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# UNITED STATES PATENT AND TRADEMARK OFFICE

### BEFORE THE PATENT TRIAL AND APPEAL BOARD

ASML NETHERLANDS B.V., ASML US INC., EXCELITAS TECHNOLOGIES CORP., AND QIOPTIQ PHOTONICS GMBH & Co. KG, Petitioners

V.

ENERGETIQ TECHNOLOGY, INC., Patent Owner.

Case IPR2016-00688

JOINT MOTION TO TERMINATE PROCEEDING PURSUANT TO 35 U.S.C. § 317 AND 37 C.F.R. § 42.72 AND JOINT REQUEST TO KEEP PAPER SEPARATE AS BUSINESS CONFIDENTIAL INFORMATION



Pursuant to 35 U.S.C. §317 and 37 C.F.R. § 42.72, Patent Owner Energetiq Technology, Inc. ("Patent Owner") and Petitioners ASML Netherlands B.V., ASML US, Inc., Excelitas Technologies Corp., and Qioptiq Photonics GmbH & Co. KG ("Petitioners") (collectively, "the Parties") jointly request termination of *Inter Partes* Review No. IPR2016-00688, involving claims 4, 5, 6, 8, and 26 of U.S. Patent 8,969,841.

The Parties have settled all of their disputes involving the following patents U.S. Patents Nos. 7,435,982 ("the '982 patent"); 7,786,455 ("the '455 patent"); 8,309,943 ("the '943 patent"); 8,525,138 ("the '138 patent"); 8,969,841 ("the '841 patent"); 9,048,000 ("the '000 patent"); and 9,185,786 ("the '786 patent"). More specifically, the Parties have agreed to settle and dismiss their related district court litigation (*Energetiq Tech., Inc. v. ASML Netherlands B.V.*, No. 1:15-cv-10240-LTS (D. Mass.)) and International Trade Commission litigation (*In the Matter of Certain Laser-Driven Light Sources, Subsystems Containing Laser-Driven Light Sources, and Products Containing Same*, Inv. 337-TA-983 (U.S. International Trade Commission)). The Parties have also agreed to jointly request termination of this proceeding and all IPRs filed by Petitioners for the above listed patents<sup>1</sup>.

<sup>&</sup>lt;sup>1</sup> The Parties are submitting a Joint Motion to Terminate Proceeding in each of the IPRs filed by Petitioners in the above identified patents.



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Energetiq patent	IPRs relating to patent
7,435,982	IPR2015-01300 & -01303
	IPR2015-01377
	IPR2016-00583
	IPR2016-00584
	IPR2016-00585
7,786,455	IPR2015-01279
	IPR2016-00570
	IPR2016-00575
	IPR2016-00576
	IPR2016-00578
	IPR2016-00579
8,309,943	IPR2015-01277
	IPR2016-00554
	IPR2016-00555
	IPR2016-00556
8,525,138	IPR2015-01368
	IPR2016-00565
	IPR2016-00566
8,969,841	IPR2015-01362
	IPR2016-00127
	IPR2016-00688
	IPR2016-00689
9,048,000	IPR2015-01375
	IPR2016-00126
	IPR2016-00774
	IPR2016-00775
9,185,786	IPR2016-00771
	IPR2016-00776

Pursuant to 37 C.F.R. § 42.74(b), the Parties' settlement agreement and any collateral agreements made in contemplation of termination of the proceeding are in writing, and true and correct copies of such documents are being filed herewith



as Exhibit 1235. No other such agreements, written or oral, exist between or among the parties.

The Parties hereby jointly request that the settlement related agreement be treated as business confidential information and be kept separate from the files of the above captioned IPR pursuant to 35 U.S.C. § 317(b) and 37 C.F.R. § 42.74(c).

## I. Background

Petitioners filed several requests for *Inter Partes* Review of the above identified patents. The Board has instituted *Inter Partes* Review on all challenged claims of the first ten IPRs Petitioners filed, and has not issued a decision on institution regarding the remaining IPRs. Specifically, a trial was instituted in the following IPRs:

Energetiq patent	IPRs relating to patent
7,435,982	IPR2015-01300 & -01303
	IPR2015-01377
7,786,455	IPR2015-01279
8,309,943	IPR2015-01277
8,525,138	IPR2015-01368
8,969,841	IPR2015-01362
	IPR2016-00127
9,048,000	IPR2015-01375
	IPR2016-00126

Decisions on institution have not yet been ordered for the following IPRs:

Energetiq patent	IPRs relating to patent
7,435,982	IPR2016-00583
	IPR2016-00584



	IPR2016-00585
7,786,455	IPR2016-00570
	IPR2016-00575
	IPR2016-00576
	IPR2016-00578
	IPR2016-00579
8,309,943	IPR2016-00554
	IPR2016-00555
	IPR2016-00556
8,525,138	IPR2016-00565
	IPR2016-00566
8,969,841	IPR2016-00688
	IPR2016-00689
9,048,000	IPR2016-00774
	IPR2016-00775
9,185,786	IPR2016-00771
	IPR2016-00776

On May 5, 2016, the Parties agreed to settle all of their disputes involving U.S. Patent Nos. 7,435,982; 7,786,455; 8,309,943; 8,525,138; 8,969,841; 9,048,000; and 9,185,786, including all litigation and Patent Office proceedings related thereto.

On May 25, 2016, the Parties informed the Board of the settlement and requested authorization to file a joint motion to terminate the proceeding with respect to both the Patent Owner and the Petitioners. In a conference call with the Board on May 31, 2016, the Parties confirmed that settlement had been reached and the Board authorized the filing, on or before June 14, 2016, of the requested joint motion to terminate this proceeding as to both parties. The Board additionally



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