# UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD

CATALENT PHARMA SOLUTIONS, INC., Petitioner

v.

PATHEON SOFTGELS INC., Patent Owner

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Case IPR2018-00422 U.S. Pat. No. 9,693,979

PATHEON SOFTGELS INC.'S EXHIBIT LIST

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Patent Trial and Appeal Board U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450



# IPR2018-00422 Patent 9,693,979 B2

Patheon	Description
Exhibit #	
2001	Declaration of Mansoor Khan, R.Ph., Ph.D.
2002	Curriculum Vitae for Mansoor Khan, R.Ph., Ph.D.
2003	Serajuddin, A., "Solid Dispersion of Poorly Water-Soluble Drugs: Early Promises, Subsequent Problems, and Recent Breakthroughs," <i>J. Pharm. Sci.</i> , 88:1058–1066 (1999)
2004	Patravale, V.B. <i>et al.</i> , "Nanosuspensions: a promising drug delivery strategy," <i>J. Pharm. &amp; Pharmacol.</i> , 56:827–840 (2004)
2005	WO 95/31979 to Shelley <i>et al.</i> , "Solutions of Aryl or Heteroaryl Susbtituted Alkanoic Acids in Lipophilic Solvents and Soft Gelatin Capsules Containing Such Solutions" (Nov. 30, 1995)
2006	Ranucci <i>et al.</i> , "Pharmacokinetic results on naproxen prodrugs based on poly(ethyleneglycol)s," <i>J. Biomater. Sci. Polymer Edn.</i> , 6:141–147 (1994)
2007	WO 95/31194 to Shapiro, H., "Compositions for Treatment of Chronic Inflammatory Diseases" (Nov. 23, 1995)
2008	Shah <i>et al.</i> , " <i>In Vitro</i> Dissolution Profile of Water-Insoluble Drug Dosage Forms in the Presence of Surfactants," <i>Pharm Res.</i> 6:612-618 (1989)
2009	Crowley and Martini, "Physicochemical Approaches to Enhancing Oral Absorption," <i>Pharm. Tech. Eur.</i> , 16:18-27 (2004)
2010	Serajuddin <i>et al.</i> , "Water Migration from Soft Gelatin Capsule Shell to Fill Material and Its Effect on Drug Solubility," <i>J. Pharm. Sci.</i> , 75:62-64 (1986)
2011	Merisko-Liversidge <i>et al.</i> , "Nanosizing: a formulation approach for poorly-water-soluble compounds," <i>Eur. J. Pharm. Sci.</i> , 18:113-120 (2003)
2012	Naprosyn <sup>®</sup> , Physician's Desk Reference, 48 <sup>th</sup> ed., 2363–2365 (1994)
2013	Anaprox <sup>®</sup> , Physician's Desk Reference, 48 <sup>th</sup> ed., 2350–2352 (1994)
2014	Aleve <sup>®</sup> , Physician's Desk Reference, 49 <sup>th</sup> ed., 1910 (1995)
2015	Naprelan <sup>®</sup> , Physician's Desk Reference, 53 <sup>rd</sup> ed., 3335–3337 (1999)



# IPR2018-00422 Patent 9,693,979 B2

Patheon Exhibit #	Description
2016	FDA Approved Products: Naprosyn®, available at
	https://www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=overview.process&ApplNo=017581, last accessed May 19, 2018
2017	FDA Approved Products: Anaprox <sup>®</sup> , available at
	https://www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=ove
	rview.process&ApplNo=018164, last accessed May 19, 2018
2018	FDA Approved Products: Naprelan®, available at
	https://www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=ove
2010	rview.process&ApplNo=020353, last accessed May 19, 2018
2019	FDA Approved Products: Aleve <sup>®</sup> , available at https://www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=ove
	rview.process&ApplNo=020204, last accessed May 19, 2018
2020	FDA Approved Products: Naproxen Sodium Soft Gelatin Capsules,
2020	available at
	https://www.accessdata.fda.gov/scripts/cder/daf/index.cfm?event=ove
	rview.process&ApplNo=021920, last accessed May 19, 2018
2021	Tabibi and Gupta, "Soft Gelatin Capsules Development," in Water
	Insoluble Drug Formulation, Chapter 17, pp. 609–633, Interpharm
	Press (2000)
2022	Seager and Slabaugh, "Acids, Bases, and Salts," in Introductory
	Chemistry, Chapter 9, Scott, Forsman and Co. (1979)
2023	Gennadios, "Soft Gelatin Capsules," in Protein-Based Films and
	Coatings, Chapter 16, pp. 393 – 443, CRC Press LTD (2002)
2024	Recorded Assignments for U.S. Patent Nos. 9,693,978 and 9,693,979, Reel 043042, Frame 0619 to 0652 (recorded June 29, 2017)
2025	International Conference on Harmonisation, "Topic Q3B: ICH
2025	Harmonised Tripartite Guideline for Impurities in New Medicinal
	Products," (November 6, 1996)
2026	The Merck Index, Merck & Co., Inc., 12 <sup>th</sup> Ed. (1996), pp. xii-xvii,
<b>4040</b>	392-393, 641-642, 763-764, 911, 1101, 1305, 1320, and 1349



### IPR2018-00422 Patent 9,693,979 B2

Patheon Exhibit #	Description
2027	Chang, R., "Chemistry," 6 <sup>th</sup> ed., Ch. 15, pp. 597–641, McGraw-Hill (1998)

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Date: May 22, 2018

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### CERTIFICATE OF SERVICE (37 C.F.R. § 42.6(e))

The undersigned hereby certifies that the above-captioned Patheon Softgels

Inc.'s Exhibit List, along with Exhibits 2001-2027, were served in their entirety on

May 22, 2018, upon the following parties via email:

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