UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

NALOX-1 PHARMACEUTICALS, LLC, Petitioner,

v.

ADAPT PHARMA OPERATIONS LIMITED, and OPIANT PHARMACEUTICALS, INC., Patent Owners.

> Case No. IPR2019-00688 U.S. Patent No. 9,468,747

JOINT AMENDED MANDATORY NOTICES OF PATENT OWNERS ADAPT PHARMA OPERATIONS LIMITED AND OPIANT PHARMACEUTICALS, INC.

DOCKET ALARM Find authenticated court documents without watermarks at <u>docketalarm.com</u>. Pursuant to 37 C.F.R. § 42.8(a)(3), Patent Owners Adapt Pharma Operations Limited ("Adapt") and Opiant Pharmaceuticals, Inc. ("Opiant") jointly submit the following amended mandatory notices:

I. Real Parties in Interest, 37 C.F.R. § 42.8(b)(1)

Adapt and Opiant are the assignees of U.S. Patent Nos. 9,211,253;

9,468,747; and 9,629,965.

Adapt is a wholly owned subsidiary of Adapt Pharma Limited, which is a wholly owned subsidiary of Emergent Acquisition Limited. Emergent Acquisition Limited is a wholly owned subsidiary of Emergent International Inc., which is a wholly owned subsidiary of Emergent BioSolutions Inc. Emergent BioSolutions Inc. is a publicly held company, and no publicly held company owns 10% or more of Emergent BioSolutions Inc.'s stock.

Opiant is a publicly held company, and no publicly held company owns 10% or more of Opiant's stock.

II. Related Matters, 37 C.F.R. § 42.8(b)(2)

Petitioner Nalox-1 Pharmaceuticals, LLC ("Nalox-1") has filed the following related petitions for *inter partes* review:

| U.S. Patent No. | Case No. |
|-----------------|---------------|
| 9,211,253 | IPR2019-00685 |
| 9,211,253 | IPR2019-00686 |
| 9,211,253 | IPR2019-00687 |
| 9,468,747 | IPR2019-00688 |

| U.S. Patent No. | Case No. |
|-----------------|---------------|
| 9,468,747 | IPR2019-00689 |
| 9,468,747 | IPR2019-00690 |
| 9,561,177 | IPR2019-00691 |
| 9,561,177 | IPR2019-00692 |
| 9,561,177 | IPR2019-00693 |
| 9,629,965 | IPR2019-00694 |
| 9,629,965 | IPR2019-00695 |
| 9,629,965 | IPR2019-00696 |
| 9,775,838 | IPR2019-00697 |
| 9,775,838 | IPR2019-00698 |
| 9,775,838 | IPR2019-00699 |

The Board has denied institution of Nalox-1's petitions in Case Nos. IPR2019-00686, IPR2019-00687, IPR2019-00689, IPR2019-00690, IPR2019-00691, IPR2019-00692, IPR2019-00693, IPR2019-00695, IPR2019-00696, IPR2019-00697, IPR2019-00698, and IPR2019-00699.

U.S. Patent No. 9,211,253; U.S. Patent No. 9,468,747; U.S. Patent No. 9,561,177; U.S. Patent No. 9,629,965; and U.S. Patent No. 9,775,838 have been asserted in *Adapt Pharma Operations Ltd., et al. v. Teva Pharmaceuticals USA, Inc., et al.*, Case 2:16-cv-07721-JLL-JAD (D.N.J.) (consolidated), filed October 21, 2016; and *Adapt Pharma Operations Ltd., et al. v. Perrigo UK FINCO Limited Partnership*, Case 2:18-cv-15287-JLL-JAD (D.N.J.), filed October 25, 2018.

Administrative matters that would be or could be affected by this proceeding include pending U.S. Patent Application Nos. 15/415,221; 15/494,270; and 16/131,641.

III. Lead & Backup Counsel, 37 C.F.R. § 42.8(b)(3)

Adapt designates the following attorney as lead counsel:

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Powers of attorney appointing these attorneys to transact all business in this

proceeding on behalf of Adapt or Opiant, respectively, have been submitted or will

be submitted with this amended mandatory notice information.

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