

#### UNITED STATES PATENT AND TRADEMARK OFFICE

12/27/2017

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/482,781	01/16/2018	9871671	SUC01-01C3	3524

66478 7590

Smartpat PLC Axel Nix

1420 Washington Blvd.

Suite 301

Detroit, MI 48226

#### ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

#### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Sucxess LLC, Birmingham, MI; Axel Nix, Birmingham, MI;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

Petitioner's Exhibit 1002 IR103 (Rev. 10/09) Page 1 of 177

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifica	tions.		, 1	1	, ( ) 8 1	
CURRENT CORRESPOND	ENCE ADDRESS (Note: Use Bl	ock 1 for any change of address)	F	e(s) Transmittal Thi	is certificate cannot be used.	or domestic mailings of the for any other accompanying ent or formal drawing, must
66478 Smartpat PLC Axel Nix 1420 Washingto	7590 11/29	/2017	I Si ac tr	Cer nereby certify that th ates Postal Service v dressed to the Mail ansmitted to the USP	tificate of Mailing or Tran is Fee(s) Transmittal is beir vith sufficient postage for fi Stop ISSUE FEE address TO (571) 273-2885, on the c	smission  g deposited with the United  rst class mail in an envelope s above, or being facsimile  date indicated below.
Suite 301	ii bivd.					(Depositor's name)
Detroit, MI 4822	26		L			(Signature)
			L			(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	OR .	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/482,781	04/09/2017		Axel Nix		SUC01-01C3	3524
FITLE OF INVENTION	: Method, apparatus and	system for retrofitting a	vehicle			
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DU	E PREV. PAID ISSU.	E FEE TOTAL FEE(S) DUI	E DATE DUE
nonprovisional	SMALL	\$480	\$0	\$0	\$480	02/28/2018
EXAM	INER	ART UNIT	CLASS-SUBCLASS	٦		
TRAN, CO	ONGVAN	2645	710-313000	_		
1. Change of corresponde	ence address or indication	n of "Fee Address" (37	2. For printing on the patent front page, list  Smartpat PLC			
CFR 1.363).  Change of corresp	ondence address (or Cha	nge of Correspondence	(1) The names of up or agents OR, alterna		nt attorneys 1 Since 1	
"Fee Address" ind	ondence address (or Cha 3/122) attached. ication (or "Fee Address' 22 or more recent) attache	' Indication form	(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is			
Number is required.		A TO BE PRINTED ON	listed, no name will l	•	<u></u>	
PLEASE NOTE: Unl	less an assignee is identi	ified below, no assignee	data will appear on the	patent. If an assign	ee is identified below, the	document has been filed for
recordation as set fort (A) NAME OF ASSIG	=	oletion of this form is NO	T a substitute for filing a (B) RESIDENCE: (CIT	-	OUNTRY)	
Sucxess LI			Birmingham			
						. 🗖 ~
						roup entity Government
4a. The following fee(s).  Issue Fee	are submitted:	41	o. Payment of Fee(s): (Pl A check is enclosed		ny previously paid issue fee	e shown above)
	No small entity discount p	permitted)	Payment by credit of		is attached.	
	of Copies		The director is herel	y authorized to char	ge the required fee(s), any de	
			overpayment, to De	oosit Account Number	er (enclose	an extra copy of this form).
_ ~ .	tus (from status indicated					
☐ Applicant certifying micro entity status. See 37 CFR 1.29			NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issu fee payment in the micro entity amount will not be accepted at the risk of application abandonmen			
☐ Applicant asserting small entity status. See 37 CFR 1.27			NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.			
Applicant changin	g to regular undiscounted	d fee status.	NOTE: Checking this bentity status, as applica		e a notification of loss of en	titlement to small or micro
NOTE: This form must b	e signed in accordance v	vith 37 CFR 1.31 and 1.3	3. See 37 CFR 1.4 for sig	nature requirements	and certifications.	
Authorized Signature	_/Axel Nix	/		Date	06-Dec-2017	
Typed or printed nam	<sub>e</sub> Axel Nix			Registration N	No. 59184	

Electronic Patent Application Fee Transmittal						
Application Number:	154	482781				
Filing Date:	09-	Apr-2017				
Title of Invention:	Method, apparatus and system for retrofitting a vehicle					
First Named Inventor/Applicant Name:	Axel Nix					
Filer:	Bernd Axel Nix					
Attorney Docket Number:	SUC01-01C3					
Filed as Small Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
UTILITY APPL ISSUE FEE		2501	1	480	480	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Total in USD (\$)				480

Electronic Acknowledgement Receipt				
EFS ID:	31139562			
Application Number:	15482781			
International Application Number:				
Confirmation Number:	3524			
Title of Invention:	Method, apparatus and system for retrofitting a vehicle			
First Named Inventor/Applicant Name:	Axel Nix			
Customer Number:	66478			
Filer:	Bernd Axel Nix			
Filer Authorized By:				
Attorney Docket Number:	SUC01-01C3			
Receipt Date:	06-DEC-2017			
Filing Date:	09-APR-2017			
Time Stamp:	08:38:11			
Application Type:	Utility under 35 USC 111(a)			

## **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$480
RAM confirmation Number	120617INTEFSW08384000
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			108681		
1	Issue Fee Payment (PTO-85B)	20171206-PTOL-85.pdf	2aed5f029a179a55c9612cd3397d59ea5b1 afa7e	no	1
Warnings:			1		
Information:					
			30359		
2	Fee Worksheet (SB06)	fee-info.pdf	d90ba998bea04b02c7085d32340f814c5aa 096ca	no	2
Warnings:					
Information:					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

Total Files Size (in bytes):

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

139040



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

#### NOTICE OF ALLOWANCE AND FEE(S) DUE

66478 Smartpat PLC Axel Nix 1420 Washington Blvd. Suite 301 Detroit, MI 48226

11/29/2017

**EXAMINER** TRAN, CONGVAN

ART UNIT PAPER NUMBER

2645

DATE MAILED: 11/29/2017

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/482,781	04/09/2017	Axel Nix	SUC01-01C3	3524

TITLE OF INVENTION: Method, apparatus and system for retrofitting a vehicle

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	SMALL	\$480	\$0	\$0	\$480	02/28/2018

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

Page 1 of 3 PTOL-85 (Rev. 02/11) Page 7 of 177

Petitioner's Exhibit 1002

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated upleas corrected below, or directed otherwise in Pleak 1, by (a) specificing a page address and (a) (b) indicating a corrected below or directed otherwise in Pleak 1, by (a) specificing a page address and (b) indicating a correct "IEEE ADDRESS" for

maintenance fee notifica		ierwise in Block I, by (a	a) specifying a new corre	espondence address;	and/or (b) indicating a se	eparate "FEE ADDRESS" for	
CURRENT CORRESPOND	ENCE ADDRESS (Note: Use BI	ock 1 for any change of address)	No Fee pag hav	te: A certificate of e(s) Transmittal. Thi pers. Each additiona re its own certificate	mailing can only be used s certificate cannot be used l paper, such as an assign of mailing or transmission	for domestic mailings of the d for any other accompanying ment or formal drawing, must	
66478 Smartpat PLC Axel Nix 1420 Washingto	7590 11/29	/2017	I h Sta ado trai	Cer ereby certify that th tes Postal Service w dressed to the Mail nsmitted to the USP	tificate of Mailing or Trai is Fee(s) Transmittal is bei vith sufficient postage for f Stop ISSUE FEE addres TO (571) 273-2885, on the	nsmission ing deposited with the United irst class mail in an envelope ss above, or being facsimile date indicated below.	
Suite 301	n Diva.					(Depositor's name)	
Detroit, MI 4822	26					(Signature)	
						(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	3	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
15/482,781	04/09/2017		Axel Nix		SUC01-01C3	3524	
TITLE OF INVENTION	I: Method, apparatus and	system for retrofitting a	vehicle				
			_				
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE TOTAL FEE(S) DU	JE DATE DUE	
nonprovisional	SMALL	\$480	\$0	\$0	\$480	02/28/2018	
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EXAM	IINER	ART UNIT	CLASS-SUBCLASS				
TRAN, Co	ONGVAN	2645	710-313000				
1. Change of correspond CFR 1.363).	ence address or indicatio	n of "Fee Address" (37	2. For printing on the				
,	oondence address (or Cha B/122) attached.	nge of Correspondence	(1) The names of up to 3 registered patent attorneys or agents OR, alternatively,				
_			(2) The name of a sing registered attorney or		member a 2		
☐ "Fee Address" ind PTO/SB/47; Rev 03-0 Number is required.	lication (or "Fee Address 32 or more recent) attach	" Indication form ed. Use of a Customer	registered attorney or 2 registered patent att- listed, no name will be	orneys or agents. If	es of up to no name is 3		
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or ty	vpe)			
		ified below, no assignee pletion of this form is NO	_	-		document has been filed for	
(A) NAME OF ASSI	GNEE		(B) RESIDENCE: (CIT	Y and STATE OR C	COUNTRY)		
Please check the appropr	riate assignee category or	categories (will not be pr	rinted on the patent): $\Box$	Individual 🖵 Co	orporation or other private g	group entity 🗖 Government	
4a. The following fee(s)	are submitted:	41	b. Payment of Fee(s): ( <b>Ple</b>	ease first reapply ar	ıy previously paid issue fe	e shown above)	
Issue Fee			A check is enclosed.				
	No small entity discount p		☐ Payment by credit card. Form PTO-2038 is attached. ☐ The director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
Advance Order - #	of Copies		overpayment, to Dep	osit Account Number	ge the required fee(s), any c er(enclose	an extra copy of this form).	
_ ~ .	tus (from status indicate		NOTE: About and I'll	- 416 - 41 CMC	Falle Clate (as Casa P	TO/0D/15 A   15D) '	
			fee payment in the micro	o entity amount will	not be accepted at the risk	TO/SB/15A and 15B), issue of application abandonment.	
Applicant assertin	g small entity status. See	37 CFR 1.27	<u>NOTE:</u> If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.				
Applicant changing	ng to regular undiscounte	d fee status.		ox will be taken to b		ntitlement to small or micro	
NOTE: This form must b	oe signed in accordance v	vith 37 CFR 1.31 and 1.33	3. See 37 CFR 1.4 for sign	nature requirements	and certifications.		
Authorized Signature				Date			
Typed or printed nam	e			Registration N	Jo		



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/482,781	04/09/2017	Axel Nix	SUC01-01C3	3524
66478 75	90 11/29/2017		EXAM	IINER
Smartpat PLC			TRAN, CO	ONGVAN
Axel Nix 1420 Washington I	Blvd.		ART UNIT	PAPER NUMBER
Suite 301			2645	
Detroit, MI 48226			DATE MAILED: 11/29/201	7

#### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of Petitionegista Enhibit 1002

	Application No.	Applicant(s)	
	15/482,781	NIX, AXEL	
Notice of Allowability	<b>Examiner</b> CongVan Tran	Art Unit 2645	AIA (First Inventor to File) Status No

The MAILING DATE of this communication appears on the All claims being allowable, PROSECUTION ON THE MERITS IS (OR REM herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other a NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. To of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPE	AINS) CLOSED in this application. If not included appropriate communication will be mailed in due course. THIS his application is subject to withdrawal from issue at the initiative						
1. This communication is responsive to <u>Aug. 31, 2017</u> .							
A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed	d on						
<ol> <li>An election was made by the applicant in response to a restriction recrequirement and election have been incorporated into this action.</li> </ol>	quirement set forth during the interview on; the restriction						
3. The allowed claim(s) is/are 1-13, 15-20 have been renumbered to 1-1 eligible to benefit from the Patent Prosecution Highway program at application. For more information, please see http://www.uspto.gov/paPHfeedback@uspto.gov.	a participating intellectual property office for the corresponding						
4. Acknowledgment is made of a claim for foreign priority under 35 U.S.	C. § 119(a)-(d) or (f).						
Certified copies:							
a) ☐ All b) ☐ Some *c) ☐ None of the:							
<ol> <li>Certified copies of the priority documents have been rec</li> </ol>							
<ol><li>Certified copies of the priority documents have been rec</li></ol>	eived in Application No						
<ol><li>Copies of the certified copies of the priority documents h</li></ol>	nave been received in this national stage application from the						
International Bureau (PCT Rule 17.2(a)).							
* Certified copies not received:							
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.							
5. $\square$ CORRECTED DRAWINGS ( as "replacement sheets") must be subm	itted.						
including changes required by the attached Examiner's Amendn Paper No./Mail Date	nent / Comment or in the Office action of						
Identifying indicia such as the application number (see 37 CFR 1.84(c)) sho each sheet. Replacement sheet(s) should be labeled as such in the header							
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGIC attached Examiner's comment regarding REQUIREMENT FOR THE D</li> </ol>							
Attachment(s)							
1. ☐ Notice of References Cited (PTO-892)	5.  Examiner's Amendment/Comment						
2. Information Disclosure Statements (PTO/SB/08),	6. ☐ Examiner's Statement of Reasons for Allowance						
Paper No./Mail Date  3.	7.  Other						
of Biological Material 4. ☐ Interview Summary (PTO-413), Paper No./Mail Date							
/CongVan Tran/ Primary Examiner, Art Unit 2645							

## Issue Classification



CONG TRAN

Application/Control No.	Applicant(s)/Patent Under Reexamination
15482781	NIX, AXEL
Examiner	Art Unit

2645

CPC				
Symbol			Тур	e Version
H04L	12	/ 40	F	2013-01-01
B60R	21	/ 01	I	2013-01-01
B60T	7	/ 12	ı	2013-01-01
G06F	13	/ 4282	ı	2013-01-01
H04L	2012	/ 40215	А	2013-01-01
B60R	2021	0027	А	2013-01-01

CPC Combination Sets										
Symbol	Туре	Set	Ranking	Version						

NONE	Total Claims Allowed:						
(Assistant Examiner)	(Date)	19					
/CONG TRAN/ Primary Examiner.Art Unit 2645	11/17/2017	O.G. Print Claim(s)	O.G. Print Figure				
(Primary Examiner)	(Date)	1	4 & 6				

## Issue Classification

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Application/Control No.	Applicant(s)/Patent Under Reexamination
15482781	NIX, AXEL
	,
Examiner	Art Unit
CONG TRAN	2645

US ORIGINAL CLASSIFICATION						US ORIGINAL CLASSIFICATION INTERNATIONAL CLAS									
CLASS SUBCLASS					CLASS SUBCLASS C							NON-CLAIMED			
455 404.2					Н	0	4	W	4 / 22 (2009.01.01)						
CROSS REFERENCE(S)															
CLASS	S SUBCLASS (ONE SUBCLASS PER BLOCK)														
455	521														
	<u> </u>	-													

NONE		Total Claims Allowed:				
(Assistant Examiner)	(Date)	19				
/CONG TRAN/ Primary Examiner.Art Unit 2645	11/17/2017	O.G. Print Claim(s)	O.G. Print Figure			
(Primary Examiner)	(Date)	1	4 & 6			

## Issue Classification

Application/Control No.	Applicant(s)/Patent Under Reexamination
15482781	NIX, AXEL
Examiner	Art Unit
CONG TRAN	2645

	Claims renumbered in the same order as presented by applicant									☐ CPA ☐ T.D. ☐ R.1.47						
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	
1	1	16	17													
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15	16															

NONE		Total Clain	ns Allowed:
(Assistant Examiner)	(Date)	1	9
/CONG TRAN/ Primary Examiner.Art Unit 2645	11/17/2017	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	4 & 6

## Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
15482781	NIX, AXEL
Examiner	Art Unit
CONG TRAN	2645

CPC- SEARCHED		
Symbol	Date	Examiner
H04W 76/007; H04B1/3822; H04W 4/22	5/16/17	CT

CPC COMBINATION SETS - SEARCHED		
Symbol Date Examiner		

US CLASSIFICATION SEARCHED				
Class Subclass Date Examiner				
455	404.1 -2; 435.2; 435.3; 455/521; 445; 466; 527; 552.1	5/15/17	CT	

<sup>\*</sup> See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

SEARCH NOTES			
Search Notes	Date	Examiner	
identifier with bit near3 "11" with vehicle	5/15/17	CT	
navigat\$3 with (\$4phone mobile wireless ue) with (car vehic\$4) with (ID idenf\$4 identity identification) and @ad<="20070430" and (emergency alert\$4) near3 (device apparatus)	5/16/17	СТ	
data adj bus near5 (class adj 2 CAN) with vehicle and @ad<="20070430" and ("11" adj bit or "29" adj bit) with CAN	8/07/17	СТ	
Search Updated	8/22/17	CT	

INTERFERENCE SEARCH			
US Class/	US Subclass / CPC Group	Date	Examiner
CPC Symbol	1104M 70/007, 1104P4/2020, 1104M 4/20	44/47/47	CT
H04L 12/40	H04W 76/007; H04B1/3822; H04W 4/22	11/17/17	U

	/CONG TRAN/ Primary Examiner.Art Unit 2645
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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Axel Nix
Application Number : 15/482,781
Filing Date : 2017-04-09
Docket Number : SUC01-01C3
Examiner : Congvan TRAN

Title : Method, apparatus and system for retrofitting a vehicle

#### **AMENDMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In Response to the Office Action dated 08/30/2017, please amend the above identified application as follows:

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 6 of this paper.

#### AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application.

1. (currently amended) A method, comprising:

providing a vehicle having a factory-installed first apparatus (200) including a processor, programmed to communicate with a factory-installed second apparatus (218) through a vehicle data bus (212) with a first message having an identifier;

electrically disconnecting the vehicle data bus (212) between the factory-installed first apparatus (200) and the factory-installed second apparatus (218);

adding a second data bus to the vehicle;

electrically connecting a retrofit apparatus (214) to the vehicle data bus (212) and to the second data bus;

electrically connecting the factory-installed first apparatus to the second data bus; and

transmitting a second message from the retrofit apparatus (214) to the factory-installed first apparatus (200) through the second data bus, the second message being indistinguishable from the first message.

- 2. (original) The method as in claim 1, wherein the second message uses the identifier of the first message.
- 3. (currently amended) The method as in claim 1, further comprising receiving the first message in the retrofit apparatus (214).

- 4. (currently amended) The method as in claim 3, wherein the retrofit apparatus (214) re-transmits messages received on the vehicle data bus (212) to the factory-installed first apparatus (200) through the second data bus.
- 5. (original) The vehicle that has been retrofitted according to the method as in claim 1.
- 6. (currently amended) A vehicle, comprising:
- a factory-installed first apparatus (200) including a first processor which is programmed to receive a first message on a vehicle data bus (212) from a factory-installed second apparatus (218); and
- a retrofit apparatus (214) connected to the vehicle data bus (212) including a second processor programmed to transmit a second message which mimics the first message through a second data bus.
- 7. (original) The vehicle as in claim 6, wherein the first message comprises a message identifier that has been assigned to the factory-installed second apparatus and wherein the second processor is programmed to transmit the second message with the same message identifier.
- 8. (original) The vehicle as in claim 7, wherein the message identifier is an 11 bit or 29 bit CAN ID.
- 9. (currently amended) The vehicle as in claim 6, wherein the vehicle data bus (212) is a CAN network.

10. (currently amended) A vehicle, comprising:

a factory-installed first apparatus (200) including a first processor, programmed to receive a first

message via a vehicle data bus (212) from a factory-installed second apparatus (218), the first

message having a message identifier; and

a retrofit apparatus-(214), operatively connected to the vehicle data bus-(212), including a second

processor programmed to send a second message having the same message identifier,

wherein the factory-installed first apparatus communicates with the retrofit apparatus through a

second data bus.

11. (currently amended) The vehicle as in claim 10, wherein the second message originating from the

retrofit apparatus (214) is indistinguishable to the first apparatus (200) from the first message which

the first processor is programmed to receive received from the second apparatus (218).

12. (currently amended) The vehicle as in claim 10, wherein the factory-installed first apparatus (200)

responds to the second message originating from the retrofit apparatus (214) as if it were the first

message which the first processor is programmed to receive received from the factory-installed

second apparatus (218).

13. (currently amended) The vehicle as in claim 10, wherein the factory-installed first apparatus (200)

is electrically disconnected from the vehicle data bus (212).

14. (canceled).

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15. (currently amended) The vehicle as in claim 14\_10, wherein the retrofit apparatus (214)—is a gateway through which the factory-installed first apparatus (200) transmits and/or receives messages from the vehicle data bus (212).

16. (currently amended) The vehicle as in claim 14 10, wherein the retrofit apparatus (214) selectively suppresses forwarding messages received from the factory-installed first apparatus (200)—to the vehicle data bus.

17. (original) The vehicle as in claim 10, wherein the factory-installed second apparatus is an object sensor capable of detecting objects in a frontal area of the vehicle.

18. (original) The vehicle as in claim 10, wherein the factory-installed second apparatus is part of an automatic braking system.

19. (original) The vehicle as in claim 10, wherein the factory-installed second apparatus is part of a parking aid system.

20. (new) The vehicle as in claim 10, wherein the second data bus is added to the vehicle during a retrofit.

Claims 1–13 and 15–20 are pending, with claims 1, 6, and 10 being independent. Claim 14 has been cancelled. Claims 1, 3, 4, 6, 9–13, 15, and 16 have been amended. Claim 20 has been added. No new subject matter has been added.

#### **Double Patenting**

Claims 1-19 have been provisionally rejected as claiming the same invention as copending application 15/442,640 ("the '640 application"). The same provisional double-patenting rejection has been made in the '640 application over the present application.

By this amendment claims 1-13 and 17-19 no longer claim identical subject matter as the '640 application. However, claim 10 of the present application is still identical to claim 14 of the '640 application and claims 15 and 16 are identical in this and the '640 application.

The applicant respectfully requests that the provisional double patenting rejection in the present application, which the applicant suggests leads in prosecution over the '640 application, be withdrawn and the claims be allowed to mature into a patent. The applicant plans to address the remaining double-patenting concerns in response to the double patenting rejection in the '640 application.

#### Allowable Subject Matter

The Office Action of 8/30/2017 indicated that claims 14-16 would be allowable if rewritten in independent form to include all of the limitations of the base claim and any intervening claims. The indication of allowability is noted with appreciation. In the interest of timely allowance the Applicant has amended the independent claims to add the allowable subject matter thereto.

In particular, the limitation of claim 14 has been added to claim 10 from which it depended and which is hence deemed allowable. Claim 14 has been canceled. All claims depending from claim 14 have been amended to now depend from claim 10.

Claim 14 introduced a requirement that the factory-installed first apparatus communicates

with the retrofit apparatus through a second data bus. Independent claim 1 has been amended and

the requirement for a second data bus – the subject matter of claim 14 – has been added to claim 1.

In particular, the method of claim 1 now requires adding a second data bus to the vehicle,

electrically connecting a retrofit apparatus to the vehicle data bus and to the second data bus, and

transmitting a second message from the retrofit apparatus to the factory-installed first apparatus

through the second data bus. The Applicant respectfully submits that claim 1, as amended, is

allowable for at least the same reasons as previous claim 14 (now claim 10).

Similarly, independent claim 6 has been amended to require that the second processor be

programmed to transmit a second message which mimics the first message through a second data

bus. Again, the Applicant respectfully submits that claim 6, as amended, is allowable for at least the

same reason as previous claim 14 (now claim 10).

Claims 11 and 12 have been amended to improve clarity. Reference numerals have been

removed from all claims to better comply with US practice.

New claim 20 is presented to address an aspect of the invention in a format depending from

claim 10.

All claims not specifically discussed in this paper depend from a claim deemed allowable for

the reasons stated above and are considered allowable for at least that reason.

