Paper 16

Date: November 3, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

GENERAL ELECTRIC COMPANY, Petitioner,

v.

UNITED TECHNOLOGIES CORPORATION, Patent Owner.

Case IPR2016-00526 Patent 7,966,807 B2

Before BENJAMIN D. M. WOOD, HYUN J. JUNG, and RICHARD E. RICE, *Administrative Patent Judges*.

JUNG, Administrative Patent Judge.

DECISION Motion for *Pro Hac Vice* Admission 37 C.F.R. § 42.10(c)

Patent Owner filed a Motion for Admission *Pro Hac Vice* of Mr. Michael J. Valaik Under 37 C.F.R. § 42.10(c) and an accompanying Declaration in the above-captioned proceeding. Paper 14; Ex. 2006. Patent Owner states that "Petitioner does not oppose this request." Paper 14, 1.



After considering Patent Owner's Motion and accompanying Declaration¹, it is

ORDERED that Patent Owner's Motion for Admission *Pro Hac Vice* of Mr. Michael J. Valaik is *granted*;

FURTHER ORDERED that Patent Owner is to continue to have a registered practitioner as lead counsel in the instant proceeding, but Mr. Valaik is authorized to be designated as backup counsel;

FURTHER ORDERED that Mr. Valaik is to comply with the Office Patent Trial Practice Guide and the Board's Rules of Practice for Trials, as set forth in Title 37, Part 42 of the C.F.R., and is subject to the USPTO's disciplinary jurisdiction under 37 C.F.R. § 11.19(a) and the USPTO Rules of Professional Conduct as set forth in 37 C.F.R. §§ 11.101 *et seq.*; and

FURTHER ORDERED that Patent Owner shall file updated mandatory notices, pursuant to 37 C.F.R. § 42.8(b)(3), providing updated information regarding back-up counsel.



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¹ According to the "Order -- Authorizing Motion for *Pro Hac Vice* Admission" in Case IPR2013-00639, Paper 7, Mr. Valaik's declaration must provide a statement acknowledging that he will be subject to the Office's disciplinary jurisdiction under 37 C.F.R. § 11.19(a), and the USPTO Rules of Professional Conduct set forth in 37 C.F.R. §§ 11.101 *et seq*. In his declaration, Mr. Valaik incorrectly cites "37 C.F.R. §§ 10.20 *et seq*." with respect to the USPTO Rules of Professional Conduct. Pursuant to this order, by appearing *pro hac vice* in this case, Mr. Valaik agrees to be subject to the Office's disciplinary jurisdiction under 37 C.F.R. § 11.19(a), and the USPTO Rules of Professional Conduct set forth in 37 C.F.R. §§ 11.101 *et seq*.

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