

## **United States Patent** [19]

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#### Takahashi et al.

#### [54] SECURE MEMORY MANAGEMENT UNIT FOR MICROPROCESSOR

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- [21] Appl. No.: 717,106
- [22] Filed: Sep. 20, 1996
- [51] Int. Cl.<sup>6</sup> ..... H04L 9/00

#### [56] **References Cited**

#### U.S. PATENT DOCUMENTS

5,377,264 12/1994 Lee . 5,386,469 1/1995 Yearsley .

#### 5,452,355 9/1995 Coli . 5,459,851 10/1995 Nakajima .

[45]

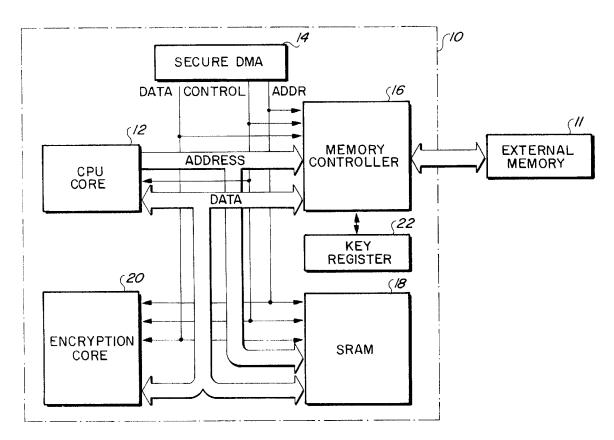
Primary Examiner—David Cain Attorney, Agent, or Firm—LaValle D. Ptak

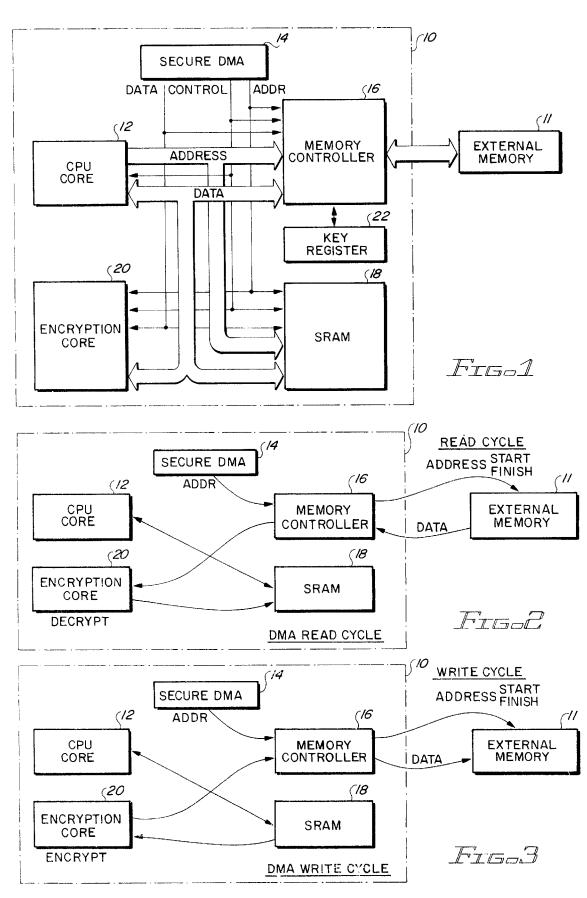
**Date of Patent:** 

#### [57] ABSTRACT

A secure embedded memory management unit for a microprocessor is used for encrypted instruction and data transfer from an external memory. Physical security is obtained by embedding the direct memory access controller on the same chip with a microprocessor core, an internal memory, and an encryption/decryption logic. Data transfer to and from an external memory takes place between the external memory and the memory controller of the memory management unit. All firmware to and from the external memory is handled on a page-by-page basis. Since all of the processing takes place on buses internal to the chip, detection of clear unencrypted instructions and data is prevented.