Respectfully submitted,

Date: August 31, 2017

/Axel Nix/

1420 Washington Blvd.

Axel Nix

Suite 301

Registration No. 59,184

Detroit, MI 48226

Smartpat PLC

Tel.: (248) 854-2233

Email: axel.nix@smartpat.net

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Petitioner's Exhibit 1002 Page 22 of 177

Electronic Acknowledgement Receipt		
EFS ID:	30242070	
Application Number:	15482781	
International Application Number:		
Confirmation Number:	3524	
Title of Invention:	Method, apparatus and system for retrofitting a vehicle	
First Named Inventor/Applicant Name:	Axel Nix	
Customer Number:	66478	
Filer:	Bernd Axel Nix	
Filer Authorized By:		
Attorney Docket Number:	SUC01-01C3	
Receipt Date:	31-AUG-2017	
Filing Date:	09-APR-2017	
Time Stamp:	15:41:02	
Application Type:	Utility under 35 USC 111(a)	

## **Payment information:**

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		20170831- SUC01-01C3_ResponseAfterOA .pdf	113167 fd8f8e77b57ae9d3c95c1f09e7b8d396f6eff e9d	yes	7

	Multipart Description/PDF files in .zip description			
	Document Description	Start	End	
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1	
	Claims	2	5	
	Applicant Arguments/Remarks Made in an Amendment	6	7	
Warnings:				
Information:	rmation:			

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

Total Files Size (in bytes):

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

113167

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					Application	or Docket Number /482,781	Filing Date 04/09/2017	To be Mailed	
E							ENTITY: L	ARGE 🏻 SMA	LL MICRO
				APPLIC/	ATION AS FIL	ED – PAR	TI		
	(Column 1) (Column 2)								
	FOR	<u> </u>	NUMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), o	or (c))	N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p), o		N/A		N/A		N/A		
	TAL CLAIMS CFR 1.16(i))		mir	nus 20 = *			X \$ =		
IND	EPENDENT CLAIM CFR 1.16(h))	S	m	inus 3 = *			X \$ =		
	APPLICATION SIZE (37 CFR 1.16(s))	of pa for s fract	aper, the a small entity	ation and drawing application size for y) for each addition of. See 35 U.S.C.	ee due is \$310 ( onal 50 sheets o	\$155 or			
	MULTIPLE DEPEN	IDENT CLAIM PF	RESENT (3	7 CFR 1.16(j))					
* If t	the difference in colu	ımn 1 is less thar	ı zero, ente	r "0" in column 2.			TOTAL		
		(Column 1)		(Column 2)	ION AS AMEN		RT II		
AMENDMENT	08/31/2017	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TR <b>A</b>	RATE (\$)	ADDITIO	ONAL FEE (\$)
)ME	Total (37 CFR 1.16(i))	* 19	Minus	** 20	= 0		x \$40 =		0
H I	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0		x \$210=		0
AMI	Application Si	ize Fee (37 CFR	1.16(s))						
	FIRST PRESEN	NTATION OF MULT	PLE DEPEN	DENT CLAIM (37 CFF	국 1.16(j))				
							TOTAL ADD'L FE	<b></b>	0
		(Column 1)		(Column 2)	(Column 3)	)			
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	ONAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		
ENDM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		
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AM	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								
							TOTAL ADD'L FE	<b>=</b>	
** If *** I	the entry in column 1 the "Highest Numbe If the "Highest Number P	er Previously Paic oer Previously Pai	d For" IN TH id For" IN T	HIS SPACE is less HIS SPACE is less	than 20, enter "20" s than 3, enter "3".		LIE /DEANNA RO		

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/482,781 04/09/2017		Axel Nix	SUC01-01C3	3524
66478 Smartpat PLC	7590 08/30/201	7	EXAM	INER
Axel Nix 1420 Washingto	on Blvd.		TRAN, CO	ONGVAN
Suite 301			ART UNIT	PAPER NUMBER
Detroit, MI 482	26		2645	
			NOTIFICATION DATE	DELIVERY MODE
			08/30/2017	ELECTRONIC

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

info@smartpat.net a.nix@gmx.de

	Application No. 15/482,781	Applicant(s) NIX, AXEL					
Office Action Summary	<b>Examiner</b> CongVan Tran	Art Unit 2645	AIA (First Inventor to File) Status No				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply							
A SHORTENED STATUTORY PERIOD FOR REPLY THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be tim rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI	ely filed the mailing date of 0 (35 U.S.C. § 133)	this communication.				
Status							
1) Responsive to communication(s) filed on Apr. (  A declaration(s)/affidavit(s) under 37 CFR 1.1							
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This  3) ☐ An election was made by the applicant in responsible.  ; the restriction requirement and election  4) ☐ Since this application is in condition for allowant closed in accordance with the practice under E	have been incorporated into this ace except for formal matters, pro	action. secution as to					
Disposition of Claims*							
5) Claim(s) 1-19 is/are pending in the application.  5a) Of the above claim(s) is/are withdraw  6) Claim(s) is/are allowed.  7) Claim(s) 1-13, 17-19 is/are rejected.  8) Claim(s) 14-16 is/are objected to.  9) Claim(s) are subject to restriction and/or  * If any claims have been determined allowable, you may be eliparticipating intellectual property office for the corresponding aphttp://www.uspto.gov/patents/init_events/pph/index.jsp or send  Application Papers  10) The specification is objected to by the Examiner 11) The drawing(s) filed on is/are: a) access applicant may not request that any objection to the content of the content	vn from consideration.  Telection requirement.  Igible to benefit from the <b>Patent Pros</b> Splication. For more information, plea  an inquiry to <u>PPHfeedback@uspto.co</u> T.  Pepted or b) □ objected to by the E	se see ov. Examiner.					
Replacement drawing sheet(s) including the correcti	= : :		•				
Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  Certified copies:  a) All b) Some** c) None of the:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  ** See the attached detailed Office action for a list of the certified copies not received.							
Attachment(s)							
1) Notice of References Cited (PTO-892)	3) Interview Summary						
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date	Paper No(s)/Mail Da 4) Other:	ite					

Art Unit: 2645

#### **DETAILED ACTION**

1. The present application is being examined under the pre-AIA first to invent provisions.

#### Double Patenting

2. A rejection based on double patenting of the "same invention" type finds its support in the language of 35 U.S.C. 101 which states that "whoever invents or discovers any new and useful process... may obtain a patent therefor..." (Emphasis added). Thus, the term "same invention," in this context, means an invention drawn to identical subject matter. See *Miller v. Eagle Mfg. Co.*, 151 U.S. 186 (1894); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); *In re Ockert*, 245 F.2d 467, 114 USPQ 330 (CCPA 1957).

A statutory type (35 U.S.C. 101) double patenting rejection can be overcome by canceling or amending the claims that are directed to the same invention so they are no longer coextensive in scope. The filing of a terminal disclaimer cannot overcome a double patenting rejection based upon 35 U.S.C. 101.

3. Claims 1-19 are provisionally rejected under 35 U.S.C. 101 as claiming the same invention as that of claims 1-19 of copending Application No. 15/442,640. This is a provisional statutory double patenting rejection since the claims directed to the same invention have not in fact been patented.

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#### Claim Rejections - 35 USC § 103

- 4. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claims 1-7 and 10-13 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Nagatani (2006/0017612) in view of Morita et al. (2006/0136291).

Regarding claims 1 and 5, Nagatani discloses a method, comprising: providing a vehicle having a factory-installed first apparatus (200 telephone) including a processor, programmed to communicate with a factory-installed second apparatus (218 navigation system) through a vehicle data bus (212) with a first message having an identifier (see abstract, fig.1, elements 30, 20, paragraphs [0018], [0024] and its description);

electrically disconnecting the vehicle data bus (212) between the factory-installed first apparatus (200) and the factory-installed second apparatus (218) (see fig.1, element 14, paragraphs [0021], [0025] and its description);

electrically connecting **a retrofit apparatus** (214) to the vehicle data bus (212) (see fig.1, elements 10, paragraph [0018] and its description); and

transmitting a second message from the retrofit apparatus (214) to the factory-installed first apparatus (200), the second message being **indistinguishable** from the first message (see abstract, fig.1, elements 10, paragraphs [0018], [0022], [0024-0025], [0032], and its description). Nagatani fails to specifically disclose the first apparatus is a

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factory-installed. However, Morita discloses a vehicle comprising: a factory-installed first apparatus including a first processor (see fig.15, element 51, 52, fig.16, elements 71/85, 83, 84, 72, paragraphs [0077], [0083] and its description). Thus, it would have been obvious to one having ordinary skill in the art before the effective filing date of the claimed invention was made to use Morita's the factory-installed first apparatus teaching in Nagatani's invention in order to provide an option for customers of using telecommunication device.

**Regarding claim 2**, Nagatani further discloses the second message uses the identifier of the first message (see abstract, paragraphs [0018], [0022], [0024-0025], [0032], and its description).

**Regarding claim 3**, Nagatani further discloses receiving the first message in the retrofit apparatus (see abstract, fig.1, elements 10, paragraphs [0018], [0022], [0024-0025], **[0032**], and its description).

**Regarding claim 4**, Nagatani further discloses the retrofit apparatus (214) retransmits messages received on the vehicle data bus (212) to the factory-installed first apparatus (200) (see abstract, fig.1, elements 10, paragraphs [0018], [0022], [0024-0025], [0032], and its description).

Regarding claim 6, Nagatani discloses a vehicle (see fig.1, element 1 and its description), comprising: a factory-installed first apparatus (200) including a first processor which is programmed to receive a first message on a vehicle data bus from a factory-installed second apparatus (see abstract, fig.1, elements 30, 20, paragraphs [0018], [0024] and its description); and a retrofit apparatus connected to

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the vehicle data bus including **a second processor** programmed to transmit **a second message** which mimics the first message (see fig.1, elements 10, paragraph **[0022**], [0026] and its description). Nagatani fails to specifically disclose the first apparatus is a factory-installed. However, Morita discloses a vehicle comprising: a factory-installed first apparatus including a first processor (see fig.15, element 51, 52, fig.16, elements 71/85, 83, 84, 72, paragraphs [0077], [0083] and its description). Thus, it would have been obvious to one having ordinary skill in the art before the effective filing date of the claimed invention was made to use Morita's the factory-installed first apparatus teaching in Nagatani's invention in order to provide an option for customers of using telecommunication device.

Regarding claim 7, Nagatani further discloses the first message comprises a message identifier that has been assigned to the factory-installed **second apparatus** and wherein the second processor is programmed to transmit the second message with the same message identifier (see abstract, paragraphs [0018], [0022], [0024-0025], [0032], and its description).

Regarding claim 10, Nagatani discloses a vehicle (see fig.1, element 1 and its description), comprising: a first apparatus including a first processor, programmed to receive a first message via a vehicle data bus from a factory-installed second apparatus, the first message having a message identifier (position information) (see abstract, fig.1, elements 30, 20, paragraphs [0018], [0024] and its description); and

a retrofit apparatus, operatively connected to the vehicle data bus, including a second processor programmed to send <u>a second message</u> having the same

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message identifier (position information) (see fig.1, elements 10, paragraph [0022], [0026] and its description). Nagatani fails to specifically disclose the first apparatus is a factory-installed. However, Morita discloses a vehicle comprising: a factory-installed first apparatus including a first processor (see fig.15, element 51, 52, fig.16, elements 71/85, 83, 84, 72, paragraphs [0077], [0083] and its description). Thus, it would have been obvious to one having ordinary skill in the art before the effective filing date of the claimed invention was made to use Morita's the factory-installed first apparatus teaching in Nagatani's invention in order to provide an option for customers of using telecommunication device.

**Regarding claim 11**, Nagatani further discloses the <u>second message</u> originating from the retrofit apparatus is indistinguishable to the first apparatus from the first message received from the second apparatus (see paragraph [0026]).

Regarding claim 12, Nagatani further discloses the factory-installed first apparatus (200) responds to the second message originating from the retrofit apparatus (214) as if it were the first message received from the factory-installed second apparatus (218) (see abstract, fig.1, elements 10, 20, 30, paragraphs [0018], [0022], [0024-0025], [0032], and its description).

**Regarding claim 13**, Nagatani further discloses the factory-installed first apparatus is electrically disconnected from the vehicle data bus. (It is inherent when cellular is carried out the vehicle, see fig.1, element 30, paragraph [0018] and its description).

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6. Claims 8-9 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Nagatani (2006/0017612) in view of Morita et al. (2006/0136291) in further view of Nielsen (6,665,601).

Regarding claim 8, Nagatani and Morita disclose all subject matters described above, except for the message identifier is an 11 bit or 29 bit CAN ID. However, Nielsen discloses a communication system for managing across a vehicle data bus comprising the message identifier is an 11 bit or 29 bit CAN ID (see col2, line 38). Thus, it would have been obvious to one having ordinary skill in the at the time the invention was made to use Nielsen's the 29 bit CAN ID in order to allow electronic control units and devices to communicate with each other in applications without a host computer.

**Regarding claim 9**, Nielsen further discloses vehicle data bus is a CAN network (see fig.2, element 40, col.5, line 15 and its description).

7. Claims 17-19 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Nagatani (2006/0017612) in view of Morita et al. (2006/0136291) in further view of Schramm et al. (2008/0093150).

Regarding claim 17, Nagatani and Morita disclose all subject matters described above, except for an object sensor. However, Schramm discloses a vehicle an object sensor capable of detecting objects in a frontal area of the vehicle (see fig.2, element 144, paragraph [0016] and its description). Thus, it would have been obvious to one having ordinary skill in the at the time the invention was made to use Schramm's an object sensor for preventing impact.

Regarding claims 18-19, the Examiner takes Official notice that these features

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is structurally integrated with vehicle is notoriously well known in the art.

#### Allowable Subject Matter

8. **Claims 14-16** are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### **Examiner's Note**

Examiner has cited particular columns and line numbers in the references applied to the claims above for the convenience of the applicant. Although the specified citations are representative of the teachings of the art and are applied to specific limitations within the individual claim, other passages and figures may apply as well. It is respectfully requested from the applicant in preparing responses, to fully consider the references in entirety as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior art or disclosed by the Examiner.

When responding to this Office Action, Applicant is advised to clearly point out the patentable novelty which he or she thinks the claims present, in view of the state of the art disclosed by the references cited or the objections made. He or she must also show how the amendments avoid such references or objections See 37 CFR 1.111 (c).

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CongVan Tran whose telephone number is (571)272-7871. The examiner can normally be reached on monday-thursday.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, George Eng can be reached on (571) 272-7495. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



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/CongVan Tran/ Primary Examiner, Art Unit 2645

# Notice of References Cited Application/Control No. 15/482,781 Examiner CongVan Tran Applicant(s)/Patent Under Reexamination NIX, AXEL Page 1 of 1

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*	С	US-2002/0022489 A1	02-2002	Odashima, Masahiro	H04W88/02	455/456.1
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*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

## Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
15482781	NIX, AXEL
Examiner	Art Unit
CONG TRAN	2645

CPC- SEARCHED		
Symbol	Date	Examiner
H04W 76/007; H04B1/3822; H04W 4/22	5/16/17	CT

CPC COMBINATION SETS - SEARC	CHED				
Symbol Date Exa					

	US CLASSIFICATION SEARCHE	:D	
Class	Subclass	Date	Examiner
455	404.1 -2; 435.2; 435.3; 455/521; 445; 466; 527; 552.1	5/15/17	CT

<sup>\*</sup> See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

SEARCH NOTES					
Search Notes	Date	Examiner			
identifier with bit near3 "11" with vehicle	5/15/17	CT			
navigat\$3 with (\$4phone mobile wireless ue) with (car vehic\$4) with (ID idenf\$4 identity identification) and @ad<="20070430" and (emergency alert\$4) near3 (device apparatus)	5/16/17	СТ			
data adj bus near5 (class adj 2 CAN) with vehicle and @ad<="20070430" and ("11" adj bit or "29" adj bit) with CAN	8/07/17	СТ			
Search Updated	8/22/17	CT			

INTERFERENCE SEARCH						
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner			
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	/CONG TRAN/ Primary Examiner.Art Unit 2645
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Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (03-15) Approved for use through 07/31/2016. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE	Application Number		15482781
	Filing Date		2017-04-09
	First Named Inventor Axel N		Nix
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2645
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	Attorney Docket Number		SUC01-01C3

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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	2	6028537		2000-02-01	Suman et al.	
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			15482781	-	GAU:	2645
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First Named Inventor	Axel N	Nix				
Art Unit		2645				
Examiner Name	Cong	van TRAN				
Attorney Docket Number		SUC01-01C3				

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	11	7206672		2007-04-01	Mueller	
	12	7398082		2008-07-01	Schwinke et al.	
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Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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Attorney Docket Number		SUC01-01C3

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Application Number		15482781			
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First Named Inventor Axel N		Nix			
Art Unit		2645			
Examiner Name	Cong	van TRAN			
Attorney Docket Number		SUC01-01C3			

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Application Number		15482781			
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First Named Inventor Axel N		Nix			
Art Unit		2645			
Examiner Name Congr		van TRAN			
Attorney Docket Number		SUC01-01C3			

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That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

#### **SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Axel Nix/	Date (YYYY-MM-DD)	2017-07-03
Name/Print	Bernd Axel Nix	Registration Number	59184

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#### 15482781 - GAU: 2645

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66478 Smartpat PLC Axel Nix 1420 Washington Blvd. Suite 301

Detroit, MI 48226

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Title: Method, apparatus and system for retrofitting a vehicle

Publication No.US-2017-0214543-A1 Publication Date: 07/27/2017

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The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seg. The patent application publication number and publication date are set forth above.

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	Application Number		15482781	
	Filing Date		2017-04-09	
INFORMATION DISCLOSURE	First Named Inventor	Axel Nix		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2645	
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	Attorney Docket Numb	er	SUC01-01C3	

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	5	6617979		2003-09-01	Yoshioka	
	6	6690302		2004-02-01	Inomata	
	7	6748211		2004-06-01	Isaac et al.	
	8	6812832		2004-11-01	Lobaza et al.	

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	12	7398082		2008-07-01	Schwinke et al.			
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	1	20020046285		2002-04-01	Yasushi et al.			
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Application Number		15482781		
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First Named Inventor Axel N		Nix		
Art Unit		2645		
Examiner Name Congv		van TRAN		
Attorney Docket Number		SUC01-01C3		

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Application Number		15482781	
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Attorney Docket Number		SUC01-01C3	

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Examiner Initials*	Cite No	(book	nclude name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.						
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<sup>1</sup> See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIF Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent docu <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark I English language translation is attached.									

( Not for submission under 37 CFR 1.99)

Application Number		15482781
Filing Date		2017-04-09
First Named Inventor	Axel Nix	
Art Unit		2645
Examiner Name	Congvan TRAN	
Attorney Docket Number		SUC01-01C3

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

#### **SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Axel Nix/	Date (YYYY-MM-DD)	2017-07-03
Name/Print	Bernd Axel Nix	Registration Number	59184

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Axel Nix
Application Number : 15/482,781
Filing Date : 2017-04-09
Docket Number : SUC01-01C3
Examiner : Congvan TRAN

Title : Method, apparatus and system for retrofitting a vehicle

#### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The applicant submits herewith form PTO/SB/08a Information Disclosure Statement together with copies of foreign patents, publications or other information of which the applicant is aware, which the applicant believes may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

This Information Disclosure Statement is submitted

X	under 37 CFR 1.97(b) or
	(Within three months of filing national application; or date of entry of international application; or before mailing
	date of first office action on the merits; whichever occurs last)
	under 37 CFR 1.97(c) together with
	a Statement under 37 CFR 1.97(e), or
	a fee under 37 CFR 1.17(p), or
	(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
	under 37 CFR 1.97(d) together with a Statement under 37 CFR 1.97(e) and the fee set
	forth in 37 CFR 1.17(p).
	(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Consideration of the foregoing in relation to this application is respectfully requested.

Respectfully submitted,

Date: July 3, 2017

Smartpat PLC 1420 Washington Blvd., Suite 301 Detroit, MI 48226

Phone: 1 (248) 636-2527 Email: info@smartpat.net /Axel Nix/

Bernd Axel Nix Registration No. 59184

Electronic Acknowledgement Receipt		
EFS ID:	29677093	
Application Number:	15482781	
International Application Number:		
Confirmation Number:	3524	
Title of Invention:	Method, apparatus and system for retrofitting a vehicle	
First Named Inventor/Applicant Name:	Axel Nix	
Customer Number:	66478	
Filer:	Bernd Axel Nix	
Filer Authorized By:		
Attorney Docket Number:	SUC01-01C3	
Receipt Date:	03-JUL-2017	
Filing Date:	09-APR-2017	
Time Stamp:	11:52:39	
Application Type:	Utility under 35 USC 111(a)	

## **Payment information:**

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Form (SB08)	20170703_SUC0101C3-IDS.pdf	1054473 41a65605423b53c28e81a64c0fcc346e7fb6 4f53	no	6
Warnings:	•		Petit	tioner's Ex	hibit 1002

Information:					
		20170703-	223630		
2	Transmittal Letter	SUC01-01C3_Prosecution_IDS-	5b905438a1597e91bc024bf74d7a89aff9af a17e	no	2
Warnings:					1
Information:	:				
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Axel Nix
Application Number : 15/482,781
Filing Date : 2017-04-09
Docket Number : SUC01-01C3
Examiner : Congvan TRAN

Title : Method, apparatus and system for retrofitting a vehicle

#### **AMENDMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In Response to the Office Action dated 05/23/2017, please amend the above identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Drawings begin on page 3 of this paper.

Remarks begin on page 4 of this paper.

#### AMENDMENTS TO THE SPECIFICATION

Please amend paragraphs [0020] and [0040] of the specification as follows:

[0020] FIG. 3 is a block diagram illustrating a vehicle communication system showing an airbag control apparatus, a pre-impact system, a telecommunication apparatus, an emergency call apparatus and a navigation system communicating through a common vehicle data bus.

[0040] Referring now to Fig. 3, there is shown a block diagram illustrating an exemplary vehicle communication system 300 including the telecommunication apparatus 200, an airbag control apparatus 302, a pre-impact system 304, the emergency call apparatus 214 and the navigation system 218. As is shown, each system is in communication with the vehicle's data bus 212, which may be a Class 2 or CAN vehicle data bus or any other suitable bus known in the art for electronic data communication.

Please add the following after paragraph [0040]:

[0040.2] Furthermore, the impact warning system 304 may also be chosen from existing object detection systems, forward collision warning (FCW) systems, etc., known to those skilled in the art. The impact warning system 304 may be shared by other subsystems in the vehicle such as stop-andgo, cut-in detection, automatic braking, parking aid, and the like, known to those skilled in the art. Particularly, the vehicle is configured with a sensor (or sensors) capable of detecting objects in the frontal area of the vehicle. The sensor not only detects the presence of an object, but also provides some quantitative information about the object such as range, range rate, and azimuth position of the object. Additional information related to the object (e.g., a lead vehicle in many instances) may include relative acceleration, the size of the object, the dimensions of the object, the direction of movement of the object, position of potential impact, etc. The object information may be obtained by means of laser technology and/or radar technology, for example. In addition to the gathered object data, the pre-impact system 304 also incorporates a threat assessment algorithm, generally known in the art, which evaluates the incoming data both from the sensor and the vehicle, analyzes the particular situation, and then determines if there is any imminent threat of impacting an object in the frontal area of the vehicle.

#### AMENDMENTS TO THE DRAWINGS

Please replace Figures 3 and 4 with the replacement sheet submitted herewith.

A "PRE-IMPACT SYSTEM" block 304 has been added to both Figures 3 and 4.

#### REMARKS

Claims 1-19 are pending. The claims have not been amended.

No new matter has been added to the application.

The indication of allowability of Claims 8 and 14-16 is noted with appreciation.

#### Claim Rejection – 35 USC §112

Claims 17-19 have been rejected under 35 U.S.C. 112 for failing to comply with the written description requirement. In particular, the Office Action points out that the phrases "detecting objects in a frontal area of the vehicle", "second apparatus is part of an automatic braking system" and "second apparatus is part of a parking aid system" are not supported in the specification.

