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PRELIMINARY AMENDMENT

Please enter the following preliminary amendment in the above-captioned case.

Please amend the case as listed below.

IN THE SPECIFICATION:

Please amend the specification by replacing the first paragraph after the title with the following amended paragraph:

[0001] This <u>The present</u> application is a continuation of U.S. patent application Ser. <u>Appl.</u> No. 13/236,090, filed September 19, 2011, which is a continuation of US. Appl. No. 10/636,314, filed August 6, 2003 (now U.S. Patent No. 8,055,786), titled Method and System for Data Demultiplexing, for all purposes including but not limited to the right of priority and benefit of earlier filing date, and expressly incorporates by reference the entire content of Patent Application Serial No.10/636,314 for all purposes. U.S. patent application Ser. No.10/636,314 which is a continuation of U.S. Appl. patent application Ser. No. 09/474,664, filed December 29, 1999 (now U.S. Patent No. 6,629,163);, filed December 29, 1999, titled Method and System for Demultiplexing a First Sequence of Packet Components to Identify Specific Components. This application claims the benefit of the following applications for all purposes including but not limited to the right of priority and benefit of earlier filing date, and expressly incorporates by reference the entire content. This application claims the benefit of the following applications for all purposes including but not limited to the right of priority and benefit of earlier filing date, and expressly incorporates by reference the entire content of the following applications for all purposes including but not limited to the right of priority and benefit of earlier filing date, and expressly incorporates by reference the entire content of the following applications for all purposes: U.S. patent application Ser. No. 10/636,314; and U.S. patent application Ser. No. 09/474,664 the disclosures of each of the above-referenced applications are incorporated by reference herein in their entireties.

IN THE CLAIMS:

The following is a current listing of claims and will replace all prior versions and listings of claims in the application. Please amend the claims as follows:

1-25. (Canceled)

26. (New) An apparatus, comprising:

a processing unit; and

a memory storing instructions executable by the processing unit to:

create, based on an identification of information in a packet of a message, a path that includes a sequence of routines for processing packets in the message; and

process packets in the message using the sequence of routines in the created path, wherein the sequence includes a routine that is used to execute a Transmission Control Protocol (TCP) to convert packets having a TCP format into a different format.

27. (New) The apparatus of claim 26, wherein the sequence includes:

a second routine that is used to execute a second, different protocol to convert packets of the different format into another format; and

a third routine that is used to execute a third, different protocol to further convert the packets.

28. (New) The apparatus of claim 27, wherein the second protocol is an Internet Protocol (IP) and the third protocol is an Ethernet Protocol.

29. (New) The apparatus of claim 26, wherein the memory stores instructions executable by the processing unit to maintain state information associated with one or more routines in the sequence of routines, and wherein the state information is specific to the message.

30. (New) The apparatus of claim 26, wherein the sequence of routines includes a routine that is executable to process the packets without converting a format of the packets.

31. (New) The apparatus of claim 26, wherein the routine is not executable to convert packets having the different format, and wherein the different format is an Internet Protocol (IP) format.

32. (New) The apparatus of claim 26, wherein the memory stores instructions executable by the processing unit to identify an address associated with the information, wherein the address indicates the routines in the sequence of routines of the created path.

33. (New) A non-transitory, computer-readable medium comprising software instructions for processing a message, wherein the software instructions, when executed, cause a computer system to:

obtain information from an initial packet of the message;

use the obtained information to identify an address comprising a list of conversion routines;

create a path that includes a sequence of sessions, wherein sessions in the sequence include respective ones of the conversion routines in the list;

store the created path; and

process packets of the message by routing packets through sessions in the created path, including:

a session in which a transport layer protocol is executed to convert packets in a transport layer format into a different format; and

another session in which a different protocol corresponding to the different format is executed.

34. (New) The medium of claim 33, wherein one or more of the sessions comprises state information for one or more of the conversion routines, and wherein the state information is specific to the message.

35. (New) The medium of claim 33, wherein the different protocol is associated with a layer selected from the group consisting of an application layer and a network layer.

36. (New) The medium of claim 33, wherein at least one of the routines associated with at least one of the sessions is not used to convert the packets.

37. (New) The medium of claim 33, wherein the transport layer protocol is a Transmission Control Protocol (TCP).

38. (New) The medium of claim 37, wherein the message comprises a stream of data.

39. (New) The medium of claim 33, wherein using the obtained information to identify the address includes determining a plurality of protocols by analyzing headers of the initial packet, and wherein the plurality of protocols includes protocols executable at the transport layer and an application layer.

40. (New) The medium of claim 33, wherein the different format is not compatible with the transport layer protocol, and wherein the different format is a network layer format.

41. (New) An apparatus, comprising:

a processing unit; and

memory storing instructions that are executable by the processing unit to:

obtain and analyze information from a packet of a message;

identify an address based on the obtained information, wherein the address comprises a list of routines;

create a sequence of sessions, wherein sessions in the sequence are associated with respective ones of the routines in the list; and

process packets of the message using the sequence, wherein one of the sessions in the sequence is associated with a particular routine that is used to execute a protocol to convert the packets from an input format to an output format, wherein the particular routine is not executable to convert packets having the output format.

42. (New) The apparatus of claim 41, wherein a different session is associated with a different routine that is used to execute a second, different protocol to convert the packets from the output format to a different output format, and wherein another session is associated with

another routine that is used to execute a third, different protocol corresponding to the different output format.

43. (New) The apparatus of claim 42, wherein the protocols include a Transmission Control Protocol (TCP), an Internet Protocol (IP), and an Ethernet Protocol.

44. (New) The apparatus of claim 41, wherein at least one of the sessions is associated with a routine that is executable to process packets of the message without converting the packets.

45. (New) The apparatus of claim 41, wherein the particular routine is executable to convert packets by removing an outermost header of the packets.

46. (New) The apparatus of claim 41, wherein the protocol is a transport layer protocol.

47. (New) The apparatus of claim 46, wherein the transport layer protocol is a Transmission Control Protocol (TCP), and wherein the message comprises a stream of data.

48. (New) The apparatus of claim 41, wherein the obtained information includes information from headers of the packet that are associated with a network layer and a transport layer.

49. (New) The apparatus of claim 48, wherein the memory stores instructions executable by the processing unit to maintain state information associated with one or more routines in the sequence of sessions, and wherein the state information is specific to the message.

50. (New) A non-transitory, computer-readable medium comprising program instructions executable by a computer system to:

identify information from different headers associated with various layers of a packet of a message;

create, using the identified information, a sequence of sessions of routines; and

process packets of the message, including by removing an outermost header of a given packet using a first session corresponding to a protocol in a first layer and by removing the resulting outermost header using a second session corresponding to a different protocol in a different layer. 51. (New) The medium of claim 50, wherein the protocol in the first layer is a Transmission Control Protocol (TCP), and the message comprises a stream of data.

52. (New) The medium of claim 50, wherein the protocol in the first layer is a transport layer protocol and the different protocol in the different layer is an application layer protocol.

53. (New) The medium of claim 50, wherein processing packets of the message further includes removing the resulting outermost header using a third session corresponding to another protocol in another layer, and wherein the layers include a network layer, a transport layer, and an application layer.

54. (New) The medium of claim 50, wherein at least one of the routines associated with at least one of sessions is not used to remove a header of the packets.

55. (New) The medium of claim 50, wherein the outermost header has a format that is incompatible with a format of the resulting outermost header, and wherein the outermost header is associated with a network layer protocol.

REMARKS:

Claims 1-25 were pending in this application. Claims 1-25 have been canceled. Claims 26-55 have been added. Therefore, claims 26-55 are now pending in this application.

Reexaminations of Related Cases

Three reexaminations have been filed against cases related to the present case. In reexamination Control No. 90/010,356, *ex parte* reexamination was ordered on January 17, 2009, against U.S. Patent No. 6,629,163, which issued from the great-grandparent application of the present case. That reexamination largely concerned the reference "Scout: A Path-Based Operating System" by David Mosberger. In that proceeding, Patent Owner amended the claims to distinguish over Mosberger, resulting in a reexamination certificate being issued for the '163 patent on June 22, 2010.

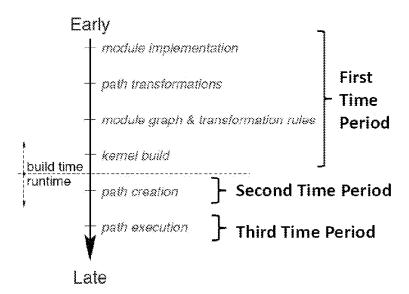
In reexamination Control No. 95/000,659, *inter partes* reexamination was ordered against the '163 patent on April 3, 2012. Rejections in that proceeding, which is still pending, are largely based on the reference "Router Plugins: A Software Architecture for Next Generation Routers," by Dan Decasper et al. Similarly, in reexamination Control No. 95/000,660, an *inter partes* reexamination was ordered on May 10, 2012, for U.S. Patent No. 7,711,857, which issued from a continuation of the grandparent of the present application. Rejections in that proceeding, which remains pending, are also based on Decasper.

Applicant plans to submit an Information Disclosure Statement in this application that includes Mosberger, Decasper, and other references from the above-noted reexaminations and the related litigations. The claims in this application are believed to distinguish over Mosberger and Decasper for at least the reasons set forth below.

Mosberger

With respect to claim 26, Applicant submits that Mosberger does not teach or suggest "a memory storing instructions executable by the processing unit to: create, <u>based on an</u> <u>identification of information in a packet of a message</u>, a path that includes a sequence of routines for processing packets in the message."

As shown in the figure reproduced below, Mosberger teaches that there are three key "epochs" or time periods during the development and operation of the Scout path-based operating system. *See* Mosberger at 60-61. The first is "build time," when the programmer designs the individual modules, decides what kinds of paths are likely to be important to system performance, develops the module graph and builds the system kernel. *See id.* The second time period is "path creation," which occurs at "runtime" during system initialization when the system creates paths in anticipation of receiving packets. *See id.* at 60-61, 80-82. At this point, the paths have been created or defined and await packets. The third and final time period is "path execution," which also occurs at "runtime" (but after "path creation") when the system receives messages, chooses which of the predefined paths is appropriate for a particular message and then executes the modules in that predefined path. *See id.* at 60-61, 85, 100-101. These three time periods are illustrated in the following annotated figure from page 61 of Mosberger (the annotations include the brackets and language to the far right which are added for emphasis):



Section 3.3 of Mosberger describes how the paths in Scout are "realized." *See* Mosberger at 71-85. The first part of Section 3.3 explains the basic components of a path (*i.e.*, "modules,"

"stages" and "interfaces"). *See id.* at 71-80. A "module" is a "unit of program development in Scout" that "provide[s] a well-defined and independent functionality." *See id.* at 61-62. And "there is one stage per module that the path traverses." *See id.* at 73. Finally, "an interface provides a controlled (type-checked) way to move data from one stage to the next one." *See id.* at 75. Figure 3.5 on page 74 of Mosberger illustrates the relationship between the various components in the path:

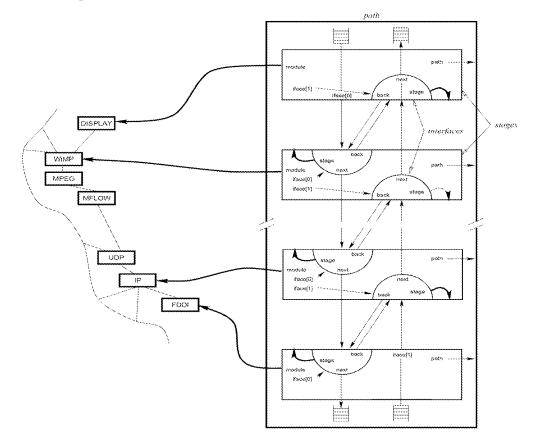


Figure 3.5: Path Structure

The next part of Section 3.3 (titled "3.3.6 Creation") describes how paths are created during the "path creation" time period. *See* Mosberger at 80-82. Mosberger teaches that the "pathCreate" software function actually creates the paths. *See id.* at 80. The C-programming language prototype of the "pathCreate" software function is:

Path pathCreate (Module m, Attrs a);

See *id*. As its prototype suggests, a path is created by invoking the "pathCreate" software function on a module "m" with an attribute set¹ "a." See *id*. Notably, the "pathCreate" software function does not take a message as a parameter, showing that the paths are created independently of the messages. See *id*.

The "pathCreate" software function eventually invokes the "createStage" software function, which has the following prototype:

See Mosberger at 80. This prototype shows that the parameters for the "createStage" software function are a module "m," a service index "s," a set of attributes "a," and a pointer "ModuleLink*" to the service index of the next stage in the path. See id. Like the "pathCreate" software function, the "createStage" function also does not have an input parameter for a message, which further shows that the stages are created without regard to the particular messages. See id.

The end result of invoking the "pathCreate" software function is that Scout will create a set of paths comprising various sequences of modules. *See* Mosberger at 81 ("At this point, the pathCreate function creates the actual path object, inserts the stages into it, and establishes the various chains through the path structure"). The knowledge of which modules to connect together is complied into the Scout kernel at compile time. This knowledge does not exist outside of the modules themselves. Importantly, this set of paths is finite; Mosberger does not teach creation of new paths after initialization. *See id*.

The next section of Mosberger (Section 3.4), entitled "Demultiplexing," describes how to select the appropriate path from amongst the finite set of previously-created paths based on the contents of a particular message. *See* Mosberger at 85-99. This point is underscored by the first sentence of section 3.4: "<u>So far</u>, we have not discussed the issue of how the appropriate path <u>is</u> <u>found</u> for a given message." *See id.* at 85 (emphasis added). This sentence unequivocally establishes that the prior sections of Mosberger regarding creating paths are limited to "path creation" and do not relate to selecting (or "finding") the appropriate (predefined) path for a particular message. *See id.* Instead, Section 3.4 teaches for the first time in Mosberger that,

¹ "The attribute set describes the kind of path that is desired. That is, the invariants ... are passed in this set." Mosberger at 80.

upon the receipt of a message, Scout uses a demultiplexing process to find the correct previously-created path to process the message. *See id.* at 85-92 ("a packet classifier that factors all demultiplexing operations ... lets Scout pick a path and start processing a packet..." and "a classifier to decide whether a packet should be processed using path p1, p2, or p3"). Thus, Mosberger does not teach or suggest "instructions executable by [a] processing unit to: <u>create</u>, <u>based on an identification of information in a packet of a message, a path</u> that includes a sequence of routines for processing packets in the message" as received, a path is selected (or "found" or "picked") from a set of possible paths, which were created *before* the message was received. *See id.* The "path" of claim 26, on the other hand, is "create[d]" "based on an identification in a packet of a message"—in other words, after a packet of the message exists and is received.

For at least the reasons given above, Applicant respectfully submits that Mosberger does not teach or suggest the combination of features recited in claim 26. Accordingly, Applicant submits that claim 26 and its dependent claims are patentably distinct over Mosberger. Claims 33, 41, and 50 include features that are similar to the features recited in claim 26. Thus, Applicant submits claims 33, 41, and 50, along with their respective dependent claims, are patentably distinct over Mosberger for at least the reasons given above.

Decasper

As described in detail below, Decasper includes an "IP core" that uses modules called "plugins" to operate on IP packets. Decasper therefore does not teach or suggest a path having a sequence of routines, "wherein the sequence includes a routine that is used to execute a Transmission Control Protocol (TCP) to convert packets having a TCP format into a different format," as recited in claim 26. Because Decasper operates on IP packets only (and thus executes the IP protocol but not other protocols), that reference does not teach or suggest "process[ing] packets of [a] message, including by removing an outermost header of a given packet using a first session **corresponding to a protocol in a first layer** and by removing the resulting outermost header using a second session corresponding to **a different protocol in a different protocol in a different layer**."

Decasper Overview

Decasper is directed to "a high performance, modular, extended integrated services router software architecture." Decasper at § 1 (p. 1, first col., ¶ 1).² Decasper states that "In the past, the main task of a router was to simply forward packets based on a destination address lookup." *Id.* at § 2 (p. 1, first col., ¶ 3). This type of traditional router implementation is shown in the left half of Figure 1 of Decasper, which is reproduced below. In this "Monolit[h]ic Best-Effort Architecture," packets are shown as being received from the "Net" (i.e., the Internet), processed according to an Internet Protocol (specifically IPv4), and output back onto the "Net." *See id.* at Fig. 1. This prior art router architecture can thus be understood to execute an IP protocol in order to route packets to other locations in a network such as the Internet. Notably, the diagram in the left half of Decasper's Figure 1 does not disclose that these prior art routers execute any type of networking protocols other than IP (e.g., TCP).

 $^{^2}$ Decasper does not include page numbers. The primary citations to this reference are given by section number (§), with parenthetical citations by page number, column, and paragraph number. In determining paragraph numbers, each bullet point is considered a separate paragraph. Additionally, a split paragraph that begins a column is considered the first paragraph for that column.