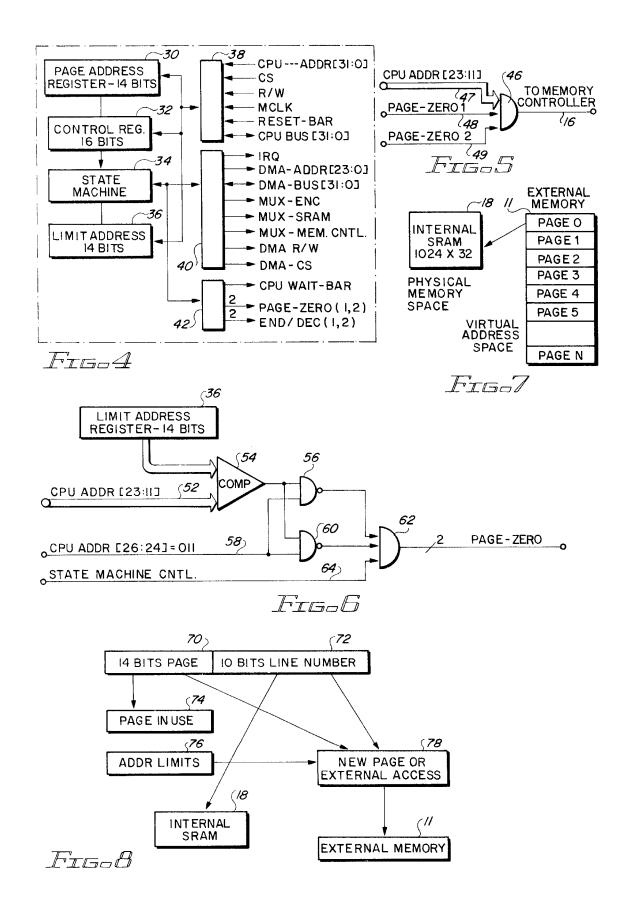
#### 7 Claims, 2 Drawing Sheets





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#### SECURE MEMORY MANAGEMENT UNIT FOR MICROPROCESSOR

#### BACKGROUND

Various techniques have been employed for decrypting 5 and encrypting firmware stored in an external memory associated with a microprocessor system. Encryption of such firmware is used to prevent unauthorized parties from determining instructions or data stored in the memory by reading out the information and then utilizing the information. When this information is encrypted, unauthorized third parties are not able to use it unless they can obtain access to the unencrypted firmware which is handled by the microprocessor.

To prevent unauthorized access to the clear or unen- 15 crypted instructions and data, physical security measures have been developed by forming protective layers over a memory device to limit visual access to the memory, even if the encapsulation material over the chip is removed. Other techniques include employing polysilicon layers to carry the 20 signals; so that the signal transmission is invisible. In addition, using multi-layer chips with criss-crossing signal paths makes it difficult to probe signal paths located in lower layers. As encryption/decryption circuits become more complex, however, it frequently is necessary to modify the 25 microprocessor core in some manner in order to operate with the security systems.

The Yearsley U.S. Pat. No. 5,386,469 is directed to a firmware encryption/decryption system operating in real time to decrypt incoming code from an external memory. <sup>30</sup> This is accomplished by a program counter operating in response to "enable bits" and "seed value" bits to determine when to "mask" the code using an encryption mask generator. The encryption mask of Yearsley is not a true encrypter using a DES (Data Encryption Standard) algorithm. Each <sup>35</sup> clock cycle in the system of Yearsley unmasks the firmware in accordance with the seed and the program counter value in real time. In addition, some modification of the core microprocessor is necessary in order to use it with the Yearsley system.

It is desirable to provide a secure memory management unit which overcomes the disadvantages of the prior art, and which does not require any modification to the core microprocessor with which the memory management unit is used.

#### SUMMARY OF THE INVENTION

A secure embedded memory management unit for encrypted data and instruction transfer from an external memory includes a microprocessor core, an internal memory, a direct memory access controller and encryption 50 core all formed in the same IC chip. The direct access memory controller is interconnected by a bus to an external memory, where the encrypted instructions and data are stored. Encrypted information supplied to the memory controller from the external memory then is supplied, internally 55 in the chip, from the memory controller to the encryption core, where it is decrypted. The decrypted information then is supplied to the internal memory coupled to the microprocessor core. The information stored in the internal memory is utilized in a conventional manner in its "clear" form by the 60 microprocessor core. The reverse of this operation occurs when information is to be written to the external memory. Information passing from and to the external memory is loaded on a page-by-page basis; and once a page of firmware has been loaded from the external memory onto the chip, the 65 chip disables access to the bus to protect from any external probing.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of a preferred embodiment of the invention;