The Applicant agrees. Claims 17-19 refer to subject matter not described in this application but incorporated by reference to US Patent 6,812,832 (Lobaza) in paragraph [0003]. By this amendment, the applicant adds direct support for claims 17-19 in the specification and in the drawings. More specifically, the submitted replacement sheet adds a pre-impact system 304 to Fig. 3 and Fig. 4, reflecting the depiction of a pre-impact system 104 in Lobaza's Fig. 3. The pre-impact system has been added to the description of Figure 3 in paragraph [0020] of the specification and is discussed in more detail in amended paragraph [0040] and new paragraph [0040.2]. The added description of the pre-impact system 304 in paragraphs [0040] and [0040.2] is a copy of Lobaza's disclosure in column 4, lines 42-67. The incorporation by reference of Lobaza's patent meets the requirements of 37 CFR 1.57 and therefore the amendment to the drawings and specification is not new matter.

The amendment provide a written description to support claims 17-19, rendering the rejection of those claims under 35 U.S.C. 112 moot. Withdrawl of the rejection is respectfully requested.

#### Claim Rejection – 35 USC §102

MPEP § 2131 states that "[a] claim is anticipated only if **each and every element** as set forth in the claim is found, either expressly or inherently described, in a single prior art reference.' Verdegaal Bros. v. Union Oil Co. of California, 814 F.2d 628, 631, 2 USPQ2d 1051, 1053 (Fed. Cir. 1987)" (emphasis added). "The identical invention must be shown in **as complete detail** as is contained in the ... claim.' Richardson v. Suzuki Motor Co., 868 F.2d 1226, 1236, 9 USPQ2d 1913, 1920 (Fed. Cir. 1989)" (emphasis added). Moreover, "[e]very element of the claimed invention must be literally present, **arranged as in the claim.**" Id. (emphasis added).

In the present case, claims 1-7, 9-13 and 17-19 have been rejected under pre-AIA 35 U.S.C. 102 (b). The Applicant believes the rejection to be in error since Kennedy III does not disclose each and every element of the Applicant's claims.

The Applicant has studied Kennedy III in light of the Office Action and as best understood believes that the Examiner considered the following relationships:

Element of claims 1 and 5	Equivalent element in Kennedy III
providing a vehicle	Vehicle 25
having a factory-installed first apparatus	Cellular transceiver 42 and handset 92 included
(200)	therein (element 42/92).
including a processor, programmed to	Not disclosed by Kennedy III.
communicate with	
a factory-installed second apparatus (218)	GPS device 48.
through a vehicle data bus (212)	Communication bus 32.
with a first message	Not disclosed by Kennedy III
having an identifier;	Not disclosed by Kennedy III
electrically disconnecting the vehicle data bus	Not disclosed by Kennedy III
(212) between the factory-installed first	
apparatus (200) and the factory-installed second	
apparatus (218);	
electrically connecting	Not disclosed by Kennedy III

a retrofit apparatus (214) to the vehicle data bus	User interface and buttons 36/22.
(212); and	
transmitting a second message from the retrofit	Not disclosed by Kennedy III
apparatus (214) to the factory-installed first	
apparatus (200),	
the second message being	Not disclosed by Kennedy III
indistinguishable from the first message.	

Kennedy III fails to disclose each and every element of claims 1 and 5. In particular:

- 1. Kennedy III's cellular transceiver 42/92 does not include a processor as required by claim 1. Kennedy III's processor 38 is external to the cellular transceiver 42/92.
- 2. Kennedy III fails to disclose a first message having a first identifier.
- 3. Kennedy III fails to disclose a step of electrically disconnecting the communication bus 32 between the cellular transceiver 42/92 and the GPS device 48.
- 4. Kennedy III fails to disclose a retrofit apparatus or distinguish between factory-installed and retrofitted elements. Kennedy specifically states that "[e]ach mobile unit 12 includes at least a user interface 22" (col. 3, line 58). The user interface device 22 is an essential part of the mobile unit 12 and can not be added separately from the cellular transceiver 42/92 and the GPS device 48. Nothing in Kennedy III suggests that the user interface device 22 is installed as part of a retrofit while the GPS device 48 and the element 42/92 are factory-installed.
- 5. Kennedy III fails to disclose a second message having a second identifier.
- 6. Even if, arguendo, the cellular transceiver 42 were to exchange a first message with the GPS device 48, there is no disclosure or reason to believe that a second message from the user interface device 22 would be **indistinguishable** from the message which is communicated between the transceiver and the GPS device.

Claim 2 requires that the second message uses the identifier of the first message. The Office Action appears to refer to physical buttons in Kennedy III as allegedly disclosing message identifiers. The Applicant is puzzled how the illustration of a button anticipates a message identifier. Clarification is respectfully requested.

Claim 3 requires receiving the first message in the retrofit apparatus. The Office Action refers to col. 5, line 58 which reads "placed to a local '911' number". The quoted line appears to have no relationship with receiving a message in a retrofit apparatus. Clarification is respectfully requested. Claim 3 requires **receiving the first message** in the retrofit apparatus. I.e. the retrofit apparatus must receive the message communicated between the first apparatus and the second apparatus. Given the Applicant's understanding of the rejection, Kennedy III would have to disclose that the user interface 22 / buttons 36 receive a message that is communicated between the GPS device and the transceiver. The applicant respectfully submits that there is no such disclosure by Kennedy III nor is there any reason to believe that the buttons of a user interface **receive** messages at all.

Claim 4 requires that the retrofit apparatus **re-**transmits messages received on the vehicle data bus (212) to the factory-installed first apparatus (200). To anticipate claim 4, Kennedy III would have to disclose buttons which receive a message and then re-transmit that message on the communication bus 32. There is no such disclosure in the cited col. 11, line 4 ("In one embodiment, processor 38 determines the priority") nor anywhere else in Kennedy III.

Regarding claim 6, the Applicant believes the Office Action considered the following relationships:

Element of claims 6	Equivalent element in Kennedy III
A vehicle, comprising:	Vehicle 25.
a factory-installed first apparatus (200)	Cellular transceiver 42 and handset 92 included
	therein (element 42/92).
including a first processor	Not disclosed by Kennedy III.
which is programmed to receive a first	Not disclosed by Kennedy III.
message	
on a vehicle data bus (212)	Communication bus 32.

from a factory-installed second apparatus	GPS device 48.
(218); and	
the first message having a message	Not disclosed by Kennedy III.
identifier; and	
a retrofit apparatus (214) connected to the	Element 36/22 (user interface and buttons)
vehicle data bus (212)	
including a second processor	Not disclosed by Kennedy III.
programmed to transmit a second	Not disclosed by Kennedy III.
message	
which mimics the first message.	Not disclosed by Kennedy III.

Kennedy III fails to disclose each and every element of claim 6. In particular:

- 1. Kennedy III's cellular transceiver 42/92 does not include a first processor as required by claim 6. Kennedy III's processor 38 is external to the cellular transceiver 42/92.
- 2. Given that Kennedy III fails to disclose the first processor in the cellular transceiver, he inherently fails to disclose the first processor being programmed to receive a first message.
- 3. Kennedy III fails to disclose a retrofit apparatus or distinguish between factory-installed and retrofitted elements. Kennedy specifically states that "[e]ach mobile unit 12 includes at least a user interface 22" (col. 3, line 58). The user interface device 22 is an essential part of the mobile unit 12 and can not be added separately from the element 42/92 and the GPS device 48. The user interface 22 can thus not be considered a retrofit apparatus. Nothing in Kennedy III suggests that the user interface device 22 is installed as part of a retrofit while the GPS device 48 and the element 42/92 are factory-installed.
- 4. Kennedy III fails to disclose a second processor within the retrofit apparatus.
- 5. Given that Kennedy III fails to disclose the second processor in the user interface device he inherently fails to disclose the second processor being programmed to receive a second message.
- 6. Nothing in Kennedy III discloses or suggests a second message which mimics the first message.

Claim 7 requires that the first message comprises a message identifier that has been assigned to the factory-installed second apparatus and wherein the second processor is programmed to transmit the second message with the same message identifier. The Office Action points to physical elements in the drawings, in particular to the transceiver 42 and the GPS device 48, but fails to identify any messages or message identifiers. The Applicant respectfully submits that Kennedy III does not disclose two messages with the same identifier (i.e. a second message **spoofing** a first message) as required by claim 7.

In rejecting claim 9 the Office Action states that Kennedy III discloses the vehicle data bus being a network. While Kennedy III does recite a "wireline network" he fails to disclose specifically a **Controller Area Network (CAN)** network as required by claim 9.

Regarding claim 10, the Applicant believes the Office Action considered the following relationships:

Element of claims 10	Equivalent element in Kennedy III
A vehicle, comprising:	Vehicle 25.
a factory-installed first apparatus (200)	Cellular transceiver 42 and handset 92 included
	therein (element 42/92).
including a first processor,	Not disclosed by Kennedy III.
programmed to receive a first message	Not disclosed by Kennedy III.
via a vehicle data bus (212)	Communication bus 32.
from a factory-installed second apparatus	GPS device 48.
(218),	
the first message having a message	Not disclosed by Kennedy III.
identifier; and	
a retrofit apparatus (214), operatively connected	Element 36/22 (user interface and buttons)
to the vehicle data bus (212),	
including a second processor	Not disclosed by Kennedy III.
programmed to send a second	Not disclosed by Kennedy III.

message	
having the same message identifier.	Not disclosed by Kennedy III.

Kennedy III fails to disclose each and every element of claim 10. In particular:

- 1. Kennedy III's cellular transceiver 42/92 does not include a first processor as required by claim 10. Kennedy III's processor 38 is external to the cellular transceiver 42/92.
- 2. Given that Kennedy III fails to disclose the first processor in the cellular transceiver he inherently fails to disclose the first processor being programmed to receive a first message.
- 3. Kennedy III fails to disclose a retrofit apparatus or distinguish between factory-installed and retrofitted elements. Kennedy specifically states that "[e]ach mobile unit 12 includes at least a user interface 22" (col. 3, line 58). The user interface device 22 is an essential part of the mobile unit 12 and can not be added separately from the element 42/92 and the GPS device 48. The user interface 22 can thus not be considered a retrofit apparatus. Nothing in Kennedy III suggests that the user interface device 22 is installed as part of a retrofit while the GPS device 48 and the element 42/92 are factory-installed.
- 4. Kennedy III fails to disclose a second processor within the retrofit apparatus.
- 5. Given that Kennedy III fails to disclose the second processor in the user interface device he inherently fails to disclose the second processor being programmed to receive a second message.
- 6. Nothing in Kennedy III discloses or suggests a second message which mimics a first message.

Claim 11 requires that the second message originating from the retrofit apparatus (214) is **indistinguishable** to the first apparatus (200) from the first message received from the second apparatus (218). The Office Action points to the user interface 22, the variety of buttons 36 and more specifically to the phone button 212 and the emergency assistance button 214 as allegedly disclosing this limitation. The Applicant is, again, unclear how buttons relate to indistinguishable messages. Clarification is respectfully requested.

Claim 12 requires that the factory-installed first apparatus (200) responds to the second

message originating from the retrofit apparatus (214) as if it were the first message received from

the factory-installed second apparatus (218). Given the understanding of equivalency as above, the

Examiner states that the cellular transceiver 42 responds to a message from the user interface 22 as

if it were a message from the GPS device. Nothing in Kennedy III, and certainly not the drawings,

disclose this limitation.

Claim 13 requires that the factory-installed first apparatus (200) is electrically disconnected

from the vehicle data bus (212). Kennedy III does not show the cellular transceiver 42 being

electrically disconnected from the bus 32.

Given the differences between the claimed subject matter and Kennedy III the claims, as

previously presented, are allowable over Kennedy III. Withdrawl of the rejection under 35 U.S.C.

102 is respectfully requested. Should the Examiner have any questions or wish to discuss further this

matter, please contact the undersigned at the telephone number provided below.

The USPTO is directed and authorized to charge all required fees or credit any overpayment

to deposit account number 50-4614.

Respectfully submitted,

Date: May 25, 2017

/Axel Nix/

Smartpat PLC

1180 Norfolk St.

Birmingham, MI 48009

Axel Nix

Registration No. 59,184

Tel.: (248) 854-2233

Email: axel.nix@smartpat.net

11

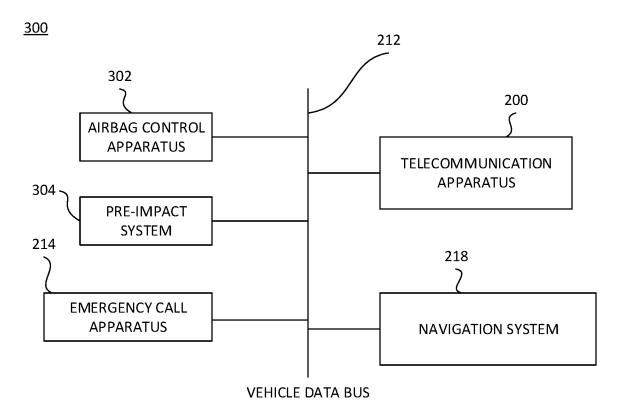


FIG. 3

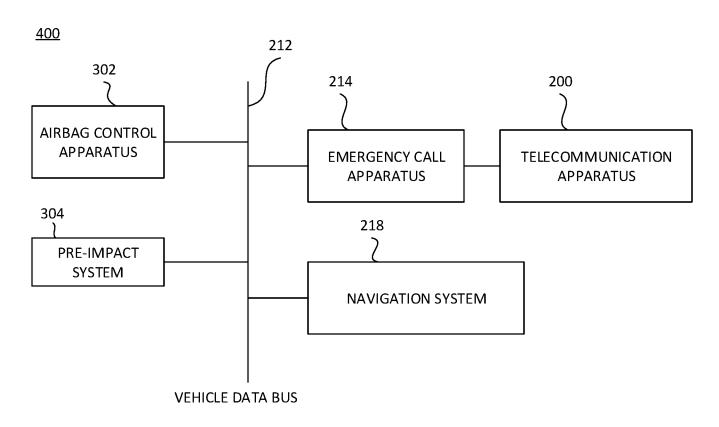


FIG. 4

Electronic Acknowledgement Receipt			
EFS ID:	29316859		
Application Number:	15482781		
International Application Number:			
Confirmation Number:	3524		
Title of Invention:	Method, apparatus and system for retrofitting a vehicle		
First Named Inventor/Applicant Name:	Axel Nix		
Customer Number:	66478		
Filer:	Bernd Axel Nix		
Filer Authorized By:			
Attorney Docket Number:	SUC01-01C3		
Receipt Date:	25-MAY-2017		
Filing Date:	09-APR-2017		
Time Stamp:	16:30:35		
Application Type:	Utility under 35 USC 111(a)		

## **Payment information:**

Submitted with Payment	no
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		20170525- SUC01-01C3_ResponseAfterOA .pdf	240364 2bcd0a4908cfc260e61f1efc3c5b3cf8ede6f 702	yes	11

	Multipart Description/PDF files in .zip description				
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	Specification		2	2	
	Drawings-only black and white line drawings		3	3	
	Applicant Arguments/Remarks Made in an Amendment		4	11	
Warnings:					
Information:					
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Information:					
		Total Files Size (in bytes)	41	17437	

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 15/482,781 04/09/2017 Axel Nix SUC01-01C3 3524 66478 05/23/2017 EXAMINER Smartpat PLC TRAN, CONGVAN Axel Nix 1180 Norfolk St. Birmingham, MI 48009 ART UNIT PAPER NUMBER 2645 NOTIFICATION DATE DELIVERY MODE 05/23/2017 ELECTRONIC

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

info@smartpat.net a.nix@gmx.de

	Application No. 15/482,781	Applicant(s) NIX, AXEL			
Office Action Summary	<b>Examiner</b> CongVan Tran	Art Unit 2645	AIA (First Inventor to File) Status No		
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filled after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filled, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).					
Status					
1) Responsive to communication(s) filed on Apr. (  A declaration(s)/affidavit(s) under 37 CFR 1.1					
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This  3) ☐ An election was made by the applicant in responsible.  ; the restriction requirement and election  4) ☐ Since this application is in condition for allowant closed in accordance with the practice under E	have been incorporated into this ace except for formal matters, pro	action. secution as to			
Disposition of Claims*					
5) Claim(s) 1-19 is/are pending in the application.  5a) Of the above claim(s) is/are withdraw  6) Claim(s) is/are allowed.  7) Claim(s) 1-7, 9-13 and 17-19 is/are rejected.  8) Claim(s) 8 and 14-16 is/are objected to.  9) Claim(s) are subject to restriction and/or  * If any claims have been determined allowable, you may be eliparticipating intellectual property office for the corresponding aphttp://www.uspto.gov/patents/init_events/pph/index.jsp or send  Application Papers  10) The specification is objected to by the Examiner  11) The drawing(s) filed on Apr. 09, 2017 is/are: a)  Applicant may not request that any objection to the or	vn from consideration.  r election requirement. gible to benefit from the Patent Prosopplication. For more information, plea an inquiry to PPHfeedback@uspto.c	ise see lov. by the Examines 37 CFR 1.85(	ner. a).		
Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  Certified copies:  a) All b) Some** c) None of the:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No.  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  ** See the attached detailed Office action for a list of the certified copies not received.					
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SPaper No(s)/Mail Date	3)				

Application/Control Number: 15/482,781 Page 2

Art Unit: 2645

#### **DETAILED ACTION**

1. The present application is being examined under the pre-AIA first to invent provisions.

#### Claim Rejections - 35 USC § 112

- 2. The following is a quotation of the first paragraph of 35 U.S.C. 112(a):
  - (a) IN GENERAL.—The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor or joint inventor of carrying out the invention.

The following is a quotation of the first paragraph of pre-AIA 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.

3. Claims 17-19 are rejected under 35 U.S.C. 112(a) or 35 U.S.C. 112 (pre-AIA), first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor or a joint inventor, or for pre-AIA the inventor(s), at the time the application was filed, had possession of the claimed invention. The "detecting objects in a frontal area of the vehicle" and "the second apparatus is part an automatic braking system/a parking aid system" were not properly described in the application as filed.

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#### Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of pre-AIA 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. Claims 1-7, 9-13 and 17-19 are rejected under pre-AIA 35 U.S.C. 102(b) as being anticipated by Kennedy, III et al. (6,535,743).

Regarding claim 1 and 5, Kennedy, III discloses a method, comprising: providing a vehicle having a factory-installed first apparatus including a processor, programmed to communicate with a factory-installed second apparatus through a vehicle data bus with a first message having an identifier (see fig.1, element 42/92, 32, 48, col.5, line 47, col.6, line 23 and its description); electrically disconnecting the vehicle data bus between the factory-installed first apparatus and the factory-installed apparatus (see fig.1, element 42/92, 32, 48, col.5, line 58 and its description); electrically connecting a retrofit apparatus to the vehicle data bus (see fig.1, 36/22, fig. 2, element 212/214, col.14, line 65 and its description); and transmitting a second message from the retrofit apparatus factory-installed first apparatus, the second message indistinguishable from the first message (see fig.1, 36/22, fig. 2, element 214, col.14, line 65 and its description).

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Art Unit: 2645

**Regarding claim 2**, Kennedy, III further discloses the second message uses identifier of the first message (see fig.1, 36/22, fig. 2, element 214, col.14, line 65 and its description).

**Regarding claim 3**, Kennedy, III further discloses receiving the message in the retrofit apparatus (see col.5, line 58).

**Regarding claim 4**, Kennedy, III further discloses the retrofit apparatus transmits messages received on the vehicle data bus to the factory-installed first apparatus (see col.11, line 4),

**Regarding claim 6**, Kennedy, III discloses a vehicle (see fig.1, element 25, col.4, line 2 and its description) comprising: a factory-installed first apparatus including a first processor which is programmed to receive a first message on a vehicle data bus from a factory-installed second apparatus (see fig.1, element 42/92, 32, 48, col.5, line 47, col.6, line 23 and its description), and a retrofit apparatus connected to the vehicle data bus including a second processor programmed to transmit a second message which mimics the first message (see fig.1, 36/22, fig. 2, element 214, col.14, line 65 and its description).

Regarding claim 7, Kennedy, III further discloses the first message comprises a message identifier that has been assigned to the factory-installed apparatus and wherein the second processor is programmed to transmit the second message with the same message identifier (see fig.1, element 42/92, 32, 48, col.5, line 47, fig. 2, element 214, col.14, line 65 and its description).

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Art Unit: 2645

**Regarding claim 9**, Kennedy, III further discloses the vehicle data bus is network (see fig.1, element 32, col.7, line 66 and its description).

Regarding claim 10, Kennedy, III discloses a vehicle, comprising: a factory-installed first apparatus including a first processor, programmed to receive a first message via a vehicle data from a factory-installed second apparatus, the first message having a message identifier (see fig,1, element 42/92, 32, 48, col.5, line 47, col.6, line 23 and its description); and a retrofit apparatus, operatively connected to the vehicle data bus, including a second processor programmed to send a second message having the same message identifier (see fig.1, 36/22, fig. 2, element 212/214, col.14, line 65 and its description).

**Regarding claim 11**, Kennedy, III further discloses the second message originating from the retrofit apparatus is indistinguishable to the first apparatus from the first message received from the second apparatus (see fig.1, 36/22, fig. 2, element 212/214, col.14, line 65 and its description)

**Regarding claim 12**, Kennedy, III further discloses the factory-installed first apparatus responds to the second message originating from the apparatus as if it were the first message received from the factory-installed second apparatus (see fig,1, element 42/92, 32, 48, col.5, line 47, col.6, line 23 and its description).

**Regarding claim 13**, Kennedy, III further discloses the factory-installed first apparatus is electrically disconnected from the vehicle data bus (see fig.1, element **42/92**, 32, **48**, col.5, line 58 and its description).

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**Regarding claims 17-19**, Kennedy, III further discloses sensors including all limitations in claims 17-19 (see fig.1, element 26/28 and its description)

#### Allowable Subject Matter

6. Claims 8 and 14-16 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### **Examiner's Note**

7. Examiner has cited particular columns and line numbers in the references applied to the claims above for the convenience of the applicant. Although the specified citations are representative of the teachings of the art and are applied to specific limitations within the individual claim, other passages and figures may apply as well. It is respectfully requested from the applicant in preparing responses, to fully consider the references in entirety as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior art or disclosed by the Examiner.

When responding to this Office Action, Applicant is advised to clearly point out the patentable novelty which he or she thinks the claims present, in view of the state of the art disclosed by the references cited or the objections made. He or she must also show how the amendments avoid such references or objections See 37 CFR 1.111 (c).

