## UNITED STATES PATENT AND TRADEMARK OFFICE

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## BEFORE THE PATENT TRIAL AND APPEAL BOARD

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cook group incorporated and cook medical LLC, Petitioners,

v.

BOSTON SCIENTIFIC SCIMED, INC., Patent Owner.

IPR2017-00435 (Patent 9,271,731 B2) IPR2017-00440 (Patent 9,271,731 B2)

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Record of Oral Hearing Held: September 17, 2018

BEFORE JAMES T. MOORE, JAMES A. TARTAL, and ROBERT L. KINDER, *Administrative Patent Judges*.



### **APPEARANCES:**

### ON BEHALF OF THE PETITIONER:

DOMINIC P. ZANFARDINO, ESQ. JEFFRY M. NICHOLS, ESQ. JASON W. SCHIGELONE, ESQ. Brinks Gilson & Lione 455 N. Cityfront Plaza Drive Suite 3600 Chicago, Illinois 60611

### ON BEHALF OF PATENT OWNER:

DAVID A. CAINE, ESQ. Arnold & Porter Kaye Scholer 3000 El Camino Real Five Palo Alto Square, Suite 500 Palo Alto, California 94306

--and--

JEFFREY MARTIN, ESQ. Arnold & Porter Kaye Scholer 250 West 55th Street New York, New York 10019

The above-entitled matter came on for hearing on Monday, September 17, 2018, commencing at 1:30 p.m., at the U.S. Patent and Trademark Office, 600 Dulany Street, Alexandria, Virginia.



1	PROCEEDINGS
2	
3	JUDGE KINDER: Good afternoon, everyone. I'm
4	Judge Kinder, and with me today are Judge Tartal and Judge
5	Moore on the far end. Today we're calling two proceedings for a
6	supplemental hearing in IPR2017-435 and IPR2017-440, both
7	involving patent number 9,271,731.
8	This is as I mentioned, this is a supplemental hearing,
9	so it's we have already had an initial hearing. We also note that
10	these two cases have not been consolidated or joined, but just like
11	this morning's proceeding, they involve overlapping issues, so we
12	are going to argue them both together. But as I think the parties
13	want, we are going to separate the '435 and '440 issues out as
14	needed.
15	Each party will have 60 minutes of time, and because
16	the Petitioner bears the burden, the Petitioner will go first, and
17	then can reserve time for rebuttal, much like this morning,
18	however you prefer.
19	So at this point, I want to get a roll call of who's here for
20	Petitioner.
21	MR. ZANFARDINO: Good afternoon, Your Honors.
22	For Petitioners Dominic Zanfardino and Jason Schigelone.
23	JUDGE KINDER: All right, thank you.
24	For Patent Owner?



1	MR. CAINE: Good afternoon, Your Honors. David
2	Caine and Jeffrey Martin, and, again, our paralegal Shantell
3	Gutrick, and our client, Denise Lane, our client representative.
4	JUDGE KINDER: All right, thank you.
5	Again, some of the same rules as this morning. When
6	you get up to argue, please make sure that you give your name
7	and then which proceeding the argument is either directed to or in
8	response to, just so when we're going back through the transcript,
9	we can see those clear delineations of when you switch and who
10	is actually speaking at the time. It helps the court reporter and us.
11	If there are no questions at this time, we will proceed
12	with Petitioner, I believe on the '435 case first.
13	MR. ZANFARDINO: Thank you, Your Honors.
14	Dominic Zanfardino on the '435 case, and I should have also
15	introduced my other co-counsel from this morning, Jeff Nichols,
16	and then the client representative, Jack Hunt, and then an
17	observer from my firm, Alex Wang.
18	Okay, so first the '435. The newly instituted grounds
19	are grounds 3 and 4. Ground 3 presents the issue of whether what
20	the parties call Malecki embodiment 2 anticipates the claims that
21	are listed here on slide number 4, and then ground 4 presents the
22	issue of whether that same embodiment in Malecki 2 would
23	render obvious certain claims listed on slide 4.



1	So I am going to jump ahead a few slides here to slide
2	number 12 to re-orient the Board as to what Malecki embodimen
3	2 is. My colleague touched upon it a little bit this morning, but I
4	just want to make sure we all understand what it is.
5	Malecki embodiment 2 is a clamp, and the way it works
6	is there are two jaws. One is referred to as a fixed jaw, 308B.
7	Another is referred to as a pivotally mounted jaw, 310B. Now,
8	it's hard to see, the numbering is small, but to the left of the jaws
9	that are open on the left side, you can see a what's called an
10	actuator housing, and that bears reference numeral 324B.
11	And the way that works is there is a separate device,
12	called a clamp positioner, that I believe you all are familiar with,
13	that sort of acts like a socket wrench. It fits over 324B, this
14	actuator housing, and it runs along a set of threaded there's a
15	bunch of there's some threads here on this jaw extension, 320B
16	and as you rotate that actuator housing 320B, you either advance
17	it proximally or distally.
18	And when you advance it proximally I'm sorry,
19	distally, there's a shoulder portion that's referred to as 325B on
20	that actuator housing, 324 I'm sorry, it's 325, that's on 324B,
21	and it starts to push that jaw closed. And then as you rotate in the
22	opposite direction and start pulling that actuator housing 324B
23	back, that jaw that top jaw, 310B, can spring back open,
24	because there is a spring sitting there somewhere and our



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