# UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD EDWARDS LIFESCIENCES CORPORATION, Petitioner, v. ENDOHEART AG, Patent Owner. Case IPR2016-00299 U.S. Patent No. 8,182,530

### PETITIONER'S UPDATED EXHIBIT LIST

Petitioner's Updated Exhibit List Case IPR2016-00299 U.S. Patent No. 8,182,530 Submitted: March 22, 2016

### **EXHIBIT LIST**

| No.  | Exhibit  |
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| 1001 | U.S. Patent No. 8,182,530 ("the '530 patent").   |
| 1002 | Prosecution History for U.S. Patent Application No. 11/023,783, which matured into the '530 patent ("'783 Patent Application").  |
| 1003 | U.S. Provisional Patent Application No. 60/615,009, provisional application to which the '530 patent claims priority ("'009 Provisional Patent Application").  |
| 1004 | Bergheim, Bjarne. "Method and System for Cardiac Valve Delivery." <i>U.S. Patent Application Publication No. 2005/0240200</i> (filed 2004) ("Bergheim").   |
| 1005 | Lattouf, Omar M. "Treatment for Patient with Congestive Heart Failure." <i>U.S. Patent Application Publication No. 2003/0130571</i> (2003) ("Lattouf").  |
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| 1007 | Andersen, Henning R., et al. "Transluminal Implantation of Artificial Heart Valves. Description of a New Expandable Aortic Valve and Initial Results with Implantation by Catheter Technique in Closed Chest Pigs." <i>Eur Heart J</i> , 13:704-708 (1992) ("Andersen"). |
| 1008 | Bonhoeffer, Philipp, et al. "Percutaneous Replacement of Pulmonary Valve in a Right-Ventricle to Pulmonary-Artery Prosthetic Conduit with Valve Dysfunction." <i>Lancet</i> , 356:1403-1405 (2000).  |
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| 1010 | Spenser, Benjamin, et al. "Implantable Prosthetic Valve." <i>U.S. Patent Publication No. 2003/0114913</i> (2003) ("Spenser").  |
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| 1014 | Lurie, Paul R., et al. "An Apical Technic for Catherization of the Left |
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|      | ("Maxwell").  |
| 1016 | Neish, Steven R., et al. "Intraoperative Balloon Valvuloplasty for      |
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| 1021 | Gabbay, Shlomo. "Low Invasive Implantable Cardiac Prosthesis and        |
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|      | ("Seguin I").   |
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|      | U.S. Patent Application Publication No. 2004/0210304 (filed 2004)       |
|      | ("Seguin II").  |
| 1024 | Semple, T. "Left Heart Catherization by Direct Ventricular Puncture."   |
|      | Brit Heart J, 30:402-406 (1968) ("Semple").                             |
| 1025 | U.S. Provisional Patent Application No. 60/340,062, provisional         |
|      | application to which Lattouf (Ex. 1005) claims priority ("'062          |
|      | Provisional Patent Application").                                       |
| 1026 | Declaration of Dr. John R. Garret, M.D.                                 |
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| 1027 | Endoheart AG's Responsive Claim Construction Brief filed Nov. 20, 2015 in the United States District Court for the District of Delaware, C.A. No. 14-1473.  |
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| 1032 | Zhou, Junqing. "Chirurgie Valvulaire Par Voie Endovasculaire." <i>Thesis</i> (2003) ("Zhou's Thesis").  |
| 1033 | Declaration of Celina (Schwartz) Candolfi   |
| 1034 | Gott, Vincent L., et al. "Mechanical Heart Valves: 50 Years of Evolution." <i>Ann Thorac Surg</i> , 76:S2230-2239 (2003).   |
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| 1037 | Littrell, Perry K. "Hemostasis Valve." <i>U.S. Patent No. 5,176,652</i> (1993) ("Littrell").  |
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| 1039 | Deem, Mark E., et al. "Method and Apparatus for Cardiac Valve Repair." <i>International Patent Application Publication No. WO 00/60995</i> (2000) ("Deem").   |
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| 1042 | Kaufman, John A., et al. VASCULAR & INTERVENTIONAL RADIOLOGY.   |
|      | THE REQUISITES. 35 (2004).                                      |
| 1043 | Replacement Petition  |
| 1044 | Declaration of Ruby J. Natnithithadha                           |
| 1045 | SEC filing  |

Respectfully submitted, Oblon, McClelland, Maier & Neustadt, LLP

Dated: March 22, 2016 /W. Todd Baker/

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