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CongVan Tran whose telephone number is (571)272-7871. The examiner can normally be reached on monday-thursday.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, George Eng can be reached on (571) 272-7495. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



#### UNITED STATES PATENT AND TRADEMARK OFFICE

/CongVan Tran/ Primary Examiner, Art Unit 2645 Application/Control Number: 15/482,781

Art Unit: 2645

# Notice of References Cited Application/Control No. 15/482,781 Examiner CongVan Tran Applicant(s)/Patent Under Reexamination NIX, AXEL Art Unit Page 1 of 1 U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	CPC Classification	US Classification
*	Α	US-6,535,743 B1	03-2003	Kennedy, III; William C.	G08G1/096883	340/988
*	В	US-2013/0159586 A1	06-2013	Kessler; Matthias	G06F13/38	710/305
*	C	US-2007/0112476 A1	05-2007	Obradovich; Michael L.	B60R16/0231	701/1
*	D	US-2004/0233045 A1	11-2004	Mays, Wesley M.	B60K35/00	340/425.5
*	Е	US-2007/0174467 A1	07-2007	Ballou; Bernard L. JR.	H04L63/0838	709/227
	F	US-				
	G	US-				
	Ι	US-				
	I	US-				
	J	US-				
	К	US-				
	L	US-				
	М	US-				

#### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	CPC Classification
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#### **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	15482781	NIX, AXEL
	Examiner	Art Unit
	CONG TRAN	2645

/ F	Rejected	-	Ca	ncelled	N	Non-El	ected	Α	Ар	peal
=	Allowed	÷	Re	stricted	ı	Interfe	rence	0	Obje	ected
Claims	renumbered	in the same	order as p	presented by ap	plicant		] CPA	□ т.п	D. 🗆	R.1.47
CL.	AIM					DATE				
Final	Original	05/16/2017								
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	2	✓								
	2	✓ ✓								
	3	√ ·								
	3 4	✓ ✓								

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U.S. Patent and Trademark Office Part of Paper No. : 20170515

# Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
15482781	NIX, AXEL
Examiner	Art Unit
CONG TRAN	2645

CPC- SEARCHED		
Symbol	Date	Examiner
H04W 76/007; H04B1/3822; H04W 4/22	5/16/17	CT

CPC COMBINATION SETS - SEARC	CHED	
Symbol	Date	Examiner

	US CLASSIFICATION SEARCHE	:D	
Class	Subclass	Date	Examiner
455	404.1 -2; 435.2; 435.3; 455/521; 445; 466; 527; 552.1	5/15/17	CT

SEARCH NOTES		
Search Notes	Date	Examiner
identifier with bit near3 "11" with vehicle	5/15/17	CT
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	INTERFERENCE SEARCH		
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
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	/CONG TRAN/ Primary Examiner.Art Unit 2645
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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## **BIB DATA SHEET**

#### **CONFIRMATION NO. 3524**

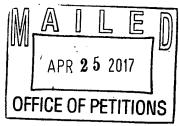
SERIAL NUM	IBER	FILING or 371(c) DATE	CLASS	GROUP ART	UNIT	NO.			
15/482,78	31	04/09/2017	710	2645		8	SUC01-01C3		
		RULE							
APPLICANT Sucxess	_	rmingham, MI;							
INVENTORS Axel Nix,		ıham, MI;							
This app	lication i	<b>\</b> ************************* s a CON of 14/846,811 CON of 11/742,574 04/	09/06/2015 PAT 9661						
** FOREIGN A	PPLICA	TIONS ***********	*****						
** <b>IF REQUIRE</b> 04/18/20		EIGN FILING LICENS	E GRANTED ** ** SMA	ALL ENTITY **					
Foreign Priority claim		Yes No Met af	STATE OR	SHEETS	TOT		INDEPENDENT		
	CONG TR	AN/ Allowa	nce COOMIT	DRAWINGS 7	CLAIMS		CLAIMS		
	Examiner's	Signature Initials	MI	/	19	,	3		
ADDRESS Smartpat Axel Nix 1180 Not Birmingh UNITED	rfolk St. am, Ml								
TITLE									
Method,	apparati	us and system for retro	fitting a vehicle						
				☐ All Fe	es				
		Authority has been sive	un in Donor	☐ 1.16 F	ees (Fil	ing)			
FILING FEE RECEIVED		Authority has been give to charge/cre	•	NT 1.17 F	☐ 1.17 Fees (Processing Ext. of time)				
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## UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

SMARTPAT PLC AXEL NIX 1180 NORFOLK ST. BIRMINGHAM MI 48009



Doc Code: TRACK1.GRANT

	Prior	Granting Request for itized Examination ck I or After RCE)	Application No.: 15/482,781				
1.	THE R	EQUEST FILED 4/9/17	IS <u>GRANTED</u> .				
	The above- A. B.	for an original nonprovisional	requirements for prioritized examination I application (Track I). g continued examination (RCE).				
2.			ndergo prioritized examination. The application will be course of prosecution until one of the following occurs:				
	A.	filing a petition for extension of	time to extend the time period for filing a reply;				
	B.	filing an amendment to amend	the application to contain more than four independent				
		claims, more than thirty total c	laims, or a multiple dependent claim;				
	C.	filing a request for continued ex	xamination;				
	D.	filing a notice of appeal;					
	E.	filing a request for suspension of	action;				
	F.	mailing of a notice of allowance;					
	G.	mailing of a final Office action;					
	H.	completion of examination as de	fined in 37 CFR 41.102; or				
	I.	abandonment of the application.					
	Telephone inquiries with regard to this decision should be directed to Cheryl Gibson-Baylor at (571)272-3213, Office of Petitions. In his/her absence, calls may be directed to Brian W. Brown, (571)272-5338.						
		oson-Baylor oson-Baylor/ ]	Petitions Paralegal Specialist (Title)				

U.S. Patent and Trademark Office PTO-2298 (Rev. 02-2012)



#### United States Patent and Trademark Office

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APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
15/482 781	04/09/2017	3661	730	SUC01-01C3	19	3

66478 Smartpat PLC Axel Nix 1180 Norfolk St. Birmingham, MI 48009 CONFIRMATION NO. 3524 FILING RECEIPT



Date Mailed: 04/21/2017

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Axel Nix, Birmingham, MI;

Applicant(s)

Sucxess LLC, Birmingham, MI;

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a CON of 14/846,811 09/06/2015 which is a CON of 11/742,574 04/30/2007 PAT 9161195

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 04/18/2017

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 15/482,781** 

page 1 of 3

**Projected Publication Date:** 07/27/2017

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Method, apparatus and system for retrofitting a vehicle

**Preliminary Class** 

701

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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#### Title 35, United States Code, Section 184

#### Title 37, Code of Federal Regulations, 5.11 & 5.15

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

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SEA	RCH FEE FR 1.16(k), (i), or (m))	N	I/A	1	V/A		N/A	300	1	N/A	
EXA	MINATION FEE FR 1.16(o), (p), or (q))	N	I/A	1	V/A		N/A	360	1	N/A	
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#### **PATENT**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: Axel Nix Inventor

Application Number :

Filing Date

Docket Number SUC01-01C3

Examiner

Title Method, apparatus and system for retrofitting a vehicle

#### PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Prior to examination upon the merits, please amend the above identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Remarks begin on page 3 of this paper.

#### IN THE SPECIFICATION

Please substitute the originally filed specification with the substitute specification which is enclosed herewith. A comparison document showing the differences between the originally filed specification and the substitute specification is also enclosed herewith.

REMARKS

By this Preliminary Amendment, the Applicant amends the title, abstract, and description of the technical field to better reflect the scope of the claims pursued in the present application and to

better comply with 37 CFR 1.72. No new matter has been added.

Favorable consideration on the merits is respectfully requested. The USPTO is directed and authorized to charge all required fees or credit any overpayment to deposit account number 50-4614.

Respectfully submitted,

Date: April 9, 2017

Smartpat PLC 1180 Norfolk St. Birmingham, MI 48009

Tel.: (248) 854-2233

Email: axel.nix@smartpat.net

/Axel Nix/ Bernd Axel Nix

Electronic Patent A	Electronic Patent Application Fee Transmittal				
Application Number:					
Filing Date:					
Title of Invention:	Method, apparatus an	nd system for retr	ofitting a vehicle		
First Named Inventor/Applicant Name:	Axel Nix				
Filer:	Bernd Axel Nix				
Attorney Docket Number:	SUC01-01C3				
Filed as Small Entity					
Filing Fees for Track   Prioritized Examination - Nonp	rovisional Applicatio	on under 35 US	C 111(a)		
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:					
UTILITY FILING FEE (ELECTRONIC FILING)	4011	1	70	70	
UTILITY SEARCH FEE	2111	1	300	300	
UTILITY EXAMINATION FEE	2311	1	360	360	
REQUEST FOR PRIORITIZED EXAMINATION	2817	1	2000	2000	
Pages:					
Claims:					
Miscellaneous-Filing:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
PUBL. FEE- EARLY, VOLUNTARY, OR NORMAL	1504	1	0	0
PROCESSING FEE, EXCEPT PROV. APPLS.	2830	1	70	70
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	Total in USD (\$)		2800

Electronic Ack	Electronic Acknowledgement Receipt			
EFS ID:	28872623			
Application Number:	15482781			
International Application Number:				
Confirmation Number:	3524			
Title of Invention:	Method, apparatus and system for retrofitting a vehicle			
First Named Inventor/Applicant Name:	Axel Nix			
Customer Number:	66478			
Filer:	Bernd Axel Nix			
Filer Authorized By:				
Attorney Docket Number:	SUC01-01C3			
Receipt Date:	09-APR-2017			
Filing Date:				
Time Stamp:	17:18:24			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$2800
RAM confirmation Number	041017INTEFSW17190500
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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	20170409_SUC01-01C3.pdf	98d21a4b3c8fb7cbf925a74ae6179fcc8026 a81e	yes	22		
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Specificat	tion	1	18			
Claims	3	19	21			
Abstract		22	2	22		
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8	Application Data Sheet	20170409-SUC01-01C3_AIA14. pdf	e5611cca2625022941786749c67dc21e48b b54b8	no	8
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	Preliminary Ame	endment	1	,	1
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Warnings:					
Information:					
Total Files Size (in bytes)		37	'67535		

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#### New Applications Under 35 U.S.C. 111

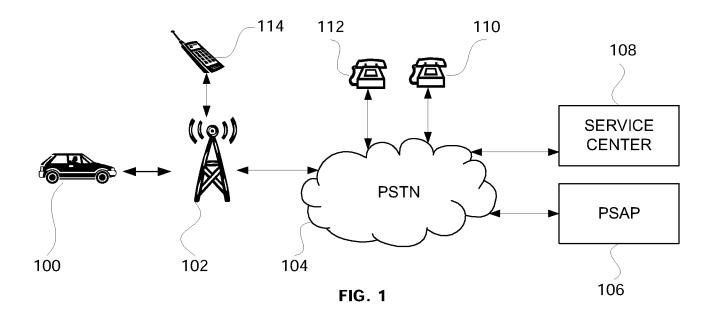
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

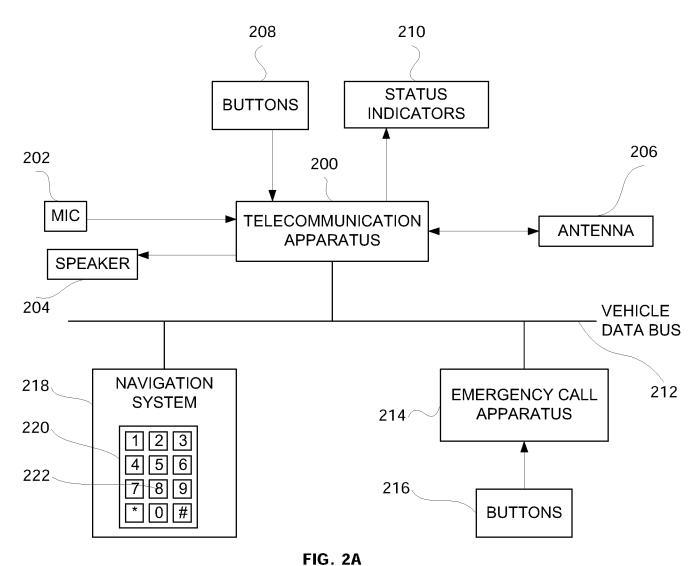
#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.





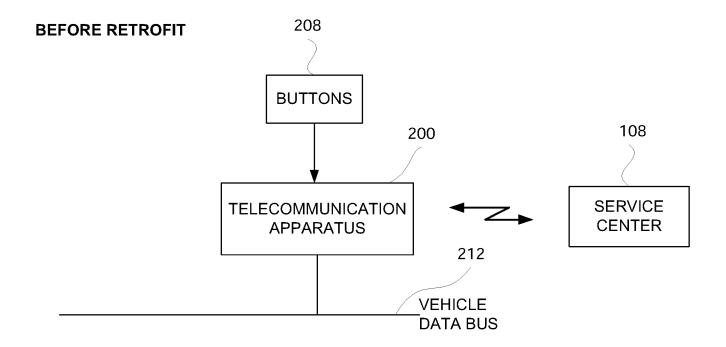


FIG. 2B (PRIOR ART)

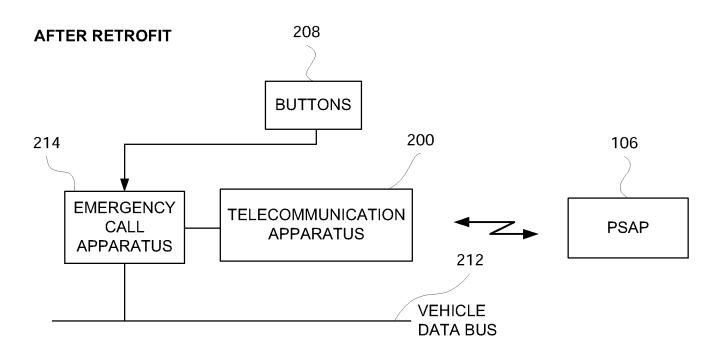


FIG. 2C

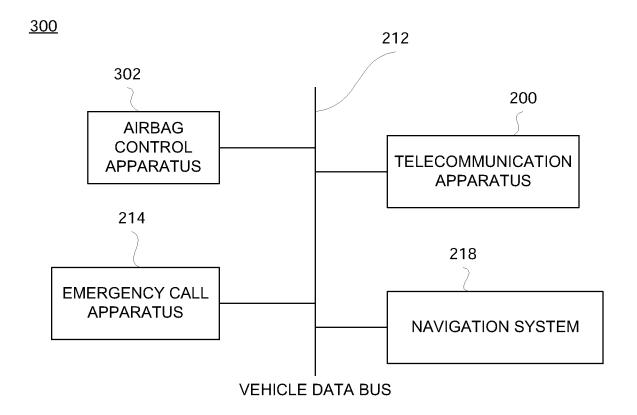


FIG. 3

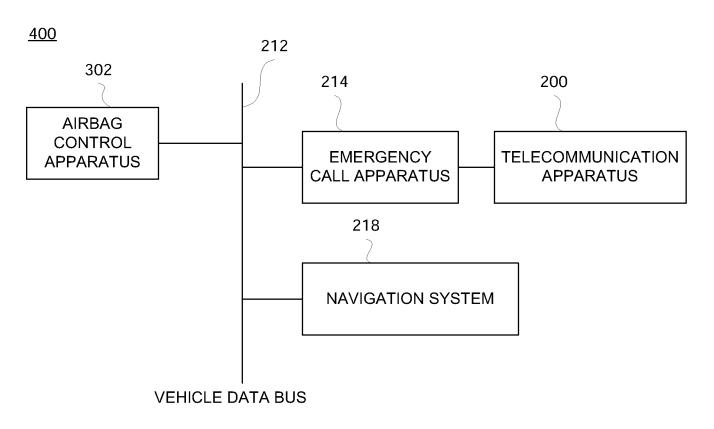


FIG. 4

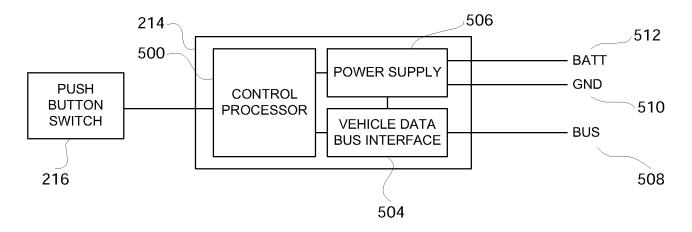
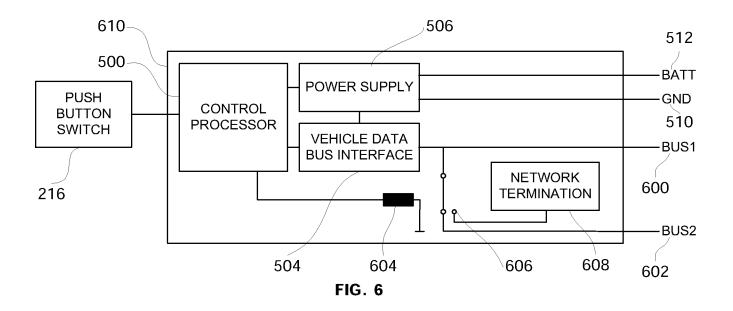
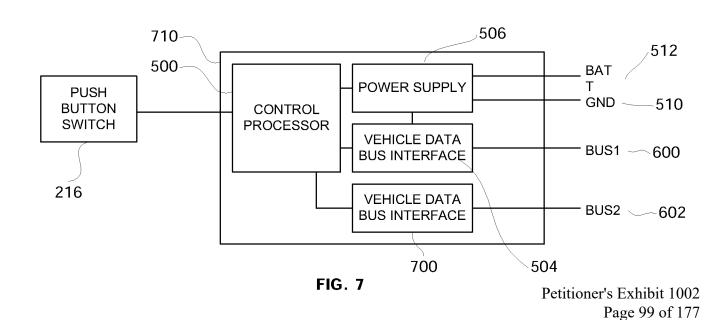


FIG. 5





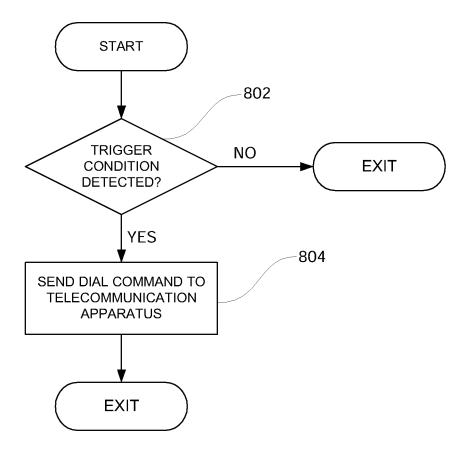


FIG. 8

FIG. 9

**EXIT** 

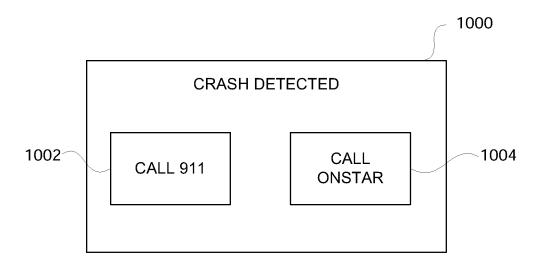


FIG. 10

# METHOD, APPARATUS AND SYSTEM FOR PLACING EMERGENCY CALLS FROM A VEHICLE

#### **TECHNICAL FIELD**

**[0001]** The present disclosure relates to a method, apparatus and system for establishing emergency communication from a vehicle and, more specifically, to a system for and a method of initiating a wireless emergency telephone call through a vehicle data bus.

#### BACKGROUND OF THE INVENTION

**[0002]** Being able to easily alert emergency responders in case of an automobile accident is highly desirable. Mobile application service providers (such as OnStar®) address this need for their subscribers by offering an emergency call button located within reach of the driver of an automobile. Upon pressing the emergency call button an emergency telephone call is placed from a cellular telephone which is embedded in the vehicle through a wireless telecommunication network and a public switched telephone network to a service center operated by the mobile application service provider. An emergency call to the service provider may also be initiated automatically, e.g. upon airbag deployment.

**[0003]** In case of an incoming emergency call the mobile application service center silently obtains vehicle position information, e.g. information from a GPS receiver that is also embedded in the vehicle. The mobile application service center as part of an emergency assistance service informs public safety authorities of the emergency and conveys the vehicle's position. The emergency assistance service is typically available only to those who register (for a fee) with the mobile application service provider. Registration with the mobile application service providers includes an underlying registration with the wireless telecommunication network. Several elements of one such system are

described in US Patent 6,812,832 (Lobaza), which is hereby incorporated by reference.

[0004] The embedded cellular telephone may also be used to place hands free telephone calls. Dialing the embedded cellular telephone may utilize a speech recognition engine that is capable of recognizing spoken telephone numbers or voice tags associated with telephone numbers. To facilitate dialing the embedded cellular telephone may also be connected to an external keypad, e.g. a telephone-style keypad located in the vehicle's dashboard or a virtual keypad displayed on a touch screen display. The external keypad may communicate with the embedded cellular telephone by sending a telephone dial command message through the vehicle communication network. The embedded cellular telephone is accordingly configured to receive telephone dial command messages on the vehicle communication network and establish a telephone call to the requested telephone number.

[0005] US law obligates commercial mobile radio service providers to transmit all wireless 911 emergency calls without respect to their call validation process to a Public Safety Answering Point (PSAP), or, where no Public Safety Answering Point has been designated, to a designated statewide default answering point or appropriate local emergency The law extends to voice capable cellular telephones authority. embedded in vehicles. An embedded cellular telephone can hence be used in an emergency to directly alert public safety authorities by dialing 911 regardless of registration status with the mobile application service provider or the underlying wireless telecommunication network. However, the user interface provided to dial 911 is inferior to single button activation and may e.g. require use of the voice recognition interface. Changes in an operator's voice during an emergency frequently cause the voice recognition engine to fail detecting a spoken "dial 9-1-1" command and thereby preclude the operator from receiving the help he is seeking.

**[0006]** What is therefore needed is a method for combining the benefits of legally mandated free wireless 911 access to a Public Safety Answering Point with the advantageous single button user interface for placing emergency calls to a mobile application service center.

#### SUMMARY OF THE INVENTION

[0007] In one aspect of the present invention an integrated vehicle communication system includes a telecommunication apparatus in communication with a vehicle data bus. An emergency call apparatus is also in communication with the vehicle data bus, the emergency call apparatus further providing an emergency call push button switch to initiate an emergency telephone call to a Public Safety Answering Point. When the emergency call push button switch is pressed the emergency call apparatus transmits a telephone dial command message including a telephone number to be dialed on the vehicle data bus. The telecommunication apparatus is configured to receive the telephone dial command and establish communication with the requested telephone number. To initiate an emergency call to a Public Safety Answering Point in the United States the emergency call apparatus may e.g. transmit a telephone dial command message requesting the telecommunication apparatus to dial "911".

**[0008]** In a further aspect the emergency call apparatus may include an input for an external switch. In this aspect the emergency call push button switch may be remote from the emergency call apparatus and may e.g. be located within reach of the driver of the vehicle whereas the emergency call apparatus may be located somewhere else hidden in the vehicle.

**[0009]** In another aspect the emergency call apparatus may be added to the vehicle during a retrofit. In this aspect the vehicle may be originally equipped with an embedded telecommunication apparatus and an

emergency call push button switch connected thereto. Before the retrofit pressing the emergency call button is detected by the telecommunication apparatus which responsive to the button press initiates an emergency call to a mobile application service center.

**[0010]** During the retrofit an emergency call apparatus is added to the vehicle. The electrical connection between the emergency call push button switch and the telecommunication apparatus is separated and the emergency call push button switch is rewired and connected to the emergency call apparatus.

**[0011]** After the retrofit pressing the emergency call push button is detected by the emergency call apparatus which responsive to the button press transmits a telephone dial command message on the vehicle data bus. The telephone dial command message may request the telecommunication apparatus to dial the telephone number 911. The telecommunication apparatus responsive to receiving the telephone dial command message establishes a voice call to a Public Safety Answering Point.

[0012] In yet another aspect the emergency call apparatus may be configured to detect a trigger condition and responsive thereto request the telecommunication apparatus to establish communication with a Public Safety Answering Point. The trigger condition may be a manual emergency call push button press. As part of an automatic emergency calling system the trigger condition may also be the receipt of one or more messages on the vehicle data bus which are reflective of a vehicle accident, e.g. a message signaling airbag deployment. Upon detecting a trigger condition the emergency call apparatus may transmit a telephone dial command message on the vehicle data bus requesting the telecommunication apparatus to dial 911. The telecommunication apparatus responsive to receiving the telephone dial command message establishes a voice call to a Public Safety Answering Point.

[0013] In still another aspect the emergency call apparatus may provide an electrically controlled switch to separate the vehicle communication network into two subnets. One subnet may be used to communicate between the emergency call apparatus and the telecommunication device and the other subnet may be used to communicate between the emergency call apparatus and the rest of the vehicle. This aspect can compensate for possible loss of communication on the vehicle data bus after a vehicle crash, e.g. because a wire within the communication network is shorted to ground or battery as a result of the crash. The emergency call apparatus may be configured to detect loss of communication and responsive thereto open the electrically controlled switch, thereby dividing the communication network into the two electrically insulated subnets. In result the emergency call apparatus separates the damaged portion of the vehicle communication network from its connection to the telecommunication apparatus and thereby regains its ability to communicate with the telecommunication apparatus and initiate an emergency call even though communication with the rest of the vehicle is no longer possible.