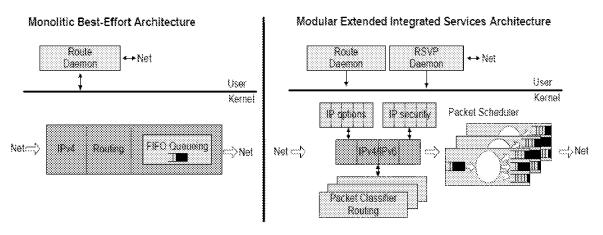


Figure 1. : Best Effort vs Extended Integrated Services Router (EISR)

The "Extended Integrated Services Router" (EISR) shown in the right half of Decasper's Figure 1 has an interface similar to the disclosed prior best-effort router architecture depicted in the left half of that figure. That is, Decasper's EISR receives packets from the "Net," processes these packets according to an Internet Protocol (specifically IPv4 or IPv6), and then outputs these packets back to the "Net." *See id.* at Fig. 1. Accordingly, the improved EISR architecture that is shown in Figure 1 and described at length in Decasper generally can also be understood to execute an IP protocol. As with the diagram on the left half of Figure 1, the diagram on the right half of Figure 1 does not disclose that the EISR architecture executes any type of networking protocols other than IP.³

Figure 1 shows additional components that have been added to the kernel to implement the EISR architecture. *See id.* at Fig. 1. These additional components add to the functionality of a traditional router—for example, by adding additional "security" and "QoS" modules. *See id.* at § 2 (p. 1, second col., ¶¶ 2, 3). The additional kernel components shown in Figure 1 include a packet scheduler, a packet classifier, IP options, and security mechanisms. *See id.* at Fig. 1. These components may be "replaced and upgraded frequently" and are implemented in the form of "modules called plugins" in contrast to "code that remains relatively stable." *See id.* at § 2 (p.

³ Decasper distinguishes various "research projects" from EISR by noting that those research projects are "focused on the implementation of modular **end-system** networking subsystems instead of routing architectures." Decasper at § 2 (p. 2, second col., ¶ 5) (emphasis in original). This statement provides further evidence that Decasper's EISR architecture is not focused on communication "end-systems" that implement protocols such as TCP.

1, second col., \P 6). The relatively stable code is referred to as the "core," *see id.*, and is described in § 3.1 of Decasper, and depicted in Figures 2 and 3. The relationship between the core and the plugins in Decasper is described in more detail in the next section.

Decasper Control Path

Decasper's Figure 2 shows the control path for the disclosed EISR architecture. This architecture includes, among other things, an IP core, plugins, and a plugin control unit.

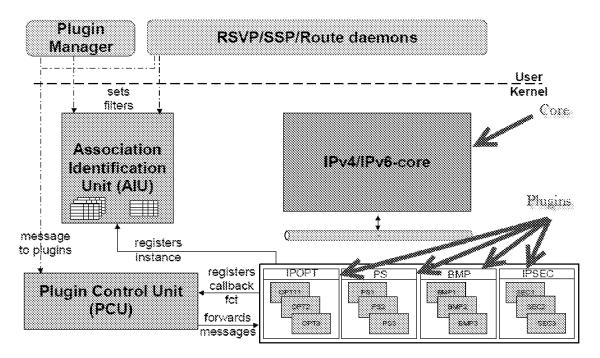


Figure 2. : System Architecture and Control Path

As shown, the core implements Internet Protocols (specifically, IPv4 and IPv6). See Decasper at § 3.1 (p. 3, second col., ¶ 7) (referring to a "stream-lined IPv4/IPv6 implementation"). Decasper further explains that the core contains components that are not "dynamically loadable":

[The core] contains the (few) components required for packet processing which do not come in the form of dynamically loadable modules. These are mainly functions that interact with network devices. The core is also responsible for demultiplexing individual packets to plugins.

See id. Accordingly, as a received packet flows through the core, the core initiates the process by which the packet is assigned to one or more plugins. The fact that Decasper's core is an "IP" core is further evidence that Decasper's EISR executes an IP protocol and not other networking protocols such as TCP.

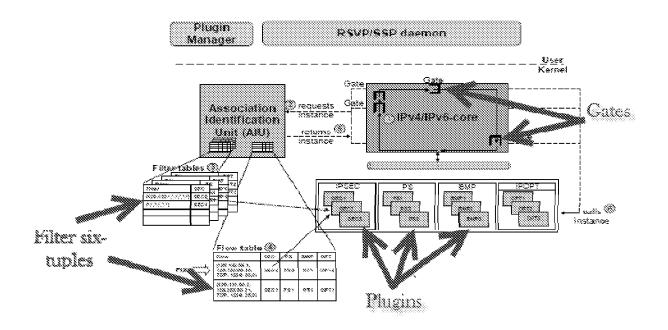
Figure 2 also depicts four plugins. The IPOPT plugin "implement[s] IPv6 options," the PS plugin performs "packet scheduling," the BMP plugin "calculate[s] the best-matching prefix ... used for packet classification and routing" and the IPSEC plugin is "for IP security." *See* Decasper at § 3.1 (p. 4, first col., ¶ 1). Decasper uses a "packet classification algorithm" that "efficiently maps packets to code modules (plugins)." *Id.* at § 2 (p. 2, second col., ¶ 6). As will be discussed in further detail below, Decasper's method of mapping a packet to a plugin requires the presence of an IP header in order to perform the classification. Accordingly, it follows that each of the plugins disclosed in Decasper operates on an IP packet (i.e., a packet with an IP header). This conclusion makes sense given that the process of mapping a packet to one or more plugins is initiated by different points (referred to as "gates") within the **IP** core. Stated another way, it would be expected that plugins called from different points in the control path that implements an Internet Protocol would operate on IP packets.

Decasper's Packet Classification Scheme

Decasper discloses that "[i]nstances of plugins can be created, configured, and *bound to specific flows*" (i.e., a group of related packets). Decasper at § 2 (p. 2, first col., ¶ 2) (emphasis in original). Specifically, the Association Identification Unit (AIU) shown in Figures 2 and 3 "implements an innovative algorithm for packet classification which efficiently maps packets to code modules (plugins)." *Id.* at § 2 (p. 2, second col., ¶ 6). The mapping between a packet and a plugin is governed by a "filter," which Decasper discloses is specified by the following "six-tuple":

<source address, destination address, protocol, source port, destination port, incoming interface>.

Id. at § 5.1 (p. 7, first col., ¶ 6). Values in a six-tuple field may include a wildcard character (a "*"), indicating that any value is acceptable for filter-matching purposes. *See id.* § 3 (p. 3, second col., ¶ 1). Thus, one filter may be specified by source address 129.132.*, with the remaining tuple values being wildcards. In such an example, any flow from source address 129.132.* will be mapped to the plugin specified by that filter. *See* Decasper at Fig. 3 (first filter table entry, which is mapped to IPSEC plugin "SEC2").



The packet matching process begins at various points in the IP core referred to as "gates":

A packet matching a particular filter will be passed to the plugin instance that has been bound to that filter. This [occurs] whenever the packet reaches a 'gate' in the IP stack; a gate can be thought of as the entry point for a plugin."

Id. at § 3 (p. 3, second col., \P 2). *See also id.* at § 3.2 (p. 5, second col., \P 5) (description of step 1 depicted in Fig. 3). Various gates in the control path for a given IP packet are highlighted in the annotated version of Figure 3 shown above.

Decasper discloses two different types of data structures that use filters: filter tables and flow tables. See Decasper at § 3.2 (p. 5, first col., ¶¶ 2, 3). When a packet arrives at a gate, the "task of [the] gate is to find the plugin instance" that is responsible for applying the processing corresponding to that gate. See *id.* at § 3.2 (p. 5, second col., ¶ 5) (description of step 1 depicted in Fig. 3). In order to find the right plugin, an identification unit (AIU in the above Figure) first accesses a flow table to determine if a matching six-tuple for the packet has already been cached. *Id.* at § 3.2 (p. 5, second col., ¶ 7) (description of step 3 depicted in Fig. 3). "Flow tables allow for very fast lookup times for arriving packets that belong to cached flows." *Id.* at § 3.2 (p. 5, first col., ¶ 2). In the disclosed embodiment, "entries in the flow table are identified by the same six tuple used to specify filters, but without masks or wildcards." *Id.* at § 3.2 (p. 5, second col., ¶ 7) (description of step 3 depicted in the flow table are identified by the same six tuple used to specify filters, but without masks or wildcards." *Id.* at § 3.2 (p. 5, second col., ¶ 7) (description of step 3 depicted in the flow table shown in Fig. 3).

Figure 3, a packet having the six tuple (129.133.50.50.2, 128.252.50.21, TCP, 1234, 25, 0) maps to the following plugins: SEC1, PS1, RT1, OPT1.

If there is no cached entry in a flow table, a filter table is accessed and "the resulting plugin instance pointer is returned to the calling gate." *See* Decasper at § 3.2 (p. 5, second col., ¶ 7) (description of step 3 depicted in Fig. 3). The gate then "calls the plugin instance, passing the packet as an argument." *Id.* This process is repeated at each gate in the IP core for a given packet. *See id.* at § 3.2 (p. 5, second col., ¶ 11) (description of step 7 depicted in Fig. 3). Figure 3 depicts a separate filter table for each of four types of plugins: IPSEC, PS, BMP, IPOPT. *See id.* at § 3.2 (p. 5, second col., ¶ 8) (description of step 4 depicted in Fig. 3). Subsequently, "[w]hen a packet from a cached flow encounters the first gate" in the IP core, a "pointer to the [plugin] instance requested is already in the flow table." *See id.* at § 3.2 (p. 6, first col., ¶ 3). "No filter table lookups are required." *See id.*

Decasper's Packet Classification Requires IP Packet Headers

As described above, Decasper uses both filter tables and flow tables to classify packets. With respect to filter table implementations (used for finding the appropriate plugin when a packet for an uncached flow reaches a gate in the IP core), Decasper "requires packets to be classified based upon the same five packet header fields and the interface on which the packet was received." See Decasper at § 5.1 (p. 7, first col., \P 6). This six tuple includes the source address and destination address of the packet—information that is located in both IPv4 and IPv6 headers. Internet Protocol RFC 791, 11 (Jon Postel ed., September 1981) (included in an IDS submitted with this response). Similarly, Decasper's flow table implementation also uses the source and destination IP addresses from the packet to calculate the hash index used to perform the lookup function. See id. at § 3.2 (p. 5, second col., \P 8) (description of step 4 depicted in Fig. 3), § 5.2 (p. 9, first col., \P 1, 2). Decasper emphasizes that all tuple values used to look up a filter in the flow table are fully specified—that is, no wildcards. See id. at § 3.2 (p. 5, second col., \P 8) (description of step 4 depicted in Fig. 3). Given the use of IP header information to implement both filter table and flow table lookups, Decasper does not contemplate classifying packets other than IP packets. For example, nothing in Decasper contemplates processing a non-IP packet format such as a TCP packet, since such headers do not have the source and destination IP

addresses needed for Decasper's packet classification scheme.⁴ Because each gate in Decasper's IP core must receive an IP packet in order to perform such classification, it follows that Decasper's plugins do not convert an IP packet into a non-IP format (e.g., a TCP format). An initial gate in Decasper's IP core, for example, must produce an output packet that can be processed by a subsequent gate. If the plugin tied to the initial gate in Decasper's IP core removed the IP header portion of a packet, for example, the resulting output packet would not be able to be classified at the subsequent gate. The conclusion that Decasper contemplates processing only IP packets is consistent with Decasper's implementation of an IP router using an IP core that executes an IP protocol.

<u>Claim 26</u>

Given the preceding discussion, Applicant submits that Decasper does not teach or suggest "process[ing] packets in the message using [a] sequence of routines in the created path, wherein the sequence includes a routine that is used to execute a Transmission Control <u>Protocol (TCP) to convert packets having a TCP format into a different format</u>," as recited in claim 26.

Assuming *arguendo* that Decasper's plugins or flows correspond to the "sequence of routines" of claim 26 (which Applicant does not concede), Decasper does not teach or suggest that any of the plugins operates on "packets having a TCP format" let alone "convert[ing]" such packets "into a different format," as recited in that claim. Rather, as discussed at length above, Decasper's packet classification scheme relies on IP headers remaining with packets throughout the IP core. For at least these reasons, Applicant respectfully submits that Decasper does not teach or suggest the combination of features recited in claim 26. Accordingly, Applicant submits that claim 26 and its dependent claims are patentably distinct over Decasper. Independent claims 33 and 41 include features that are similar to those recited in claim 26. Thus, Applicant submits

⁴ Additionally, it is well known that the Transmission Control Protocol (TCP) is implemented at the endpoints of a connection. Decasper, on the other hand, discloses a router architecture that stands in contrast to "modular end-system networking subsystems." *See* Decasper § 2 (p. 2, second col., ¶ 5). Accordingly, the fact that Decasper refers to a plugin that can monitor "TCP congestion backoff behavior," *see id.* § 3 (p. 4, first col., ¶ 1), does not refer to a plugin that executes the TCP protocol (i.e., operates on a packet whose outermost header is a TCP header). Given the discussion of Decasper's classification scheme provided above, plugins in Decasper's routing architecture operate on IP packets. The monitoring of TCP congestion backoff behavior in Decasper can thus be considered akin to the statistics gathering functions of other disclosed plugins, and not as the implementation of the TCP protocol.

claims 33 and 41, along with their respective dependent claims, are patentably distinct over Decasper for at least reasons similar to those provided in support of claim 26.

<u>Claim 50</u>

With respect to claim 50, Applicant submits that Decasper does not teach or suggest "process[ing] packets of the message, including by **removing an outermost header of a given packet using a first session** corresponding to a protocol in a first layer and by **removing the resulting outermost header using a second session corresponding to a different protocol** in a different layer." Each of the gates in Decasper operates on a packet having an IP header. In fact, as explained above, Decasper's gates *rely* on the presence of IP headers in the packets to properly classify the packets. It therefore follows that Decasper's plugins do not operate to "remov[e] an outermost header of a given packet" and "remov[e] the resulting outermost header." Further, Decasper does not teach or suggest "using a second session corresponding to a different protocol" to "remov[e] the resulting outermost header" at least because Decasper does not teach sessions corresponding to different protocols.

For at least the reasons given above, Applicant respectfully submits that Decasper does not teach or suggest the combination of features recited in claim 50. Accordingly, Applicant submits that claim 50 and its dependent claims are patentably distinct over Decasper.

CONCLUSION

Applicants submit the application is in condition for allowance, and an early notice to that effect is requested.

The Commissioner is authorized to charge any fees that may be required, or credit any overpayment, to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 501505/6743-00105/DMM.

Respectfully submitted,

Date: June 6, 2013

By: /Dean M. Munyon/ Dean M. Munyon Reg. No. 42,914

Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. P. O. Box 398 Austin, Texas 78767 (512) 853-8847

Electronic Patent Application Fee Transmittal						
Application Number:						
Filing Date:						
Title of Invention:	ME	THOD AND SYSTEM	1 FOR DATA DE	MULTIPLEXING		
First Named Inventor/Applicant Name:	Edv	ward Balassanian				
Filer:	De	Dean M. Munyon/Deena Beasley				
Attorney Docket Number:		6743-00105				
Filed as Large Entity						
Track I Prioritized Examination - Nonprovisional Application under 35 USC 111(a) Filing Fees					ng Fees	
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Utility application filing		1011	1	280	280	
Utility Search Fee		1111	1	600	600	
Utility Examination Fee		1311	1	720	720	
Request for Prioritized Examination		1817	1	4000	4000	
Pages:						
Claims:						
Claims in Excess of 20		1202	10	80	800	
Independent claims in excess of 3		1201	1	420	420	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous-Filing:				
Publ. Fee- Early, Voluntary, or Normal	1504	1	300	300
OTHER PUBLICATION PROCESSING FEE	1808	1	130	130
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	7250

Electronic Ac	knowledgement Receipt
EFS ID:	15966847
Application Number:	13911324
International Application Number:	
Confirmation Number:	4969
Title of Invention:	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING
First Named Inventor/Applicant Name:	Edward Balassanian
Customer Number:	35690
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Attorney Docket Number:	6743-00105
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Authorized User	MUNYON, DEAN M.			
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Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)				
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Juniper v Implicit

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CERTIFICATION AND REQUEST FOR PRIORITIZED EXAMINATION UNDER 37 CFR 1.102(e) (Page 1 of 1)					
First Named Inventor: Edward Balassanian Nonprovisional Application Number (if known):					
Title of Invention:	METHOD AND SYSTEM F	OR DATA DEMUL	TIPLEX	ING	
	APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS PRIORITIZED EXAMINATION FOR THE ABOVE-IDENTIFIED APPLICATION.				
 The processing fee set forth in 37 CFR 1.17(i), the prioritized examination fee set forth in 37 CFR 1.17(c), and if not already paid, the publication fee set forth in 37 CFR 1.18(d) have been filed with the request. The basic filing fee, search fee, examination fee, and any required excess claims and application size fees are filed with the request or have been already been paid. 					
	plication contains or is amended t than thirty total claims, and no m			pendent claims and	
3. The ap	plicable box is checked below:				
I. <u>I</u>	Original Application (Track One	e) - Prioritized Examir	nation und	ler § 1.102(e)(1)	
	 (a) The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a). This certification and request is being filed with the utility application via EFS-Web. OR 				
	(b) The application is an original nonprovisional plant application filed under 35 U.S.C. 111(a). This certification and request is being filed with the plant application in paper.				
ii. An exe	ii. An executed oath or declaration under 37 CFR 1.63 is filed with the application.				
II. 🔲 Request for Continued Examination - Prioritized Examination under § 1.102(e)(2)					
 i. A request for continued examination has been filed with, or prior to, this form. ii. If the application is a utility application, this certification and request is being filed via EFS-Web. iii. The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a), or is a national stage entry under 35 U.S.C. 371. iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination. v. No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2). 					
Signature /Dea	n M. Munyon/		_{Date} Jun	e 6, 2013	
Name (Print/Typed) Dean M. Munyon Practitioner Registration Number 42914					

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required in accordance with 37 CFR 1.33 and 11.18. Please see 37 CFR 1.4(d) for the form of the signature. If necessary, submit multiple forms for more than one signature, see below*.

