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CATALENT PHARMA SOLUTIONS, INC., Petitioner

V.

PATHEON SOFTGELS INC., Patent Owner

Case IPR2018-00421 U.S. Pat. No. 9,693,978

PATHEON SOFTGELS INC.'S EXHIBIT LIST

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IPR2018-00421 Patent 9,693,978 B2

Patheon	Description
Exhibit #	
2001	Declaration of Mansoor Khan, R.Ph., Ph.D.
2002	Curriculum Vitae for Mansoor Khan, R.Ph., Ph.D.
2003	Serajuddin, A., "Solid Dispersion of Poorly Water-Soluble Drugs: Early Promises, Subsequent Problems, and Recent Breakthroughs," <i>J. Pharm. Sci.</i> , 88:1058–1066 (1999)
2004	Patravale, V.B. <i>et al.</i> , "Nanosuspensions: a promising drug delivery strategy," <i>J. Pharm. & Pharmacol.</i> , 56:827–840 (2004)
2005	WO 95/31979 to Shelley <i>et al.</i> , "Solutions of Aryl or Heteroaryl Susbtituted Alkanoic Acids in Lipophilic Solvents and Soft Gelatin Capsules Containing Such Solutions" (Nov. 30, 1995)
2006	Ranucci <i>et al.</i> , "Pharmacokinetic results on naproxen prodrugs based on poly(ethyleneglycol)s," <i>J. Biomater. Sci. Polymer Edn.</i> , 6:141–147 (1994)
2007	WO 95/31194 to Shapiro, H., "Compositions for Treatment of Chronic Inflammatory Diseases" (Nov. 23, 1995)
2008	Shah <i>et al.</i> , " <i>In Vitro</i> Dissolution Profile of Water-Insoluble Drug Dosage Forms in the Presence of Surfactants," <i>Pharm Res.</i> 6:612-618 (1989)
2009	Crowley and Martini, "Physicochemical Approaches to Enhancing Oral Absorption," <i>Pharm. Tech. Eur.</i> , 16:18-27 (2004)
2010	Serajuddin <i>et al.</i> , "Water Migration from Soft Gelatin Capsule Shell to Fill Material and Its Effect on Drug Solubility," <i>J. Pharm. Sci.</i> , 75:62-64 (1986)
2011	Merisko-Liversidge <i>et al.</i> , "Nanosizing: a formulation approach for poorly-water-soluble compounds," <i>Eur. J. Pharm. Sci.</i> , 18:113-120 (2003)
2012	Naprosyn [®] , Physician's Desk Reference, 48 th ed., 2363–2365 (1994)
2013	Anaprox [®] , Physician's Desk Reference, 48 th ed., 2350–2352 (1994)
2014	Aleve [®] , Physician's Desk Reference, 49 th ed., 1910 (1995)
2015	Naprelan®, Physician's Desk Reference, 53 rd ed., 3335–3337 (1999)



IPR2018-00421 Patent 9,693,978 B2

Patheon Exhibit #	Description
2016	FDA Approved Products: Naprosyn®, available at https://www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=ove rview.process&ApplNo=017581, last accessed May 19, 2018
2017	FDA Approved Products: Anaprox®, available at https://www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=ove rview.process&ApplNo=018164, last accessed May 19, 2018
2018	FDA Approved Products: Naprelan®, available at https://www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=ove rview.process&ApplNo=020353, last accessed May 19, 2018
2019	FDA Approved Products: Aleve®, available at https://www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=ove rview.process&ApplNo=020204, last accessed May 19, 2018
2020	FDA Approved Products: Naproxen Sodium Soft Gelatin Capsules, available at https://www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=overview.process&ApplNo=021920, last accessed May 19, 2018
2021	Tabibi and Gupta, "Soft Gelatin Capsules Development," in Water Insoluble Drug Formulation, Chapter 17, pp. 609–633, Interpharm Press (2000)
2022	Seager and Slabaugh, "Acids, Bases, and Salts," in Introductory Chemistry, Chapter 9, Scott, Foresman and Co. (1979)
2023	Gennadios, "Soft Gelatin Capsules," in <i>Protein-Based Films and Coatings</i> , Chapter 16, pp. 393 – 443, CRC Press LTD (2002)
2024	Recorded Assignments for U.S. Patent No. 9,693,978 and 9,693,979, Reel 043042, Frame 0619 to 0652 (recorded June 29, 2017)
2025	International Conference on Harmonisation, "Topic Q3B: ICH Harmonised Tripartite Guideline for Impurities in New Medicinal Products," (November 6, 1996)
2026	The Merck Index, Merck & Co., Inc., 12 th Ed. (1996), pp. xii-xvii, 392-393, 641-642, 763-764, 911, 1101, 1305, 1320, and 1349



IPR2018-00421 Patent 9,693,978 B2

Patheon Exhibit #	Description
2027	Chang, R., "Chemistry," 6 th ed., Ch. 15, pp. 597–641, McGraw-Hill (1998)

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Date: May 22, 2018

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/Eldora L. Ellison/ Eldora L. Ellison, Ph.D. Lead Counsel for Patent Owner Registration No. 39,967



CERTIFICATE OF SERVICE (37 C.F.R. § 42.6(e))

The undersigned hereby certifies that the above-captioned Patheon Softgels

Inc.'s Exhibit List, along with Exhibits 2001-2027, were served in their entirety on

May 22, 2018, upon the following parties via email:

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