[0014] In yet another aspect the emergency call apparatus may provide two vehicle data bus interfaces wherein the first interface is used to communicate with the telecommunication apparatus and the second interface is used to communicate with the rest of the vehicle. aspect the emergency call apparatus acts as a bi-directional gateway between the two vehicle data bus interfaces. Messages which the emergency call apparatus receives through the first vehicle data bus interface are retransmitted through the second vehicle data bus interface. Vice versa messages received through the second vehicle data bus interface are retransmitted through the first vehicle data bus interface. The two vehicle data bus interfaces are electrically insulated from each other such that the emergency call apparatus maintains its ability to communicate with the telecommunication if apparatus even

communication with the rest of the vehicle can not be established, e.g. because a communication bus wire is shorted to ground or battery as may happen during an accident.

**[0015]** The following detailed description of the invention is merely exemplary in nature and is not intended to limit the invention or the application and uses of the invention. Furthermore, there is no intention to be bound by any theory presented in the preceding background of the invention or the following detailed description of the invention.

#### DESCRIPTION OF THE DRAWINGS

**[0016]** FIG. 1 is a schematic diagram of an exemplary wireless and a public switched telecommunication network through which emergency calls can be placed from a vehicle to a service center or Public Safety Answering Point.

**[0017]** FIG. 2A is a block diagram illustrating the vehicle portion of a wireless communication system for placing emergency calls used in the vehicle of FIG. 1.

**[0018]** FIG. 2B is a block diagram showing aspects of a prior art vehicle communication system for communicating with a mobile application service center before retrofit with an emergency call apparatus.

**[0019]** FIG. 2C is a block diagram showing aspects of a vehicle communication system for communicating with a Public Safety Answering Point after retrofit with an emergency call apparatus.

**[0020]** FIG. 3 is a block diagram illustrating a vehicle communication system showing an airbag control apparatus, a telecommunication apparatus, an emergency call apparatus and a navigation system communicating through a common vehicle data bus.

**[0021]** FIG. 4 is a block diagram illustrating an alternative embodiment of a vehicle communication system in which the telecommunication apparatus is connected to the vehicle data bus through the emergency call apparatus.

**[0022]** FIG. 5 is a block diagram illustrating an exemplary embodiment of an emergency call apparatus for a vehicle communication system configuration as shown in FIG. 3.

**[0023]** FIG. 6 is a block diagram illustrating an exemplary embodiment of an emergency call apparatus for a vehicle communication system configuration as shown in FIG. 4.

**[0024]** FIG. 7 is a block diagram of an alternative embodiment of the emergency call apparatus show in FIG 6.

[0025] FIG. 8 is a flow diagram illustrating an exemplary method for initiating an emergency call.

**[0026]** FIG. 9 is a flow diagram illustrating an alternative embodiment of the method shown in FIG. 8.

[0027] FIG. 10 is a schematic diagram of an exemplary touch screen display.

#### DETAILED DESCRIPTION

[0028] Referring to FIG. 1, there is shown a vehicle 100 featuring a mobile telecommunication apparatus, suitable for use with an embodiment of the invention, and which may be installed in the vehicle or carried into the vehicle by the subscriber. The mobile telecommunication apparatus communicates through a wireless network 102, symbolized by a local telecommunication antenna tower, with a public switched telephone network (PSTN) 104, to which are also connected telephones 110 and 112. Wireless network 102 may also communicate with other wireless telecommunication devices, here symbolized by a wireless telephone 114. The mobile telecommunication apparatus in vehicle 100, which will be described in more detail with reference to FIG. 2A, may include a cellular telephone or any other wireless device that may be registered with a cellular service provider providing general dialing capability in connection with, and operation through, PSTN 104. It may also include a cellular telephone or other wireless device that is not or that

is no longer registered with a cellular service provider so long as it provides connection with and operation through PSTN **104** with a Public Safety Answering Point (PSAP) **106**.

[0029] The telecommunication apparatus carried in vehicle 100 may have been designed to provide access to mobile application services of a service provider such as, for example, OnStar®. Mobile application services are typically provided within a subscription business model, which requires payment of a subscription fee per period, e.g. \$19.95 per month or \$199 per year. The telecommunication apparatus may have been permanently installed in the vehicle at the time of vehicle assembly and the cost of the telecommunication apparatus may have been subsidized by the service provider in anticipation of future subscription revenue if the owner or lessor of vehicle 100 registers for mobile application services. The service provider generally maintains at least one service center 108, which is connected to PSTN 104 and which the subscriber and other subscribers in other vehicles call for the mobile application services. The mobile application services may include, for example, requests for vehicle location, selection of specific points of interest and directions thereto, and emergency assistance (both requested and automatic), as well as others not named.

[0030] If the owner or lessor of vehicle 100 does not register with the service provider, e.g. to avoid the financial burden associated with a subscription, the service provider may refuse to provide mobile application services including emergency assistance. The mobile application service provider may also deactivate the telecommunication apparatus located within vehicle 100. Deactivating the telecommunication apparatus may include deregistering the cellular telephone therein from wireless network 102 so that the telecommunication apparatus can no longer gain access to wireless network 102 for general dialing and can thus no longer connect to service center 108.

**[0031]** Even if the telecommunication apparatus within vehicle **100** has been deactivated and the cellular telephone therein been deregistered from wireless network **102** both can still be used to establish a connection to a Public Safety Answering Point (PSAP). Connecting to a PSAP is independent of a subscription with the service provider or the underlying wireless network **102**. This is, especially in the United States, to comply with federal law mandating free access to a PSAP for all devices used to access a commercial mobile radio service (CMRS).

[0032] Referring now to Fig. 2A there is shown wireless telecommunication apparatus 200 in vehicle 100. The telecommunication apparatus 200 communicates voice and data through an antenna 206 with wireless network 102 and through wireless network 102 with public switched telephone network 104. The telecommunication apparatus 200 may provide "hands-free" voice communication through a microphone 202 and speaker 204. The telecommunication apparatus may include a GPS or similar navigation apparatus (not shown) which receives signals through a GPS antenna (not shown) from global positioning satellites and derives therefrom position data (e.g., the longitude and latitude and/or the speed and heading) of the apparatus. The telecommunication apparatus 200 may convert the GPS position information into a transmissible form for subsequent transmission from vehicle 100 to service center 108 or Communication between the Public Safety Answering Point 106. telecommunication apparatus 200 and service center 108 or PSAP 106 may be voice communication utilizing microphone 202 and speaker 204 and/or data communication the data comprising e.g. GPS location information.

[0033] Connected to the telecommunication apparatus 200 are one or more buttons 208 and status indicator 210. Buttons 208 provide a simple user interface for an operator, e.g. the driver or passenger in vehicle 100, to interact with the telecommunication apparatus 200. The buttons 208 may e.g. include a dedicated emergency call button. If the emergency

call button is pressed telecommunication apparatus **200** establishes voice and/or data communication with service center **108**. Status indicator **210**, which may e.g. be one or more light emitting diodes or any other form of display, provides feedback to the vehicle operator as to the status of the telecommunication apparatus **200**. Telecommunication apparatus **200** is also connected to vehicle data bus **212** to exchange messages with other electronic modules within vehicle **100** as required.

[0034] Telecommunication apparatus 200 may provide general dialing capability, e.g. to a telephone 110, 112 within the public switched telephone network 104 or to a wireless telephone 114 through wireless network 102 or any other wireless network. To facilitate dialing telecommunication apparatus 200 may include a voice recognition and activation apparatus, which responds to predetermined spoken data via microphone 202 to perform predetermined functions. It accesses a plurality of voice models stored within telecommunication apparatus 200. Each voice model includes data permitting recognition of a spoken word or phrase. The voice recognition apparatus compares received spoken data with the voice models in order to recognize those words and phrases which are defined and for performing predetermined actions in response thereto. Some voice models represent commands, such as "menu," "store," "dial," "call," etc. Other voice models represent the digits required for telephone dialing: "one," "two," etc. For example, the apparatus may be programmed to recognize the phrase "Dial 9-1-1 Dial" and respond by placing a call to a Public Safety Answering Point. General dialing capability may be initiated by the word "Dial" followed by the number, digit by digit.

[0035] Telecommunication apparatus 200 may also be configured to allow dialing utilizing a keypad which may be connected directly to communication apparatus 200 or indirectly connected to another module which communicates with telecommunication apparatus 200 through the vehicle data bus 212. In an exemplary embodiment navigation system

218 comprises a touch screen display 220 which displays a virtual telephone keypad 222. An operator may enter a telephone number he wishes to dial on the virtual keypad 222. After the telephone number has been entered navigation system 218 transmits a telephone dial command message on the vehicle data bus 212 including the telephone number to be dialed. Telecommunication apparatus 200 responsive to receiving the telephone dial command message establishes voice and/or data communication with the desired telephone number.

[0036] Quicker and easier access to dialing 911 and establishing voice and/or data communication with a Public Safety Answering Point is provided by emergency call apparatus 214, which is connected to vehicle data bus 212 and to one or more buttons 216. Buttons 216 may include an emergency call push button switch which when pressed causes emergency call apparatus 214 to transmit a telephone dial command message including a telephone number to be dialed on vehicle data bus 212. Telecommunication apparatus 200 responsive to receiving the telephone dial command message establishes voice communication with the requested telephone number, e.g. 911. Emergency call apparatus 214 and its operation are described in more detail with reference to figures 5 through 9 below.

[0037] As is shown in Fig. 2B vehicles equipped with an embedded telecommunication apparatus 200 are typically also equipped with buttons 208, one of which may be an emergency call button to initiate an **108**. 208 emergency call to service center Buttons telecommunication apparatus 200 do not serve any useful purpose if the owner or lessor of vehicle 100 does not register with the mobile The existing buttons 208 and the application service provider. telecommunication apparatus 200 may however be used when retrofitting vehicle 100 with an emergency call apparatus 214 at some time after vehicle built.

[0038] An exemplary method of retrofitting vehicle 100 is show in Fig. 2C. Vehicle 100 is retrofitted by adding emergency call apparatus 214. The electrical connection between buttons 208 and telecommunication apparatus 200 is removed and instead buttons 208 are rewired and connected to emergency call apparatus 214. Optionally the vehicle data bus connection between telecommunication apparatus 200 and vehicle data bus 212 may be disconnected and instead re-routed into the emergency call apparatus 214. A new connection is made between the emergency call apparatus 214 and vehicle data bus 212. Further, emergency call apparatus 214 is connected to vehicle battery and vehicle ground to power emergency call apparatus 214.

[0039] To enable the rewiring of buttons 208 the electrical interface between buttons 216 and emergency call apparatus 214 may be identical to the electrical interface between buttons 208 and telecommunication apparatus 200. Using an identical interface, e.g. the same resistor values in case of resistor coded switches, provides that an emergency call button 208 which is connected to and used in combination telecommunication apparatus 200 before the retrofit can be rewired and used in combination with emergency call apparatus 214 after the retrofit. This simplifies the process of retrofitting vehicle 100 which may have originally been equipped with telecommunication apparatus 200 and buttons 208 but not emergency call apparatus 214 and buttons 216.

**[0040]** Referring now to Fig. 3, there is shown a block diagram illustrating an exemplary vehicle communication system **300** including the telecommunication apparatus **200**, an airbag control apparatus **302**, the emergency call apparatus **214** and the navigation system **218**. As is shown, each system is in communication with the vehicle's data bus **212**, which may be a Class 2 or CAN vehicle data bus or any other suitable bus known in the art for electronic data communication.

[0041] Fig. 4 illustrates an alternative embodiment of the vehicle communication system 400. In this embodiment telecommunication

apparatus **200** is in communication with vehicle data bus **212** using an indirect connection made trough emergency call apparatus **214**, as will be explained in more detail with respect to Fig. 6 and Fig. 7.

[0042] Fig. 5 is a block diagram illustrating an exemplary emergency call apparatus 214. Control processor 500, which may take the form of a programmed digital computer or a custom digital processor, is operatively connected to push button switch 216. Push button switch 216 may be an emergency call button located within easy reach of the driver and labeled prominently, e.g. with a Red Cross icon or the letters "SOS". Push button switch 216 is designed to be easily found and operated by the driver or passenger of vehicle 100 even under stress. Push button switch 216 may be any suitable device that translates a human operator's intention into a signal that can be detected by control processor 500, including e.g. a momentary push button switch, a toggle switch, a rocker switch, a rotary switch or a virtual button on a touch screen display. Control processor 500 is connected to the vehicle data bus 212 through a vehicle data bus interface 504 using an electrical terminal 508. Control processor 500 and vehicle data bus interface 504 are powered by power supply 506. Power supply 506 is connected to the vehicle's power distribution system through vehicle battery terminal 512 and vehicle ground terminal 510. While emergency call apparatus 214 is shown as a stand alone unit it should be appreciated that it may also be integrated within another electronic control module in which case control processor 500, power supply 506 and vehicle data bus interface 504 may be shared with other functions.

[0043] Fig. 6 is an alternative embodiment showing an emergency call apparatus 610 which may be used in the vehicle communication system 400 shown in Fig. 4. In this example the telecommunication apparatus 200 is connected to the vehicle data bus 212 indirectly through emergency call apparatus 610. Electrical terminal 600 connects the emergency call apparatus 610 to the telecommunication communication

apparatus 200. Electrical terminal 602 connects the emergency call apparatus 610 to vehicle data bus 212 and through that to all other electronic modules communicating through vehicle data bus 212. Control processor 500 electronically controls switch 606, which may e.g. be an electromechanical relay with coil 604, or any other suitable switching device. Switch 606 is normally closed, creating a short circuit between electrical terminals 600 and 602. In case of a crash the vehicle communication system 400 may be damaged, e.g. may deformation to the vehicle's sheet metal have caused a wire of vehicle data bus 212 to be shortened to vehicle ground or battery, making communication on vehicle data bus 212 impossible. Control processor 500 is configured to detect such damage to the communication system by monitoring its vehicle data bus interface **504**. If damage to the communication system is detected control processor 500 restores communication with the telecommunication device 200 by opening switch 606 and thereby disconnecting the damaged part of the vehicle communication system 400 from vehicle data bus interface 504. In its open position switch 606 may cause vehicle data bus interface 504 to be connected to a network termination element 608, simulating a network termination usually present in the now disconnected vehicle communication system. Network termination may consist of a pull-up or pull-down resistor or any other electronic circuit known in the art of electronic communication for terminating communication networks.

[0044] Fig. 7 shows another alternative embodiment of emergency call apparatus 710. In this example control processor 500 communicates with telecommunication apparatus 200 through vehicle data bus interface 504 and electrical terminal 600. It is also communicates with other electronic modules connected to the vehicle data bus 212 through a second vehicle data bus interface 700 and electrical terminal 602. Vehicle data bus interface 504 and vehicle data bus 700 are electrically insulated from each other so that damage to the vehicle data bus 212 does not affect the

ability 500 of control processor to communicate with the telecommunication device 200 through vehicle data bus interface 504. During normal operation control processor 500 is configured to act as bidirectional gateway between vehicle data bus interface 504 and vehicle data bus **700**. Control processor 500 re-transmits any messages it receives from vehicle data bus interface 504 through vehicle data bus interface 700 and any messages it receives from vehicle data bus interface **700** through vehicle data bus interface **504**, thereby functionally connecting telecommunication apparatus 200 with vehicle data bus 212. [0045] FIG. 8 is a flow diagram illustrating an exemplary method 800 that may be implemented in process controller 500. Process controller **500** is configured to detect a trigger condition in block **802**. The trigger condition may be a manual operator request to initiate an emergency call, e.g. by pressing emergency call push button switch 216. The trigger condition may also be the receipt of a predetermined message or a combination of predetermined messages on vehicle data bus 212. predetermined message or messages may e.g. reflect that the airbag control apparatus 302 has inflated an airbag in vehicle 100. Other suitable messages that may act as a trigger for automatic emergency calling include a message from an object detection apparatus indicating that vehicle 100 was involved in a collision, a message indicating vehicle deceleration above a predetermined threshold or any other message or combination of messages which indicate that vehicle 100 was involved in a severe accident which may have caused the occupants within vehicle **100** to be injured and no longer be able to manually initiate an emergency call.

[0046] If the trigger condition in block 802 is detected then in step 804 process controller 500 sends a telephone dial command message to the telecommunication apparatus 200. The telephone dial command consists of or is part of a predetermined message on the vehicle data bus containing a telephone number to be dialed. Telecommunication

apparatus **200** is configured to receive the telephone dial command and responsive thereto establish voice and/or data communication through wireless network **102** and PSTN **104** with the desired telephone number. For emergency use in the United States the telephone number requested in step **804** will typically be "911" to establish communication with a PSAP.

[0047] As described earlier with respect to Fig. 2B and Fig. 2C emergency call apparatus 214 may be retrofitted into a vehicle 100 at some time after the vehicle has been built. In case of a retrofit telecommunication apparatus 200 may not haven been designed for use with the emergency call apparatus 214. In particular, telecommunication apparatus 200 may not have been configured to receive a telephone dial command message on vehicle data bus 212 that is originating from emergency call apparatus 214. Telecommunication apparatus 200 may however have been configured to receive telephone dial command messages on vehicle data bus 212 that are originating from other devices, for example navigation system 218. To operate under these circumstances emergency call apparatus 214 may be configured to mimic the telephone dial command message originating e.g. from navigation system **218**. To mimic the dial command message emergency call apparatus 214 uses the same message identifier segment that has been assigned to navigation system 218 when transmitting its telephone dial command message. By sharing the same message identifier segment a telephone dial command message originating from emergency call apparatus 214 and a telephone dial command message originating from navigation system 218 become indistinguishable for the telecommunication apparatus 200. Telecommunication apparatus 200 hence responds properly to a telephone dial command message originating from emergency call apparatus 214 even though it may not have been designed for this purpose. While emergency call apparatus 214 shares the same message identifier segment with navigation system

equipped with navigation system 218. It is sufficient if telecommunication apparatus 200 is configured to respond to telephone dial command messages on the vehicle data 212 bus irrespective of whether the potential transmitter of such a message is actually present in the vehicle.

[0048] Table 1 illustrates the structure of an exemplary vehicle data bus message. As illustrated the message consist of an identifier segment, which in case of CAN messages may e.g. be 11 or 29 bits long, and a data segment carrying the message payload, which may be up to 8 bytes long. To avoid message collision vehicle communication networks usually use unique identifier segments for each transmitting module, if the same message is originating from more than one module. Modules connected to the communication network are configured to respond to predetermined messages which are distinguished from other messages by their identifier segments.

218 it should be understood that vehicle 100 need not necessarily be

Table 1

	Identifier Segment	Data Segment							
	11 bit or 29 bit	0 to 8 bytes							
Example	0x0CF00400	39	31	31	23	FF	FF	FF	FF

[0049] To avoid the unlikely but possible collision of two telephone dial command messages issued simultaneously by both the navigation system 218 and the emergency call apparatus 214 the emergency call apparatus 214 may in a vehicle communication system configuration 400 actively prevent such collision. Accordingly control processor 500 in an embodiment as shown in Fig. 6 may in a first step open switch 606 so that the navigation system 218 is no longer connected to the telecommunication apparatus 200 before control processor 500 in a second step transmits its telephone dial command message to the telecommunication apparatus 200. Control processor 500 in an embodiment as shown in Fig. 7 may selectively suppress forwarding a

telephone dial command received from the navigation system **218** through vehicle data bus interface **700** while transmitting its own telephone dial command through vehicle data bus interface **504**.

[0050] Fig. 9 is a flow diagram showing an alternative exemplary embodiment of the method illustrated in Fig. 8. This embodiment is suitable for example for vehicles in which the emergency call apparatus 214 is integrated with the navigation system 218 and where the navigation system 218 is connected to a display. If in step 802 a trigger condition, e.g. an airbag deployment, is detected the emergency call apparatus displays or causes to be displayed an emergency screen 1000 comprising a prominent user interface to activate an emergency call. If in step 904 an emergency call is requested the emergency call apparatus in step 804 sends a telephone dial command to telecommunication apparatus 200.

[0051] Finally, an exemplary emergency screen 1000 as may e.g. be used within a touch screen navigation display is shown in Fig. 10. Emergency screen 1000 comprises virtual button 1002 to call PSAP 106 and virtual button 1004 to call service center 108.

[0052] While the invention has been described with reference to a preferred embodiment(s), it will be understood by those skilled in the art that various changes may be made and equivalents may be substituted for elements thereof without departing from the scope of the invention. In addition, many modifications may be made to adapt a particular situation or material to the teachings of the invention without departing from the essential scope thereof. Therefore, it is intended that the invention not be limited to the particular embodiment disclosed as the best mode contemplated for carrying out this invention, but that the invention will include all embodiments falling within the scope of the appended claims.

What is claimed is:

1. A method, comprising:

providing a vehicle having a factory-installed first apparatus (200) including a processor, programmed to communicate with a factory-installed second apparatus (218) through a vehicle data bus (212)

with a first message having an identifier;

electrically disconnecting the vehicle data bus (212) between the factory-installed first apparatus (200) and the factory-installed second

apparatus (218);

electrically connecting a retrofit apparatus (214) to the vehicle data bus

(212); and

transmitting a second message from the retrofit apparatus (214) to the

factory-installed first apparatus (200), the second message being

indistinguishable from the first message.

2. The method as in claim 1, wherein the second message uses the

identifier of the first message.

3. The method as in claim 1, further comprising receiving the first

message in the retrofit apparatus (214).

4. The method as in claim 3, wherein the retrofit apparatus (214) re-

transmits messages received on the vehicle data bus (212) to the factory-

installed first apparatus (200).

5. The vehicle that has been retrofitted according to the method as in

claim 1.

6. A vehicle, comprising:

a factory-installed first apparatus (200) including a first processor which is programmed to receive a first message on a vehicle data bus (212) from a factory-installed second apparatus (218); and

- a retrofit apparatus (214) connected to the vehicle data bus (212) including a second processor programmed to transmit a second message which mimics the first message.
- 7. The vehicle as in claim 6, wherein the first message comprises a message identifier that has been assigned to the factory-installed second apparatus and wherein the second processor is programmed to transmit the second message with the same message identifier.
- 8. The vehicle as in claim 7, wherein the message identifier is an 11 bit or 29 bit CAN ID.
- 9. The vehicle as in claim 6, wherein the vehicle data bus (212) is a CAN network.
- 10. A vehicle, comprising:
- a factory-installed first apparatus (200) including a first processor, programmed to receive a first message via a vehicle data bus (212) from a factory-installed second apparatus (218), the first message having a message identifier; and
- a retrofit apparatus (214), operatively connected to the vehicle data bus (212), including a second processor programmed to send a second message having the same message identifier.
- 11. The vehicle as in claim 10, wherein the second message originating from the retrofit apparatus (214) is indistinguishable to the first apparatus (200) from the first message received from the second apparatus (218).

12. The vehicle as in claim 10, wherein the factory-installed first apparatus

(200) responds to the second message originating from the retrofit

apparatus (214) as if it were the first message received from the factory-

installed second apparatus (218).

13. The vehicle as in claim 10, wherein the factory-installed first apparatus

(200) is electrically disconnected from the vehicle data bus (212).

14. The vehicle as in claim 13, wherein the factory-installed first apparatus

(200) communicates with the retrofit apparatus (214) through a second

data bus.

15. The vehicle as in claim 14, wherein the retrofit apparatus (214) is a

gateway through which the factory-installed first apparatus (200) transmits

and/or receives messages from the vehicle data bus (212).

