPR and the Symantec 1897 IPR. IPR2015-01022 (PTAB Sept. 24, 2015) (Paper 7); IPR2015-01897 (PTAB Feb. 26, 2016) (Paper 7). In the Symantec 1892 IPR, we instituted *inter partes* review of claims 1, 2, 5, 6, 10, 11, 14, and 15 of the ’494 patent under 35 U.S.C. § 103(a) as unpatentable over Swimmer; we later instituted *inter partes* review of the same claims on the same ground in the Blue Coat 890 IPR, and then joined Blue Coat as Petitioner in IPR2015-01892 and ordered termination of IPR2016-00890. See IPR2015-01892, slip op. at 34 (PTAB Mar. 18, 2016) (Paper 9); IPR2016-00890, slip op. at 6 (PTAB Aug. 30, 2016) (Paper 8).

### III. INSTITUTION OF *INTER PARTES* REVIEW

The Petition in this proceeding asserts the same grounds of unpatentability as those on which we instituted review in the PAN IPR. *Compare* Pet. 5, with PAN Dec. 34. Indeed, as Blue Coat notes, the Petition filed in this proceeding is “narrowly tailored to the grounds of unpatentability that are the subject of the PAN IPR, with grounds that are substantively identical to the instituted grounds of the PAN IPR, including the same analysis of the prior art and expert testimony.” Mot. 1. Blue Coat further asserts that “[t]he petitions do not differ in any substantive way, other than the removal of grounds on which institution was not granted.” *Id.* at 5.

For the same reasons set forth in our institution decision in the PAN IPR, we determine that the information presented in Blue Coat’s Petition shows a reasonable likelihood that Blue Coat would prevail in showing that claims 1, 2, 6, 10, 11, and 15 of the ’494 patent are unpatentable over Swimmer, and that claims 3–5 and 12–14 of the ’494 patent are unpatentable over the combination of Swimmer and Martin. *See* PAN Dec. 17–30. Accordingly, we institute an *inter partes* review on those same grounds in this case.

### IV. GRANT OF MOTION FOR JOINDER

The Petition and Motion for Joinder in this proceeding were filed on June 10, 2016, and the Petition was accorded that same filing date. *See* Paper 4. Thus, Blue Coat’s Motion for Joinder is timely because joinder was requested no later than one month after the institution date of the PAN IPR, i.e., May 13, 2016. *See* 35 U.S.C. § 315(c); 37 C.F.R. § 42.122(b); Mot. 3.

The statutory provision governing joinder in *inter partes* review proceedings is 35 U.S.C. § 315(c), which reads:

If the Director institutes an inter partes review, the Director, in his or her discretion, may join as a party to that inter partes review any person who properly files a petition under section 311 that the Director, after receiving a preliminary response under section 313 or the expiration of the time for filing such a response, determines warrants the institution of an inter partes review under section 314.

A motion for joinder should (1) set forth reasons why joinder is appropriate; (2) identify any new grounds of unpatentability asserted in the petition; (3) explain what impact (if any) joinder would have on the trial schedule for the existing review; and (4) address specifically how briefing and discovery may be simplified. *See Kyocera Corp. v. Softview LLC*, Case IPR2013-00004, slip op. at 4 (PTAB Apr. 24, 2013) (Paper 15).

The Petition in this case asserts the same grounds of unpatentability on which we instituted review in the PAN IPR. *See* Mot. 1–6; Pet. 5, 19–35; PAN Dec. 34. Blue Coat also relies on the same prior art analysis and expert testimony submitted by Palo Alto Networks, Inc. (“PAN”) in the PAN IPR. *See* Mot. 1, 4–6. Indeed, the Petition is nearly identical to the petition filed by PAN with respect to the grounds on which review was instituted in the PAN IPR. *Compare* Pet. 22–35, with *Palo Alto Networks, Inc. v. Finjan, Inc.*, Case IPR2016-00159, Paper 2 at 40–54. Thus, this *inter partes* review does not present any ground or matter not already at issue in the PAN IPR.

If joinder is granted, Blue Coat “anticipates participating in the proceeding in a limited capacity,” absent termination of PAN as a party. Mot. 1, 6. In particular, Blue Coat agrees that, to the extent that it does participate in the joined proceeding, it “will coordinate with PAN to

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