FIG. 2 is a diagrammatic flow chart illustrating the operation of embodiment of FIG. 1 for a read cycle;

FIG. 3 is a diagrammatic flow chart illustrating the operation of the embodiment of FIG. 1 for a write cycle;

FIG. 4 is a detailed diagrammatic representation of a portion of the circuit shown in FIG. 1;

FIG. **5** is a circuit detail useful in explaining the operation of the embodiment of FIGS. **1** and **4**;

FIG. 6 is circuit detail of a portion of the embodiment of FIGS. 1 and 4;

FIG. 7 is a diagrammatic representation of the relationship between memory space in the external memory and the internal memory of the embodiment shown in FIG. 1; and

FIG. 8 is a diagrammatic representation of the memory organization of the internal memory of the embodiment shown in FIG. 1.

#### DETAILED DESCRIPTION

Reference now should be made to the drawings, in which the same reference numbers are used throughout the different figures to designate the same components. FIG. 1 is a block diagram of a preferred embodiment of a secure memory management unit for a microprocessor system. The system shown in FIG. 1 is fabricated on a single integrated circuit chip 10 for communication with an external memory 11, which may be of any suitable type for storing information used in the operation of the system on the chip 10. The main function of the secure memory management unit (MMU) on the chip 10 is to read encrypted external program code instructions and data stored in the external memory 11, to decrypt and store the information in a secure random access memory (RAM) with an internal microprocessor CPU core 12 then utilizing the information, which is stored in the secure internal RAM.

The circuit shown on the chip 10 of FIG. 1 is designed to carry out these functions. It should be noted that all of the parts shown enclosed within the dash-dot line or box 10 of FIG. 1 are fabricated on the same integrated circuit chip utilizing fabrication techniques designed to physically embed the components in the chip and to prevent access to the internal buses and connectors shown interconnecting the various components located within the box 10.

The secure MMU of FIG. 1 comprises a memory controller 16 and a secure direct memory access controller 14, along with an SRAM memory 18 for program storage, and a secure internal encryption core logic 20, along with a microprocessor or central processing unit (CPU) core 12.

Although an SRAM memory is shown, other types of read/write memories, such as EEPROM or FLASH ROM may be used as well. The memory **18** is divided into multiple cache sections of various sizes. The various buses for interconnecting these components for data, address and control signals are illustrated in FIG. **1**.

The direct memory access (DMA) controller 14 and the memory controller 16 together operate to transfer instructions between the external memory 11 and the internal SRAM memory 18. Through appropriate instructions, the secure DMA controller 14 moves instruction from the external memory 11 through the memory controller 16 to the decryption core 20, and finally, to the SRAM memory 18 for a read cycle. For a write cycle, the instruction is moved from

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the SRAM memory 18 to the encryption core 20, then to the memory controller 16, and finally, from the controller 16 through a connecting bus to the external memory 11. All of these transfers of information are controlled by the secure DMA/MMU controller 14/16.

Typically, on a first external instruction access, the secure DMA controller 14 puts the CPU core 12 in a wait state mode, or the CPU core 12 executes from an internal ROM (not shown) and reads the page of external encrypted program code or data containing the requested external page 10 address. The system operates to transfer information from and to the external memory 11 on a page-by-page basis. The page address can read or write up to 1,024×32 bit words. After the page of instructions has been written to the secure SRAM 18, the DMA controller 14 causes these instructions <sup>15</sup> to be decrypted by sequentially transferring the contents of the secure internal SRAM 18 one 32-bit word at a time to the encryption and decryption core block 20. The cleared word is then written back to the SRAM 18.

When the full page of instructions has been decrypted by 20 the encryption core 20, the DMA controller 14 takes the CPU core 12 out of the wait state mode, and the CPU core 12 reads the instruction located in the secure internal SRAM 18. As noted, this instruction now is clear or decrypted 25 information. If the next external instruction requested by the CPU core 12 is within the page of the secure internal SRAM 18, the instruction is read in a single cycle from the secure internal SRAM 18. If the next external instruction requested is not in the page of the secure internal SRAM 18, the DMA controller 14 operates as described above, and the process is repeated. The process described may be altered, depending upon the configuration of the MMU control register consisting of the DMA controller 14 and the memory controller 16.