16. The vehicle as in claim 14, wherein the retrofit apparatus (214)

selectively suppresses forwarding messages received from the factory-

installed first apparatus (200) to the vehicle data bus.

17. The vehicle as in claim 10, wherein the factory-installed second

apparatus is an object sensor capable of detecting objects in a frontal

area of the vehicle.

18. The vehicle as in claim 10, wherein the factory-installed second

apparatus is part of an automatic braking system.

19. The vehicle as in claim 10, wherein the factory-installed second

apparatus is part of a parking aid system.

### **ABSTRACT**

A system, apparatus, and method are provided for placing emergency calls from a vehicle to a Public Safety Answering Point. An emergency call apparatus is configured to detect a trigger condition and, if the trigger condition is detected, send a telephone dial command through a vehicle communication network to a telecommunication apparatus to establish voice communication with the Public Safety Answering Point. A method is provided of retrofitting a vehicle with embedded telecommunication apparatus to enable single button access to emergency services without the need for a fee based subscription.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Axel Nix

Application Number :

Filing Date :

Docket Number : SUC01-01C3

Examiner :

Title : Method, apparatus and system for retrofitting a vehicle

#### RETRACTION OF ARGUMENTS MADE IN PARENT APPLICATIONS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Madam:

This application is a continuation of U.S. patent application Serial No. 14/846,811, filed 09-06-2015, which is a continuation of U.S. patent application Serial No. 11/742,574, filed 04-30-2007. Applicant notes that disclaimer of subject matter made during an earlier prosecution can be rescinded, permitting recapture of the disclaimed scope, so long as sufficiently clear notice is given to the U.S. Patent and Trademark Office, so that the U.S. Patent and Trademark Office can consider any prior disclaimer and any previously cited relevant prior art *Hakim v. Cannon Avent Group*, *PLC et al.*, 47 F.3d 1313, 1398 (Fed. Cir. 2007) (affirming the district court grant of summary judgment of non-infringement based on a limiting claim construction per file wrapper estoppel in a parent application where the patentee had in the child application not expressly rescinded any disclaimer effect of prosecution in the parent application).

Therefore, this is to provide such clear notice to the U.S. Patent and Trademark Office that for purposes of the present application only, Applicants hereby rescind any disclaimer and argument, express or implied, made during the prosecution of the above-referenced prior applications.

Accordingly, Applicant respectfully notes for the record that any arguments, disclaimers, and/or other actions taken with regard to the claims prosecuted in the above-referenced U.S. Patent Applications are not to be imputed or otherwise applied to the claims in the present application unless expressly repeated by the Applicant during prosecution of the present application.

	Respectfully submitted,
Date: April 9, 2017	/Axel Nix/
Smartpat PLC	Axel Nix

Smartpat PLC 1180 Norfolk St. Birmingham, MI 48009

Tel.: (248) 854-2233

Email: axel.nix@smartpat.net

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# METHOD, APPARATUS AND SYSTEM FOR RETROFITTING A VEHICLE

#### **TECHNICAL FIELD**

**[0001]** The present disclosure relates to a method, apparatus and system for retrofitting a vehicle and, more specifically, to a system for and a method of retrofitting a vehicle having a data bus.

### BACKGROUND OF THE INVENTION

**[0002]** Being able to easily alert emergency responders in case of an automobile accident is highly desirable. Mobile application service providers (such as OnStar®) address this need for their subscribers by offering an emergency call button located within reach of the driver of an automobile. Upon pressing the emergency call button an emergency telephone call is placed from a cellular telephone which is embedded in the vehicle through a wireless telecommunication network and a public switched telephone network to a service center operated by the mobile application service provider. An emergency call to the service provider may also be initiated automatically, e.g. upon airbag deployment.

**[0003]** In case of an incoming emergency call the mobile application service center silently obtains vehicle position information, e.g. information from a GPS receiver that is also embedded in the vehicle. The mobile application service center as part of an emergency assistance service informs public safety authorities of the emergency and conveys the vehicle's position. The emergency assistance service is typically available only to those who register (for a fee) with the mobile application service provider. Registration with the mobile application service providers includes an underlying registration with the wireless telecommunication network. Several elements of one such system are

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described in US Patent 6,812,832 (Lobaza), which is hereby incorporated by reference.

[0004] The embedded cellular telephone may also be used to place hands free telephone calls. Dialing the embedded cellular telephone may utilize a speech recognition engine that is capable of recognizing spoken telephone numbers or voice tags associated with telephone numbers. To facilitate dialing the embedded cellular telephone may also be connected to an external keypad, e.g. a telephone-style keypad located in the vehicle's dashboard or a virtual keypad displayed on a touch screen display. The external keypad may communicate with the embedded cellular telephone by sending a telephone dial command message through the vehicle communication network. The embedded cellular telephone is accordingly configured to receive telephone dial command messages on the vehicle communication network and establish a telephone call to the requested telephone number.

[0005] US law obligates commercial mobile radio service providers to transmit all wireless 911 emergency calls without respect to their call validation process to a Public Safety Answering Point (PSAP), or, where no Public Safety Answering Point has been designated, to a designated statewide default answering point or appropriate local emergency authority. The law extends to voice capable cellular telephones embedded in vehicles. An embedded cellular telephone can hence be used in an emergency to directly alert public safety authorities by dialing 911 regardless of registration status with the mobile application service provider or the underlying wireless telecommunication network. However, the user interface provided to dial 911 is inferior to single button activation and may e.g. require use of the voice recognition interface. Changes in an operator's voice during an emergency frequently cause the voice recognition engine to fail detecting a spoken "dial 9-1-1" command and thereby preclude the operator from receiving the help he is seeking.

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**[0006]** What is therefore needed is a method for combining the benefits of legally mandated free wireless 911 access to a Public Safety Answering Point with the advantageous single button user interface for placing emergency calls to a mobile application service center.

#### SUMMARY OF THE INVENTION

[0007] In one aspect of the present invention an integrated vehicle communication system includes a telecommunication apparatus in communication with a vehicle data bus. An emergency call apparatus is also in communication with the vehicle data bus, the emergency call apparatus further providing an emergency call push button switch to initiate an emergency telephone call to a Public Safety Answering Point. When the emergency call push button switch is pressed the emergency call apparatus transmits a telephone dial command message including a telephone number to be dialed on the vehicle data bus. The telecommunication apparatus is configured to receive the telephone dial command and establish communication with the requested telephone number. To initiate an emergency call to a Public Safety Answering Point in the United States the emergency call apparatus may e.g. transmit a telephone dial command message requesting the telecommunication apparatus to dial "911".

**[0008]** In a further aspect the emergency call apparatus may include an input for an external switch. In this aspect the emergency call push button switch may be remote from the emergency call apparatus and may e.g. be located within reach of the driver of the vehicle whereas the emergency call apparatus may be located somewhere else hidden in the vehicle.

**[0009]** In another aspect the emergency call apparatus may be added to the vehicle during a retrofit. In this aspect the vehicle may be originally equipped with an embedded telecommunication apparatus and an

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emergency call push button switch connected thereto. Before the retrofit pressing the emergency call button is detected by the telecommunication apparatus which responsive to the button press initiates an emergency call to a mobile application service center.

**[0010]** During the retrofit an emergency call apparatus is added to the vehicle. The electrical connection between the emergency call push button switch and the telecommunication apparatus is separated and the emergency call push button switch is rewired and connected to the emergency call apparatus.

**[0011]** After the retrofit pressing the emergency call push button is detected by the emergency call apparatus which responsive to the button press transmits a telephone dial command message on the vehicle data bus. The telephone dial command message may request the telecommunication apparatus to dial the telephone number 911. The telecommunication apparatus responsive to receiving the telephone dial command message establishes a voice call to a Public Safety Answering Point.

[0012] In yet another aspect the emergency call apparatus may be configured to detect a trigger condition and responsive thereto request the telecommunication apparatus to establish communication with a Public Safety Answering Point. The trigger condition may be a manual emergency call push button press. As part of an automatic emergency calling system the trigger condition may also be the receipt of one or more messages on the vehicle data bus which are reflective of a vehicle accident, e.g. a message signaling airbag deployment. Upon detecting a trigger condition the emergency call apparatus may transmit a telephone dial command message on the vehicle data bus requesting the telecommunication apparatus to dial 911. The telecommunication apparatus responsive to receiving the telephone dial command message establishes a voice call to a Public Safety Answering Point.

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[0013] In still another aspect the emergency call apparatus may provide an electrically controlled switch to separate the vehicle communication network into two subnets. One subnet may be used to communicate between the emergency call apparatus and the telecommunication device and the other subnet may be used to communicate between the emergency call apparatus and the rest of the vehicle. This aspect can compensate for possible loss of communication on the vehicle data bus after a vehicle crash, e.g. because a wire within the communication network is shorted to ground or battery as a result of the crash. The emergency call apparatus may be configured to detect loss of communication and responsive thereto open the electrically controlled switch, thereby dividing the communication network into the two electrically insulated subnets. In result the emergency call apparatus separates the damaged portion of the vehicle communication network from its connection to the telecommunication apparatus and thereby regains its ability to communicate with the telecommunication apparatus and initiate an emergency call even though communication with the rest of the vehicle is no longer possible.

[0014] In yet another aspect the emergency call apparatus may provide two vehicle data bus interfaces wherein the first interface is used to communicate with the telecommunication apparatus and the second interface is used to communicate with the rest of the vehicle. aspect the emergency call apparatus acts as a bi-directional gateway between the two vehicle data bus interfaces. Messages which the emergency call apparatus receives through the first vehicle data bus interface are retransmitted through the second vehicle data bus interface. Vice versa messages received through the second vehicle data bus interface are retransmitted through the first vehicle data bus interface. The two vehicle data bus interfaces are electrically insulated from each other such that the emergency call apparatus maintains its ability to communicate with the telecommunication apparatus even if

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communication with the rest of the vehicle can not be established, e.g. because a communication bus wire is shorted to ground or battery as may happen during an accident.

**[0015]** The following detailed description of the invention is merely exemplary in nature and is not intended to limit the invention or the application and uses of the invention. Furthermore, there is no intention to be bound by any theory presented in the preceding background of the invention or the following detailed description of the invention.

#### DESCRIPTION OF THE DRAWINGS

**[0016]** FIG. 1 is a schematic diagram of an exemplary wireless and a public switched telecommunication network through which emergency calls can be placed from a vehicle to a service center or Public Safety Answering Point.

**[0017]** FIG. 2A is a block diagram illustrating the vehicle portion of a wireless communication system for placing emergency calls used in the vehicle of FIG. 1.

**[0018]** FIG. 2B is a block diagram showing aspects of a prior art vehicle communication system for communicating with a mobile application service center before retrofit with an emergency call apparatus.

**[0019]** FIG. 2C is a block diagram showing aspects of a vehicle communication system for communicating with a Public Safety Answering Point after retrofit with an emergency call apparatus.

**[0020]** FIG. 3 is a block diagram illustrating a vehicle communication system showing an airbag control apparatus, a telecommunication apparatus, an emergency call apparatus and a navigation system communicating through a common vehicle data bus.

**[0021]** FIG. 4 is a block diagram illustrating an alternative embodiment of a vehicle communication system in which the telecommunication apparatus is connected to the vehicle data bus through the emergency call apparatus.

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**[0022]** FIG. 5 is a block diagram illustrating an exemplary embodiment of an emergency call apparatus for a vehicle communication system configuration as shown in FIG. 3.

**[0023]** FIG. 6 is a block diagram illustrating an exemplary embodiment of an emergency call apparatus for a vehicle communication system configuration as shown in FIG. 4.

**[0024]** FIG. 7 is a block diagram of an alternative embodiment of the emergency call apparatus show in FIG 6.

**[0025]** FIG. 8 is a flow diagram illustrating an exemplary method for initiating an emergency call.

**[0026]** FIG. 9 is a flow diagram illustrating an alternative embodiment of the method shown in FIG. 8.

[0027] FIG. 10 is a schematic diagram of an exemplary touch screen display.

#### **DETAILED DESCRIPTION**

[0028] Referring to FIG. 1, there is shown a vehicle 100 featuring a mobile telecommunication apparatus, suitable for use with an embodiment of the invention, and which may be installed in the vehicle or carried into the vehicle by the subscriber. The mobile telecommunication apparatus communicates through a wireless network 102, symbolized by a local telecommunication antenna tower, with a public switched telephone network (PSTN) 104, to which are also connected telephones 110 and 112. Wireless network 102 may also communicate with other wireless telecommunication devices, here symbolized by a wireless telephone 114. The mobile telecommunication apparatus in vehicle 100, which will be described in more detail with reference to FIG. 2A, may include a cellular telephone or any other wireless device that may be registered with a cellular service provider providing general dialing capability in connection with, and operation through, PSTN 104. It may also include a cellular telephone or other wireless device that is not or that

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is no longer registered with a cellular service provider so long as it provides connection with and operation through PSTN **104** with a Public Safety Answering Point (PSAP) **106**.

[0029] The telecommunication apparatus carried in vehicle 100 may have been designed to provide access to mobile application services of a service provider such as, for example, OnStar®. Mobile application services are typically provided within a subscription business model, which requires payment of a subscription fee per period, e.g. \$19.95 per month or \$199 per year. The telecommunication apparatus may have been permanently installed in the vehicle at the time of vehicle assembly and the cost of the telecommunication apparatus may have been subsidized by the service provider in anticipation of future subscription revenue if the owner or lessor of vehicle 100 registers for mobile application services. The service provider generally maintains at least one service center 108, which is connected to PSTN 104 and which the subscriber and other subscribers in other vehicles call for the mobile application services. The mobile application services may include, for example, requests for vehicle location, selection of specific points of interest and directions thereto, and emergency assistance (both requested and automatic), as well as others not named.

[0030] If the owner or lessor of vehicle 100 does not register with the service provider, e.g. to avoid the financial burden associated with a subscription, the service provider may refuse to provide mobile application services including emergency assistance. The mobile application service provider may also deactivate the telecommunication apparatus located within vehicle 100. Deactivating the telecommunication apparatus may include deregistering the cellular telephone therein from wireless network 102 so that the telecommunication apparatus can no longer gain access to wireless network 102 for general dialing and can thus no longer connect to service center 108.

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**[0031]** Even if the telecommunication apparatus within vehicle **100** has been deactivated and the cellular telephone therein been deregistered from wireless network **102** both can still be used to establish a connection to a Public Safety Answering Point (PSAP). Connecting to a PSAP is independent of a subscription with the service provider or the underlying wireless network **102**. This is, especially in the United States, to comply with federal law mandating free access to a PSAP for all devices used to access a commercial mobile radio service (CMRS).

[0032] Referring to Fig. 2A there is wireless now shown telecommunication apparatus 200 in vehicle 100. The telecommunication apparatus 200 communicates voice and data through an antenna 206 with wireless network 102 and through wireless network 102 with public switched telephone network 104. The telecommunication apparatus 200 may provide "hands-free" voice communication through a microphone 202 and speaker 204. The telecommunication apparatus may include a GPS or similar navigation apparatus (not shown) which receives signals through a GPS antenna (not shown) from global positioning satellites and derives therefrom position data (e.g., the longitude and latitude and/or the speed and heading) of the apparatus. The telecommunication apparatus 200 may convert the GPS position information into a transmissible form for subsequent transmission from vehicle 100 to service center 108 or Public Safety Answering Point 106. Communication between the telecommunication apparatus 200 and service center 108 or PSAP 106 may be voice communication utilizing microphone 202 and speaker 204 and/or data communication the data comprising e.g. GPS location information.

[0033] Connected to the telecommunication apparatus 200 are one or more buttons 208 and status indicator 210. Buttons 208 provide a simple user interface for an operator, e.g. the driver or passenger in vehicle 100, to interact with the telecommunication apparatus 200. The buttons 208 may e.g. include a dedicated emergency call button. If the emergency

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call button is pressed telecommunication apparatus **200** establishes voice and/or data communication with service center **108**. Status indicator **210**, which may e.g. be one or more light emitting diodes or any other form of display, provides feedback to the vehicle operator as to the status of the telecommunication apparatus **200**. Telecommunication apparatus **200** is also connected to vehicle data bus **212** to exchange messages with other electronic modules within vehicle **100** as required.

[0034] Telecommunication apparatus 200 may provide general dialing capability, e.g. to a telephone 110, 112 within the public switched telephone network 104 or to a wireless telephone 114 through wireless network 102 or any other wireless network. To facilitate dialing telecommunication apparatus 200 may include a voice recognition and activation apparatus, which responds to predetermined spoken data via microphone 202 to perform predetermined functions. It accesses a plurality of voice models stored within telecommunication apparatus 200. Each voice model includes data permitting recognition of a spoken word or phrase. The voice recognition apparatus compares received spoken data with the voice models in order to recognize those words and phrases which are defined and for performing predetermined actions in response thereto. Some voice models represent commands, such as "menu," "store," "dial," "call," etc. Other voice models represent the digits required for telephone dialing: "one," "two," etc. For example, the apparatus may be programmed to recognize the phrase "Dial 9-1-1 Dial" and respond by placing a call to a Public Safety Answering Point. General dialing capability may be initiated by the word "Dial" followed by the number, digit by digit.

[0035] Telecommunication apparatus 200 may also be configured to allow dialing utilizing a keypad which may be connected directly to communication apparatus 200 or indirectly connected to another module which communicates with telecommunication apparatus 200 through the vehicle data bus 212. In an exemplary embodiment navigation system

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218 comprises a touch screen display 220 which displays a virtual telephone keypad 222. An operator may enter a telephone number he wishes to dial on the virtual keypad 222. After the telephone number has been entered navigation system 218 transmits a telephone dial command message on the vehicle data bus 212 including the telephone number to be dialed. Telecommunication apparatus 200 responsive to receiving the telephone dial command message establishes voice and/or data communication with the desired telephone number.

[0036] Quicker and easier access to dialing 911 and establishing voice and/or data communication with a Public Safety Answering Point is provided by emergency call apparatus 214, which is connected to vehicle data bus 212 and to one or more buttons 216. Buttons 216 may include an emergency call push button switch which when pressed causes emergency call apparatus 214 to transmit a telephone dial command message including a telephone number to be dialed on vehicle data bus 212. Telecommunication apparatus 200 responsive to receiving the telephone dial command message establishes voice communication with the requested telephone number, e.g. 911. Emergency call apparatus 214 and its operation are described in more detail with reference to figures 5 through 9 below.

[0037] As is shown in Fig. 2B vehicles equipped with an embedded telecommunication apparatus 200 are typically also equipped with buttons 208, one of which may be an emergency call button to initiate an call to service center 108. Buttons 208 emergency telecommunication apparatus 200 do not serve any useful purpose if the owner or lessor of vehicle 100 does not register with the mobile application service provider. The existing buttons 208 and the telecommunication apparatus 200 may however be used when retrofitting vehicle 100 with an emergency call apparatus 214 at some time after vehicle built.

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[0038] An exemplary method of retrofitting vehicle 100 is show in Fig. 2C. Vehicle 100 is retrofitted by adding emergency call apparatus 214. The electrical connection between buttons 208 and telecommunication apparatus 200 is removed and instead buttons 208 are rewired and connected to emergency call apparatus 214. Optionally the vehicle data bus connection between telecommunication apparatus 200 and vehicle data bus 212 may be disconnected and instead re-routed into the emergency call apparatus 214. A new connection is made between the emergency call apparatus 214 and vehicle data bus 212. Further, emergency call apparatus 214 is connected to vehicle battery and vehicle ground to power emergency call apparatus 214.

[0039] To enable the rewiring of buttons 208 the electrical interface between buttons 216 and emergency call apparatus 214 may be identical to the electrical interface between buttons 208 and telecommunication apparatus 200. Using an identical interface, e.g. the same resistor values in case of resistor coded switches, provides that an emergency call button 208 which is connected to and used in combination with telecommunication apparatus 200 before the retrofit can be rewired and used in combination with emergency call apparatus 214 after the retrofit. This simplifies the process of retrofitting vehicle 100 which may have originally been equipped with telecommunication apparatus 200 and buttons 208 but not emergency call apparatus 214 and buttons 216.

**[0040]** Referring now to Fig. 3, there is shown a block diagram illustrating an exemplary vehicle communication system **300** including the telecommunication apparatus **200**, an airbag control apparatus **302**, the emergency call apparatus **214** and the navigation system **218**. As is shown, each system is in communication with the vehicle's data bus **212**, which may be a Class 2 or CAN vehicle data bus or any other suitable bus known in the art for electronic data communication.

[0041] Fig. 4 illustrates an alternative embodiment of the vehicle communication system 400. In this embodiment telecommunication

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apparatus **200** is in communication with vehicle data bus **212** using an indirect connection made trough emergency call apparatus **214**, as will be explained in more detail with respect to Fig. 6 and Fig. 7.

[0042] Fig. 5 is a block diagram illustrating an exemplary emergency call apparatus 214. Control processor 500, which may take the form of a programmed digital computer or a custom digital processor, is operatively connected to push button switch 216. Push button switch 216 may be an emergency call button located within easy reach of the driver and labeled prominently, e.g. with a Red Cross icon or the letters "SOS". Push button switch 216 is designed to be easily found and operated by the driver or passenger of vehicle 100 even under stress. Push button switch 216 may be any suitable device that translates a human operator's intention into a signal that can be detected by control processor 500, including e.g. a momentary push button switch, a toggle switch, a rocker switch, a rotary switch or a virtual button on a touch screen display. Control processor 500 is connected to the vehicle data bus 212 through a vehicle data bus interface 504 using an electrical terminal 508. Control processor 500 and vehicle data bus interface 504 are powered by power supply 506. Power supply 506 is connected to the vehicle's power distribution system through vehicle battery terminal 512 and vehicle ground terminal 510. While emergency call apparatus 214 is shown as a stand alone unit it should be appreciated that it may also be integrated within another electronic control module in which case control processor 500, power supply 506 and vehicle data bus interface 504 may be shared with other functions.

[0043] Fig. 6 is an alternative embodiment showing an emergency call apparatus 610 which may be used in the vehicle communication system 400 shown in Fig. 4. In this example the telecommunication apparatus 200 is connected to the vehicle data bus 212 indirectly through emergency call apparatus 610. Electrical terminal 600 connects the emergency call apparatus 610 to the telecommunication communication

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Electrical terminal 602 connects the emergency call apparatus 200. apparatus 610 to vehicle data bus 212 and through that to all other electronic modules communicating through vehicle data bus 212. Control processor 500 electronically controls switch 606, which may e.g. be an electromechanical relay with coil 604, or any other suitable switching device. Switch 606 is normally closed, creating a short circuit between electrical terminals 600 and 602. In case of a crash the vehicle communication system 400 may be damaged, e.g. may deformation to the vehicle's sheet metal have caused a wire of vehicle data bus 212 to be shortened to vehicle ground or battery, making communication on vehicle data bus 212 impossible. Control processor 500 is configured to detect such damage to the communication system by monitoring its vehicle data bus interface 504. If damage to the communication system is detected control processor 500 restores communication with the telecommunication device 200 by opening switch 606 and thereby disconnecting the damaged part of the vehicle communication system 400 from vehicle data bus interface 504. In its open position switch 606 may cause vehicle data bus interface 504 to be connected to a network termination element 608, simulating a network termination usually present in the now disconnected vehicle communication system. Network termination may consist of a pull-up or pull-down resistor or any other electronic circuit known in the art of electronic communication for terminating communication networks.