*Total of _____ forms are submitted.

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METHOD AND SYSTEM FOR DATA DEMULTIPLEXING

5

CROSS REFERENCES TO RELATED APPLICATIONS

- 10 **[0001]** This application is a continuation of U.S. patent application Ser. No. 10/636,314, filed August 6, 2003, titled Method and System for Data Demultiplexing, for all purposes including but not limited to the right of priority and benefit of earlier filing date, and expressly incorporates by reference the entire content of Patent Application Serial No. 10/636,314 for all purposes. U.S. patent application Ser. No. 10/636,314 is a continuation of U.S. patent application
- Ser. No. 09/474,664 (now U.S. Patent No. 6,629,163), filed December 29, 1999, titled Method and System for Demultiplexing a First Sequence of Packet Components to Identify Specific Components Wherein Subsequent Components Are Processed Without Re-Identifying Components. This application claims the benefit of the following applications for all purposes including but not limited to the right of priority and benefit of earlier filing date, and expressly
- 20 incorporates by reference the entire content of the following applications for all purposes: U.S. patent application Ser. No. 10/636,314; and U.S. patent application Ser. No. 09/474,664.

TECHNICAL FIELD

[0002] The present invention relates generally to a computer system for data demultiplexing.

BACKGROUND

25 **[0003]** Computer systems, which are becoming increasingly pervasive, generate data in a wide variety of formats. The Internet is an example of interconnected computer systems that generate data in many different formats. Indeed, when data is generated on one computer system and is transmitted to another computer system to be displayed, the data may be converted in

many different intermediate formats before it is eventually displayed. For example, the generating computer system may initially store the data in a bitmap format. To send the data to another computer system, the computer system may first compress the bitmap data and then encrypt the compressed data. The computer system may then convert that compressed data into a

- 5 TCP format and then into an IP format. The IP formatted data may be converted into a transmission format, such as an ethernet format. The data in the transmission format is then sent to a receiving computer system. The receiving computer system would need to perform each of these conversions in reverse order to convert the data in the bitmap format. In addition, the receiving computer system may need to convert the bitmap data into a format that is appropriate
- 10 for rendering on output device.

[0004] In order to process data in such a wide variety of formats, both sending and receiving computer systems need to have many conversion routines available to support the various formats. These computer systems typically use predefined configuration information to load the correct combination of conversion routines for processing data. These computer systems also

- 15 use a process-oriented approach when processing data with these conversion routines. When using a process-oriented approach, a computer system may create a separate process for each conversion that needs to take place. A computer system in certain situations, however, can be expected to receive data and to provide data in many different formats that may not be known until the data is received. The overhead of statically providing each possible series of conversion
- 20 routines is very high. For example, a computer system that serves as a central controller for data received within a home would be expected to process data received via telephone lines, cable TV lines, and satellite connections in many different formats. The central controller would be expected to output the data to computer displays, television displays, entertainment centers, speakers, recording devices, and so on in many different formats. Moreover, since the various
- 25 conversion routines may be developed by different organizations, it may not be easy to identify

that the output format of one conversion routine is compatible with the input format of another conversion routine.

[0005] It would be desirable to have a technique for dynamically identifying a series of conversion routines for processing data. In addition, it would be desirable to have a technique in

5 which the output format of one conversion routine can be identified as being compatible with the input format of another conversion routine. It would also be desirable to store the identification of a series of conversion routines so that the series can be quickly identified when data is received.

BRIEF DESCRIPTION OF THE DRAWINGS

10 [0006] Figure 1 is a block diagram illustrating example processing of a message by the conversion system.

[0007] Figure 2 is a block diagram illustrating a sequence of edges.

[0008] Figure 3 is a block diagram illustrating components of the conversion system in one embodiment.

15 [0009] Figure 4 is a block diagram illustrating example path data structures in one embodiment.

[0010] Figure 5 is a block diagram that illustrates the interrelationship of the data structures of a path.

[0011] Figure 6 is a block diagram that illustrates the interrelationship of the data structuresassociated with a session.

[0012] Figures 7 A, 7B, and 7C comprise a flow diagram illustrating the processing of the message send routine.

[0013] Figure 8 is a flow diagram of the demux routine.

	[0014]	Figure 9 is a flow diagram of the initialize demux routine.
	[0015]	Figure 10 is a flow diagram of the init end routine.
	[0016]	Figure 11 is a flow diagram of a routine to get the next binding.
	[0017]	Figure 12 is a flow diagram of the get key routine.
5	[0018]	Figure 13 is a flow diagram of the get session routine.
	[0019]	Figure 14 is a flow diagram of the nail binding routine.
	[0020]	Figure 15 is a flow diagram of the find path routine.
	[0021]	Figure 16 is a flow diagram of the process of path hopping routine.

DETAILED DESCRIPTION

- 10 **[0022]** A method and system for converting a message that may contain multiple packets from an source format into a target format. When a packet of a message is received, the conversion system in one embodiment searches for and identifies a sequence of conversion routines (or more generally message handlers) for processing the packets of the message by comparing the input and output formats of the conversion routines. (A message is a collection of
- 15 data that is related in some way, such as stream of video or audio data or an email message.) The identified sequence of conversion routines is used to convert the message from the source format to the target format using various intermediate formats. The conversion system then queues the packet for processing by the identified sequence of conversion routines. The conversion system stores the identified sequence so that the sequence can be quickly found (without searching)
- 20 when the next packet in the message is received. When subsequent packets of the message are received, the conversion system identifies the sequence and queues the packets for pressing by the sequence. Because the conversion system receives multiple messages with different source and target formats and identifies a sequence of conversion routines for each message, the

conversion systems effectively "demultiplexes" the messages. That is, the conversion system demultiplexes the messages by receiving the message, identifying the sequence of conversion routines, and controlling the processing of each message by the identified sequence. Moreover, since the conversion routines may need to retain state information between the receipt of one

5 packet of a message and the next packet of that message, the conversion system maintains state information as an instance or session of the conversion routine. The conversion system routes all packets for a message through the same session of each conversion routine so that the same state or instance information can be used by all packets of the message. A sequence of sessions of conversion routines is referred to as a "path." In one embodiment, each path has a path thread 10 associated with it for processing of each packet destined for that path.

[0023] In one embodiment, the packets of the messages are initially received by "drivers," such as an Ethernet driver. When a driver receives a packet, it forwards the packet to a forwarding component of the conversion system. The forwarding component is responsible for identifying the session of the conversion routine that should next process the packet and invoking

- 15 that conversion routine. When invoked by a driver, the forwarding component may use a demultiplexing ("demux") component to identify the session of the first conversion routine of the path that is to process the packet and then queues the packet for processing by the path. A path thread is associated with each path. Each path thread is responsible for retrieving packets from the queue of its path and forwarding the packets to the forwarding component. When the
- 20 forwarding component is invoked by a path thread, it initially invokes the first conversion routine in the path. That conversion routine processes the packet and forwards the processed packet to the forwarding component, which then invokes the second conversion routine in the path. The process of invoking the conversion routines and forwarding the processed packet to the next conversion routine continues until the last conversion routine in the path is invoked. A
- 25 conversion routine may defer invocation of the forwarding component until it aggregates

multiple packets or may invoke the forwarding component multiple times for a packet once for each sub-packet.

[0024] The forwarding component identifies the next conversion routine in the path using the demux component and stores that identification so that the forwarding component can quickly
5 identify the conversion routine when subsequent packets of the same message are received. The demux component ,searches for the conversion routine and session that is to next process a packet. The demux component then stores the identification of the session and conversion routine as part of a path data structure so that the conversion system does not need to search for the session and conversion routine when requested to demultiplex subsequent packets of the

- 10 same message. When searching for the next conversion routine, the demux component invokes a label map get component that identifies the next conversion routine. Once the conversion routine is found, the demux component identifies the session associated with that message by, in one embodiment, invoking code associated with the conversion routine. In general, the code of the conversion routine determines what session should be associated with a message. In certain
- 15 situations, multiple messages may share the same session. The demux component then extends the path for processing that packet to include that session and conversion routine. The sessions are identified so that each packet is associated with the appropriate state information. The dynamic identification of conversion routines is described in U.S. Patent Application No. 11,933,093, filed on Oct. 31, 2007 (now U.S. Patent No. 7,730,211), entitled "Method and System
- for Generating a Mapping Between Types of Data," which is hereby incorporated by reference.
 [0025] Figure 1 is a block diagram illustrating example processing of a message by the conversion system. The driver 101 receives the packets of the message from a network. The driver performs any appropriate processing of the packet and invokes a message send routine passing the processed packet along with a reference path entry 150. The message send routine is an embodiment of the forwarding component. A path is represented by a series of path entries,

which are represented by triangles. Each member path entry represents a session and conversion routine of the path, and a reference path entry represents the overall path. The passed reference path entry 150 indicates to the message send routine that it is being invoked by a driver. The message send routine invokes the demux routine 102 to search for and identify the path of

- 5 sessions that is to process the packet. The demux routine may in tum invoke the label map get routine 104 to identify a sequence of conversion routines for processing the packet. In this example, the label map get routine identifies the first three conversion routines, and the demux routine creates the member path entries 151, 152, 153 of the path for these conversion routines. Each path entry identifies a session for a conversion routine, and the sequence of path entries
- 10 151-155 identifies a path. The message send routine then queues the packet on the queue 149 for the path that is to process the packets of the message. The path thread 105 for the path retrieves the packet from the queue and invokes the message send routine 106 passing the packet and an indication of the path. The message send routine determines that the next session and conversion routine as indicated by path entry 151 has already been found. The message send routine then
- 15 invokes the instance of the conversion routine for the session. The conversion routine processes the packet and then invokes the message send routine 107. This processing continues until the message send routine invokes the demux routine 110 after the packet is processed by the conversion routine represented by path entry 153. The demux routine examines the path and determines that it has no more path entries. The demux routine then invokes the label map get
- 20 routine 111 to identify the conversion routines for further processing of the packet. When the conversion routines are identified, the demux routine adds path entries 154, 155 to the path. The messages send routine invokes the conversion routine associated with path entry 154. Eventually, the conversion routine associated with path entry 155 performs the final processing for the path.

[0026] The label map get routine identifies a sequence of "edges" for converting data in oneformat into another format. Each edge corresponds to a conversion routine for converting data

from one format to another. Each edge is part of a "protocol" (or more generally a component) that may include multiple related edges. For example, a protocol may have edges that each convert data in one format into several different formats. Each edge has an input format and an output format. The label map get routine identifies a sequence of edges such that the output

- 5 format of each edge is compatible with the input format of another edge in the sequence, except for the input format of the first edge in the sequence and the output format of the last edge in the sequence. Figure 2 is a block diagram illustrating a sequence of edges. Protocol PI includes an edge for converting format D1 to format D2 and an edge for converting format D1 to format D3; protocol P2 includes an edge for converting format D2 to format D5, and so on. A 30 sequence
- 10 for converting format D 1 to format D 15 is shown by the curved lines and is defined by the address "P 1 : I, P2: 1, P3 :2, P4:7." When a packet of data in format D I is processed by this sequence, it is converted to format DIS. During the process, the packet of data is sequentially converted to format D2, D5, and D13. The output format of protocol P2, edge 1 *(i.e.,* P2: 1) is format D5, but the input format of P3:2 is format D10. The label map get routine uses an aliasing
- 15 mechanism by which two formats, such as D5 and D10 are identified as being compatible. The use of aliasing allows different names of the same format or compatible formats to be correlated.

[0027] Figure 3 is a block diagram illustrating components of the conversion system in one embodiment. The conversion system 300 can operate on a computer system with a central processing unit 301, I/O devices 302, and memory 303. The 110 devices may include an Internet

- 20 connection, a connection to various output devices such as a television, and a connection to various input devices such as a television receiver. The media mapping system may be stored as instructions on a computer-readable medium, such as a disk drive, memory, or data transmission medium. The data structures of the media mapping system may also be stored on a computer-readable medium. The conversion system includes drivers 304, a. forwarding component 305, a
- demux component 306, a label map get component 307, path data structures 308, conversion

routines 309, and instance data 310. Each driver receives data in a source format and forwards the data to the forwarding component. The forwarding component identifies the next conversion routine in the path and invokes that conversion routine to process a packet. The forwarding component may invoke the demux component to search for the next conversion routine and add

5 that conversion routine to the path. The demux component may invoke the label map get component to identify the next conversion routine to process the packet. The demux component stores information defining the paths in the path structures. The conversion routines store their state information in the instance data.

[0028] Figure 4 is a block diagram illustrating example path data structures in one embodiment. The demux component identifies a sequence of "edges" for converting data in one format into another format by invoking the label map get component. Each edge corresponds to a conversion routine for converting data from one format to another. As discussed above, each edge is part of a "protocol" that may include multiple related edges. For example, a protocol may have edges that each convert data in one format into several different formats. Each edge has as

- 15 an input format ("input label") and an output format ("output label"). Each rectangle represents a session 410, 420, 430, 440, 450 for a protocol. A session corresponds to an instance of a protocol. That is, the session includes the protocol and state information associated with that instance of the protocol. Session 410 corresponds to a session for an Ethernet protocol; session 420 corresponds to a session for an IP protocol; and sessions 430, 440, 450 correspond to
- 20 sessions for a TCP protocol. Figure 4 illustrates three paths 461, 462, 463. Each path includes edges 411, 421, 431. The paths share the same Ethernet session 410 and IP session 420, but each path has a unique TCP session 430, 440, 450. Thus, path 461 includes sessions 410, 420, and 430; path 462 includes sessions 410, 420, and 440; and path 463 includes sessions 410, 420, and 450. The conversion system represents each path by a sequence of path entry structures. Each
- 25 path entry structure is represented by a triangle. Thus, path 461 is represented by path entries

415, 425, and 433. The conversion system represents the path entries of a path by a stack list. Each path also has a queue 471, 472, 473 associated with it. Each queue stores the messages that are to be processed by the conversion routines of the edges of the path. Each session includes a binding 412, 422, 432, 442, 452 that is represented by an oblong shape adjacent to the

- 5 corresponding edge. A binding for an edge of a session represents those paths that include the edge. The binding 412 indicates that three paths are bound (or "nailed") to edge 411 of the Ethernet session 410. The conversion system uses a path list to track the paths that are bound to a binding. The path list of binding 412 identifies path entries 413, 414, and 415.
- [0029] Figure 5 is a block diagram that illustrates the interrelationship of the data structures of a path. Each path has a corresponding path structure 501 that contains status information and pointers to a message queue structure 502, a stack list structure 503, and a path address structure 504. The status of a path can be extend, continue, or end. Each message handler returns a status for the path. The status of extend means that additional path entries should be added to the path. The status of end means that this path should end at this point and subsequent processing should
- 15 continue at a new path. The status of continue means that the protocol does not care how the path is handled. In one embodiment, when a path has a status of continue, the system creates a copy of the path and extends the copy. The message queue structure identifies the messages (or packets of a message) that are queued up for processing by the path and identifies the path entry at where the processing should start. The stack list structure contains a list of pointers to the path
- 20 entry structures 505 that comprise the path. Each path entry structure contains a pointer to the corresponding path data structure, a pointer to a map structure 507, a pointer to a multiplex list 508, a pointer to the corresponding path address structure, and a pointer to a member structure 509. A map structure identifies the output label of the edge of the path entry and optionally a target label and a target key. A target key identifies the session associated with the protocol that
- 25 converts the packet to the target label. (The terms "media," "label," and "format" are used

interchangeably to refer to the output of a protocol.) The multiplex list is used during the demux process to track possible next edges when a path is being identified as having more than one next edge. The member structure indicates that the path entry represents an edge of a path and contains a pointer to a binding structure to which the path entry is associated (or "nailed"), a

- 5 stack list entry is the position of the path entry within the associated stack list, a path list entry is the position of the path entry within the associated path list of a binding and an address entry is the position of the binding within the associated path address. A path address of a path identifies the bindings to which the path entries are bound. The path address structure contains a URL for the path, the name of the path identified by the address, a pointer to a binding list structure 506,
- and the identification of the current binding within the binding list. The URL (e.g.,
 "protocol://tcp(0)/ip(0)/eth(0)") identifies conversion routines (e.g., protocols and edges) of a path
 in a human-readable format. The URL (universal resource locator) includes a type field (e.g.,
 "protocol") followed by a sequence of items (e.g., "tcp(0)"). The type field specifies the format of
 the following information in the URL, that specifies that the type field is followed by a sequence
- 15 of items. Each item identifies a protocol and an edge (e.g., the protocol is "tcp" and the edge is "0"). In one embodiment, the items of a URL may also contain an identifier of state information that is to be used when processing a message. These URLs can be used to illustrate to a user various paths that are available for processing a message. The current binding is the last binding in the path as the path is being built. The binding list structure contains a list of pointers to the
- 20 binding structures associated with the path. Each binding structure 510 contains a pointer to a session structure, a pointer to an edge structure, a key, a path list structure, and a list of active paths through the binding. The key identifies the state information for a session of a protocol. A path list structure contains pointers to the path entry structures associated with the binding.

