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### UNITED STATES PATENT AND TRADEMARK OFFICE

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## BEFORE THE PATENT TRIAL AND APPEAL BOARD

TECHNICAL CONSUMER PRODUCTS, INC., NICOR INC., and AMAX LIGHTING, Petitioner,

v.

LIGHTING SCIENCE GROUP CORP., Patent Owner.

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Case IPR2017-01280 (Patent 8,967,844 B2) Case IPR2017-01285 (Patent 8,672,518 B2) Case IPR2017-01287 (Patent 8,201,968 B2)<sup>1</sup>

Before KEVIN F. TURNER, PATRICK M. BOUCHER, and JOHN A. HUDALLA, *Administrative Patent Judges*.

HUDALLA, Administrative Patent Judge.

SCHEDULING ORDER

<sup>&</sup>lt;sup>1</sup> This Order pertains to all of these cases. Therefore, we exercise our discretion to issue a single Order to be filed in each case. The parties are not authorized to use this style heading for any subsequent papers.



#### A. DUE DATES

This order sets due dates for the parties to take action after institution of the proceedings. The parties may stipulate to different dates for DUE DATES 1 through 5 (earlier or later, but no later than DUE DATE 6). A notice of the stipulation, specifically identifying the changed due dates, must be promptly filed. The parties may not stipulate to an extension of DUE DATES 6 and 7.

In stipulating to different times, the parties should consider the effect of the stipulation on times to object to evidence (37 C.F.R. § 42.64(b)(1)), to supplement evidence (37 C.F.R. § 42.64(b)(2)), to conduct cross-examination (37 C.F.R. § 42.53(d)(2)), and to draft papers depending on the evidence and cross-examination testimony (*see* section B, below).

The parties are reminded that the Testimony Guidelines appended to the *Office Patent Trial Practice Guide*, 77 Fed. Reg. 48,756, 48,772 (Aug. 14, 2012) (Appendix D), apply to these proceedings. The Board may impose an appropriate sanction for failure to adhere to the Testimony Guidelines. 37 C.F.R. § 42.12. For example, reasonable expenses and attorneys' fees incurred by any party may be levied on a person who impedes, delays, or frustrates the fair examination of a witness.

#### 1. INITIAL CONFERENCE CALL

No initial conference call is scheduled for these cases. The parties are encouraged to contact the Board to request a call if any issues arise during trial. *See Office Patent Trial Practice Guide*, 77 Fed. Reg. 48,756, 48,765–66 (Aug. 14, 2012) (setting forth guidance in preparing for the initial conference call). Patent Owner is reminded that it must confer with the



Board before filing a Motion to Amend. 37 C.F.R. § 42.121(a). Patent Owner should contact the Board to request such a conference, if necessary, at least two weeks before DUE DATE 1.

#### 2. DUE DATE 1

The patent owner may file—

- a. A response to the petition (37 C.F.R. § 42.120), and
- b. A motion to amend the patent (37 C.F.R. § 42.121).

The patent owner must file any such response or motion to amend by DUE DATE 1. If the patent owner elects not to file anything, the patent owner must arrange a conference call with the parties and the Board. The patent owner is cautioned that any arguments for patentability not raised in the response will be deemed waived.

#### 3. DUE DATE 2

The petitioner must file—

- a. Any reply to the patent owner's response, and
- b. Any opposition to the motion to amend.

The petitioner must file any such reply or opposition to the motion to amend by DUE DATE 2.

#### 4. DUE DATE 3

The patent owner must file any reply to the petitioner's opposition to patent owner's motion to amend by DUE DATE 3.



#### 5. DUE DATE 4

- a. Each party must file any motion for an observation on the cross-examination testimony of a reply witness (*see* section C, below) by DUE DATE 4.
- b. Each party must file any motion to exclude evidence (37 C.F.R § 42.64(c)) and any request for oral argument (37 C.F.R. § 42.70(a)) by DUE DATE 4.

#### 6. DUE DATE 5

- a. Each party must file any response to an observation on cross-examination testimony by DUE DATE 5.
- b. Each party must file any opposition to a motion to exclude evidence by DUE DATE 5.

#### 7. DUE DATE 6

Each party must file any reply for a motion to exclude evidence by DUE DATE 6.

#### 8. DUE DATE 7

The oral argument (if requested by either party) is set for DUE DATE 7.

#### B. CROSS-EXAMINATION

Except as the parties might otherwise agree, for each due date—

1. Cross-examination begins after any supplemental evidence is due. 37 C.F.R. § 42.53(d)(2).



2. Cross-examination ends no later than a week before the filing date for any paper in which the cross-examination testimony is expected to be used. *Id*.

#### C. MOTION FOR OBSERVATION ON CROSS-EXAMINATION

A motion for observation on cross-examination provides the parties with a mechanism to draw the Board's attention to relevant cross-examination testimony of a reply witness because no further substantive paper is permitted after the reply. *See* Office Patent Trial Practice Guide, 77 Fed. Reg. 48,756, 48,768 (Aug. 14, 2012). The observation must be a concise statement of the relevance of precisely identified testimony to a precisely identified argument or portion of an exhibit. Each observation should not exceed a single, short paragraph. The opposing party may respond to the observation. Any response must be equally concise and specific.



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