Paper No. 9 Entered: April 3, 2017

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

EXABLAZE PTY. LTD., Petitioner,

v.

SOLARFLARE COMMUNICATIONS, INC., Patent Owner.

Case IPR2016-01832 Patent 8,645,558 B2

Before SALLY C. MEDLEY, DAVID C. MCKONE, and CHRISTA P. ZADO, *Administrative Patent Judges*.

MEDLEY, Administrative Patent Judge.

DECISION
Denying Institution of *Inter Partes* Review 37 C.F.R. § 42.108



I. INTRODUCTION

Exablaze Pty. Ltd. ("Petitioner") filed a Petition for *inter partes* review of claims 1–12 of U.S. Patent No. 8,645,558 B2 (Ex. 1002, "the '558 patent"). Paper 1 ("Pet."). Solarflare Communications, Inc. ("Patent Owner") filed a Preliminary Response. Paper 8 ("Prelim. Resp."). Institution of an *inter partes* review is authorized by statute when "the information presented in the petition . . . and any response . . . shows that there is a reasonable likelihood that the petitioner would prevail with respect to at least 1 of the claims challenged in the petition." 35 U.S.C. § 314(a); *see* 37 C.F.R. § 42.108. Upon consideration of the Petition and Preliminary Response, we conclude the information presented does not show there is a reasonable likelihood that Petitioner would prevail in establishing the unpatentability of claims 1–12 of the '558 patent.

A. Related Matters

The parties indicate that the '558 patent has been asserted in *Solarflare Communications v. Exablaze Pty. Ltd.*, Case No. 16-cv-01891 (D. N.J.). Pet. 1; Paper 3, 1.

B. The '558 Patent

The challenged claims of the '558 patent relate to processing network traffic in a data processing system. Ex. 1002, 42:51–52. Figure 21 of the '558 patent is reproduced below.



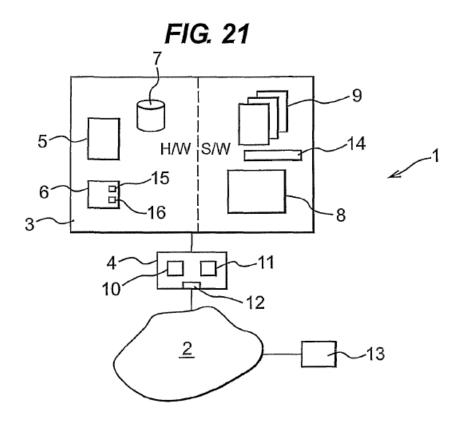


Figure 21 depicts a data processing system

Figure 21 shows a data processing system 1 for sending and receiving data over network 2. *Id.* at 42:53–54. The data processing system includes base processing section 3, and network interface device 4, also referred to as network interface card, or NIC. *Id.* at 42:54–56, 43:13–14. Network interface device 4 comprises processor 10 and memory 11 arranged for processing network traffic being transmitted or received over network 2. *Id.* at 43:3–5. Base processing section 3 comprises a central processing unit (CPU) 5, working memory 6, and non-volatile program store 7. *Id.* at 42:57–59. Base processing section 3 supports operating system 8, one or more application programs 9, and library 14, which implements an application programming interface (API). *Id.* at 42:64–66, 44:36–37, 45:7. Library 14 provides a set of functions such as transmitting and receiving



data that can be called by the applications. *Id.* at 45:7–10. The library is not part of the operating system but rather runs at user level, i.e., it has user-level privileges allocated to it by the operating system. *Id.* at 45:10–12. In operation, protocol processing (typically TCP/IP and UDP/IP) of raw received data and of traffic data that is transmitted is performed in response to requests from applications rather than in response to receipt of data. *Id.* at 46:50–54.

C. Illustrative Claim

Petitioner challenges claims 1–12 of the '558 patent. Claim 1, the sole independent claim, reproduced below, is illustrative of the claimed subject matter:

1. A data processing system for receiving data from a network, and processing that data in accordance with a network protocol to extract traffic data therefrom, the data processing system having:

a memory;

a network interface for receiving the data from the network and storing the data in the memory;

an operating system for supporting one or more applications;

an application supported by the operating system; and

a protocol processing entity providing an application programming interface (API) configured to process the received data in accordance with a network protocol to extract traffic data therefore, the protocol processing entity being arranged to perform protocol processing of the received data in the memory in response to signaling from a thread of the application to request whether data is available for one or more endpoints of the data processing system.

Id. at 65:15–33.



D. Asserted Grounds of Unpatentability

Petitioner asserts that claims 1–12 are unpatentable based on the following grounds (Pet. 3):

Reference(s)	Basis	Challenged Claim(s)
Mansley ¹	§ 102(b)	1–5, 7, and 10–12
Mansley and Riddoch ²	§ 103(a)	6
Mansley	§ 103(a)	8 and 9

II. DISCUSSION

A. Claim Construction

In an *inter partes* review, we construe claim terms in an unexpired patent according to their broadest reasonable construction in light of the specification of the patent in which they appear. *See* 37 C.F.R. § 42.100(b). Consistent with the broadest reasonable construction, claim terms are presumed to have their ordinary and customary meaning as understood by a person of ordinary skill in the art in the context of the entire patent disclosure. *See In re Translogic Tech., Inc.*, 504 F.3d 1249, 1257 (Fed. Cir. 2007). Although Petitioner provides a construction for the claim 1 "in response to signaling from a thread of the application" phrase, and Patent Owner weighs in on that construction, we determine that no claim term requires interpretation.

² David Riddoch, *Distributed Computing with the CLAN Network*, 27TH ANNUAL IEEE CONFERENCE ON LOCAL COMPUTER NETWORKS (Nov. 6–8, 2002) (Ex. 1005) ("Riddoch").



¹ Kieran Mansley, *Engineering a User-Level TCP for the CLAN Network*, PROCEEDINGS OF THE ACM SIGCOMM 2003 WORKSHOPS (Sept. 9, 2003) (Ex. 1003) ("Mansley").

DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