The secure DMA controller 14 and memory controller 16 is the interface which provides input/output (I/O) transfer of data directly to and from the external memory 11 by way of the memory controller unit 16, the encryption core 20, and the internal SRAM memory peripheral 18. The DMA con-40 troller 14 is the preferred form of data transfer for use with high speed peripheral devices to speed the encrypted instruction transfer. The CPU core 12 utilizes the DMA controller 14 by sending the selected page address to be transferred, the control configuration, and the limit addresses. This will be 45 explained in greater detail in conjunction with FIG. 4.

The actual transfer of data is done directly between the external memory 11 and the memory controller 16, through the DMA controller 14, which frees the CPU core 12 for other tasks. The major difference between an I/O program 50 controlled transfer and the DMA controller 14 is that data transfer does not employ the registers of the CPU core 12. The transfer is done in the DMA controller 14 interface by first checking if the memory unit 18 is not used by the CPU core 12; and then the DMA controller 14 controls the  $_{55}$ memory cycle to access a word in the external memory 11.

It should be noted that the system shown in FIG. 1 also employs the usual circuits of an interface, such as an address decoder, a control decoder, and state machine control logic (not shown, since these are standard components). In 60 specifying the direction of transfer are stored in designated addition, the system uses a separate page address register, a limit address buffer register, and a page size count register (described in greater detail in conjunction with FIGS. 4, 5 and 6). The address and buffer registers are used for direct communication with the memory controller 16. The page 65 size register specifies the number of words to be transferred within a page. The CPU core 12, with the DMA controller

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14, includes a special state machine control section for the memory controller 16 to communicate with both the CPU core 12, the encryption core 20, the SRAM 18, and the DMA controller 14 on a priority basis.

Both the CPU core 12 and the DMA controller 14 can communicate with the memory controller 16; but the DMA controller 14 has priority over the CPU core 12. A request bit in the control register in the DMA controller 14 is set when the corresponding CPU core 12 requests a memory cycle. The memory control 16 services both the CPU core 12 and the DMA controller 14, and resolves conflicts between the two requests. Whenever a DMA controller 14 memory cycle request is terminated, the memory controller 16 clears a corresponding request flip-flop (not shown) and the DMA controller 14 waits until a new page load memory cycle is requested.

The design of the DMA controller 14 enables, but is not restricted to, allowing the code stored in the SRAM cache 18 to be accessed by the CPU core 12 while the DMA controller 14 is loading other sections of the cache. This increases the speed of operation of the system, allowing commonly used sections of code to be semi-permanently placed into the SRAM cache 18. This is accomplished by dividing the SRAM cache 18 into various sections of different sizes. Implementation of this feature may be accomplished by means of a hard division of the cache or a flexible division where the CPU core 12 and the DMA controller 14 access the memory 18 on alternate cycles of the system clock. Collision detection circuitry or software (not shown) also may be employed to prevent access to pages in the SRAM 18 while these pages are being loaded or allow accesses to the pages being loaded when a piece of memory already has been loaded into the cache 18. Such detection circuitry recognizes a page as "in process", "loaded", or "unloaded". The unloaded and partially loaded pages operate in the same manner where the offset to the page is compared to a pointer loading data. The wait signal (shown in FIG. 4) is released once the actual data is loaded. For a new cycle, this occurs when the first instruction is loaded and continues a wait/load cycle until the code jumps out of this particular page. For a page in process, the code actually may be able to run a full routine and branch out prior to ever having a collision or a wait cycle.

The initialization process for the system essentially is a program consisting of I/O instructions that include the page address and command codes for the DMA 14 interface. The CPU core 12 checks the status of the peripheral (external memory 11) and the DMA/MMU controller 14/16; and if all is in order, the CPU core 12 sends the following information through the I/O memory controller lines:

- 1. The starting address of the page memory block where the instructions or data are available (for output) or where data are to be stored (for input) in the SRAM 18.
- 2. The page size, which is the number of words in the page memory block.
- 3. A control specifying an input or output transfer.

4. A command to start the DMA/MMU controller 14/16. The starting page address, page size, and the control control registers in the DMA/MMU controller 14/16. The CPU core 12 then stops communicating with the DMA/ MMU controller 14/16. The DMA controller 14, which controls the memory controller 16, handles all of the housekeeping operations such as packing characters into words (for output) or unpacking words into characters (for input) and checks the status of the peripheral external memory 11.

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