[0044] Fig. 7 shows another alternative embodiment of emergency call apparatus 710. In this example control processor 500 communicates with telecommunication apparatus 200 through vehicle data bus interface 504 and electrical terminal 600. It is also communicates with other electronic modules connected to the vehicle data bus 212 through a second vehicle data bus interface 700 and electrical terminal 602. Vehicle data bus interface 504 and vehicle data bus 700 are electrically insulated from each other so that damage to the vehicle data bus 212 does not affect the

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**500** to ability processor with the of control communicate telecommunication device 200 through vehicle data bus interface 504. During normal operation control processor 500 is configured to act as bidirectional gateway between vehicle data bus interface 504 and vehicle data bus **700**. Control processor **500** re-transmits any messages it receives from vehicle data bus interface 504 through vehicle data bus interface 700 and any messages it receives from vehicle data bus interface 700 through vehicle data bus interface 504, thereby functionally connecting telecommunication apparatus 200 with vehicle data bus 212. [0045] FIG. 8 is a flow diagram illustrating an exemplary method 800 that may be implemented in process controller 500. Process controller **500** is configured to detect a trigger condition in block **802**. The trigger condition may be a manual operator request to initiate an emergency call, e.g. by pressing emergency call push button switch 216. The trigger condition may also be the receipt of a predetermined message or a combination of predetermined messages on vehicle data bus 212. predetermined message or messages may e.g. reflect that the airbag control apparatus 302 has inflated an airbag in vehicle 100. Other suitable messages that may act as a trigger for automatic emergency calling include a message from an object detection apparatus indicating that vehicle 100 was involved in a collision, a message indicating vehicle deceleration above a predetermined threshold or any other message or combination of messages which indicate that vehicle 100 was involved in a severe accident which may have caused the occupants within vehicle 100 to be injured and no longer be able to manually initiate an emergency call.

[0046] If the trigger condition in block 802 is detected then in step 804 process controller 500 sends a telephone dial command message to the telecommunication apparatus 200. The telephone dial command consists of or is part of a predetermined message on the vehicle data bus containing a telephone number to be dialed. Telecommunication

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apparatus **200** is configured to receive the telephone dial command and responsive thereto establish voice and/or data communication through wireless network **102** and PSTN **104** with the desired telephone number. For emergency use in the United States the telephone number requested in step **804** will typically be "911" to establish communication with a PSAP.

[0047] As described earlier with respect to Fig. 2B and Fig. 2C emergency call apparatus 214 may be retrofitted into a vehicle 100 at some time after the vehicle has been built. In case of a retrofit telecommunication apparatus 200 may not haven been designed for use with the emergency call apparatus 214. In particular, telecommunication apparatus 200 may not have been configured to receive a telephone dial command message on vehicle data bus 212 that is originating from emergency call apparatus 214. Telecommunication apparatus 200 may however have been configured to receive telephone dial command messages on vehicle data bus 212 that are originating from other devices, for example navigation system 218. To operate under these circumstances emergency call apparatus 214 may be configured to mimic the telephone dial command message originating e.g. from navigation system 218. To mimic the dial command message emergency call apparatus 214 uses the same message identifier segment that has been assigned to navigation system 218 when transmitting its telephone dial command message. By sharing the same message identifier segment a telephone dial command message originating from emergency call apparatus 214 and a telephone dial command message originating from system navigation 218 become indistinguishable the telecommunication apparatus 200. Telecommunication apparatus 200 hence responds properly to a telephone dial command message originating from emergency call apparatus 214 even though it may not have been designed for this purpose. While emergency call apparatus 214 shares the same message identifier segment with navigation system

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218 it should be understood that vehicle 100 need not necessarily be equipped with navigation system 218. It is sufficient if telecommunication apparatus 200 is configured to respond to telephone dial command messages on the vehicle data 212 bus irrespective of whether the potential transmitter of such a message is actually present in the vehicle.

[0048] Table 1 illustrates the structure of an exemplary vehicle data bus message. As illustrated the message consist of an identifier segment, which in case of CAN messages may e.g. be 11 or 29 bits long, and a data segment carrying the message payload, which may be up to 8 bytes long. To avoid message collision vehicle communication networks usually use unique identifier segments for each transmitting module, if the same message is originating from more than one module. Modules connected to the communication network are configured to respond to predetermined messages which are distinguished from other messages by their identifier segments.

Table 1

Identifier Segment Data Segment 11 bit or 29 bit 0 to 8 bytes 0x0CF00400 31 31 23 FF FF 39 FF FF

Example

[0049] To avoid the unlikely but possible collision of two telephone dial command messages issued simultaneously by both the navigation system 218 and the emergency call apparatus 214 the emergency call apparatus 214 may in a vehicle communication system configuration 400 actively prevent such collision. Accordingly control processor 500 in an embodiment as shown in Fig. 6 may in a first step open switch 606 so that navigation system 218 is no longer connected telecommunication apparatus 200 before control processor 500 in a second step transmits its telephone dial command message to the telecommunication apparatus 200. Control processor 500 in an embodiment as shown in Fig. 7 may selectively suppress forwarding a

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telephone dial command received from the navigation system **218** through vehicle data bus interface **700** while transmitting its own telephone dial command through vehicle data bus interface **504**.

[0050] Fig. 9 is a flow diagram showing an alternative exemplary embodiment of the method illustrated in Fig. 8. This embodiment is suitable for example for vehicles in which the emergency call apparatus 214 is integrated with the navigation system 218 and where the navigation system 218 is connected to a display. If in step 802 a trigger condition, e.g. an airbag deployment, is detected the emergency call apparatus displays or causes to be displayed an emergency screen 1000 comprising a prominent user interface to activate an emergency call. If in step 904 an emergency call is requested the emergency call apparatus in step 804 sends a telephone dial command to telecommunication apparatus 200.

[0051] Finally, an exemplary emergency screen 1000 as may e.g. be used within a touch screen navigation display is shown in Fig. 10. Emergency screen 1000 comprises virtual button 1002 to call PSAP 106 and virtual button 1004 to call service center 108.

[0052] While the invention has been described with reference to a preferred embodiment(s), it will be understood by those skilled in the art that various changes may be made and equivalents may be substituted for elements thereof without departing from the scope of the invention. In addition, many modifications may be made to adapt a particular situation or material to the teachings of the invention without departing from the essential scope thereof. Therefore, it is intended that the invention not be limited to the particular embodiment disclosed as the best mode contemplated for carrying out this invention, but that the invention will include all embodiments falling within the scope of the appended claims.

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#### **ABSTRACT**

A system, apparatus, and method for retrofitting a vehicle are presented. The method relates to a vehicle with a factory-installed first apparatus which communicates with a factory-installed second apparatus through a vehicle data bus using a first message. The method includes electrically disconnecting the vehicle data bus between the first apparatus and the second apparatus and electrically connecting a retrofit apparatus to the vehicle data bus. The method further includes transmitting a second message from the retrofit apparatus to the first apparatus which is indistinguishable from the first message.

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# METHOD, APPARATUS AND SYSTEM FOR PLACING EMERGENCY CALLS FROM RETROFITTING A VEHICLE

#### **TECHNICAL FIELD**

**[0001]** The present disclosure relates to a method, apparatus and system for establishing emergency communication from retrofitting a vehicle and, more specifically, to a system for and a method of initiating a wireless emergency telephone call through retrofitting a vehicle having a data bus.

#### BACKGROUND OF THE INVENTION

**[0002]** Being able to easily alert emergency responders in case of an automobile accident is highly desirable. Mobile application service providers (such as OnStar®) address this need for their subscribers by offering an emergency call button located within reach of the driver of an automobile. Upon pressing the emergency call button an emergency telephone call is placed from a cellular telephone which is embedded in the vehicle through a wireless telecommunication network and a public switched telephone network to a service center operated by the mobile application service provider. An emergency call to the service provider may also be initiated automatically, e.g. upon airbag deployment.

**[0003]** In case of an incoming emergency call the mobile application service center silently obtains vehicle position information, e.g. information from a GPS receiver that is also embedded in the vehicle. The mobile application service center as part of an emergency assistance service informs public safety authorities of the emergency and conveys the vehicle's position. The emergency assistance service is typically available only to those who register (for a fee) with the mobile application service provider. Registration with the mobile application service

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providers includes an underlying registration with the wireless telecommunication network. Several elements of one such system are described in US Patent 6,812,832 (Lobaza), which is hereby incorporated by reference.

**[0004]** The embedded cellular telephone may also be used to place hands free telephone calls. Dialing the embedded cellular telephone may utilize a speech recognition engine that is capable of recognizing spoken telephone numbers or voice tags associated with telephone numbers. To facilitate dialing the embedded cellular telephone may also be connected to an external keypad, e.g. a telephone-style keypad located in the vehicle's dashboard or a virtual keypad displayed on a touch screen display. The external keypad may communicate with the embedded cellular telephone by sending a telephone dial command message through the vehicle communication network. The embedded cellular telephone is accordingly configured to receive telephone dial command messages on the vehicle communication network and establish a telephone call to the requested telephone number.

**[0005]** US law obligates commercial mobile radio service providers to transmit all wireless 911 emergency calls without respect to their call validation process to a Public Safety Answering Point (PSAP), or, where no Public Safety Answering Point has been designated, to a designated statewide default answering point or appropriate local emergency authority. The law extends to voice capable cellular telephones embedded in vehicles. An embedded cellular telephone can hence be used in an emergency to directly alert public safety authorities by dialing 911 regardless of registration status with the mobile application service provider or the underlying wireless telecommunication network. However, the user interface provided to dial 911 is inferior to single button activation and may e.g. require use of the voice recognition interface. Changes in an operator's voice during an emergency frequently cause the voice

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recognition engine to fail detecting a spoken "dial 9-1-1" command and thereby preclude the operator from receiving the help he is seeking.

**[0006]** What is therefore needed is a method for combining the benefits of legally mandated free wireless 911 access to a Public Safety Answering Point with the advantageous single button user interface for placing emergency calls to a mobile application service center.

#### SUMMARY OF THE INVENTION

[0007] In one aspect of the present invention an integrated vehicle communication system includes a telecommunication apparatus in communication with a vehicle data bus. An emergency call apparatus is also in communication with the vehicle data bus, the emergency call apparatus further providing an emergency call push button switch to initiate an emergency telephone call to a Public Safety Answering Point. When the emergency call push button switch is pressed the emergency call apparatus transmits a telephone dial command message including a telephone number to be dialed on the vehicle data bus. The telecommunication apparatus is configured to receive the telephone dial command and establish communication with the requested telephone number. To initiate an emergency call to a Public Safety Answering Point in the United States the emergency call apparatus may e.g. transmit a telephone dial command message requesting the telecommunication apparatus to dial "911".

**[0008]** In a further aspect the emergency call apparatus may include an input for an external switch. In this aspect the emergency call push button switch may be remote from the emergency call apparatus and may e.g. be located within reach of the driver of the vehicle whereas the emergency call apparatus may be located somewhere else hidden in the vehicle.

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**[0009]** In another aspect the emergency call apparatus may be added to the vehicle during a retrofit. In this aspect the vehicle may be originally equipped with an embedded telecommunication apparatus and an emergency call push button switch connected thereto. Before the retrofit pressing the emergency call button is detected by the telecommunication apparatus which responsive to the button press initiates an emergency call to a mobile application service center.

**[0010]** During the retrofit an emergency call apparatus is added to the vehicle. The electrical connection between the emergency call push button switch and the telecommunication apparatus is separated and the emergency call push button switch is rewired and connected to the emergency call apparatus.

**[0011]** After the retrofit pressing the emergency call push button is detected by the emergency call apparatus which responsive to the button press transmits a telephone dial command message on the vehicle data bus. The telephone dial command message may request the telecommunication apparatus to dial the telephone number 911. The telecommunication apparatus responsive to receiving the telephone dial command message establishes a voice call to a Public Safety Answering Point.

**[0012]** In yet another aspect the emergency call apparatus may be configured to detect a trigger condition and responsive thereto request the telecommunication apparatus to establish communication with a Public Safety Answering Point. The trigger condition may be a manual emergency call push button press. As part of an automatic emergency calling system the trigger condition may also be the receipt of one or more messages on the vehicle data bus which are reflective of a vehicle accident, e.g. a message signaling airbag deployment. Upon detecting a trigger condition the emergency call apparatus may transmit a telephone dial command message on the vehicle data bus requesting the telecommunication apparatus to dial 911. The telecommunication

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apparatus responsive to receiving the telephone dial command message establishes a voice call to a Public Safety Answering Point.

[0013] In still another aspect the emergency call apparatus may provide an electrically controlled switch to separate the vehicle communication network into two subnets. One subnet may be used to communicate between the emergency call apparatus and the telecommunication device and the other subnet may be used to communicate between the emergency call apparatus and the rest of the vehicle. This aspect can compensate for possible loss of communication on the vehicle data bus after a vehicle crash, e.g. because a wire within the communication network is shorted to ground or battery as a result of the crash. The emergency call apparatus may be configured to detect loss of communication and responsive thereto open the electrically controlled switch, thereby dividing the communication network into the two electrically insulated subnets. In result the emergency call apparatus separates the damaged portion of the vehicle communication network from its connection to the telecommunication apparatus and thereby regains its ability to communicate with the telecommunication apparatus and initiate an emergency call even though communication with the rest of the vehicle is no longer possible.

**[0014]** In yet another aspect the emergency call apparatus may provide two vehicle data bus interfaces wherein the first interface is used to communicate with the telecommunication apparatus and the second interface is used to communicate with the rest of the vehicle. In this aspect the emergency call apparatus acts as a bi-directional gateway between the two vehicle data bus interfaces. Messages which the emergency call apparatus receives through the first vehicle data bus interface are retransmitted through the second vehicle data bus interface. Vice versa messages received through the second vehicle data bus interface are retransmitted through the first vehicle data bus interface. The two vehicle data bus interfaces are electrically insulated from each

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other such that the emergency call apparatus maintains its ability to communicate with the telecommunication apparatus even if communication with the rest of the vehicle can not be established, e.g. because a communication bus wire is shorted to ground or battery as may happen during an accident.

**[0015]** The following detailed description of the invention is merely exemplary in nature and is not intended to limit the invention or the application and uses of the invention. Furthermore, there is no intention to be bound by any theory presented in the preceding background of the invention or the following detailed description of the invention.

#### DESCRIPTION OF THE DRAWINGS

**[0016]** FIG. 1 is a schematic diagram of an exemplary wireless and a public switched telecommunication network through which emergency calls can be placed from a vehicle to a service center or Public Safety Answering Point.

**[0017]** FIG. 2A is a block diagram illustrating the vehicle portion of a wireless communication system for placing emergency calls used in the vehicle of FIG. 1.

**[0018]** FIG. 2B is a block diagram showing aspects of a prior art vehicle communication system for communicating with a mobile application service center before retrofit with an emergency call apparatus.

**[0019]** FIG. 2C is a block diagram showing aspects of a vehicle communication system for communicating with a Public Safety Answering Point after retrofit with an emergency call apparatus.

**[0020]** FIG. 3 is a block diagram illustrating a vehicle communication system showing an airbag control apparatus, a telecommunication apparatus, an emergency call apparatus and a navigation system communicating through a common vehicle data bus.

**[0021]** FIG. 4 is a block diagram illustrating an alternative embodiment of a vehicle communication system in which the telecommunication

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apparatus is connected to the vehicle data bus through the emergency call apparatus.

**[0022]** FIG. 5 is a block diagram illustrating an exemplary embodiment of an emergency call apparatus for a vehicle communication system configuration as shown in FIG. 3.

**[0023]** FIG. 6 is a block diagram illustrating an exemplary embodiment of an emergency call apparatus for a vehicle communication system configuration as shown in FIG. 4.

**[0024]** FIG. 7 is a block diagram of an alternative embodiment of the emergency call apparatus show in FIG 6.

**[0025]** FIG. 8 is a flow diagram illustrating an exemplary method for initiating an emergency call.

**[0026]** FIG. 9 is a flow diagram illustrating an alternative embodiment of the method shown in FIG. 8.

[0027] FIG. 10 is a schematic diagram of an exemplary touch screen display.

#### DETAILED DESCRIPTION

[0028] Referring to FIG. 1, there is shown a vehicle 100 featuring a mobile telecommunication apparatus, suitable for use with an embodiment of the invention, and which may be installed in the vehicle or carried into the vehicle by the subscriber. The mobile telecommunication apparatus communicates through a wireless network 102, symbolized by a local telecommunication antenna tower, with a public switched telephone network (PSTN) 104, to which are also connected telephones 110 and 112. Wireless network 102 may also communicate with other wireless telecommunication devices, here symbolized by a wireless telephone 114. The mobile telecommunication apparatus in vehicle 100, which will be described in more detail with reference to FIG. 2A, may include a cellular telephone or any other wireless device that may be registered with a cellular service provider providing general dialing

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capability in connection with, and operation through, PSTN 104. It may also include a cellular telephone or other wireless device that is not or that is no longer registered with a cellular service provider so long as it provides connection with and operation through PSTN 104 with a Public Safety Answering Point (PSAP) 106.

[0029] The telecommunication apparatus carried in vehicle 100 may have been designed to provide access to mobile application services of a service provider such as, for example, OnStar®. Mobile application services are typically provided within a subscription business model, which requires payment of a subscription fee per period, e.g. \$19.95 per month or \$199 per year. The telecommunication apparatus may have been permanently installed in the vehicle at the time of vehicle assembly and the cost of the telecommunication apparatus may have been subsidized by the service provider in anticipation of future subscription revenue if the owner or lessor of vehicle 100 registers for mobile application services. The service provider generally maintains at least one service center 108, which is connected to PSTN 104 and which the subscriber and other subscribers in other vehicles call for the mobile application services. The mobile application services may include, for example, requests for vehicle location, selection of specific points of interest and directions thereto, and emergency assistance (both requested and automatic), as well as others not named.

[0030] If the owner or lessor of vehicle 100 does not register with the service provider, e.g. to avoid the financial burden associated with a subscription, the service provider may refuse to provide mobile application services including emergency assistance. The mobile application service provider may also deactivate the telecommunication apparatus located within vehicle 100. Deactivating the telecommunication apparatus may include deregistering the cellular telephone therein from wireless network 102 so that the telecommunication apparatus can no longer gain access

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to wireless network **102** for general dialing and can thus no longer connect to service center **108**.

**[0031]** Even if the telecommunication apparatus within vehicle **100** has been deactivated and the cellular telephone therein been deregistered from wireless network **102** both can still be used to establish a connection to a Public Safety Answering Point (PSAP). Connecting to a PSAP is independent of a subscription with the service provider or the underlying wireless network **102**. This is, especially in the United States, to comply with federal law mandating free access to a PSAP for all devices used to access a commercial mobile radio service (CMRS).

[0032] Referring to Fig. 2A there wireless now is shown telecommunication apparatus 200 in vehicle 100. The telecommunication apparatus 200 communicates voice and data through an antenna 206 with wireless network 102 and through wireless network 102 with public switched telephone network 104. The telecommunication apparatus 200 may provide "hands-free" voice communication through a microphone 202 and speaker 204. The telecommunication apparatus may include a GPS or similar navigation apparatus (not shown) which receives signals through a GPS antenna (not shown) from global positioning satellites and derives therefrom position data (e.g., the longitude and latitude and/or the speed and heading) of the apparatus. The telecommunication apparatus 200 may convert the GPS position information into a transmissible form for subsequent transmission from vehicle 100 to service center 108 or Public Safety Answering Point 106. Communication between the telecommunication apparatus 200 and service center 108 or PSAP 106 may be voice communication utilizing microphone 202 and speaker 204 and/or data communication the data comprising e.g. GPS location information.

[0033] Connected to the telecommunication apparatus 200 are one or more buttons 208 and status indicator 210. Buttons 208 provide a simple user interface for an operator, e.g. the driver or passenger in vehicle 100,

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to interact with the telecommunication apparatus **200**. The buttons **208** may e.g. include a dedicated emergency call button. If the emergency call button is pressed telecommunication apparatus **200** establishes voice and/or data communication with service center **108**. Status indicator **210**, which may e.g. be one or more light emitting diodes or any other form of display, provides feedback to the vehicle operator as to the status of the telecommunication apparatus **200**. Telecommunication apparatus **200** is also connected to vehicle data bus **212** to exchange messages with other electronic modules within vehicle **100** as required.

[0034] Telecommunication apparatus 200 may provide general dialing capability, e.g. to a telephone 110, 112 within the public switched telephone network 104 or to a wireless telephone 114 through wireless network 102 or any other wireless network. To facilitate dialing telecommunication apparatus 200 may include a voice recognition and activation apparatus, which responds to predetermined spoken data via microphone 202 to perform predetermined functions. It accesses a plurality of voice models stored within telecommunication apparatus 200. Each voice model includes data permitting recognition of a spoken word or phrase. The voice recognition apparatus compares received spoken data with the voice models in order to recognize those words and phrases which are defined and for performing predetermined actions in response Some voice models represent commands, such as "menu," "store," "dial," "call," etc. Other voice models represent the digits required for telephone dialing: "one," "two," etc. For example, the apparatus may be programmed to recognize the phrase "Dial 9-1-1 Dial" and respond by placing a call to a Public Safety Answering Point. General dialing capability may be initiated by the word "Dial" followed by the number, digit by digit.

**[0035]** Telecommunication apparatus **200** may also be configured to allow dialing utilizing a keypad which may be connected directly to communication apparatus **200** or indirectly connected to another module

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which communicates with telecommunication apparatus 200 through the vehicle data bus 212. In an exemplary embodiment navigation system 218 comprises a touch screen display 220 which displays a virtual telephone keypad 222. An operator may enter a telephone number he wishes to dial on the virtual keypad 222. After the telephone number has been entered navigation system 218 transmits a telephone dial command message on the vehicle data bus 212 including the telephone number to be dialed. Telecommunication apparatus 200 responsive to receiving the telephone dial command message establishes voice and/or data communication with the desired telephone number.

[0036] Quicker and easier access to dialing 911 and establishing voice and/or data communication with a Public Safety Answering Point is provided by emergency call apparatus 214, which is connected to vehicle data bus 212 and to one or more buttons 216. Buttons 216 may include an emergency call push button switch which when pressed causes emergency call apparatus 214 to transmit a telephone dial command message including a telephone number to be dialed on vehicle data bus 212. Telecommunication apparatus 200 responsive to receiving the telephone dial command message establishes voice communication with the requested telephone number, e.g. 911. Emergency call apparatus 214 and its operation are described in more detail with reference to figures 5 through 9 below.

[0037] As is shown in Fig. 2B vehicles equipped with an embedded telecommunication apparatus 200 are typically also equipped with buttons 208, one of which may be an emergency call button to initiate an emergency call to service center 108. Buttons 208 and telecommunication apparatus 200 do not serve any useful purpose if the owner or lessor of vehicle 100 does not register with the mobile application service provider. The existing buttons 208 and the telecommunication apparatus 200 may however be used when retrofitting

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vehicle **100** with an emergency call apparatus **214** at some time after vehicle built.

[0038] An exemplary method of retrofitting vehicle 100 is show in Fig. 2C. Vehicle 100 is retrofitted by adding emergency call apparatus 214. The electrical connection between buttons 208 and telecommunication apparatus 200 is removed and instead buttons 208 are rewired and connected to emergency call apparatus 214. Optionally the vehicle data bus connection between telecommunication apparatus 200 and vehicle data bus 212 may be disconnected and instead re-routed into the emergency call apparatus 214. A new connection is made between the emergency call apparatus 214 and vehicle data bus 212. Further, emergency call apparatus 214 is connected to vehicle battery and vehicle ground to power emergency call apparatus 214.

[0039] To enable the rewiring of buttons 208 the electrical interface between buttons 216 and emergency call apparatus 214 may be identical to the electrical interface between buttons 208 and telecommunication apparatus 200. Using an identical interface, e.g. the same resistor values in case of resistor coded switches, provides that an emergency call button 208 which is connected to and used in combination with telecommunication apparatus 200 before the retrofit can be rewired and used in combination with emergency call apparatus 214 after the retrofit. This simplifies the process of retrofitting vehicle 100 which may have originally been equipped with telecommunication apparatus 200 and buttons 208 but not emergency call apparatus 214 and buttons 216.