[0030] Figure 6 is a block diagram that illustrates the interrelationship of the data structuresassociated with a session. A session structure 601 contains the context for the session, a pointer

to a protocol structure for the session, a pointer to a binding table structure 602 for the bindings associated with the session, and the key. The binding table structure contains a list of pointers to the binding structures 510 for the session. The binding structure is described above with reference to Figure 5. The path list structure 603 of the binding structure contains a list of

5 pointers to path entry structures 505. The path entry structures are described with reference to Figure 5.

[0031] Figures 7 A, 7B, and 7C comprise a flow diagram illustrating the processing of the message send routine. The message send routine is passed a message along with the path entry associated with the session that last processed the message. The message send routine invokes

- 10 the message handler of the next edge in the path or queues the message for processing by a path. The message handler invokes the demux routine to identify the next path entry of the path. When a driver receives a message, it invokes the message send routine passing a reference path entry. The message send routine examines the passed path entry to determine (1) whether multiple paths branch from the path of the passed path entry, (2) whether the passed path entry is a
- 15 reference with an associated path, or (3) whether the passed path entry is a member with a next path entry. If multiple paths branch from the path of the passed path entry, then the routine recursively invokes the message send routine for each path. If the path entry is a reference with an associated path, then the driver previously invoked the message send routine, which associated a path with the reference path entry, and the routine places the message on the queue
- 20 for the path. If the passed path entry is a member with a next path entry, then the routine invokes the message handler *(i.e.,* conversion routine of the edge) associated with the next path entry. If the passed path entry is a reference without an associated path or is a member without a next path entry, then the routine invokes the demux routine to identify the next path entry. The routine then recursively invokes the messages send routine passing that next path entry. In decision block
- 25 701, if the passed path entry has a multiplex list, then the path branches off into multiple paths

and the routine continues at block 709, else the routine continues at block 702. A packet may be processed by several different paths. For example, if a certain message is directed to two different output devices, then the message is processed by two different paths. Also, a message may need to be processed by multiple partial paths when searching for a complete path. In

- 5 decision block 702, if the passed path entry is a member, then either the next path entry indicates a nailed binding or the path needs to be extended and the routine continues at block 704, else the routine continues at block 703. A nailed binding is a binding (e.g., edge and protocol) is associated with a session. In decision block 703, the passed path entry is a reference and if the passed path entry has an associated path, then the routine can queue the message for the
- 10 associated path and the routine continues at block 703A, else the routine needs to identify a path and the routine continues at block 707. In block 703A, the routine sets the entry to the first path entry in the path and continues at block 717. In block 704, the routine sets the variable position to the stack list entry of the passed path entry. In decision block 705, the routine sets the variable next entry to the next path entry in the path. If there is a next entry in the path, then the next
- 15 session and edge of the protocol have been identified and the routine continues at block 706, else the routine continues at block 707. In block 706, the routine passes the message to the message handler of the edge associated with the next entry and then returns. In block 706, the routine invokes the demux routine passing the passed message, the address of the passed path entry, and the passed path entry. The demux routine returns a list of candidate paths for processing of the 20 message. In decision block 708, if at least one candidate path is returned, then the routine
 - continues at block 709, else the routine returns.

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[0032] Blocks 709-716 illustrate the processing of a list of candidate paths that extend from the passed path entry. In blocks 710-716, the routine loops selecting each candidate path and sending the message to be process by each candidate path. In block 710, the routine sets the next entry to the first path entry of the next candidate path. In decision block 711, if all the candidate

paths have not yet been processed, then the routine continues at block 712, else the routine returns. In decision block 712, if the next entry is equal to the passed path entry, then the path is to be extended and the routine continues at block 705, else the routine continues at block 713. The candidate paths include a first path entry that is a reference path entry for new paths or that

- 5 is the last path entry of a path being extended. In decision block 713, if the number of candidate paths is greater than one, then the routine continues at block 714, else the routine continues at block 718. In decision block 714, if the passed path entry has a multiplex list associated with it, then the routine continues at block 716, else the routine continues at block 715. In block 715, 11 the routine associates the list of candidate path with the multiplex list of the passed path entry
- 10 and continues at block 716. In block 716, the routine sends the message to the next entry by recursively invoking the message send routine. The routine then loops to block 710 to select the next entry associated with the next candidate path.

[0033] Blocks 717-718 are performed when the passed path entry is a reference path entry that has a path associated with it. In block 717, if there is a path associated with the next entry, then the routine continues at block 718, else the routine returns. In block 718, the routine queues

the message for the path of the next entry and then returns.

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[0034] Figure 8 is a flow diagram of the demux routine. This routine is passed the packet (message) that is received, an address structure, and a path entry structure. The demux routine extends a path, creating one if necessary. The routine loops identifying the next binding (edge

20 and protocol) that is to process the message and "nailing" the binding to a session for the message, if not already nailed. After identifying the nailed binding, the routine searches for the shortest path through the nailed binding, creating a path if none exists. In block 801, the routine invokes the initialize demux routine. In blocks 802-810, the routine loops identifying a path or portion of a path for processing the passed message. In decision block 802, if there is a current

25 status, which was returned by the demuxkey routine that was last invoked (e.g., continue, extend,

end, or postpone), then the routine continues at block 803, else the routine continues at block 811. In block 803, the routine invokes the get next binding routine. The get next binding routine returns the next binding in the path. The binding is the edge of a protocol. That routine extends the path as appropriate to include the binding. The routine returns a return status of break,

- 5 binding, or multiple. The return status of binding indicates that the next binding in the path was found by extending the path as appropriate and the routine continues to "nail" the binding to a session as appropriate. The return status of multiple means that multiple trails *(e.g.,* candidate paths) were identified as possible extensions of the path. In a decision block 804, if the return status is break, then the routine continues at block 811. If the return status is multiple, then the
- 10 routine returns. If the return status is binding, then the routine continues at block 805. In decision block 805, if the retrieved binding is nailed as indicated by being assigned to a session, then the routine loops to block 802, else the routine continues at block 806. In block 806, the routine invokes the get key routine of the edge associated with the binding. The get key routine creates the key for the session associated with the message. If a key cannot be created until subsequent
- 15 bindings are processed or because the current binding is to be removed, then the get key routine returns a next binding status, else it returns a continue status. In decision block 807, if the return status of the get key routine is next binding, then the routine loops to block 802 to get the next binding, else the routine continues at block 808. In block 808, the routine invokes the routine get session. The routine get session returns the session associated with the key, creating a new
- 20 session if necessary. In block 809, the routine invokes the routine nail binding. The routine nail binding retrieves the binding if one is already nailed to the session. Otherwise, that routine nails the binding to the session. In decision block 810, if the nail binding routine returns a status of simplex, then the routine continues at block 811 because only one path can use the session, else the routine loops to block 802. Immediately upon return from the nail binding routine, the
- 25 routine may invoke a set map routine of the edge passing the session and a map to allow the edge

to set its map. In block 811, the routine invokes the find path routine, which finds the shortest path through the binding list and creates a path if necessary. In block 812, the routine invokes the process path hopping routine, which determines whether the identified path is part of a different path. Path hopping occurs when, for example, IP fragments are built up along separate paths, but once the fragments are built up they can be processed by the same subsequent path.

5

[0035] Figure 9 is a flow diagram of the initialize demux routine. This routine is invoked to initialize the local data structures that are used in the demux process and to identify the initial binding. The demux routine finds the shortest path from the initial binding to the final binding. If the current status is demux extend, then the routine is to extend the path of the passed path entry

- 10 by adding additional path entries. If the current status is demux end, then the demux routine is ending the current path. If the current status is demux continue, then the demux routine is in the process of continuing to extend or in the process of starting a path identified by the passed address. In block 901, the routine sets the local map structure to the map structure in the passed path entry structure. The map structure identifies the output label, the target label, and the target
- 15 key. In the block 902, the routine initializes the local message structure to the passed message structure and initializes the pointers path and address element to null. In block 903, the routine sets of the variable saved status to 0 and the variable status to demux continue. The variable saved status is used to track the status of the demux process when backtracking to nail a binding whose nail was postponed. In decision block 904, if the passed path entry is associated with a
- 20 path, then the routine continues at block 905, else the routine continues at block 906. In block 905, the routine sets the variable status to the status of that path. In block 906, if the variable status is demux continue, then the routine continues at block 907. If the variable status is demux end, then the routine continues at block 908. If the variable status is demux extend, then the routine continues at block 907, the status is demux continue, and the routine sets
- the local pointer path address to the passed address and continues at block 911. In block 908, the

status is demux end, and the routine invokes the init end routine and continues at block 911. In block 909, the status is demux extend, and the routine sets the local path address to the address of the path that contains the passed path entry. In block 910, the routine sets the address element and the current binding of the path address pointed to by the local pointer path address to the

- address entry of the member structure of the passed path entry. In the block 911, the routine sets the local variable status to demux continue and sets the local binding list structure to the binding list structure from the local path address structure. In block 912, the routine sets the local pointer current binding to the address of the current binding pointed to by local pointer path address and sets the local variable postpone to 0. In block 913, the routine sets the function traverse to the
- 10 function that retrieves the next data in a list and sets the local pointer session to null. The routine then returns.

[0036] Figure 10 is a flow diagram of the init end routine. If the path is simplex, then the routine creates a new path from where the other one ended, else the routine creates a copy of the path. In block 1001, if the binding of the passed path entry is simplex *(i.e., only one path can be ca*

- 15 bound to this binding), then the routine continues at block 1002, else the routine continues at block 1003. In block 1002, the routine sets the local pointer path address to point to an address structure that is a copy of the address structure associated with the passed path entry structure with its current binding to the address entry associated with the passed path entry structure, and then returns. In block 1003, the routine sets the local pointer path address to point to an address
- 20 structure that contains the URL of the path that contains the passed path entry. In block 1004, the routine sets the local pointer element to null to initialize the selection of the bindings. In blocks 1005 through 1007, the routine loops adding all the bindings for the address of the passed path entry that include and are before the passed path entry to the address pointed to by the local path address. In block 1005, the routine retrieves the next binding from the binding list starting with
- the first. If there is no such binding, then the routine returns, else the routine continues at block

1006. In block 1006, the routine adds the binding to the binding list of the local path address structure and sets the current binding of the local variable path address. In the block 1007, if the local pointer element is equal to the address entry of the passed path entry, then the routine returns, else the routine loops to block 1005 to select the next binding.

- 5 [0037] Figure 11 is a flow diagram of a routine to get the next binding. This routine returns the next binding from the local binding list. If there is no next binding, then the routine invokes the routine label map get to identify the list of edges ("trails") that will map the output label to the target label. If only one trail is identified, then the binding list of path address is extended by the edges of the trail. If multiple trails are identified, then a path is created for each trail and the
- 10 routine returns so that the demux process can be invoked for each created path. In block 11 01, the routine sets the local pointer binding to point to the next or previous (as indicated by the traverse function) binding in the local binding list. In block 1102, if a binding was found, then the routine returns an indication that a binding was found, else the routine continues at block 1103. In block 1103, the routine invokes the label map get function passing the output label and
- 15 target label of the local map structure. The label map get function returns a trail list. A trail is a list of edges from the output label to the target label. In decision block 1104, if the size of the trail list is one, then the routine continues at block 1105, else the routine continues at block 1112. In blocks 1105-1111, the routine extends the binding list by adding a binding data structure for each edge in the trail. The routine then sets the local binding to the last binding in the binding
- 20 list. In block 1108, the routine sets the local pointer current binding to point to the last binding in the local binding list. In block 1106, the routine sets the local variable temp trail to the trail in the trail list. In block 1107, the routine extends the binding list by temp trail by adding a binding for each edge in the trail. These bindings are not yet nailed. In block 1108, the routine sets the local binding to point to the last binding in the local binding list. In decision block 1109, if the local
- 25 binding does not have a key for a session and the local map has a target key for a session, then

the routine sets the key for the binding to the target key of the local map and continues at block 1110, else the routine loops to block 1101 to retrieve the next binding in path. In block 1110, the routine sets the key of the local binding to the target key of the local map. In block 1111, the routine sets the target key of the local map to null and then loop to block 1101 to return the next

- 5 binding. In decision block 1112, if the local session is set, then the demultiplexing is already in progress and the routine returns a break status. In block 1113, the routine invokes a prepare multicast paths routine to prepare a path entry for each trail in the trail list. The routine then returns a multiple status.
- [0038] Figure 12 is a flow diagram of the get key routine. The get key routine invokes an edge's demuxkey routine to retrieve a key for the session associated with the message. The key identifies the session of a protocol. The demux key routine creates the appropriate key for the message. The demux key routine returns a status of remove, postpone, or other. The status of remove indicates that the current binding should be removed from the path. The status of postpone indicates that the demux key routine cannot create the key because it needs information
- 15 provided by subsequent protocols in the path. For example, a TCP session is defined by a combination of a remote and local port address and an IP address. Thus, the TCP protocol postpones the creating of a key until the IP protocol identifies the IP address. The get key routine returns a next binding status to continue at the next binding in the path. Otherwise, the routine returns a continue status. In block 1201, the routine sets the local edge to the edge of the local
- 20 binding (current binding) and sets the local protocol to the protocol of the local edge. In block 1202, the routine invokes the demux key routine of the local edge passing the local message, local path address, and local map. The demux key routine sets the key in the local binding. In decision block 1203, if the demux key routine returns a status of remove, then the routine continues at block 1204. If the demux key routine returns a status of postpone, then the routine
- continues at block 1205, else the routine continues at block 1206. In block 1204, the routine sets

the flag of the local binding to indicate that the binding is to be removed and continues at block 1206. In block 1205, the routine sets the variable traverse to the function to list the next data, increments the variable postpone, and then returns a next binding status. In blocks 1206-1214, the routine processes the postponing of the creating of a key. In blocks 1207-1210, if the creating

- of a key has been postponed, then the routine indicates to backtrack on the path, save the demux status, and set the demux status to demux continue. In blocks 1211-1213, if the creating of a key has not been postponed, then the routine indicates to continue forward in the path and to restore any saved demux status. The save demux status is the status associated by the binding where the backtrack started. In decision block 1206, if the variable postpone is set, then the routine
- 10 continues at block 1207, else the routine continues at block 1211. In block 1207, the routine decrements the variable postpone and sets the variable traverse to the list previous data function. In decision block 1208, if the variable saved status is set, then the routine continues at block 1210, else the routine continues at block 1209. The variable saved status contains the status of the demux process when the demux process started to backtrack. In block 1209, the routine sets
- 15 the variable saved status to the variable status. In block 1210, the routine sets the variable status to demux continue and continues at block 1214. In block 1211, the routine sets the variable traverse to the list next data function. In decision block 1212, if the variable saved status in set, then the routine continues at block 1213, else the routine continues at block 1214. In block 1213, the routine sets the variable status to the variable saved status and sets the variable saved status
- 20 to 0. In decision block 1214, if the local binding indicates that it is to be removed, then the routine returns a next binding status, else the routine returns a continue status.

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[0039] Figure 13 is a flow diagram of the get session routine. This routine retrieves the session data structure, creating a data structure session if necessary, for the key indicated by the binding. In block 1301, the routine retrieves the session from the session table of the local protocol indicated by the key of the local binding. Each protocol maintains a mapping from each

key to the session associated with the key. In decision block 1302, if there is no session, then the routine continues at block 1303, else the routine returns. In block 1303, the routine creates a session for the local protocol. In block 1304, the routine initializes the key for the local session based on the key of the local binding. In block 1305, the routine puts the session into the session table of the local protocol. In block 1306, the routine invokes the create session function of the

protocol to allow the protocol to initialize its context and then returns.

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[0040] Figure 14 is a flow diagram of the nail binding routine. This routine determines whether a binding is already associated with ("nailed to") the session. If so, the routine returns that binding. If not, the routine associates the binding with the session. The routine returns a

- 10 status of simplex to indicate that only one path can extend through the nailed binding. In decision block 1401, if the binding table of the session contains an entry for the edge, then the routine continues at block 1402, else the routine continues at block 1405. In block 1402, the routine sets the binding to the entry from the binding table of the local session for the edge. In block 1403, the routine sets the current binding to point to the binding from the session. In block 1404, if the
- 15 binding is simplex, then the routine returns a simplex status, else the routine returns. Blocks 1405 through 1410 are performed when there is no binding in the session for the edge. In block 1405, the routine sets the session of the binding to the variable session. In block 1406, the routine sets the key of the binding to the key from the session. In block 1407, the routine sets the entry for the edge in the binding table of the local session to the binding. In block 1408, the routine
- 20 invokes the create binding function of the edge of the binding passing the binding so the edge can initialize the binding. If that function returns a status of remove, the routine continues at block 1409. In block 1409, the routine sets the binding to be removed and then returns.

