UNITED STATES INTERNATIONAL TRADE COMMISSION

Washington, D.C.

In the Matter of

CERTAIN RELOAD CARTRIDGES FOR LAPAROSCOPIC SURGICAL STAPLERS

Inv. No. 337-TA-1167

ORDER 15: CONSTRUING THE TERMS OF THE ASSERTED CLAIMS OF THE PATENTS AT ISSUE

(January 7, 2020)



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I. INTRODUCTION

This Investigation was instituted on July 5, 2019 to determine whether the importation into the United States, the sale for importation, and the sale within the United States after importation of certain reload cartridges for laparoscopic surgical staplers violates section 337 of the Tariff Act of 1930, as amended, due to the infringement of U.S. Patent Nos. 7,490,749 ("the '749 patent"); 8,479,969 ("the '969 patent"); 9,113,874 ("the '874 patent")¹; 9,844,369 ("the '369 patent"); and 9,844,379 ("the '379 patent") (collectively, the "Asserted Patents"). *See* 84 Fed. Reg. 32,220-221.

A *Markman* hearing was held on October 29, 2019. After the hearing and pursuant to Order No. 8, the parties submitted an updated Joint Claim Construction Chart.²

II. IN GENERAL

The claim terms construed in this Order are done so for the purposes of this section 337 Investigation. Those terms not in dispute need not be construed. *See Vanderlande Indus. Nederland BV v. Int'l Trade Comm'n*, 366 F.3d 1311, 1323 (Fed. Cir. 2004) (noting that the administrative law judge need only construe disputed claim terms).

III. RELEVANT LAW

"An infringement analysis entails two steps. The first step is determining the meaning and scope of the patent claims asserted to be infringed. The second step is comparing the properly

² For convenience, the briefs and chart submitted by the parties are referred to hereafter as:

CMIB	Ethicon's Initial Markman Brief
CMRB	Ethicon's Reply Markman Brief
RMIB	Intuitive's Initial Markman Brief
RMRB	Intuitive's Reply Markman Brief
JC	Updated Joint Proposed Claim Construction Chart



¹ Ethicon and Intuitive have identified terms from claim 9 of the '874 patent as requiring construction. See JC at 2, 4. However, the Notice of Investigation and the Amended Complaint both list claim 19 as the sole asserted claim for the '874 patent. See 84 Fed. Reg. 32,221-222 (July 5, 2019); Am. Compl. at ¶ 2. As the parties are well aware, the Notice of Investigation defines the scope of the investigation. See 19 C.F.R. § 210.10(b). To date, Ethicon has not moved to amend its Complaint or the Notice of Investigation to bring claim 9 within the scope of this Investigation. For this reason, the undersigned declines to consider any arguments related to claim 9.

construed claims to the device accused of infringing." *Markman v. Westview Instruments, Inc.*, 52 F.3d 967, 976 (Fed. Cir. 1995) (*en banc*) (internal citations omitted), *aff'd*, 517 U.S. 370 (1996). Claim construction is a "matter of law exclusively for the court." *Id.* at 970-71. "The construction of claims is simply a way of elaborating the normally terse claim language in order to understand and explain, but not to change, the scope of the claims." *Embrex, Inc. v. Serv. Eng'g Corp.*, 216 F.3d 1343, 1347 (Fed. Cir. 2000).

Claim construction focuses on the intrinsic evidence, which consists of the claims themselves, the specification, and the prosecution history. *See Phillips v. AWH Corp.*, 415 F.3d 1303, 1314 (Fed. Cir. 2005) (*en banc*); *see also Markman*, 52 F.3d at 979. As the Federal Circuit in *Phillips* explained, courts must analyze each of these components to determine the "ordinary and customary meaning of a claim term" as understood by a person of ordinary skill in the art at the time of the invention. 415 F.3d at 1313. "Such intrinsic evidence is the most significant source of the legally operative meaning of disputed claim language." *Bell Atl. Network Servs., Inc. v. Covad Comme'ns Grp., Inc.*, 262 F.3d 1258, 1267 (Fed. Cir. 2001).

"It is a 'bedrock principle' of patent law that 'the claims of a patent define the invention to which the patentee is entitled the right to exclude." *Phillips*, 415 F.3d at 1312 (quoting *Innova/Pure Water, Inc. v. Safari Water Filtration Sys., Inc.*, 381 F.3d 1111, 1115 (Fed. Cir. 2004)). "Quite apart from the written description and the prosecution history, the claims themselves provide substantial guidance as to the meaning of particular claims terms." *Id.* at 1314; see also Interactive Gift Express, Inc. v. Compuserve Inc., 256 F.3d 1323, 1331 (Fed. Cir. 2001) ("In construing claims, the analytical focus must begin and remain centered on the language of the claims themselves, for it is that language that the patentee chose to use to 'particularly point[] out and distinctly claim[] the subject matter which the patentee regards as his invention.""). The context in which a term is used in an asserted claim can be "highly instructive." *Phillips*, 415 F.3d



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