UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD ResMed Limited, ResMed Inc., ResMed Corp., Petitioners v. Fisher & Paykel Healthcare Limited, Patent Owner Case IPR2019-000179 U.S. Patent 9,333,315

DECLARATION OF ANTHONY MICHAEL GING

TABLE OF CONTENTS

	INTRODUCTION AND BACKGROUND	4
	QUALIFICATIONS	5
	MATERIALS CONSIDERED	9
	PERSON OF ORDINARY SKILL IN THE ART	11
	INTERPRETATION OF THE PATENT CLAIMS AT ISSUE	12
A.	BACKGROUND OF THE THREE RELATED PATENTS'914 Patent Overview (RMD1001)	
B.	Prosecution History of '914 Patent (RMD1002)	16
C.	'315 Patent Overview (RMD1003)	18
D.	Prosecution History of '315 Patent (RMD1004)	19
E.	'405 Patent Overview (RMD1005)	19
F.	Prosecution History of '405 Patent (RMD1006)	20
A.	SUMMARY OF CITED REFERENCES	
B.	Gunaratnam (RMD1011)	30
C.	Madaus (RMD1018)	33
D.	Ho (RMD1013)	34
	ANALYSIS OF GUNARATNAM (claims ADDRESSED IN SEQUENCE: 14, 16-19, 1, 4-5)	
	ANALYSIS GUNARATNAM IN VIEW OF HO ('315 – claimS 2, 15)	67
	ANALYSIS THOMLINSON IN VIEW OF GUNARATNAM ('315 - claims 7, 9-14, 16-18)	
	ANALYSIS THOMLINSON IN VIEW OF GUNARATNAM AND MADAI ('315 – claims 1, 3-5, 8, 19)	
	ANALYSIS Thomlinson in view of GUNARATNAM and HO ('315 – claim 15), and THOMLINSON IN VIEW OF GUNARATNAM, MADAUS AND HO ('315 – CLAIM 2)	5
	SUPPORT FOR GUNARATNAM IN EARLIER FILED PROVISIONAL	



	APPLICATION	105
A.	Support for Gunaratnam RMD1011 within U.S. Provisional Application Ser	rial
No.	60/529,696 ("Gunaratnam Provisional Application"; RMD1017)	105
	SUPPORT FOR HO IN EARLIER FILED PROVISIONAL APPLICATION	
B.	Support for Ho RMD1013 within U.S. Provisional Application Serial No.	107
60/4	96,059 ("Ho Provisional Application"; RMD1021)	109
	LEGAL STANDARDS	
A.	Claim Interpretation	
B.	Anticipation	114
C.	Obviousness	114
	ADDITIONAL REMARKS	118



I, Anthony Michael Ging, of Christchurch, New Zealand, declare that:

INTRODUCTION AND BACKGROUND

- 1. I have reviewed the specification, including the claims, of U.S. Patent No. 9,974,914 ("the '914 patent," Ex. RMD1001); U.S. Patent No. 9,333,315 ("the '315 patent," Ex. RMD1003); and U.S. Patent No. 9,539,405 ("the '405 patent, Ex. RMD1005).
- 2. In this declaration I provide my independent analysis of the '315 patent in light of the materials cited below and my knowledge and experience in this field during the relevant time frame. I have been asked to consider what one of ordinary skill in the art at the time of the invention of the '315 patent (a "POSITA"; refer to ¶20-21) would have understood from the specification, including scientific and technical knowledge related to the patents. I have also been asked to consider whether certain references disclose or render obvious the inventions described by claims 1-19 of the '315 patent.
- 3. My findings, as explained below, are based on my study, experience, and background in the fields discussed below, informed by my education in Product Design, and my extensive experience in the fields of medical devices and respiratory therapy. I have also relied on my review and analysis of the prior art, information provided to me, and information I have independently reviewed.



4. I am being compensated for my independent analysis as an expert with respect to this *inter partes* review proceeding, but my compensation is not contingent in any way on the content of my analysis or the outcome of this proceeding. I have no financial interest in Petitioner (Resmed) and I have no financial interest in the challenged patent.

QUALIFICATIONS

- 5. From 1991 to 1994, I studied Industrial Design at the University of New South Wales. I received my Bachelor of Industrial Design degree from the University of New South Wales in 1994, with minors in Commerce and Mechanical Engineering.
- 6. From approximately June 1995 to December 1998, I worked for ASP Plastic in a product design and development role, which involved taking an initial concept of a safety storage/disposal container for hypodermic-needles to reduce needle related injuries, and turning that into a commercial product. I was responsible for all aspects of the process to get the product coming off the production line. The product was intended to be fixed in different locations and to be used by intravenous drug users and, to a smaller degree, diabetics. My job required an understanding of the end product's usability to enable effective product design. For instance, it was necessary to consider how user factors such as a medical condition or addiction could affect how someone used the product. For



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

