UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

HAMAMATSU PHOTONICS K.K., Petitioner,

v.

SEMICAPS PTE LTD., Patent Owner.

Cases IPR2017-02110 and IPR2017-02112 Patent 7,623,982 B2

Record of Oral Hearing Held: December 3, 2018

Before KEN B. BARRETT, CHARLES J. BOUDREAU, and MONICA S. ULLAGADDI, *Administrative Patent Judges*.



APPEARANCES:

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The above-entitled matter came on for hearing on Monday, December 3, 2018, commencing at 1:09 p.m., at the U.S. Patent and Trademark Office, 600 Dulany Street, Alexandria, Virginia.



| 1 | PROCEEDINGS |
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| 2 | |
| 3 | JUDGE BARRETT: You may be seated. Good afternoon, everyone. |
| 4 | We are on the record today in Final Hearings in IPR 2017-02110 and 2112, |
| 5 | Hamamatsu v. Semicaps. |
| 6 | I'm Judge Barrett, and next to me on the bench is Judge Ullagaddi, |
| 7 | and appearing by video is Judge Boudreau. |
| 8 | Let's get the parties' appearances. Who do we have for Petitioner? |
| 9 | MR. SMITH: Yes. My name is John Smith. I'm Lead Counsel. And |
| 10 | I'm accompanied by my colleague, Brianna Silverstein. Do you want me to |
| 11 | say if anyone is in the audience, or just leave it at that? |
| 12 | JUDGE BARRETT: You can introduce the people in the audience. |
| 13 | MR. SMITH: Sure. We have Mr. Hitoshi Hisanaga of Hamamatsu |
| 14 | Photonics who is the Petitioner. |
| 15 | JUDGE BARRETT: Okay. |
| 16 | MR. RIBERA: Good afternoon. My name is Hector Ribera, from |
| 17 | Marton Ribera Schumann & Chang. And I'm here for Semicaps. And with |
| 18 | me I have Mr. David Tan and Julian Pan of Semicaps. |
| 19 | JUDGE BARRETT: Welcome, everyone. We set forth the procedure |
| 20 | for today's hearing in our order. But just to recap. Each party will have 90 |
| 21 | minutes total to present for both cases. We will have one continuous |
| 22 | transcript for both cases. My working assumption is, the parties will be |
| 23 | addressing both the cases together, but if you are addressing anything |
| 24 | specific to one of the two cases please state that, that will make for a cleaner |
| 25 | transcript. |



| 1 | Also for clarity in the transcript, and primarily to assist Judge |
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| 2 | Boudreau, any time you're referring to an exhibit or a demonstrative on the |
| 3 | screen, please identify the slide number and that will allow him to follow |
| 4 | along much more easily. |
| 5 | Petitioner bears the burden, will go first, and you may reserve time for |
| 6 | rebuttal. Patent Owner will go second. And then Petitioner, any rebuttal |
| 7 | time you have remaining. I'm not using the clock, the timer clock, but I will |
| 8 | watch the time, and I will give you warnings. |
| 9 | Just as a reminder, I'm sure you know this. We will only be looking at |
| 10 | the evidence and arguments that are already in the record. So, please, no |
| 11 | new arguments, no new evidence. In reaching the final decision we won't be |
| 12 | considering anything new that comes up today. Any questions, concerns, |
| 13 | Petitioner? |
| 14 | MR. SMITH: I have a question. In the first period, am I able to |
| 15 | address the motion to amend, or do I have to wait until the second period? |
| 16 | JUDGE BARRETT: You can use your time however you please. |
| 17 | MR. SMITH: All right. Thank you. |
| 18 | MR. RIBERA: No questions from the Patent Owner, Your Honor. |
| 19 | JUDGE BARRETT: Okay. Thank you. With that, Petitioner, you |
| 20 | may begin. |
| 21 | MR. SMITH: Thank you, Judge Barrett, Judge Ullagaddi, Judge |
| 22 | Boudreau. Today, as mentioned, we are talking about and I'm at slide 1 |
| 23 | right now, the cover slide we are talking about two IPRs, and concerning |
| 24 | the same patent, which is U.S. Patent 7,623,982. The IPRs challenge |
| 25 | different claims of the same patent |



| 1 | In an attempt to provide convenience, unless indicated otherwise, all |
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| 2 | references to the IPR papers will be to the 02110 proceeding. And unless |
| 3 | indicated otherwise, all references to any non-English document will be to |
| 4 | the English translation. And for ease of reference, when I use the term |
| 5 | "slide" I'll be referring to our demonstratives. I hope that's okay. If not, |
| 6 | please let me know. |
| 7 | JUDGE BARRETT: That's fine. And would you like to reserve some |
| 8 | time? |
| 9 | MR. SMITH: Oh, yes, I would. I'd like to reserve 45 minutes for |
| 10 | rebuttal, please. |
| 11 | JUDGE BARRETT: Half your time? |
| 12 | MR. SMITH: If I finish early, I'm able to reserve more than that? |
| 13 | JUDGE BARRETT: Let's go no more than half, but I understand, you |
| 14 | have a there's a Motion to Amend in place, so. |
| 15 | MR. SMITH: Okay. |
| 16 | JUDGE BARRETT: Okay. |
| 17 | MR. SMITH: All right. Thank you very much. Slide 2, please? So, |
| 18 | the first IPR, 02110, challenges independent claims 1 and 21, and dependent |
| 19 | claims 4 through 7, and 21 through 25. |
| 20 | Slide 3, please? IPR 02112 challenges dependent claims 2, 3 and 8 |
| 21 | through 20. So, the first IPR handles all of the independent claims, and the |
| 22 | second IPR is only dependent claims. |
| 23 | Please note that none of the claims in the second IPR requires a pulsed |
| 24 | laser beam, which is a feature that I'm sure will be discussed today. And as |
| 25 | mentioned, there are two independent claims, 1 and 21. |



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