#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### BEFORE THE PATENT TRIAL AND APPEAL BOARD

DTS, INC. AND PHORUS, INC. Petitioners,

v.

AVAGO TECHNOLOGIES GENERAL IP (SINGAPORE) PTE. LTD. Patent Owner

Case No. IPR2017-02201 Patent No. 6,684,060

JOINT MOTION TO TERMINATE PURSUANT TO 35 U.S.C. § 317 AND 37 C.F.R. §§ 42.72 AND 42.74



## LIST OF EXHIBITS

Exhibit	Description
Ex. 1001	U.S. Patent No. 6,684,060 (the "'060 patent")
Ex. 1002	File History for U.S. Patent No. 6,684,060
Ex. 1003	File History for European Patent Application No. 01303365.9-2225
Ex. 1004	U.S. Patent Application Publication No. 2004/0223622
	("Lindemann")
Ex. 1005	U.S. Patent No. 5,832,024 ("Schotz")
Ex. 1006	U.S. Patent No. 6,922,730 ("Yaple")
Ex. 1007	File History For U.S. Patent Application No. 09/707,616
Ex. 1008	U.S. Provisional Application No. 60/110,705
Ex. 1009	U.S. Patent No. 5,946,343 ("Schotz 343")
Ex. 1010	Declaration of Stuart Lipoff
Ex. 1011	Curriculum vitae of Stuart Lipoff
Ex. 2001	Agreement 1
Ex. 2002	Agreement 2
Ex. 2003	Agreement 3
Ex. 2004	Agreement 4
Ex. 2005	Agreement 5
Ex. 2006	Agreement 6



Pursuant to 35 U.S.C. § 317 and 37 C.F.R. §§ 42.72 and 42.74 and the Board's authorization of December 19, 2017, Petitioners DTS, Inc. and Phorus, Inc. (collectively, "DTS") and Patent Owner Avago Technologies General IP (Singapore) Pte. Ltd. ("Avago") jointly move to terminate the present interpartes review proceeding in light of the parties' settlement of their dispute insofar as it relates to U.S. Patent No. 6,684,060 ("the '060 patent"). The parties are filing, concurrently herewith, true copies of their written Settlement Agreement (Confidential Exhibit 2001), License Agreement (Confidential Exhibit 2002), and collateral agreements (Confidential Exhibits 2003-2006) (collectively, the "Agreements") in connection with this matter as required by the statute. The Agreements completely resolve all controversies between the Patent Owner and Petitioner, including their dispute relating to the '060 patent by resolving each of the following actions:

- a) Certain Semiconductor Devices, Semiconductor Device Packages, and Products Containing Same, Inv. No. 337–TA–1010 (U.S. Int'l Trade Comm'n);
- b) Certain Wireless Audio Systems and Components Thereof, Inv. No. 337-TA-1071 (U.S. Int'l Trade Comm'n);
- c) Tessera, Inc., et al. v. Broadcom Corp., Civil Action No. 16-cv-00379 (D. Del.);
- d) Tessera, Inc., et al. v. Broadcom Corp., Civil Action No. 16-cv-00380 (D. Del.)
- e) Invensas Corp. v. Avago Technologies U.S. Inc., et al., Civil Action



- No. 16-cv-1033 (D. Del.);
- f) Tessera Inc., et al. v. Avago Technologies U.S. Inc., et al., Civil Action No. 16-cv-1034 (D. Del);
- g) Broadcom Ltd., et al. v. DTS, Inc., et al., Case No. 2:17-cv-05935-AB-JEM (C.D. Cal.);
- h) Invensas Corp. v. Mouser Electronics Inc., et al., Case No. 7 O 97/16 (District Court Mannheim, Germany) / 6 U 46/17 (Appellate Court Karlsruhe, Germany), including all corresponding enforcement proceedings;
- i) Invensas Corp. v. Broadcom Ltd., et al., Case No. 7 O 98/16 (District Court Mannheim, Germany) / 6 U 34/17 (Appellate Court Karlsruhe, Germany), including all corresponding enforcement proceedings;
- j) Avago Technologies GmbH v. Invensas Corp., Case No. 2 Ni 43/16 (EP) (Federal Patent Court, Germany);
- k) Invensas Corp. v. Broadcom Ltd., et al., Case No. C/09/517267 (District Court of The Hague, Netherlands); and
- 1) IPR2017-00170, -00171, -00736, -01470, -01486, -01645, -01646, -01649, -02201; and
- m) IPR2018-00021, -00135, -00172.

The parties further jointly certify that there are no other agreements or understandings, oral or written, between DTS and Avago, including any collateral agreements, made in connection with, or in contemplation of, the termination of the present proceeding as set forth in 35 U.S.C. § 317(b).



The parties request that the Agreements (Confidential Exhibits 2001-2006) be treated as business confidential information and kept separate from the file of the '060 patent. This confidentiality request extends to the title of the Agreements, which are therefore identified as "Agreement 1," "Agreement 2," "Agreement 3," "Agreement 4," "Agreement 5," and "Agreement 6" on Petitioners' Updated Exhibit List, filed herewith. A joint request to treat the Agreements as business confidential information kept separate from the file of the involved patent pursuant to 35 U.S.C. § 317(b) is being filed concurrently herewith.

## Termination with Respect to Inter Partes Review Proceeding

A joint motion to terminate generally "must (1) include a brief explanation as to why termination is appropriate; (2) identify all parties in any related litigation involving the patents at issue; (3) identify any related proceedings currently before the Office, and (4) discuss specifically the current status of each such related litigation or proceeding with respect to each party to the litigation or proceeding." *Heartland Tanning, Inc. v. Sunless, Inc.*, IPR2014-00018, Paper No. 26, at \*2 (P.T.A.B. July 28, 2014). Each element is addressed below:

As for requirement (1), termination is appropriate in this proceeding because the parties have settled their dispute with respect to the '060 patent, and have agreed to terminate this *inter partes* review. The applicable statute, 35 U.S.C. § 317(a), provides that an *inter partes* review proceeding "shall be terminated with



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