# UNITED STATES PATENT AND TRADEMARK OFFICE

## BEFORE THE PATENT TRIAL AND APPEAL BOARD

ONE WORLD TECHNOLOGIES, INC. d/b/a TECHTRONIC INDUSTRIES POWER EQUIPMENT, Petitioner,

v.

THE CHAMBERLAIN GROUP, INC., Patent Owner.

> Case IPR2017-00073 Patent 7,196,611 B2

Record of Oral Hearing Held: January 18, 2018

Before JONI Y. CHANG, JUSTIN T. ARBES, and JOHN F. HORVATH, *Administrative Patent Judges*.

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Case IPR2017-00073 Patent 7,196,611 B2

### **APPEARANCES**:

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### ON BEHALF OF THE PETITIONER:

DION M. BREGMAN, ESQUIRE ALEANDER B. STEIN, ESQUIRE Morgan Lewis 1400 Page Mill Road Palo Alto, CA 94304

#### ON BEHALF OF THE PATENT OWNER:

JOSHUA A. GRISWOLD, ESQUIRE KARL RENNER, ESQUIRE DAN SMITH, ESQUIRE Fish & Richardson, P.C. 1717 Main Street Suite 5000 Dallas, TX 75201

The above-entitled matter came on for hearing on Thursday, January 18. 2018, at 1:00 p.m., at the U.S. Patent and Trademark Office, Madison Building East, 600 Dulany Street, Alexandria, Virginia, before Walter Murphy, Notary Public.

	P R O C E E D I N G S
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2	JUDGE ARBES: Good afternoon, everyone. Please be seated. This
3	is the first of two oral hearings today regarding Patent 7,196,611. First we
4	will hear Case IPR2017-00073. Can counsel please state your names for the
5	record?
6	MR. GREGMAN: Sure. Good morning, Your Honor. Dion
7	Bregman representing TTI or Techtronic Industries.
8	MR. GRISWOLD: Good afternoon, Your Honors. I'm Joshua
9	Griswold and I represent the Patent Owner, Chamberlain. I have with me
10	Dan Smith and Karl Renner.
11	JUDGE ARBES: Thank you. Per the Trial Hearing Order in this
12	case, each party will have 40 minutes of time to present arguments.
13	Petitioner will present its case first regarding the challenged claims and may
14	argue the Motion to Exclude. Petitioner may also reserve time for rebuttal.
15	Then Patent Owner will then respond to Petitioner's presentation and
16	Petitioner may use any remaining time to respond.
17	A few reminders before we begin to ensure that the transcript is clear
18	and because we have one judge participating remotely. Please only speak at
19	the podium and refer to your demonstratives by slide number. Also, we
20	have received a list of objections to Petitioner's demonstrative exhibits
21	pertaining to the allegedly improper material in Petitioner's Reply that
22	Patent Owner has already filed objections to. The objections to the
23	demonstratives today will be overruled. The parties are reminded that
24	demonstrative exhibits are merely visual aids to assist a party's presentation
25	at the hearing. They're not briefs and they're not evidence. Lastly, if either
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party believes that the other party is presenting an improper argument, we
 ask you to please raise that in your own presentation rather than interrupting
 the other side.

Any questions before we begin today? Counsel for Petitioner, you
may proceed, and would you like to reserve time for rebuttal?

MR. BREGMAN: Seven minutes, Your Honor. We don't have a
clock here but I see some lights. I assume those lights, are those related to
how much time I've got or anything?

JUDGE ARBES: We don't have those running but I can give you awarning.

MR. BREGMAN: Okay, that'll be great. Just maybe five minutes or ten minutes. Right. So why don't we dig in? So the first IPR we'll be talking about today is 2017-00073. Both of these IPR's relate to the 611 patent. The first set that we're going to discuss now relates to apparatus claims related to diagnostics, and the second set we're going to discuss a little bit later today relates to learning mode, and those are method claims.

If we look at the 611 patent, it's a very, very simple technology. In
essence, it's a garage door opener with blinking lights of a wall unit to show
faults. The Patent Owner however disclosed the same technology years
before in one of their own references.

So if we look at slide 2, here is a road map of what we're going to discuss today. We're going to start with very briefly go over the 611 patent, the instituted grounds and some claim construction, and then we're going to jump into the substantive arguments as well as an argument that Patent Owner has raised regarding whether there is a single anticipatory reference.

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1 So if we jump to slide No. 4, this is the front of the patent, the 611 2 patent. As you can see the Patent Owner is the Chamberlain Group and this 3 patent was filed back in April of 2003. Skipping to slide 6, this patent is 4 directed -- or sorry, this set of claims is directed to sending signals to a wall-5 control unit to display faults. You can see here is the garage door and you 6 can see we've circled in red the wall-control unit that has some LED's on it 7 that display faults.

Jumping to slide 8, these are the instituted grounds of unpatentability.
We have a very extremely strong case here. There are two grounds. The
first ground is anticipation based on the Crimmins reference or Crimmins,
and the second ground is unpatentability -- obviousness over the
combination of Crimmins and Weik.

For the first ground, there is only one independent claim in this entire set and as we have shown in our briefs, that claim is clearly anticipated. The Patent Owner only challenges two elements from those claims that are easily dismissed and we'll go into those in a few minutes time.

If we jump to slide 9 you can see the entire claim here. Really the only
dispute or the main dispute really is this last element, the apparatus for
communicating the identities of faults to a remote input/output unit. This
limitation clearly discloses no structure as appreciated by Your Honors who
construed this limitation as a means plus function term.

Turning to claim construction, as Your Honors instructed us in the Institution decision, the parties were encouraged to address -- this is slide 11 -- were encouraged to address the interpretation of all limitations potentially subject to means plus function treatment. Unbelievably however, when we asked Patent Owner's expert are you aware that this phrase, we're talking

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