[0041] Figure 15 is a flow diagram of the find path routine. The find path routine identifies the shortest path through the binding list. If no such path exists, then the routine extends a path to
25 include the binding list. In decision block 1501, if the binding is simplex and a path already goes

through this binding (returned as an entry), then the routine continues at block 1502, else the routine continues at block 1503. In block 1502, the routine sets the path to the path of the entry and returns. In block 1503, the routine initializes the pointers element and short entry to null. In block 1504, the routine sets the path to the path of the passed path entry. If the local path is not

- 5 null and its status is demux extend, then the routine continues at block 1509, else the routine continues at block 1505. In blocks 1505-1508, the routine loops identifying the shortest path through the bindings in the binding list. The routine loops selecting each path through the binding. The selected path is eligible if it starts at the first binding in the binding list and the path ends at the binding. The routine loops setting the short entry to the shortest eligible path found so
- 10 far. In block 1505, the routine sets the variable first binding to the first binding in the binding list of the path address. In block 1506, the routine selects the next path (entry) in the path list of the binding starting with the first. If a path is selected (indicating that there are more paths in the binding), then the routine continues at block 1507, else the routine continues at block 1509. In block 1507, the routine determines whether the selected path starts at the first binding in the
- 15 binding list, whether the selected path ends at the last binding in the binding list, and whether the number of path entries in the selected path is less than the number of path entries in the shortest path selected so far. If these conditions are all satisfied, then the routine continues at block 1508, else the routine loops to block 1506 to select the next path (entry). In block 1508, the routine sets the shortest path (short entry) to the selected path and loops to block 1506 to select the next path
- 20 through the binding. In block 1509, the routine sets the selected path (entry) to the shortest path. In decision block 1510, if a path has been found, then the routine continues at block 1511, else the routine continues at block 1512. In block 1511, the routine sets the path to the path of the selected path entry and returns. Blocks 1512-1516 are performed when no paths have been found. In block 1512, the routine sets the path to the path of the passed path entry. If the passed
- 25 path entry has a path and its status is demux extend, then the routine continues at block 1515,

else the routine continues at block 1513. In block 1513, the routine creates a path for the path address. In block 1514, the routine sets the variable element to null and sets the path entry to the first element in the stack list of the path. In block 1515, the routine sets the variable element to be address entry of the member of the passed path entry and sets the path entry to the passed path

5 entry. In block 1516, the routine invokes the extend path routine to extend the path and then returns. The extend path routine creates a path through the bindings of the binding list and sets the path status to the current demux status.

[0042] Figure 16 is a flow diagram of the process of path hopping routine. Path hopping occurs when the path through the binding list is not the same path as that of the passed path
entry. In decision block 1601, if the path of the passed path entry is set, then the routine continues at block 1602, else the routine continues at block 1609. In decision block 1602, if the path of the passed path entry is equal to the local path, then the routine continues at 1612, else path hopping is occurring and the routine continues at block 1603. In blocks 1603-1607, the

15 binding. In block 1603, the routine sets the variable old stack to the stack list of the path of the passed path entry. In block 1604, the routine sets the variable new stack to the stack list of the local path. In block 1605, the routine sets the variable old element to the next element in the old stack. In block 1606, the routine sets the variable element to the next element in the new stack. In decision block 1607, the routine loops until the path entry that is not in the same binding is

routine loops positioning pointers at the first path entries of the paths that are not at the same

- 20 located. In decision block 1608, if the variable old entry is set, then the routine is not at the end of the hopped from path and the routine continues at block 1609, else routine continues at block 1612. In block 1609, the routine sets the variable entry to the previous entry in the hopped-to path. In block 1610, the routine sets the path of the passed path entry to the local path. In block 1611, the routine sets the local entry to the first path entry of the stack list of the local path. In
- 25 block 1612, the routine inserts an entry into return list and then returns.

[0043] Although the conversion system has been described in terms of various embodiments, the invention is not limited to these embodiments. Modification within the spirit of the invention will be apparent to those skilled in the art. For example, a conversion routine may be used for routing a message and may perform no conversion of the message. Also, a reference to a single

5 copy of the message can be passed to each conversion routine or demuxkey routine. These routines can advance the reference past the header information for the protocol so that the reference is positioned at the next header. After the demux process, the reference can be reset to point to the first header for processing by the conversion routines in sequence. The scope of the invention is defined by the claims that follow.

10

CLAIMS

What is claimed is:

- 1. A non-transitory computer-readable medium containing instructions for processing packets of a message, the instructions comprising:
- 5 at least one computer-executable module configured to:

receive packets of a message;

identify one or more processing components for processing the incoming packets based on information provided by the processing components;

10 create a path based on the one or more processing components identified for processing the packets of the message;

store the path in computer memory;

associate the path with state information; and

process the packets using the path and the state information.

15 2. The computer-readable medium of claim 1 wherein the identifying is based on searching for the next set of processing components based on output formats and input formats specified by the processing components.

3. The computer-readable medium of claim 1 wherein the identifying is based on matching input formats with output formats.

20 4. The computer-readable medium of claim 3, wherein matching includes a label get map

routine employing aliasing when the input formats and output formats are compatible but have different names.

5. The computer-readable medium of claim 1 wherein the identifying is based on mapping definitions.

5 6. The computer-readable medium of claim 5 wherein mapping definitions are specified by components.

7. The computer-readable medium of claim 1 wherein the identifying is based on one or more link layer addresses such as ethernet MAC addresses.

8. The computer-readable medium of claim 1 wherein the identifying is based on one or10 more Internet layer addresses such as Internet Protocol addresses.

9. The computer-readable medium of claim 1, wherein the identifying is based on one or more transport layer addresses such as TCP port addresses.

10. The computer-readable medium of claim 1 wherein the identifying is based on one or more content identifiers such as a MIME type.

15 11. The computer-readable medium of claim 1, wherein the identifying is based on one or more application identifiers.

12. The computer-readable medium of claim 1, wherein the identifying is based on one or more user identifiers.

13. The computer-readable medium of claim 1 wherein associating a path with state20 information is based on identifying a key defined by the processing component.

14. The computer-readable medium of claim 1 wherein associating a path with state information is based on identifying if an existing association of the same path with the same state information already exists.

15. The computer-readable medium of claim 1, wherein identifying the path requires

5 modifying packets of a message by one or more processing components such that each processing component modifies information associated with the packet such that the subsequent processing components can process the packet.

16. The computer-readable medium of claim 14, wherein identifying includes using an existing path.

10 17. The computer-readable medium of claim 14, wherein identifying includes creating a copy of the existing path.

18. The computer-readable medium of claim 14, wherein identifying includes extending an existing path.

19. The computer-readable medium of claim 14, wherein identifying includes creating a newpath.

20. The computer-readable medium of claim 1, wherein identifying includes blocking an existing path from being created.

21. The computer-readable medium of claim 1, wherein the state information is used by the path to process subsequent packets of the same message.

20 22. The computer-readable medium of claim 1, wherein the processing includes a path thread and a message queue.

23. The computer-readable medium of claim 21, wherein the path thread removes packets from the message queue and calls a forwarding routine.

24. The computer-readable medium of claim 21, wherein the forwarding routine calls the first component in the path with the associated state information and a message packet.

5 25. The computer-readable medium of claim 21, wherein each component recursively calls the forwarding component when it is done processing the packet.

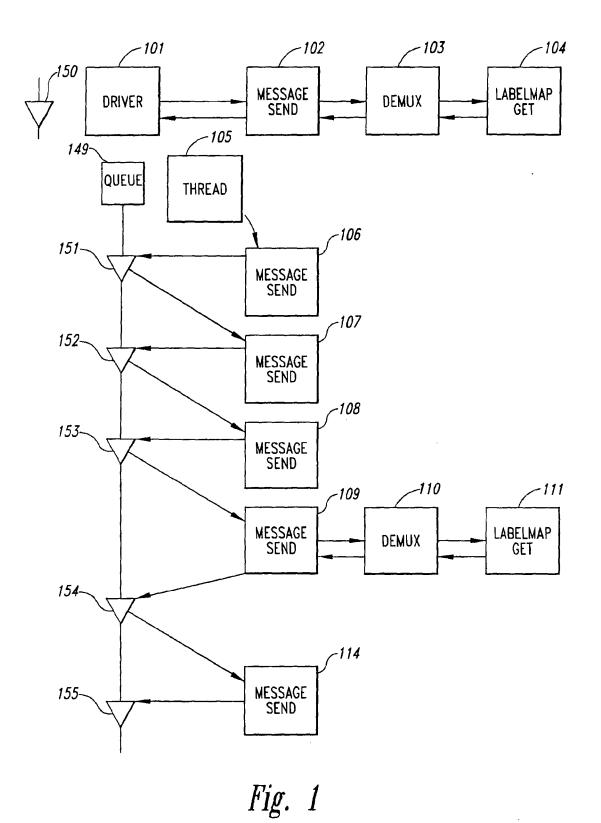
ABSTRACT

A method and system for demultiplexing packets of a message is provided.

The demultiplexing system receives packets of a message, identifies a sequence of message handlers for processing the message, identifies state information associated with the message for

5 each message handler, and invokes the message handlers passing the message and the associated state information. The system identifies the message handlers based on the initial data type of the message and a target data type. The identified message handlers effect the conversion of the data to the target data type through various intermediate data types.

> Juniper Ex. 1004-p. 58 Juniper v Implicit



Juniper Ex. 1004-p. 59 Juniper v Implicit

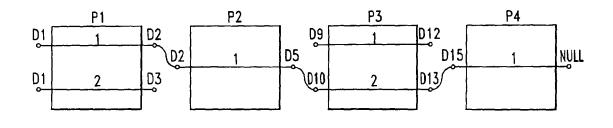


Fig. 2

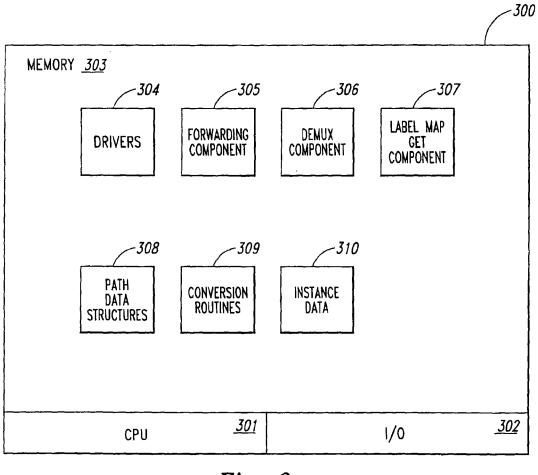
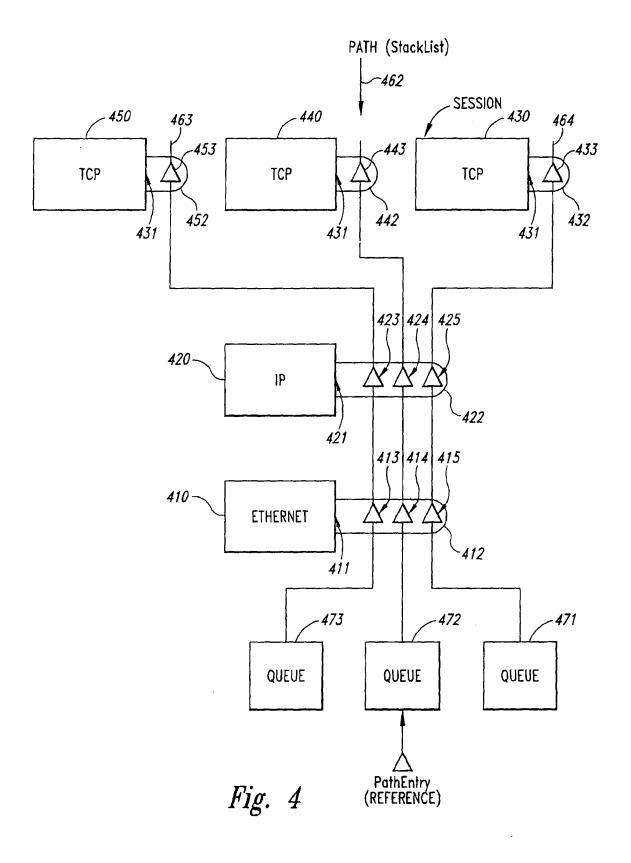
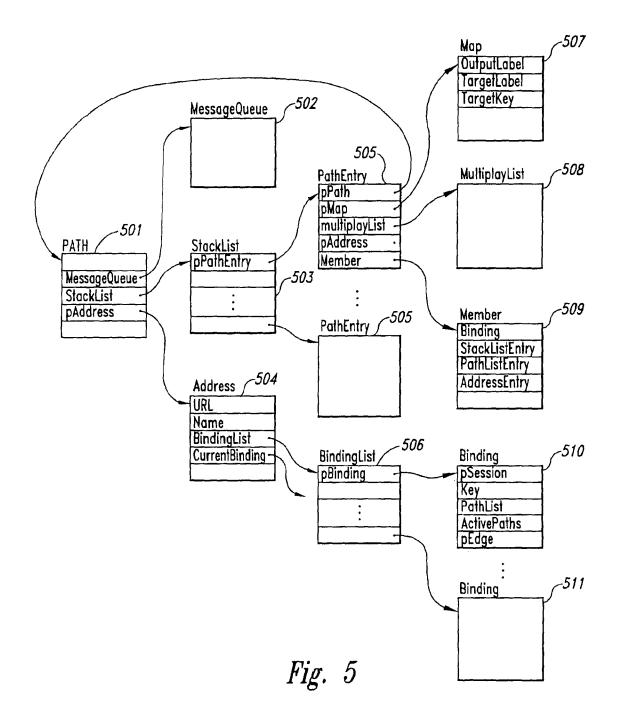


Fig. 3





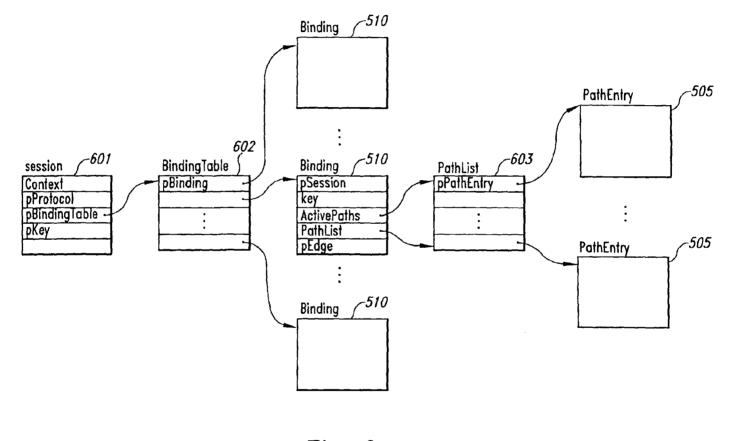
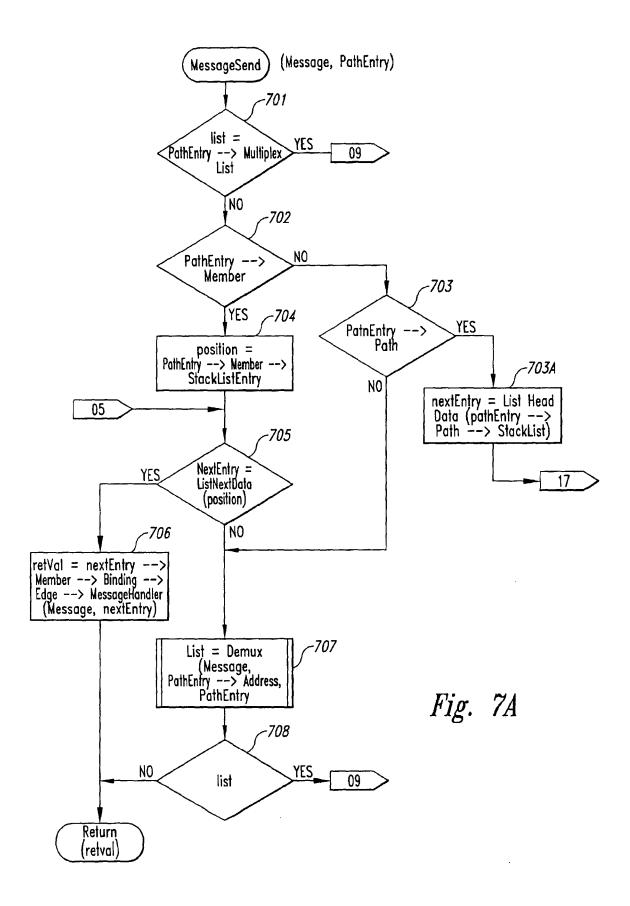
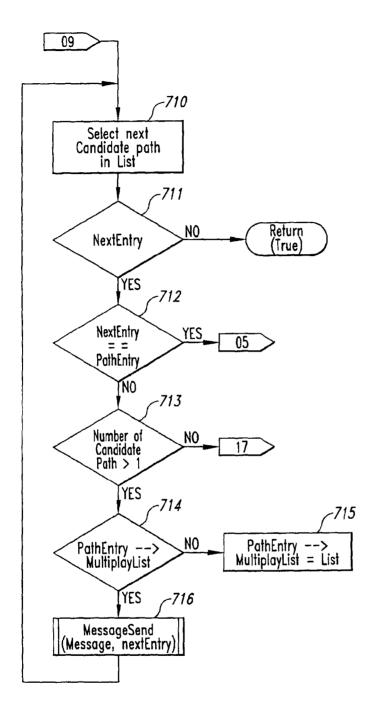


