## UNITED STATES PATENT AND TRADEMARK OFFICE

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## BEFORE THE PATENT TRIAL AND APPEAL BOARD

RIMFROST AS, Petitioner,

v.

AKER BIOMARINE ANTARCTIC AS, Patent Owner.

Cases:

IPR2017-00745 (Patent 9,078,905 B2) IPR2017-00746 (Patent 9,028,877 B2) IPR2017-00747 (Patent 9,078,905 B2) IPR2017-00748 (Patent 9,028,877 B2)

> Record of Oral Hearing Held: April 24, 2018

Before LORA M. GREEN, ERICA A. FRANKLIN, and JACQUELINE T. HARLOW, *Administrative Patent Judges*.



### APPEARANCES:

## ON BEHALF OF THE PETITIONER:

JAMES F. HARRINGTON, ESQ. Hoffman & Baron LLP 6900 Jericho Turnpike Syosset, New York 11791-4407

and

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## ON BEHALF OF THE PATENT OWNER:

DAVID A. CASIMIR, J.D., Ph.D. J. MITCHELL JONES, J.D., Ph.D. Casimir Jones 2275 Eming Way, Suite 310 Middleton, Wisconsin 53562

The above-entitled matter came on for hearing on Tuesday, April 24, 2018, commencing at 1:00 p.m., at the U.S. Patent and Trademark Office, 600 Dulany Street, Alexandria, Virginia.



| 1  | PROCEEDINGS  |
|----|--|
| 2  |  |
| 3  | JUDGE GREEN: Please be seated. Let me know when you're                   |
| 4  | ready.   |
| 5  | Good afternoon, everybody. We are on the record. Please make             |
| 6  | sure that all cell phones are turned off, as they can interfere with the |
| 7  | microphones.   |
| 8  | This is the final oral hearing in IPR 2017-00745, -00746, -00747,        |
| 9  | and -00748. Judge Franklin is in the hearing room with us, she is on my  |
| 10 | right; and Judge Harlow is attending remotely from Denver.               |
| 11 | At this time, we would like counsel to introduce yourselves and          |
| 12 | your colleagues, beginning with Petitioner.                              |
| 13 | MR. HARRINGTON: Yes, James Harrington, lead counsel for                  |
| 14 | Petitioner Rimfrost AS. I am here with my partner, Michael               |
| 15 | JUDGE HARLOW: Counsel, I'm sorry. I believe your                         |
| 16 | microphone is off, and I cannot hear you in Denver.                      |
| 17 | JUDGE GREEN: Is the green light on?                                      |
| 18 | (Pause in the proceedings.)  |
| 19 | JUDGE GREEN: You can start over again, Mr. Harrington.                   |
| 20 | MR. HARRINGTON: Okay, James Harrington, lead counsel for                 |
| 21 | Petitioner Rimfrost AS   |
| 22 | JUDGE HARLOW: I'm sorry, Mr. Harrington. The sound is gone               |
| 23 | again.   |
| 24 | (Pause in the proceedings.)  |



| 1  | MR. HARRINGTON: Judge Harrington, lead counsel for                             |
|----|--|
| 2  | Petitioner Rimfrost AS. I am here with my partner Michael Chakansky, first     |
| 3  | backup counsel.  |
| 4  | JUDGE GREEN: Okay, thank you.  |
| 5  | And Patent Owner?  |
| 6  | MR. CASIMIR: David Casimir, lead counsel for Aker Biomarine,                   |
| 7  | with John Mitchell Jones, my co-counsel.                                       |
| 8  | JUDGE GREEN: Okay, thank you. Welcome to the Board.                            |
| 9  | Consistent with our previous order, Patent Owner and Petitioner                |
| 10 | each have 60 minutes to present their arguments. Petitioner will proceed       |
| 11 | first to present its case in chief as to the challenged claims and may reserve |
| 12 | rebuttal time to respond to the arguments made by Patent Owner.                |
| 13 | Thereafter, Patent Owner will respond to Petitioner's case.                    |
| 14 | As Judge Harlow is attending remotely, the parties are reminded to             |
| 15 | identify which slide they are on or, if you are using the record, to identify  |
| 16 | where in the record you are, in order to allow Judge Harlow to follow along.   |
| 17 | The parties are also reminded that, as we stated in our order,                 |
| 18 | demonstratives are only an aid to oral hearing and not evidence of record.     |
| 19 | Counsel for Petitioner, you may proceed. How much time would                   |
| 20 | you like to reserve for rebuttal?  |
| 21 | MR. HARRINGTON: I'd like to reserve 20 minutes, if I could.                    |
| 22 | JUDGE GREEN: Okay. And I will just say that rebuttal is only to                |
| 23 | respond to what Patent Owner says. You can't bring in new argument at that     |
| 24 | time.  |
| 25 | MR. HARRINGTON: Understood. Thank you.   |



| 1  | JUDGE GREEN: So Whenever you're ready.   |
|----|--|
| 2  | MR. HARRINGTON: Okay, thank you. Good afternoon. We're                           |
| 3  | here to discuss four different petitions that we brought against two different   |
| 4  | patents. The first we refer to as the '905 patent; the second we refer to as the |
| 5  | '877 patent. Both patents relate to the production of lipids from krill to       |
| 6  | obtain a krill oil composition.  |
| 7  | And moving to slide 2, the first thing we wanted to note is that                 |
| 8  | we're dealing with natural lipid components of krill. This is a slide            |
| 9  | presentation or a slide from a presentation from Patent Owner's expert,          |
| 10 | Dr. Hoem, who is also their chief scientist, and this slide indicates that the   |
| 11 | lipids extracted from krill naturally contain 44 percent phospholipids, 34       |
| 12 | percent triglycerides, and if we look to the right there, shaded in blue, that   |
| 13 | indicates the different subcomponents of the phospholipids, two of which are     |
| 14 | phosphatidylcholine at 38 percent and phosphatidylethanolamine at 2.6            |
| 15 | percent.   |
| 16 | And what we will see later is the ether variety of what we call the              |
| 17 | PC and the PE will show up later. So later on we'll see reference to AAPC,       |
| 18 | that's the alkylacylphosphatidylcholine, and AAPE, that the                      |
| 19 | akylacylphosphatidylethanolamine, both prominent ether-phospholipids that        |
| 20 | are intrinsic to the extraction of the general phospholipid extraction.          |
| 21 | So moving on to slide 4 and the '905 patent, we wanted to note that              |
| 22 | the earliest effective filing date is January 2008, since that is the first      |
| 23 | disclosure of ether-phospholipid content, which is an element relied upon to     |
| 24 | obtain allowance of the claims, and we just wanted to note up front that both    |



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