UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SONY CORPORATION Petitioner

v.

ARRIS SOLUTIONS, INC. and ALCATEL LUCENT Patent Owners

> Case IPR2017-01692 Patent 6,138,147

JOINT REQUEST TO FILE SETTLEMENT AGREEMENT AS BUSINESS CONFIDENTIAL INFORMATION PURSUANT TO 35 U.S.C. § 317 AND 37 C.F.R. § 42.74

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Patent Trial and Appeal Board U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

DOCKET

I. STATEMENT OF PRECISE RELIEF REQUESTED

Pursuant to 35 U.S.C. § 317 and 37 C.F.R. § 42.74, and the Board's authorization provided on November 28, 2017, Petitioner Sony Corporation ("Sony") and Patent Owner ARRIS Solutions, Inc., and Real Party-in-Interest ARRIS International Plc (together "ARRIS") jointly request to file the settlement agreement between the parties, as referenced in the Joint Motion to Terminate Proceeding and submitted herewith as Exhibit 1021, as business confidential information, which shall be kept separate from the file of the involved patent, and that the agreement between the made available only to Federal Government agencies on written request, or to other persons only on a showing of good cause.

Respectfully submitted,

/Lionel M. Lavenue/ Lionel M. Lavenue (Reg. No. 46,859) Counsel for Petitioner

Date: December 5, 2017

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, LLP 901 New York Avenue, N.W. Washington, D.C. 20001-4413 Respectfully submitted,

/Robert E. Sokohl/ Robert E. Sokohl (Reg. No. 36,013) Counsel for Patent Owner

Date: December 5, 2017

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CERTIFICATION OF SERVICE

The undersigned hereby certifies that the above-captioned JOINT REQUEST TO FILE SETTLEMENT AGREEMENT AS BUSINESS CONFIDENTIAL INFORMATION PURSUANT TO 35 U.S.C. § 317 AND 37 C.F.R. § 42.74 and all associated exhibits were served electronically in their

entireties on December 5, 2017, upon the following parties by email:

Christopher O. Green (Lead Counsel) Robert E. Sokohl (Back-up Counsel) Chandrika Vira (Back-up Counsel) Michelle K. Holoubek (Back-up Counsel) Jeremy Monaldo (Back-up Counsel) Erin Alper (Back-up Counsel) IPR39328-0008IP1@fr.com PTAB@skgf.com Rsokohl-PTAB@skgf.com Cvira-PTAB@skgf.com Holoubek@skgf.com

Dated: December 5, 2017

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RM

By: /Ashley F. Cheung/

Ashley F. Cheung Case Manager FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, LLP