UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ASML NETHERLANDS B.V., ASML US, INC., EXCELITAS TECHNOLOGIES CORP., AND QIOPTIQ PHOTONICS GMBH & CO. KG, Petitioners

v.

ENERGETIQ TECHNOLOGY, INC., Patent Owner

Case IPR2016-00689 U.S. Patent No. 8,969,841

MANDATORY NOTICES OF PATENT OWNER PURSUANT TO 37 C.F.R. § 42.8(a)(2)

Pursuant to 37 C.F.R. § 42.8(a)(2), the Patent Owner of U.S. Patent No. 8,969,841 hereby files the following Mandatory Notices. These Mandatory Notices are filed within 21 days of service of the Petition for Inter Partes Review for this Case IPR2016-00689, purportedly mailed on April 1, 2016.



I. 37 C.F.R. \S 42.8(b)(1) – Real Party in interest:

The real party in interest for the Patent Owner is:

Energetiq Technology, Inc.

7 Constitution Way

Woburn, Massachusetts 01801

USA

II. 37 C.F.R. § 42.8(b)(2) – Related Matters:

The following litigation matters may affect, or may be affected by, a decision in this proceeding: *Energetiq Technology, Inc. v. ASML Netherlands B.V.*, et al, Civil Action No. 1:15-cv-10240-LTS (D. Mass.) and *In the Matter of Certain Laser-Driven Light Sources, Subsystems Containing Laser-Driven Light Sources, and Products Containing Same*, Inv. No. 337-TA-983.

The following patents and pending patent application are identified because they may be affected by a decision in this proceeding: U.S. Patent No. 7,786,455; U.S. Patent No. 8,309,943; U.S. Patent No. 7,989,786; U.S. Patent No. 8,525,138; U.S. Patent No. 9,048,000; U.S. Patent No. 9,185,786; U.S. Patent No. 8,969,841; and U.S. Patent Application No. 14/867,159.

The following Inter Partes Review petitions have been filed for patents that relate to the patent that is the subject of this IPR: IPR2015-01277; IPR2015-01279; IPR2015-01300; IPR2015-01303; IPR2015-01362; IPR2015-01368; IPR2015-



01375; IPR2015-01377; IPR2016-00126; IPR2016-00127; IPR2016-00554; IPR2016-00555; IPR2016-00556; IPR2016-00565; IPR2016-00566; IPR2016-00570; IPR2016-00575; IPR2016-00576; IPR2016-00578; IPR2016-00579; IPR2016-00583; IPR2016-00584; IPR2016-00585; IPR2016-00688; IPR2016-00689 (this matter); IPR2016-00771; IPR2016-00774; IPR2016-00775; and IPR2016-00776.

III. 37 C.F.R. \S 42.8(b)(3) – Lead and backup counsel:

- (a) Lead counsel: Steven M. Bauer, Registration No. 31,481
- (b) Backup counsel: Joseph A. Capraro Jr., Registration No. 36,471

IV. 37 C.F.R. \S 42.8(b)(4) – Service information:

- (a) Electronic mail address: PTABMattersBoston@proskauer.com
 The Patent Owner hereby consents to electronic service to the electronic
 email address indicated above.
 - (b) Postal mailing address:

PROSKAUER ROSE LLP

One International Place

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- (c) Hand delivery address: same as the postal mailing address
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Attorney Docket No. EGQ-841DIPR

Please address all correspondence to both the lead and backup counsel

shown above.

V. Power of Attorney:

A power of attorney appointing Proskauer Rose LLP (Customer No. 42,532)

is submitted herewith. Lead and backup counsel are partners at Proskauer Rose

and are associated with Customer Number 42,532.

The Patent Trial and Appeal Board is authorized to charge any fees incurred

by the Patent Owner in this matter to Deposit Account No. 50-3081.

Respectfully submitted,

Proskauer Rose LLP

/ Steven M. Bauer /

Steven M. Bauer, Reg. No. 31,481

Attorney for Patent Owner

Date: April 12, 2016

PROSKAUER ROSE LLP

One International Place

Boston, Massachusetts 02110



CERTIFICATE OF SERVICE

The undersigned certifies that on April 12, 2016, pursuant to 37 C.F.R. § 42.6(e), copies of the MANDATORY NOTICES OF PATENT OWNER PURSUANT TO 37 C.F.R. § 42.8(a)(2) and POWER OF ATTORNEY are being served electronically on Petitioner (by consent of the parties) at the following email addresses:

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/ Gerald E. Worth
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