**[0040]** Referring now to Fig. 3, there is shown a block diagram illustrating an exemplary vehicle communication system **300** including the telecommunication apparatus **200**, an airbag control apparatus **302**, the emergency call apparatus **214** and the navigation system **218**. As is shown, each system is in communication with the vehicle's data bus **212**, which may be a Class 2 or CAN vehicle data bus or any other suitable bus known in the art for electronic data communication.

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**[0041]** Fig. 4 illustrates an alternative embodiment of the vehicle communication system **400**. In this embodiment telecommunication apparatus **200** is in communication with vehicle data bus **212** using an indirect connection made trough emergency call apparatus **214**, as will be explained in more detail with respect to Fig. 6 and Fig. 7.

[0042] Fig. 5 is a block diagram illustrating an exemplary emergency call apparatus 214. Control processor 500, which may take the form of a programmed digital computer or a custom digital processor, is operatively connected to push button switch 216. Push button switch 216 may be an emergency call button located within easy reach of the driver and labeled prominently, e.g. with a Red Cross icon or the letters "SOS". Push button switch 216 is designed to be easily found and operated by the driver or passenger of vehicle 100 even under stress. Push button switch 216 may be any suitable device that translates a human operator's intention into a signal that can be detected by control processor 500, including e.g. a momentary push button switch, a toggle switch, a rocker switch, a rotary switch or a virtual button on a touch screen display. Control processor 500 is connected to the vehicle data bus 212 through a vehicle data bus interface 504 using an electrical terminal 508. Control processor 500 and vehicle data bus interface 504 are powered by power supply 506. Power supply 506 is connected to the vehicle's power distribution system through vehicle battery terminal 512 and vehicle ground terminal 510. While emergency call apparatus 214 is shown as a stand alone unit it should be appreciated that it may also be integrated within another electronic control module in which case control processor 500, power supply 506 and vehicle data bus interface 504 may be shared with other functions.

[0043] Fig. 6 is an alternative embodiment showing an emergency call apparatus 610 which may be used in the vehicle communication system 400 shown in Fig. 4. In this example the telecommunication apparatus 200 is connected to the vehicle data bus 212 indirectly through

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emergency call apparatus 610. Electrical terminal 600 connects the emergency call apparatus 610 to the telecommunication communication apparatus 200. Electrical terminal 602 connects the emergency call apparatus 610 to vehicle data bus 212 and through that to all other electronic modules communicating through vehicle data bus 212. Control processor 500 electronically controls switch 606, which may e.g. be an electromechanical relay with coil 604, or any other suitable switching device. Switch 606 is normally closed, creating a short circuit between electrical terminals 600 and 602. In case of a crash the vehicle communication system 400 may be damaged, e.g. may deformation to the vehicle's sheet metal have caused a wire of vehicle data bus 212 to be shortened to vehicle ground or battery, making communication on vehicle data bus 212 impossible. Control processor 500 is configured to detect such damage to the communication system by monitoring its vehicle data bus interface 504. If damage to the communication system is detected control processor 500 restores communication with the telecommunication device 200 by opening switch 606 and thereby disconnecting the damaged part of the vehicle communication system 400 from vehicle data bus interface 504. In its open position switch 606 may cause vehicle data bus interface 504 to be connected to a network termination element 608, simulating a network termination usually present in the now disconnected vehicle communication system. Network termination may consist of a pull-up or pull-down resistor or any other electronic circuit known in the art of electronic communication for terminating communication networks.

**[0044]** Fig. 7 shows another alternative embodiment of emergency call apparatus **710**. In this example control processor **500** communicates with telecommunication apparatus **200** through vehicle data bus interface **504** and electrical terminal **600**. It is also communicates with other electronic modules connected to the vehicle data bus **212** through a second vehicle data bus interface **700** and electrical terminal **602**. Vehicle data bus

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interface 504 and vehicle data bus 700 are electrically insulated from each other so that damage to the vehicle data bus 212 does not affect the 500 to communicate with ability of control processor the telecommunication device 200 through vehicle data bus interface 504. During normal operation control processor 500 is configured to act as bidirectional gateway between vehicle data bus interface 504 and vehicle data bus **700**. Control processor **500** re-transmits any messages it receives from vehicle data bus interface 504 through vehicle data bus interface 700 and any messages it receives from vehicle data bus interface 700 through vehicle data bus interface 504, thereby functionally connecting telecommunication apparatus 200 with vehicle data bus 212. [0045] FIG. 8 is a flow diagram illustrating an exemplary method 800 that may be implemented in process controller 500. Process controller **500** is configured to detect a trigger condition in block **802**. The trigger condition may be a manual operator request to initiate an emergency call, e.g. by pressing emergency call push button switch 216. The trigger condition may also be the receipt of a predetermined message or a combination of predetermined messages on vehicle data bus 212. predetermined message or messages may e.g. reflect that the airbag control apparatus 302 has inflated an airbag in vehicle 100. Other suitable messages that may act as a trigger for automatic emergency calling include a message from an object detection apparatus indicating that vehicle 100 was involved in a collision, a message indicating vehicle deceleration above a predetermined threshold or any other message or combination of messages which indicate that vehicle 100 was involved in a severe accident which may have caused the occupants within vehicle 100 to be injured and no longer be able to manually initiate an emergency call.

**[0046]** If the trigger condition in block **802** is detected then in step **804** process controller **500** sends a telephone dial command message to the telecommunication apparatus **200**. The telephone dial command consists

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of or is part of a predetermined message on the vehicle data bus containing a telephone number to be dialed. Telecommunication apparatus **200** is configured to receive the telephone dial command and responsive thereto establish voice and/or data communication through wireless network **102** and PSTN **104** with the desired telephone number. For emergency use in the United States the telephone number requested in step **804** will typically be "911" to establish communication with a PSAP.

[0047] As described earlier with respect to Fig. 2B and Fig. 2C emergency call apparatus 214 may be retrofitted into a vehicle 100 at some time after the vehicle has been built. In case of a retrofit telecommunication apparatus 200 may not haven been designed for use with the emergency call apparatus 214. In particular, telecommunication apparatus 200 may not have been configured to receive a telephone dial command message on vehicle data bus 212 that is originating from emergency call apparatus 214. Telecommunication apparatus 200 may however have been configured to receive telephone dial command messages on vehicle data bus 212 that are originating from other devices, for example navigation system 218. To operate under these circumstances emergency call apparatus 214 may be configured to mimic the telephone dial command message originating e.g. from navigation system 218. To mimic the dial command message emergency call apparatus 214 uses the same message identifier segment that has been assigned to navigation system 218 when transmitting its telephone dial command message. By sharing the same message identifier segment a telephone dial command message originating from emergency call apparatus 214 and a telephone dial command message originating from navigation system 218 become indistinguishable telecommunication apparatus 200. Telecommunication apparatus 200 hence responds properly to a telephone dial command message originating from emergency call apparatus 214 even though it may not

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have been designed for this purpose. While emergency call apparatus 214 shares the same message identifier segment with navigation system 218 it should be understood that vehicle 100 need not necessarily be equipped with navigation system 218. It is sufficient if telecommunication apparatus 200 is configured to respond to telephone dial command messages on the vehicle data 212 bus irrespective of whether the potential transmitter of such a message is actually present in the vehicle. [0048] Table 1 illustrates the structure of an exemplary vehicle data bus message. As illustrated the message consist of an identifier segment, which in case of CAN messages may e.g. be 11 or 29 bits long, and a data segment carrying the message payload, which may be up to 8 bytes long. To avoid message collision vehicle communication networks usually use unique identifier segments for each transmitting module, if the same message is originating from more than one module. Modules connected to the communication network are configured to respond to predetermined messages which are distinguished from other messages by their identifier

Table 1

segments.

0x0CF00400 Example

Identifier Segment

11 bit or 29 bit 0 to 8 bytes FF 39 31 31 23 FF FF FF

Data Segment

[0049] To avoid the unlikely but possible collision of two telephone dial command messages issued simultaneously by both the navigation system 218 and the emergency call apparatus 214 the emergency call apparatus 214 may in a vehicle communication system configuration 400 actively prevent such collision. Accordingly control processor 500 in an embodiment as shown in Fig. 6 may in a first step open switch **606** so that 218 navigation system is no longer connected telecommunication apparatus 200 before control processor 500 in a second step transmits its telephone dial command message to the

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telecommunication apparatus **200**. Control processor **500** in an embodiment as shown in Fig. 7 may selectively suppress forwarding a telephone dial command received from the navigation system **218** through vehicle data bus interface **700** while transmitting its own telephone dial command through vehicle data bus interface **504**.

[0050] Fig. 9 is a flow diagram showing an alternative exemplary embodiment of the method illustrated in Fig. 8. This embodiment is suitable for example for vehicles in which the emergency call apparatus 214 is integrated with the navigation system 218 and where the navigation system 218 is connected to a display. If in step 802 a trigger condition, e.g. an airbag deployment, is detected the emergency call apparatus displays or causes to be displayed an emergency screen 1000 comprising a prominent user interface to activate an emergency call. If in step 904 an emergency call is requested the emergency call apparatus in step 804 sends a telephone dial command to telecommunication apparatus 200.

**[0051]** Finally, an exemplary emergency screen **1000** as may e.g. be used within a touch screen navigation display is shown in Fig. 10. Emergency screen **1000** comprises virtual button **1002** to call PSAP **106** and virtual button **1004** to call service center **108**.

[0052] While the invention has been described with reference to a preferred embodiment(s), it will be understood by those skilled in the art that various changes may be made and equivalents may be substituted for elements thereof without departing from the scope of the invention. In addition, many modifications may be made to adapt a particular situation or material to the teachings of the invention without departing from the essential scope thereof. Therefore, it is intended that the invention not be limited to the particular embodiment disclosed as the best mode contemplated for carrying out this invention, but that the invention will include all embodiments falling within the scope of the appended claims.

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#### **ABSTRACT**

A system, apparatus, and method are provided for placing emergency calls from a vehicle to a Public Safety Answering Point. An emergency call apparatus is configured to detect a trigger condition and, if the trigger condition is detected, send a telephone dial command through a vehicle communication network to a telecommunication apparatus to establish voice communication with the Public Safety Answering Point. A method is provided of retrofitting a vehicle with embedded telecommunication apparatus to enable single button access to emergency services without the need for a fee based subscription.A system, apparatus, and method for retrofitting a vehicle are presented. The method relates to a vehicle with a factory-installed first apparatus which communicates with a factory-installed second apparatus through a vehicle data bus using a first message. The method includes electrically disconnecting the vehicle data bus between the first apparatus and the second apparatus and electrically connecting a retrofit apparatus to the vehicle data bus. The method further includes transmitting a second message from the retrofit apparatus to the first apparatus which is indistinguishable from the first message.

Doc Code: TRACK1.REQ

**Document Description: TrackOne Request** 

PTO/SB/424 (12-11)

CERTIFICATION AND REQUEST FOR PRIORITIZED EXAMINATION UNDER 37 CFR 1.102(e) (Page 1 of 1)						
First Named Inventor:	Axel Nix	Nonprovisional Application Number (if known):				
Title of Invention:	Method, apparatus and system for retrofitting a vehicle					

#### APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS PRIORITIZED EXAMINATION FOR THE ABOVE-IDENTIFIED APPLICATION.

- 1. The processing fee set forth in 37 CFR 1.17(i), the prioritized examination fee set forth in 37 CFR 1.17(c), and if not already paid, the publication fee set forth in 37 CFR 1.18(d) have been filed with the request. The basic filing fee, search fee, examination fee, and any required excess claims and application size fees are filed with the request or have been already been paid.
- 2. The application contains or is amended to contain no more than four independent claims and no more than thirty total claims, and no multiple dependent claims.
- 3. The applicable box is checked below:
  - I. Original Application (Track One) Prioritized Examination under § 1.102(e)(1)
- i. (a) The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a).
   This certification and request is being filed with the utility application via EFS-Web.
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- ii. An executed oath or declaration under 37 CFR 1.63 is filed with the application.
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- i. A request for continued examination has been filed with, or prior to, this form.
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- iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination.
- v. No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2).

Signature /Axel Nix/	Date 09-April-2017				
Name (Print/Typed) Bernd Axel Nix	Practitioner 59184 Registration Number				
Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required in accordance with 37 CFR 1.33 and 11.18. Please see 37 CFR 1.4(d) for the form of the signature. If necessary, submit multiple forms for more than one signature, see below*.					
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# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	Method, apparatus and system for retrofitting a vehicle
As the belo	w named inventor, I hereby declare that:
This declars is directed t	United States application or PCT international application number
	filed on
The above-i	identified application was made or authorized to be made by me.
I believe tha	at I am the original inventor or an original joint inventor of a claimed invention in the application.
	knowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 aprisonment of not more than five (5) years, or both.
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LEGAL NA	AME OF INVENTOR
Inventor: _	Axel Nix  Date (Optional):
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This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO

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35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.								
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Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.								
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Application Number	Continuity Type	Prior Application Number	Filing Da (YYYY-MM	II	Patent Number	Issue Date (YYYY-MM-DD)	
14846811	Continuation of	11742574	2007-04-30		9161195	2015-10-13	
Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the <b>Add</b> button.							

#### **Foreign Priority Information:**

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>1</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove
Application Number	Country <sup>i</sup>	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)
Additional Foreign Priority Add button.	Data may be generated wit	hin this form by selecting the	Add

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also
contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
40.0040
16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March
16, 2013, will be examined under the first inventor to file provisions of the AIA.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	SUC01-01C3
		Application Number	
Title of Invention	Method, apparatus and syster	n for retrofitting a vehicle	

#### **Authorization or Opt-Out of Authorization to Permit Access:**

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

**NOTE**: This section of the Application Data Sheet is **ONLY** reviewed and processed with the **INITIAL** filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

- 1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)
- A. <u>Priority Document Exchange (PDX)</u> Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).
- B. <u>Search Results from U.S. Application to EPO</u> Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

2.	Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)
	A. Applicant <b>DOES NOT</b> authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.
	B. Applicant <u>DOES NOT</u> authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.
NC	Once the application has published or is otherwise publicly available, the USPTO may provide access to the

application in accordance with 37 CFR 1.14.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	SUC01-01C3
		Application Number	
Title of Invention	Method, apparatus and syster	m for retrofitting a vehicle	

#### **Applicant Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.								
Applicant 1	Applicant 1 Remove							
If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.								
<ul><li>Assignee</li></ul>	Legal Representative ur	nder 35 U.S.C. 117	Joint Inventor					
Person to whom the inventor is obliq	gated to assign.	Person who shov	vs sufficient proprietary interest					
If applicant is the legal representati	ve, indicate the authority to	file the patent application	on, the inventor is:					
			▼					
Name of the Deceased or Legally	Incapacitated Inventor:							
If the Applicant is an Organization	check here.							
Organization Name Sucxess L	LC							
Mailing Address Information Fo	or Applicant:							
Address 1 1180	Norfolk St.							
Address 2		_						
City Birmin	ngham	State/Province	МІ					
<b>Country</b> US		Postal Code	48009					
Phone Number		Fax Number						
Email Address								
Additional Applicant Data may be generated within this form by selecting the Add button.								

#### **Assignee Information including Non-Applicant Assignee Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on patent application publication.    Remove										
Title of Invention  Method, apparatus and system for retrofitting a vehicle  Assignee   1  Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on publication publication.  Remove  If the Assignee or Non-Applicant Assignee is an Organization check here.  Prefix   Given Name   Middle Name   Family Name   Suffix    Mailling Address Information For Assignee including Non-Applicant Assignee:  Address 1  Address 2  City   State/Province    Country i   Postal Code    Phone Number   Fax Number    Email Addross  Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.  Signature:  NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application bate Sheet must be signed by a patent practitioner of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).  This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been gipower of attorney (e.g., see USPTO Form PTO/AlA/81) on behalf of all joint inventor-applicants.  See 37 CFR 1.4(d) for the manner of making signatures and certifications.	Application Data Sheet 37 CER 1 76				Attorney Docket Number		SUC01-0	SUC01-01C3		
Assignee   1  Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication an an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on patent application publication.  Remove  If the Assignee or Non-Applicant Assignee is an Organization check here.  Prefix   Given Name   Middle Name   Family Name   Suffix    Mailling Address Information For Assignee including Non-Applicant Assignee:  Address 1    Address 2    City   State/Province    Country   Postal Code    Phone Number   Fax Number    Email Address    Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.  Signature:  NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filling of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form mus also be signed in accordance with 37 CFR 1.14(c).  This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventor-applicants who have been gipower of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants who have been gipower of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants.  See 37 CFR 1.4(d) for the manner of making signatures and certifications.	Application Data Sheet 37 CFR 1.76			Application Number						
Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on patent application publication.  Remove  If the Assignee or Non-Applicant Assignee is an Organization check here.  Prefix Given Name Middle Name Family Name Suffix  Mailling Address Information For Assignee including Non-Applicant Assignee:  Address 1  Address 2  City State/Province  Country Postal Code  Phone Number Fax Number  Email Address  Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.  Signature:  Remove  NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filling of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.34(c).  This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association), if the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventors, this form must be signed by a patent practitioner, all joint inventor	Title of Invention Method, apparatus and system for retrofitting a vehicle									
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If the Assignee or Non-Applicant Assignee is an Organization check here.  Prefix  Given Name  Middle Name  Family Name  Suffix  Mailing Address Information For Assignee including Non-Applicant Assignee:  Address 1  Address 2  City  State/Province  Postal Code  Phone Number  Email Address  Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.  Add  Signature:  NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form mus also be signed in accordance with 37 CFR 1.14(c).  This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant is two or more joint inventor-applicants who have been gippower of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants.  See 37 CFR 1.4(d) for the manner of making signatures and certifications.  Signature  Avel Nix/  Date (YYYY-MM-DD)  2017-04-09  First Name  Bemd Axel  Last Name  Nix  Registration Number  \$9184	publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the									
Prefix Given Name Middle Name Family Name Suffix  Mailing Address Information For Assignee including Non-Applicant Assignee:  Address 1  Address 2  City State/Province Postal Code Phone Number Fax Number  Email Address  Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.  Signature: Remove NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form mus also be signed in accordance with 37 CFR 1.14(c). This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventor-applicants who have been gippower of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants who have been gippower of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants.  See 37 CFR 1.4(d) for the manner of making signatures and certifications.  Signature /Axel Nix/ Date (YYYY-MM-DD) 2017-04-09  First Name Bernd Axel Last Name Nix Registration Number 59184								R	demove	
Mailing Address Information For Assignee including Non-Applicant Assignee:  Address 1  Address 2  City State/Province  Phone Number Fax Number  Email Address  Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.  Add button.  Add  Signature:  Remove  NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filling of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form mus also be signed in accordance with 37 CFR 1.14(c).  This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventor-applicants who have been gippower of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants who have been gippower of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants.  See 37 CFR 1.4(d) for the manner of making signatures and certifications.  Signature  Axel Nix/  Pate (YYYY-MM-DD) 2017-04-09  First Name Bernd Axel Last Name Nix Registration Number 59184	If the Assigne	e or Non-A	Applicant A	Assignee is an	Organization	check here.				
Address 1  Address 2  City  State/Province  Country i  Postal Code  Phone Number  Email Address  Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.  Remove  Remove  NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filling of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).  This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been gipower of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants.  See 37 CFR 1.4(d) for the manner of making signatures and certifications.  Signature  //Axel Nix/  Date (YYYY-MM-DD)  2017-04-09  First Name  Bernd Axel  Last Name  Nix  Registration Number  59184	Prefix		Given N	lame	Middle Nam	Middle Name		me	Suffix	
Country i Postal Code  Phone Number Fax Number  Email Address  Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.  Remove  NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filling of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).  This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been gippower of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants who have been gippower of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants.  See 37 CFR 1.4(d) for the manner of making signatures and certifications.  Signature   Axel Nix/   Date (YYYY-MM-DD)   2017-04-09    First Name   Bernd Axel   Last Name   Nix   Registration Number   59184		₹								
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Country i Postal Code Phone Number Fax Number  Email Address  Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.  Remove  NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filling of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).  This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a catent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been grower of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants.  See 37 CFR 1.4(d) for the manner of making signatures and certifications.  Signature /Axel Nix/ Date (YYYY-MM-DD) 2017-04-09  First Name Bernd Axel Last Name Nix Registration Number 59184										
Phone Number  Email Address  Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.  Remove  NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form mustalso be signed in accordance with 37 CFR 1.14(c).  This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been go ower of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants.  See 37 CFR 1.4(d) for the manner of making signatures and certifications.  Pate (YYYY-MM-DD) 2017-04-09  First Name Bernd Axel Last Name Nix Registration Number 59184	Address 2									
Phone Number  Email Address  Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.  Remove  NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form mustalso be signed in accordance with 37 CFR 1.14(c).  This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been gippower of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants.  See 37 CFR 1.4(d) for the manner of making signatures and certifications.  Pate (YYYY-MM-DD) 2017-04-09  First Name Bernd Axel Last Name Nix Registration Number 59184	City				State/Province					
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Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.    Remove	Phone Number	er				Fax Numb	er			
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First Name Bernd Axel Last Name Nix Registration Number 59184	Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).  This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants.									
	Signature /	'Axel Nix/					Date (Y	YYY-MM-DE	2017-04-09	
Additional Signature may be generated within this form by selecting the Add button.  Add	First Name	Bernd Axe	el	Last Name	Nix		Registra	tion Number	59184	

PTO/AIA/14 (11-15)

Approved for use through 04/30/2017. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Application Da	nta Sheet 37 CFR 1.76	Attorney Docket Number	SUC01-01C3
Application Data Sheet 37 Cl K 1.70		Application Number	
Title of Invention	Method, apparatus and syster	m for retrofitting a vehicle	

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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The information provided by you in this form will be subject to the following routine uses:

- 1 The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
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- 3 A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent CooperationTreaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Petitioner's Exhibit 1002

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					N RECORD		n or Docket Number /482,781	Filing Date 04/09/2017	To be Mailed		
ENTITY: LARGE SMALL MICRO											
APPLICATION AS FILED – PART I											
	(Column 1) (Column 2)										
	FOR	N	IUMBER FIL	_ED	NUMBER EXTRA		RATE (\$)		FEE (\$)		
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A				
	SEARCH FEE (37 CFR 1.16(k), (i), (	or (m))	N/A		N/A		N/A				
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A				
	TAL CLAIMS CFR 1.16(i))		19 minus 20 = * 0		0		x \$40 =		0		
	INDEPENDENT CLAIMS (37 CFR 1.16(h))		3 minus 3 = * 0				x \$210 =		0		
	APPLICATION SIZE (37 CFR 1.16(s))	of pa for s fract	aper, the a mall entity	application size y) for each add	ings exceed 100 s e fee due is \$310 ( litional 50 sheets c C. 41(a)(1)(G) and	\$155 or					
	MULTIPLE DEPEN	IDENT CLAIM PF	RESENT (3	7 CFR 1.16(j))							
* If t	he difference in colu	umn 1 is less thar	zero, ente	r "0" in column 2			TOTAL		0		
	APPLICATION AS AMENDED – PART II  (Column 1) (Column 2) (Column 3)										
AMENDMENT	04/09/2017	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDI <sup>-</sup>	TIONAL FEE (\$)		
ME	Total (37 CFR 1.16(i))	* 19	Minus	** 20	= 0		x \$40 =		0		
	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0	= 0			0		
AM	Application Size Fee (37 CFR 1.16(s))										
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))										
							TOTAL ADD'L I	FEE	0		
	(Column 1) (Column 2) (Column 3)										
L		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	, PRESENT EX	TRA	RATE (\$)	ADDI <sup>-</sup>	TIONAL FEE (\$)		
ENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =				
IDM	Independent (37 CFR 1.16(h))	w/w	Minus	***	=		X \$ =				
AMENDM	Application Size Fee (37 CFR 1.16(s))										
۱۷	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))										
TOTAL ADD'L FEE											
* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 2, enter "2".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 2, enter "2".											
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.											

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