Fig. 6

Juniper Ex. 1004-p. 63 Juniper v Implicit



Juniper Ex. 1004-p. 64 Juniper v Implicit



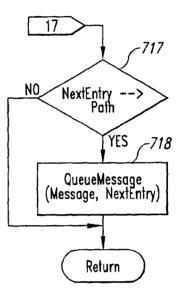
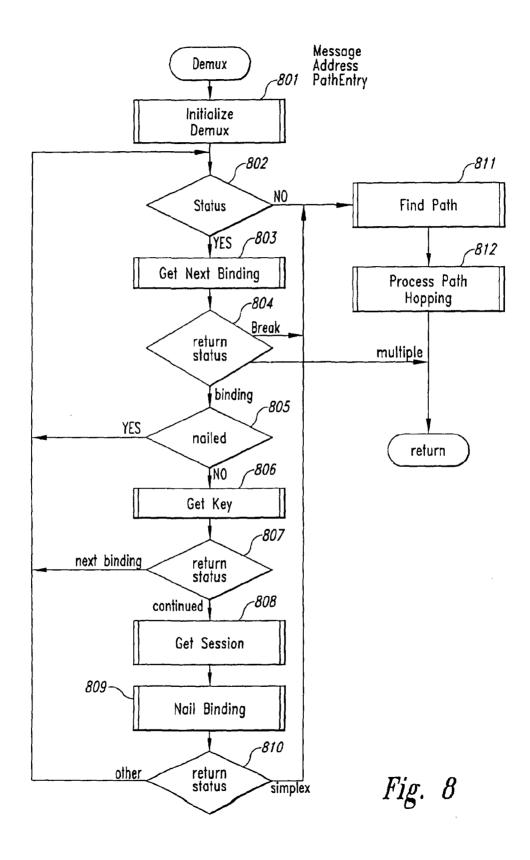
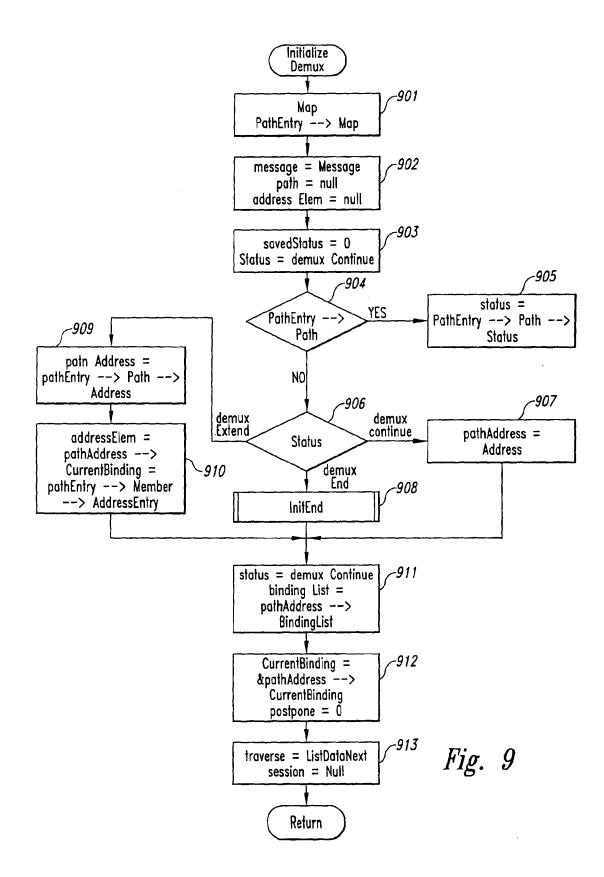


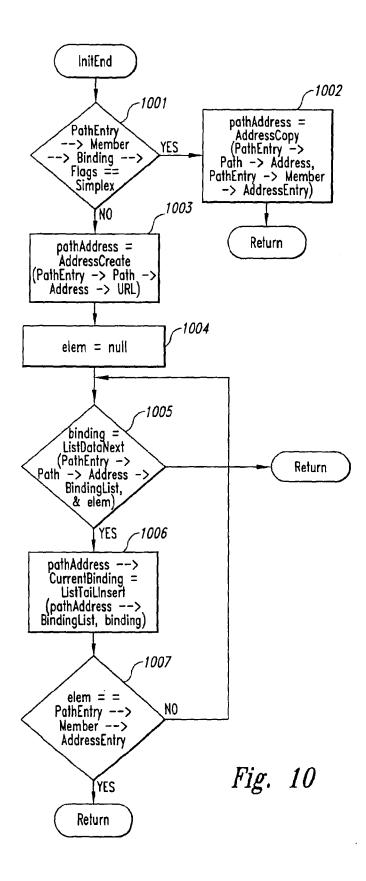
Fig. 7C

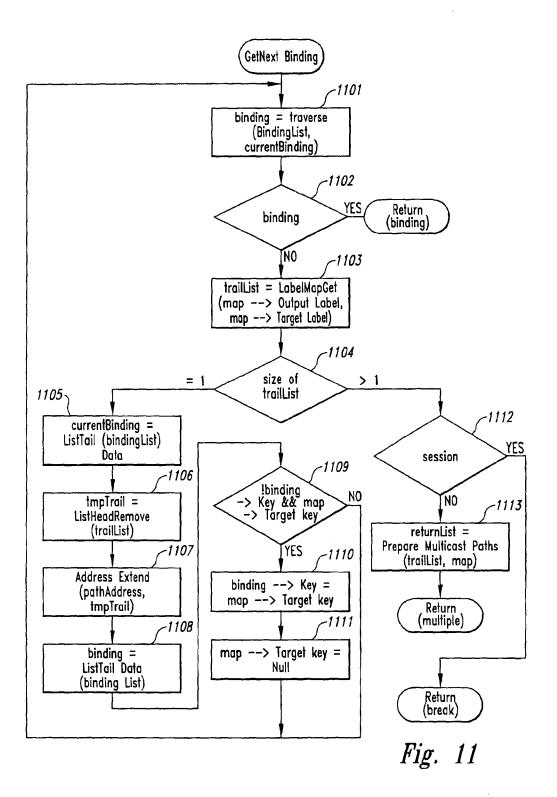
Fig. 7B

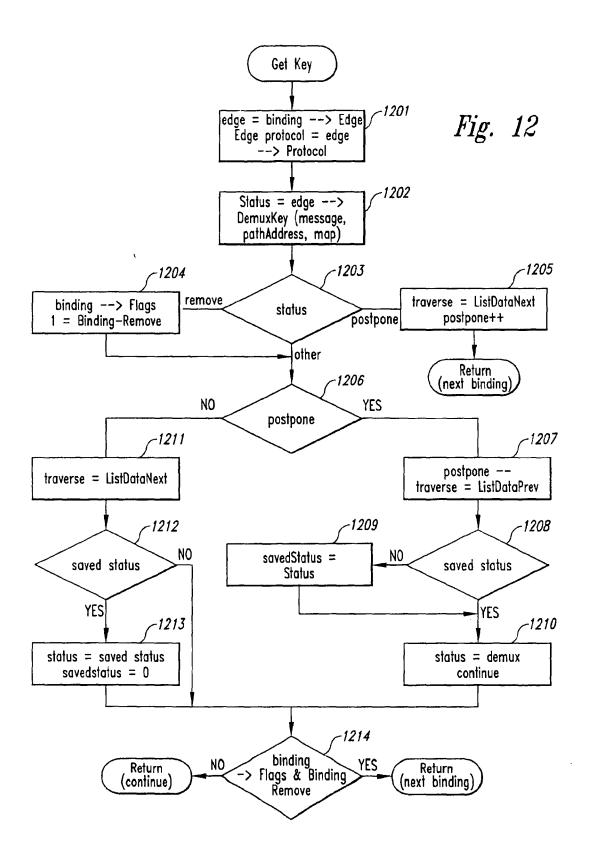




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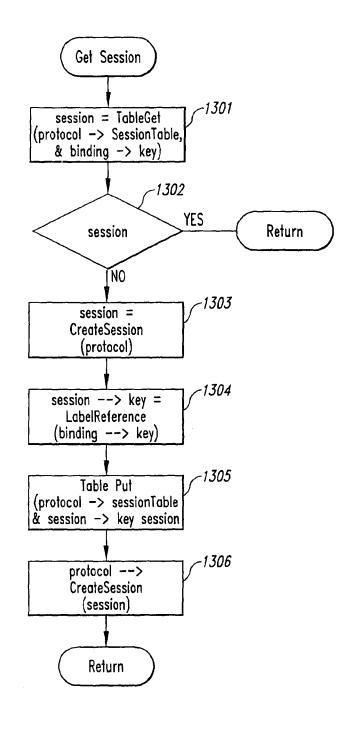


Fig. 13

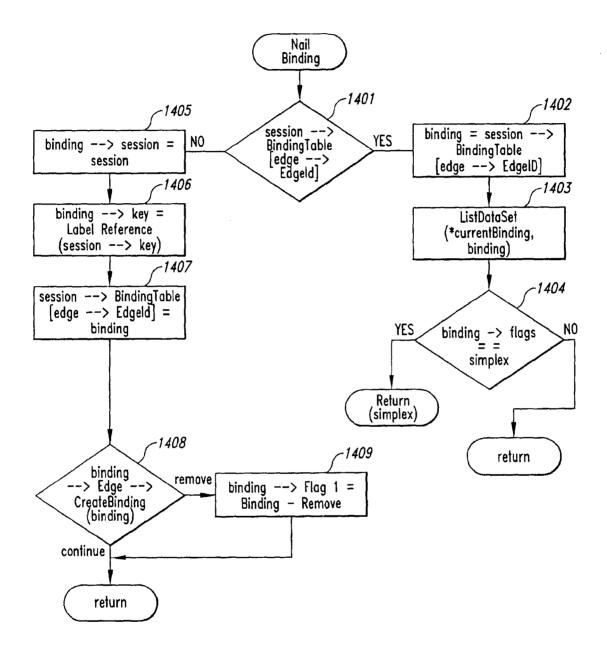
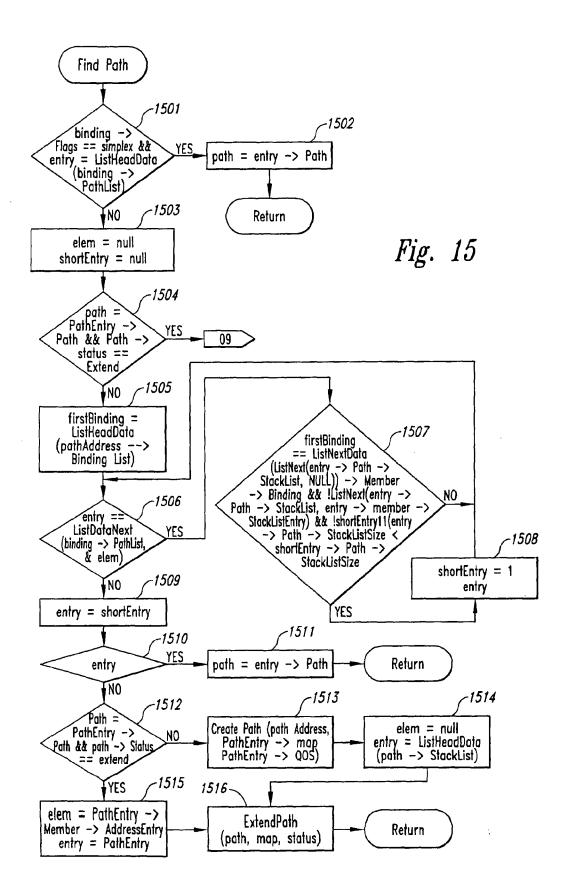
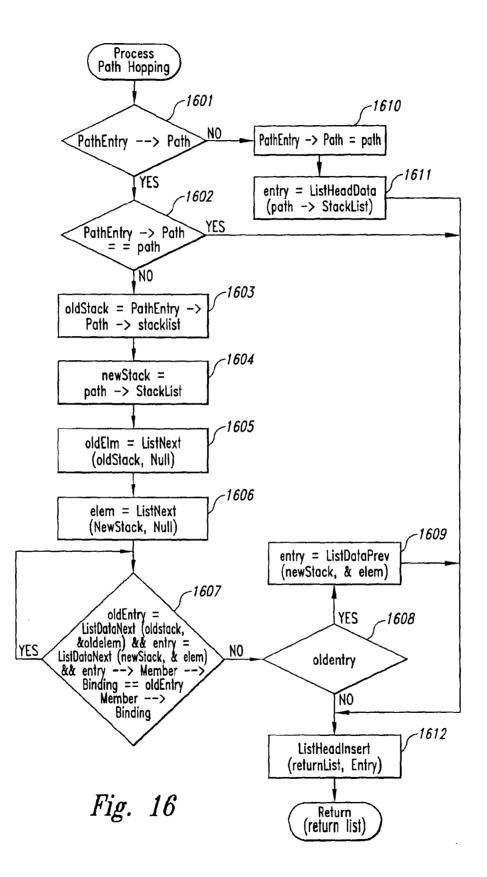


Fig. 14





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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)					
Title of M Invention	ETHOD AND SYSTEM FOR DATA DEMULTIPLEXING				
As the below n	amed inventor, I hereby declare that:				
This declaratio is directed to:	The attached application, or				
	United States application or PCT international application number				
	filed on				
The above-ider	ntified application was made or authorized to be made by me.				
I believe that I a	am the original inventor or an original joint inventor of a claimed invention in the application.				
	wledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 sonment of not more than five (5) years, or both.				
	WARNING:				
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.					
LEGAL NAMI	E OF INVENTOR				
Inventor: Ed	Iward Balassanian Date (Optional):				
Signature:	A				
Note: An applicat been previously f	ion data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have iled. Use an additional PTO/AIA/01 form for each additional inventor.				
by the USPTO to pro complete, including g comments on the an Patent and Tradema	ormation is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and boccess) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any nount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. ark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO FIND TO: Commissioner for Patents. P.O. Box 1450. Alexandria, VA 22313-1450.				

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

TRANSMITTAL FOR POWER OF ATTORNEY TO ONE OR MORE REGISTERED PRACTITIONERS

<u>NOTE</u>: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B or equivalent) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5. If the Power of Attorney by Applicant form is not accompanied by this transmittal form or an equivalent, the Power of Attorney will not be recognized in the application.

Application Number					
Filing Date		June 6, 2013			
First Named Inv	entor	Edward Balassanian			
Title		METHOD AND SYSTEM FC	R DATA	DEMULTIPLEXING	
Art Unit					
Examiner Name	•				
Attorney Docket	Number	6743-00105			
	SIGNAT	URE of Applicant or Patent Practitioner			
Signature	/Dean M. N	/lunyon/	Date	June 6, 2013	
Name	Dean M.	Munyon	Telephone	512-853-8800	
Registration Number	42914				
NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications.					
Total of	forms are	submitted.			

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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		Number				Number	

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Person Who Otherwise Shows Sufficient Proprietary Interest (<i>e.g.,</i> a petition under 37 CFR 1.46(b)(2) was							
	application or is concurrent				51 51 1.40(
SIGNATURE of Applicant for Patent							
Signature	G -				2/20/10)	
Name	Edward Balassanian			Date	3/29/13)	
	President & CEO, implicit Networks,			Telephone	I		
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USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the complete dapplication form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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Application Da	ata Shoot 37 CED 1 76	Attorney Docket Number	6743-00105		
Application Data Sheet 37 CFR 1.76		Application Number			
Title of Invention	Title of Invention METHOD AND SYSTEM FOR DATA DEMULTIPLEXING				
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.					

This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Inventor Information:

Invent	Inventor 1 Remove											
Legal I	Legal Name											
Prefix	Give	en Name			Middle Name	;			Family I	Name		Suffix
	Edwa	ard							Balassan	ian		
Resid	ence	Information ((Select One)	\odot	US Residency	0) Non	US Re	sidency (○ Active	e US Military Service	
City	Seat	lle		St	ate/Province	WA	۰ (Countr	y of Resid	dence ⁱ	US	
			I									
Mailing	Addr	ess of Invent	or:									
Addre	ss 1		516 Yale Ave	N, 7	#400							
Addre	ss 2											
City	City Seattle State/Province WA											
Postal	Postal Code 98109 Country i US											
All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button.												

Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).					
An Address is being provided for the correspondence Information of this application.					
Customer Number	35690				
Email Address		Add Email	Remove Email		

Application Information:

Title of the Invention	METHOD AND SYS	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING				
Attorney Docket Number	6743-00105 Small Entity Status Claimed					
Application Type	Nonprovisional					
Subject Matter	Utility	Utility				
Total Number of Drawing Sheets (if any)			Suggested Figure for Publication (if any)			

Application Da	ta Shoot 37 CEP 1 76	Attorney Docket Number	6743-00105
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	METHOD AND SYSTEM FOR	R DATA DEMULTIPLEXING	

Publication Information:

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
 Request Not to Publish. I hereby request that the attached application not be published under
 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

Please Select One:	Customer Number	O US Patent Practitioner	C Limited Recognition (37 CFR 11.9)
Customer Number	35690		

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

Prior Application Status		Pending		Remove			
Application Number		Cont	inuity Type	Prior Application Number Filing		Filing Da	te (YYYY-MM-DD)
		Continuation of		13236090		2011-09-19	
Prior Applicati	on Status	Patented		Ren		nove	
Application Number	Cont	tinuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Datant Number		Issue Date (YYYY-MM-DD)
13236090	Continua	tion of	10636314	2003-08-06	8055786		2011-11-08
Prior Applicati	on Status	Patented				Rer	nove
Application Number	Cont	tinuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Pat	ent Number	Issue Date (YYYY-MM-DD)
10636314 Continuation of 09474664		1999-12-29	66	29163	2003-09-30		
Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.							

Foreign Priority Information:

Application Da	ta Shoot 37 CEP 1 76	Attorney Docket Number	6743-00105
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	METHOD AND SYSTEM FOR	R DATA DEMULTIPLEXING	

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) ⁱ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

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Application Number	Country ⁱ	Filing Date (YYYY-MM-DD)	Access Code ⁱ (if applicable)
Additional Foreign Priority Add button.	Add		

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

Authorization to Permit Access:

X Authorization to Permit Access to the Instant Application by the Participating Offices

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

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Application Da	ota Shoot 37 CED 1 76	Attorney Docket Number	6743-00105		
Application Data Sheet 37 CFR 1.76		Application Number			
Title of Invention	METHOD AND SYSTEM FOR	R DATA DEMULTIPLEXING			

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.						
Applicant 1				Remove		
If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient for proprietary interest, then the joint inventor or inventors who are also the applicant should be dentified in this section.						
Assignee	Assignee Legal Representative under 35 U.S.C. 117 Joint Inventor					
Person to whom the inve	Person to whom the inventor is obligated to assign.					
If applicant is the legal re	presentativ	e, indicate the authority to	l file the patent applicat	ion, the inventor is:		
Name of the Deceased of	or Legally II	ncapacitated Inventor :				
If the Applicant is an Or	rganization	check here. X				
Organization Name		NETWORKS, INC.				
Mailing Address Infor	mation:					
Address 1	110 11	0TH AVENUE NE				
Address 2	SUITE	475				
City	BELLE	EVUE	State/Province	WA		
Country i US			Postal Code	98004		
Phone Number			Fax Number			
Email Address	Email Address					
Additional Applicant Data may be generated within this form by selecting the Add button.						

Non-Applicant Assignee Information:

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Application Data Sheet 37 CFR 1.76				Attorney Docket Number		6743-0	0105	
Аррисано	n Data S	oneet 37	CFK 1.70	Application N	lumber			
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Signature	/Dean M. N	lunyon/				Date	(YYYY-MM-DD)) 2013-06-06
First Name	Dean M.		Last Name	Munyon		Regist	ration Number	42914
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This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application Number		
	Filing Date		2013-06-06
INFORMATION DISCLOSURE	First Named Inventor	Edwa	rd Balassanian
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		
	Examiner Name		
	Attorney Docket Number		6743-00105

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	Application Number		
	Filing Date		2013-06-06
INFORMATION DISCLOSURE	First Named Inventor	Edwa	rd Balassanian
(Not for submission under 37 CFR 1.99)	Art Unit		
	Examiner Name		
	Attorney Docket Numb	er	6743-00105

	1	Defer	RFC: 791, Internet Protocol: DARPA Internet Program Protocol Specification, September 1981, prepared for Defense Advanced Research Projects Agency Information Processing Techniques Office by Information Sciences Institute University of Southern California, 52 pages.				
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¹ See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.							

	Application Number		
	Filing Date		2013-06-06
INFORMATION DISCLOSURE	First Named Inventor	Edwa	rd Balassanian
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		
	Examiner Name		
	Attorney Docket Numb	er	6743-00105

CERTIFICATION STATEMENT

Plea	ase see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).
OR	
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).
	See attached certification statement.
	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Dean M. Munyon/	Date (YYYY-MM-DD)	2013-06-06
Name/Print	Dean M. Munyon	Registration Number	42914

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Sheet 1 of 14)

Complete if Known				
Application Number	13/911,324			
Filing Date	2013-06-06			
First Named Inventor	Edward BALASSANIAN			
Group Art Unit	2192			
Examiner Name				

			U.S. PATENTS		
Examiner Initial			Name of Patentee Or Applicant Of Cited Document	Issue Date	
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	FOREIGN PATENT DOCUMENTS				
Examiner		Foreign	Country	Name of Patentee or Applicant	
Initial	Cite No.	Document No.	Code	of cited Document	Publication Date
	27	0817031	EP	Brad Fowlow	01/07/1998

INFORMATION DISCLOSURE	Complete if Known		
STATEMENT BY APPLICANT	Application Number	13/911,324	
(Sheet 2 of 14)	Filing Date	2013-06-06	
	First Named Inventor	Edward BALASSANIAN	
	Group Art Unit	2192	
	Examiner Name		

Examiner Initials*	Cite No. ¹	Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or country where published.
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Sheet 3 of 14)

Complete if Known		
Application Number	13/911,324	
Filing Date	2013-06-06	
First Named Inventor	Edward BALASSANIAN	
Group Art Unit	2192	
Examiner Name		

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First Named Inventor	Edward BALASSANIAN	
Group Art Unit	2192	
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STATEMENT BY APPLICANT	Application Number	13/911,324
(Sheet 5 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
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	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

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		Implicit Networks, Inc. v. Advanced Micro Devices, Inc. et al.; C08-0184 JLR; USDC for the Western District of
		Washington, Seattle Division:
	143	2/4/08 Plaintiff's Original Complaint
	144	8/26/08 Defendant NVIDIA Corporation's Answer to Complaint
	145	8/26/08 Defendant Sun Microsystems, Inc.'s Answer to Complaint
	146	8/27/08 Defendant Advanced Micro Devices, Inc.'s Answer to Complaint for Patent Infringement
	147	8/27/08 RealNetworks, Inc.'s Answer to Implicit Networks, Inc.'s Original Complaint for Patent Infringement, Affirmative Defenses, and Counterclaims
	148	8/27/08 Intel Corp.'s Answer, Defenses and Counterclaims
	149	8/27/08 Defendant RMI Corporation's Answer to Plaintiff's Original Complaint
	150	9/15/08 Plaintiff's Reply to NVIDIA Corporation's Counterclaims
	151	9/15/08 Plaintiff's Reply to Sun Microsystems Inc.'s Counterclaims
	152	9/16/08 Plaintiff's Reply to RealNetworks, Inc.'s Counterclaims
	153	9/16/08 Plaintiff's Reply to Intel Corp.'s Counterclaims
	154	12/10/08 Order granting Stipulated Motion for Dismissal with Prejudice re NVIDIA Corporation, Inc.
	155	12/16/08 Defendants AMD, RealNetworks, RMI, and Sun's Motion to Stay Pending the Patent and Trademark Office's
		Reexamination of the '163 Patent
	156	12/29/08 Order granting Stipulated Motion for Dismissal without Prejudice of Claims re Sun Microsystems, Inc.
	157	1/5/09 Plaintiff's Opposition to Defendants AMD, RealNetworks, RMI, and Sun's Motion to Stay Pending Reexamination and
	1.50	Exhibit A
	158	1/9/09 Reply of Defendants AMD, RealNetworks, RMI, and Sun's Motion to Stay Pending the Patent and Trademark Office's
	159	Reexamination of the '163 Patent 2/9/09 Order Granting Stay Pending the United States Patent and Trademark Office's Reexamination of U.S. Patent No.
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	160	2/17/09 Order Granting Stipulated Motion for Dismissal of Advanced Micro Devices, Inc. with Prejudice
	161	5/14/09 Order Granting Stipulated Motion for Dismissal of RMI Corporation with Prejudice
	162	10/13/09 Order Granting Stipulated Motion for Dismissal of Claims Against and Counterclaims by Intel Corporation
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		Implicit Networks, Inc. v. Microsoft Corp., C09-5628 HLR; USDC for the Northern District of California, San Francisco
	164	Division
	164 165	11/30/09 Plaintiff's Original Complaint, Implicit v Microsoft, Case No. 09-5628 01/22/10 Order Dismissing Case, Implicit v Microsoft, Case No. 09-5628
	105	Implicit Networks, Inc. v. Cisco Systems, Inc., C10-3606 HRL; USDC for the Northern District of California, San Francisco
		Division
	166	08/16/10 Plaintiff's Original Complaint, Implicit v Cisco, Case No. 10-3606
	167	11/22/10 Defendant Cisco Systems, Inc.'s Answer and Counterclaims, <i>Implicit v Cisco</i> , Case No. 10-3606
	168	12/13/10 Plaintiff, Implicit Networks, Inc.'s, Answer to Counterclaims, <i>Implicit v Cisco</i> , Case No. 10-3606
	169	10/04/11 Order of Dismissal with Prejudice, <i>Implicit v Cisco</i> , Case No. 10-3606
		Implicit Networks, Inc. v. Citrix Systems, Inc., C10-3766 JL; USDC for the Northern District of California, San Francisco
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	170	08/24/10 Plaintiff's Original Complaint, Implicit v Citrix, Case No. 10-3766
	171	12/01/10 Plaintiff's First Amended Complaint, Implicit v Citrix, Case No. 10-3766

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STATEMENT BY APPLICANT	Application Number	13/911,324
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	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

	172	01/14/11 Defendant Citrix Systems, Inc.'s Answer, Defenses and Counter-complaint for Declaratory Judgment, Implicit v Citrix				
		Case No. 10-3766				
	173	02/18/11 Plaintiff, Implicit Networks, Inc.'s, Answer to Defendants Counterclaims, Implicit v Citrix, Case No. 10-3766				
		Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine,				
Examiner	Cite	journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or				
Initials*	No. ¹	where published.				
	174	05/02/11 Order of Dismissal, Implicit v Citrix, Case No. 10-3766				
		Implicit Networks, Inc. v.F5 Networks, Inc., C10-3365 JCS; USDC for the Northern District of California, San Francisco				
		Division				
	175	07/30/10 Plaintiff's Original Complaint, Implicit v F5, Case No. 10-3365				
	176	10/13/10 Defendants' Answer and Counter-Complaint, Implicit v F5, Case No. 10-3365				
	177	11/03/10 Plaintiff's Answer to Counter-Complaint, Implicit v F5, Case No. 10-3365				
	178	12/10/10 Plaintiff's First Amended Complaint, Implicit v F5, Case No. 10-3365				
	179	01/14/11 Defendants' Answer to 1 st Amended Complaint and Counterclaim, Implicit v F5, Case No. 10-3365				
	180	02/18/11 Plaintiff's Answer to F5's Amended Counter-Complaint, Implicit v F5, Case No. 10-3365				
	181	04/18/11 Defendants' Amended Answer to 1 st Amended Complaint and Counter-Complaint, <i>Implicit v F5</i> , Case No. 10-3365				
	182	05/05/11 Plaintiff's Answer to F5's Amended Counter-Complaint, Implicit v F5, Case No. 10-3365				
	183	07/22/11 F5 Networks, Inc.'s Invalidity Contentions, Implicit v F5, Case No. 10-3365				
	184	07/22/11 F5 Networks, Inc.'s Invalidity Contentions, Exhibit A, Implicit v F5, Case No. 10-3365				
		(31 documents)				
	185	07/22/11 F5 Networks, Inc.'s Invalidity Contentions, Exhibit B, Implicit v F5, Case No. 10-3365				
	186	10/18/11 Joint Claim Construction & Pre-Hearing Statement (PR 4-3), Implicit v F5, Case No. 10-3365				
	187	10/18/11 Joint Claim Construction & Pre-Hearing Statement (PR 4-3) Exhibit A, Implicit v F5, Case No. 10-3365				
		(2 documents)				
	188	11/28/11 Plaintiff's Opening Claim Construction Brief, Implicit v F5, Case No. 10-3365				
	189	11/29/11 Amended Joint Claim Construction & Pre-Hearing Statement, Implicit v F5, Case No. 10-3365				
	190	11/29/11 Amended Joint Claim Construction & Pre-Hearing Statement, Exhibit A, Implicit v F5, Case No. 10-3365				
	191	12/12/11 Defendants' Claim Construction Brief, Implicit v F5, Case No. 10-3365				
	192	12/19/11 Plaintiff's Reply to Defendants' (F5, HP, Juniper) Responsive Claim Construction Brief (4-5), Implicit v F5, Case No				
		10-3365				
	193	01/27/12 Transcript of Proceeding Held on 1-17-12; Implicit v F5, Case No. 10-3365				
	194	01/27/12 Transcript of Proceeding Held on 1-18-12; Implicit v F5, Case No. 10-3365				
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	196	02/29/12 Claim Construction Order				
	197	08/15/12 Storer Invalidity Report				
	198	09/10/12 Implicit's Expert Report of Scott M. Nettles				
	199	03/13/13 Order Granting Defendants' Motion for Summary Judgment				
	200	04/09/13 Notice of Appeal to the Federal Circuit				
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		Francisco Division				
	201	08/23/10 Plaintiff's Original Complaint, Implicit v HP, Case No. 10-3746				
	202	11/23/10 Plaintiff's First Amended Complaint, Implicit v HP, Case No. 10-3746				
	203	01/14/11 Defendant HP's Answer and Counterclaims, Implicit v HP, Case No. 10-3746				
	204	02/18/11 Implicit Networks, Inc.'s Answer to HP Counterclaims, Implicit v HP, Case No. 10-3746				
	205	05/10/11 Plaintiff's Amended Disclosure of Asserted Claims and Infringement Contentions, Case No. 10-3746				
	206	06/30/11 Defendant HP Company's Invalidity Contentions, Implicit v HP, Case No. 10-3746				
	207	06/30/11 Defendant HP Company's Invalidity Contentions, A1-14, Implicit v HP, Case No. 10-3746				
	208	06/30/11 Defendant HP Company's Invalidity Contentions, B1-21, Implicit v HP, Case No. 10-3746				
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Application Number	13/911,324		
Filing Date	2013-06-06		
First Named Inventor	Edward BALASSANIAN		
Group Art Unit	2192		
Examiner Name			

	200	
	209	09/20/10 Plaintiff's Original Complaint, Implicit v Juniper, Case No. 10-4234
	210	11/12/10 Juniper Network's Motion to Dismiss For Failure to State a Claim Under Rule 12(B)(6): Memorandum of Points and
		Authorities; Implicit v Juniper, Case No. 10-4234
Examiner	Cite	Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine,
Initials*	No. ¹	journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or country
		where published.
	211	11/12/10 Juniper Network's Request for Judicial Notice in Support of its Motion to Dismiss For Failure to State a Claim Under Byla 12(D)(6) Memorandum of Bainta and Authorition. Implicity, Implicity
	212	Rule 12(B)(6): Memorandum of Points and Authorities; <i>Implicit v Juniper</i> , Case No. 10-4234
	212 213	12/01/10 First Amended Complaint; <i>Implicit v Juniper</i> , Case No. 10-4234 01/18/11 Juniper Networks, Inc.'s Answer and Affirmative Defenses to 1 st Amended Complaint, <i>Implicit v Juniper</i> , Case No. 10
	215	4234
	214	02/18/11 Plaintiff's Answer to Defendant's Counterclaims, <i>Implicit v Juniper</i> , Case No. 10-4234
	214	05/23/11 Plaintiff's Disclosure of Asserted Claims and Infringement Contentions, <i>Implicit v Juniper</i> , Case No. 10-4234
	213	11/15/11 Plaintiff's Amended Disclosure of Asserted Claim and Infringement Contentions, <i>Implicit v Juniper</i> , Case No. 10-4234
	210	11/15/11 Plantin's Amended Disclosure of Asserted Claim and miringement Contentions, <i>implicit v Juniper</i> , Case No. 10-425- 11/28/11 Spencer Hosie Declaration in Support of Plaintiff's Opening Claim Construction Brief), <i>Implicit v Juniper</i> , Case No.
	217	10-4234
	218	11/28/11 Spencer Hosie Declaration in Support of Plaintiff's Opening Claim Construction Brief Exhibit E, <i>Implicit v Juniper</i> ,
	210	Case No. 10-4234
	219	11/28/11 Spencer Hosie Declaration in Support of Plaintiff's Opening Claim Construction Brief Exhibit J, Implicit v Juniper,
	217	Case No. 10-4234
	220	11/28/11 Spencer Hosie Declaration in Support of Plaintiff's Opening Claim Construction Brief Exhibit K, Implicit v Juniper,
	220	Case No. 10-4234
	221	11/28/11 Spencer Hosie Declaration in Support of Plaintiff's Opening Claim Construction Brief Exhibits M-O, <i>Implicit v</i>
		Juniper, Case No. 10-4234
	222	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Implicit v Juniper, Case No. 10-4234
	223	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit B, Implicit v Juniper, Case No.
		10-4234
	224	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit F, Implicit v Juniper, Case No.
		10-4234
	225	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit N, Implicit v Juniper, Case No.
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	226	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit P, Implicit v Juniper, Case No.
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	227	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Q, Implicit v Juniper, Case No.
		10-4234
	228	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit S., Implicit v Juniper, Case No.
		10-4234
	229	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit T-1, Implicit v Juniper, Case
		No. 10-4234
	230	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit T-2, Implicit v Juniper, Case
	0.0.1	No. 10-4234
	231	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit T-3, <i>Implicit v Juniper</i> , Case
	222	No. 10-4234
	232	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit T-4, <i>Implicit v Juniper</i> , Case
	222	No. 10-4234
	233	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit U, <i>Implicit v Juniper</i> , Case No. 10-4234
	234	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit V, <i>Implicit v Juniper</i> , Case No
	234	12/12/11 Hony Hogan Declaration in Support of Defendants Claim Construction Brief, Exhibit V, <i>Implicit V Juniper</i> , Case No 10-4234
	235	10-42-54 12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit W, <i>Implicit v Juniper</i> , Case No
	235	12/12/11 Hony Hogan Declaration in Support of Defendants Claim Construction Brief, Exhibit W, <i>Implicit V Juniper</i> , Case No 10-4234
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INFORMATION DISCLOSURE	Complete if Known	
STATEMENT BY APPLICANT	Application Number	13/911,324
(Sheet 9 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

