

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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MICROSOFT CORPORATION  
Petitioner

v.

UNILOC 2017 LLC  
Patent Owner

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IPR2020-00101  
U.S. PATENT NO. 8,495,359

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**PATENT OWNER PRELIMINARY RESPONSE TO PETITION  
PURSUANT TO 37 C.F.R. § 42.107(a)**

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    D. The Petition does not establish that Olkin (Ex. 1003), Kim  
        (Ex. 1004), or any combination thereof teaches or suggests “  
        a gateway server configured to: ... derive an encryption key  
        from the device identifier for the first computing device;  
        [and] send the encryption key to the second computing  
        device” as recited in Claims 1 and 6. .... 21

    E. The Petition does not establish that Olkin (Ex. 1003), Kim  
        (Ex. 1004), or any combination thereof teaches or suggests “a  
        gateway server configured to: ... confirm to the first  
        computing device that the encryption key was requested by  
        the second computing device and was sent to the second  
        computing device” as recited in Claims 1 and 6..... 25

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        (Ex. 1004), or any combination thereof teaches or suggests  
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        electronic communication, wherein the secured electronic

communication is encrypted by a sending computing device  
with a first encryption key derived from the device identifier  
by the gateway server” of Claim 11.....30

G. The Petition does not establish that Olkin (Ex. 1003), Kim  
(Ex. 1004), or any combination thereof teaches or suggests  
“querying, by the recipient computing device, the gateway  
server for confirmation that the sending computing device  
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## **I. INTRODUCTION**

Pursuant to 35 U.S.C. §313 and 37 C.F.R. §42.107(a), Uniloc 2017 LLC (the “Patent Owner” or “Uniloc”) submits its Preliminary Response to the Petition for *Inter Partes* Review (“Pet.” or “Petition”) of United States Patent No. 8,495,359 (“the '359 Patent” or “Ex. 1001”) filed by Microsoft Corporation (“Petitioner”) in IPR2020-00101.

In view of the reasons presented herein, the Petition should be denied in its entirety as failing to meet the threshold burden of proving there is a reasonable likelihood that at least one challenged claim is unpatentable.

Uniloc addresses each ground and provides specific examples of how Petitioner failed to establish that it is more likely than not that it would prevail with respect to at least one of the challenged '359 Patent claims.

Accordingly, Uniloc respectfully requests that the Board decline institution of trial on Claims 1-15 of the '359 Patent.

## **II. THE '359 PATENT**

### **A. Effective Filing Date of the '359 Patent**

The '359 Patent is entitled “System and Method For Securing an Electronic Communication.” The '359 Patent issued on July 23, 2013, from U.S. Patent Application No. 12/792,249 filed on June 2, 2010, and claiming priority to provisional application 61/219,062, filed on June 22, 2009.

## **B. Overview of the '359 Patent**

The '359 Patent is directed to a system and method for securing an electronic communication sent to a first computing device from a second computing device using a gateway server. ('359 Patent, column 1, lines 33-37). Generally speaking, the system uses characteristics of a computing device to derive a device identifier (i.e., fingerprint) that uniquely identifies that computing device from among other computing devices on a network. (Id, column 3, line 36 – column 4, line 23). The device identifier is then stored on the computing device as well as on a server, which may be separate from the computing device and is accessible by other computing devices. (Id, column 3, lines 8-10, column 7, lines 20-23). When another computing device desires to send a message to the subject computing device, that other computing device may access an encryption key derived from the device identifier stored on the server, encrypt the message, and transmit the encrypted message to the subject computing device. (Id, column 6, line 61 – column 6, line 13). Due to the strong level of uniqueness provided by the device identifier, the subject computing device can use its internally stored device identifier to decrypt the message. (Id column 4, lines 6-23).

Fig. 3B of the '359 Patent, which illustrates a process that may be used, by the gateway server, to store and distribute device identifiers that can be used to facilitate transmission of an encrypted message from a second computing device to a first computing device is reproduced herein below:

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