

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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VMware, INC.,  
Petitioner

v.

INTELLECTUAL VENTURES I LLC,  
Patent Owner

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Case IPR2020-00470  
Patent 7,949,752

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**PATENT OWNER'S MANDATORY NOTICES  
PURSUANT TO 37 C.F.R. § 42.8(a)(2)**

***Mail Stop "PATENT BOARD"***  
Patent Trial and Appeal Board  
U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Patent Owner Intellectual Ventures I LLC (“Patent Owner”) hereby files these Mandatory Notices Pursuant to 37 C.F.R. § 42.8(a)(2). These Notices are being timely filed within 21 days of service of the Petition.

**I. REAL PARTY-IN-INTEREST**

The Patent Owner and real party-in-interest is Intellectual Ventures I LLC.

**II. RELATED MATTERS**

U.S. Patent No. 7,949,752 (“the ’752 patent”) is involved in the pending litigation proceeding *Intellectual Ventures I, LLC et. al v. VMWare, Inc.*, Case No. 1-19-cv-01075 (WDTX), filed July 31, 2019 (originally Case No. 6-19-cv-00449 (WDTX)).

On July 13, 2016, HCC Insurance Holdings, Inc. filed a petition for *inter partes* review of the ’752 patent (Case No. IPR2016-01431). This proceeding was dismissed on January 17, 2017.

On September 27, 2016, the ’752 patent was dismissed from *Intellectual Ventures I, LLC et. al v. HCC Insurance Holdings, Inc. et al.*, Case No. 6-15-cv-00660 (EDTX), filed July 8, 2015.

U.S. Patent Application No. 13/112,540, filed May 20, 2011 (now U.S. Patent No. 8,326,914), claims the benefit of the '752 patent.

The '752 patent claims the benefit of U.S. Patent Application Nos. 09/712,712, filed November 14, 2000 (now U.S. Patent No. 6,839,733) and 09/178,366, filed October 23, 1998 (now U.S. Patent No. 6,163,794).

### **III. LEAD AND BACK-UP COUNSEL**

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#### IV. SERVICE INFORMATION

Please address all correspondence to the lead and back-up counsel as shown above. Patent Owner consents to electronic service by email at the email addresses provided above, with a courtesy copy to [ptab@sternekessler.com](mailto:ptab@sternekessler.com).

Respectfully submitted,  
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

/ Byron L. Pickard /

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Date: March 2, 2020

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