	236	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit X, <i>Implicit v Juniper</i> , Case No. 10-4234
		Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine,
Examiner Initials*	Cite No. ¹	journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or country where published.
	237	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Y-1, Implicit v Juniper, Case
	238	No. 10-4234 12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Y-2, <i>Implicit v Juniper</i> , Case
	239	No. 10-4234 12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Y-3, <i>Implicit v Juniper</i> , Case
		No. 10-4234
	240	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Y-4, <i>Implicit v Juniper</i> , Case No. 10-4234
	241	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Z, <i>Implicit v Juniper</i> , Case No. 10-4234
	242	12/19/11 Spencer Hosie Declaration in Support of Plaintiff's Reply Claim Construction Brief, Implicit v Juniper, Case No. 10-
		4234
	243	12/19/11 Spencer Hosie Declaration in Support of Plaintiff's Reply Claim Construction Brief, Exhibit P, Implicit v Juniper, Case No. 10-4234
	244	01/10/12 Plaintiff's 1-10-12 Amended Disclosure of Asserted Claims and Infringement Contentions, <i>Implicit v Juniper</i> , Case No. 10-4234
	245	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, <i>Implicit v Juniper</i> , Case No. 10-4234
	246	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Exhibit A1, Implicit v Juniper, Case No. 10-4234
	247	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Exhibit A2, <i>Implicit v Juniper</i> , Case No. 10-4234
	248	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Exhibit A3, <i>Implicit v Juniper</i> , Case No. 10-4234
	249	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Exhibit A4, <i>Implicit v Juniper</i> , Case No. 10-4234
	250	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Exhibit B1, <i>Implicit v Juniper</i> , Case No. 10-4234
	250	02/29/12 Plaintiff's 2-29-12 Amended Disclosure of Asserted Claims and Infringement Contentions, <i>Implicit v Juniper</i> , Case No. 10-4234
	252	04/06/12 Plaintiff's 4-6-12 Amended Disclosure of Asserted Claims and Infringement Contentions, Implicit v Juniper, Case No.
	253	10-4234 04/09/12 Plaintiff's 4-9-12 Amended Disclosure of Asserted Claims and Infringement Contentions, <i>Implicit v Juniper</i> , Case No.
	233	10-4234
	254	09/11/12 Implicit's Expert Report of Scott Nettles
	254	11/09/12 Juniper's Notice of Motion and Memorandum of Law ISO Motion for Summary Judgment or, in the alternative, for
	235	Partial Summary Judgment, on the Issue of Invalidity
	256	11/09/12 Exhibit 2 to Declaration in support of Juniper's Motion for Summary Judgment - Calvert Expert Report
	257	11/09/12 Exhibit 3 to Declaration in support of Juniper's Motion for Summary Judgment – Calvert Supplemental Expert Report
	258	11/26/12 Implicit Opposition to Juniper's and F5 Motion on Invalidity
	259	11/26/12 Exhibit A to Hosie Declaration- 08/27/12 Excerpts from David Blaine deposition
	260	11/26/12 Exhibit B to Hosie Declaration-10/25/12 Excerpts from Kenneth Calvert Deposition
	261	11/26/12 Exhibit C to Hosie Declaration – 08/15/12 Excerpts from Kenneth Calvert Expert Report
	262	11/26/12 Exhibit D to Hosie Declaration – USPN 6,651,099 to Dietz et al
	263	11/26/12 Exhibit E to Hosie Declaration – Understanding Packet-Based and Flow-Based Forwarding
	264	11/26/12 Exhibit F to Hosie Declaration – Wikipedia on Soft State
	265	11/26/12 Exhibit G to Hosie Declaration – Sprint Notes
	266	11/26/12 Exhibit H to Hosie Declaration – Implicit's Supplemental Response to Juniper's 2 nd Set of Interrogatories
	267	11/26/12 Exhibit I to Hosie Declaration – USPN 7,650,634 (Zuk)
	268	03/13/13 Order Granting Defendants' Motion for Summary Judgment
	269	04/09/13 Notice of Appeal to the Federal Circuit

INFORMATION DISCLOSURE		Complete if Known	
STATEMENT BY APPLICANT	Application Number	13/911,324	
(Sheet 10 of 14)	Filing Date	2013-06-06	
	First Named Inventor	Edward BALASSANIAN	
	Group Art Unit	2192	
	Examiner Name		

INFORMATION DISCLOSURE	Complete if Known	
STATEMENT BY APPLICANT	Application Number	13/911,324
(Sheet 11 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

Examiner	Cite	Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book,		
Initials*	No. ¹	magazine, journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city		
	1.00	and/or country where published.		
	270	Other Implicit Networks, Inc. Prosecution Matters:		
	270	Serial No. 11/933,022 Utility Application filed October 31, 2007		
	271	Serial No. 11/933,022 Preliminary Amendment filed February 19, 2008		
	272	Serial No. 11/933,022 Office Action mailed June 24, 2009		
	273	Serial No. 11/933,022 Amendment filed September 24, 2009		
	274	Serial No. 11/933,022 Office Action dated December 11, 2009		
	275	Serial No. 11/933,022 Amendment and Response dated January 29, 2010		
	276	Serial No. 11/933,022 Notice of Allowance dated March 2, 2010		
	277	Serial No. 11/933,022 Issue Notification dated May 4, 2010		
	278	Serial No.10/636,314 Utility Application filed August 6, 2003		
	279	Serial No.10/636,314 Office Action dated April 7, 2008		
	280	Serial No.10/636,314 Response to Restriction Requirement dated August 5, 2008		
	281	Serial No.10/636,314 Office Action dated October 3, 2008		
	282	Serial No.10/636,314 Response to Office Action dated April 3, 2009		
	283	Serial No.10/636,314 Notice of Non-Compliant Amendment dated May 4, 2009		
	284	Serial No.10/636,314 Amendment to Office Action Response dated June 4, 2009		
	285	Serial No.10/636,314 Notice of Non-Compliant Amendment dated June 12, 2009		
	286	Serial No.10/636,314 Amendment to Office Action dated July 10, 2009		
	287	Serial No.10/636,314 Final Rejection Office Action dated October 21, 2009		
	288	Serial No.10/636,314 Amendment after Final Office Action dated December 14, 2009		
	289	Serial No.10/636,314 Advisory Action dated January 11, 2010		
	290	Serial No.10/636,314 Notice of Non-Compliant Amendment dated January 11, 2010		
	291	Serial No.10/636,314 Supplemental Amendment and Response dated March 13, 2010		
	292	Serial No.10/636,314 Office Action dated May 11, 2010		
	293	Serial No.10/636,314 Amendment and Response dated September 13, 2010		
	294	Serial No.10/636,314 Final Rejection dated November 24, 2010		
	295	Serial No.10/636,314 Notice of Appeal dated May 19, 2011		
	296	Serial No.10/636,314 Amendment and Request for Continued Examination dated July 19, 2011		
	297	Serial No.10/636,314 Notice of Allowance dated September 13, 2011		
	298	Serial No.10/636,314 Notice of Allowance dated September 19, 2011		
	299	Serial No.10/636,314 Issue Notification dated October 19, 2011		
	300	Serial No. 09/474,664 Utility Application filed December 29, 1999		
	301	Serial No. 09/474,664 Office Action dated September 23, 2002		
	302	Serial No. 09/474,664 Amendment and Response dated February 24, 2003		
	303	Serial No. 09/474,664 Notice of Allowance dated May 20, 2003		
	304	Serial No. 90/010, 356 Request for Ex Parte Reexamination dated December 15, 2008		
	305	Serial No. 90/010, 356 Office Action Granting Reexamination dated January 17, 2009		
	306	Serial No. 90/010, 356 First Office Action dated July 7, 2009		
	307	Serial No. 90/010, 356 First Office Action Response dated September 1, 2009		
	308	Serial No. 90/010, 356 Patent Owner Interview Summary dated October 23, 2009		
	309	Serial No. 90/010, 356 Office Action Final dated December 4, 2009		
	310	Serial No. 90/010, 356 Office Action Final dated December 14, 2009		
	310	Serial No. 90/010, 356 Amendment and Response to Office Action dated December 18, 2009		
	1 211	_ Sena No. 20010, 550 Amendment and response to Omee Action dated January 4, 2010		

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INFORMATION DISCLOSURE	Complete if Known	
STATEMENT BY APPLICANT	Application Number	13/911,324
(Sheet 12 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

Examiner	Cite	Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book,
Initials*	No. ¹	magazine, journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city
minuis		and/or country where published.
	312	Serial No. 90/010, 356 Advisory Action dated January 21, 2010
	313	Serial No. 90/010, 356 Amendment and Response to Advisory Action dated February 8, 2010
	314	Serial No. 90/010, 356 Notice of Intent to Issue a Reexam Certificate dated March 2, 2010
	315	Serial No. 90/010, 356 Reexamination Certificate Issued dated June 22, 2010
	316	Serial No. 95/000,659 Inter Partes Reexam Request dated February 13, 2012
	317	Serial No. 95/000,659 Order Granting Reexamination dated April 3, 2012
	318	Serial No. 95/000,659 Office Action dated April 3, 2012
	319	Serial No. 95/000,659 Office Action Response dated June 4, 2012 (including Exhibits 1 & 2)
		(4 documents)
	320	Serial No. 95/000,659 Third Party Comments to Patent Owner's Response to Office Action dated July 5, 2012
	321	Serial No. 95/000,659 Appendix R-1 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Declaration of Prof. Dr. Bernhard Plattner)
	322	Serial No. 95/000,659 Appendix R-2 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Prof. Dr. Bernhard Plattner CV)
	323	Serial No. 95/000,659 Appendix R-3 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Listing of Publications to Prof. Dr. Bernhard Plattner updated February 2012)
	324	Serial No. 95/000,659 Appendix R-4 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012(Office Action Granting Reexamination in 95/000,660 dated May 10, 2012)
	325	Serial No. 95/000,659 Appendix R-5 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
	525	2012 (Office Action in 95/000,660 dated May 10, 2012)
	326	Serial No. 95/000,659 Appendix R-6 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
	520	2012 (Implicit Networks, Inc. USPN 6,629,163 Claims Chart)
	327	Serial No. 95/000,659 Appendix R-7 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
	521	2012 (Internet Protocol DARPA Internet Program Protocol Specification dated September 1991)
	328	Serial No. 95/000,659 Appendix R-8 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
	520	2012 (Atkinson, "IP Encapsulating Security Payload (ESP) dated August 1995)
	329	Serial No. 95/000,659 Appendix R-9 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
	529	2012 (Claim Construction Order dated February 29, 2012)
	330	Serial No. 95/000,659 Appendix R-10-1 to Third Party Comments to Patent Owner's Response to Office Action dated July 5
	350	2012 (Vol. I of Edward Balassanian Deposition Transcript dated May 30, 2012)
	331	Serial No. 95/000,659 Appendix R-10-2 to Third Party Comments to Patent Owner's Response to Office Action dated July 5
	551	
	332	2012 (Vol. II of Edward Balassanian Deposition Transcript dated May 31, 2012) Serial No. 95/000,659 Appendix R-10-3 to Third Party Comments to Patent Owner's Response to Office Action dated July 5
	352	2012 (Vol. III of Edward Balassanian Deposition Transcript dated June 7, 2012)
	222	
	333	Serial No. 95/000,659 Appendix R-10-4 to Third Party Comments to Patent Owner's Response to Office Action dated July 5 2012 (Vol. W of Edward Palassenian Deposition Transport dated June 8, 2012)
	224	2012 (Vol. IV of Edward Balassanian Deposition Transcript dated June 8, 2012)
	334	Serial No. 95/000,659 Appendix R-11 to Third Party Comments to Patent Owner's Response to Office Action dated July 5, 2012 (Inveligit Networks Ing 's Decrements to Invite Networks Ing 's Decrements to Patent Set of Decrements for Advision 1.22)
	225	2012 (Implicit Networks, Inc.'s Response to Juniper Networks, Inc.'s First Set of Requests for Admission 1-32)
	335	Serial No. 95/000,659 Action Closing Prosecution dated October 1, 2012
	336	Serial No. 95/000,659 Petition to Withdraw and Reissue Action Closing Prosecution dated November 20, 2012
	337	Serial No. 95/000,659 Patent Owner Comments to Action Closing Prosecution dated December 3, 2012
	338	Serial No. 95/000,659 Opposition to Petition dated December 17, 2012
	339	Serial No. 95/000,659 Third Party Comments to Action Closing Prosecution dated January 2, 2013
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	340	Serial No. 95/000,660 Inter Partes Reexam Request dated March 2, 2012
	341	Serial No. 95/000,660 Order Granting Reexamination dated May 10, 2012
	1	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Complete if Known		
	Application Number	13/911,324	
(Sheet 13 of 14)	Filing Date	2013-06-06	
	First Named Inventor	Edward BALASSANIAN	
	Group Art Unit	2192	
	Examiner Name		

Examiner	Cite	Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or country where					
Initials*	No.						
minais	342						
	343	Serial No. 95/000,600 Office Action dated May 10, 2012 (including Exhibits 1 and 2)					
	344	Serial No. 95/000,660 Third Party Comments to Office After Patent Owner's Response dated August 8, 2012 (including Revised Comments)					
	345	Serial No. 95/000,660 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Declaration of Prof. Dr. Bernhard Plattner)					
	346	Serial No. 95/000,660 Appendix R-1 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Prof. Dr. Bernhard Plattner CV)					
	347	Serial No. 95/000,660 Appendix R-3 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Listing of Publications to Prof. Dr. Bernhard Plattner updated February 2012)					
	348	Serial No. 95/000,660 Appendix R-4 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012(Office Action Granting Reexamination in 95/000,660 dated May 10, 2012)					
	349	Serial No. 95/000,660 Appendix R-5 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Office Action in 95/000,660 dated May 10, 2012)					
	350	Serial No. 95/000,660 Appendix R-6 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Implicit Networks, Inc. USPN 6,629,163 Claims Chart)					
	351	Serial No. 95/000,660 Appendix R-7 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Internet Protocol DARPA Internet Program Protocol Specification dated September 1991)					
	352	Serial No. 95/000,660 Appendix R-8 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Atkinson, "IP Encapsulating Security Payload (ESP) dated August 1995)					
	353	Serial No. 95/000,660 Appendix R-9 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Claim Construction Order dated February 29, 2012)					
	354	Serial No. 95/000,660 Appendix R-10 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Vol. I-IV of Edward Balassanian Deposition Transcript dated May 30, 2012)					
	355	Serial No. 95/000,660 Appendix R-11 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Shacham, A., et al, "IP Payload Compression Protocol", Network Working Group, RFC 3173 September 2001)					
	356	Serial No. 95/000,660 Appendix R-12 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Shacham, A., et al, "IP Payload Compression Protocol", Network Working Group, RFC 2393 December 1998)					
	357	Serial No. 95/000,660 Appendix R-13 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 ('163 Pfeiffer Claim Chart)					
	358	Serial No. 95/000,660 Appendix R-14 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Ylonen, T., "SSH Transport Layer Protocol", Network Working Group – Draft February 22, 1999)					
	359	Serial No. 95/000,660 Appendix R-15 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Dommety, G., " <i>Key and Sequence Number Extensions to GRE</i> ", Network Working Group, RFC 2890 September 2000)					
	360	Serial No. 95/000,660 Appendix R-16 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Monsour, R., et al, "Compression in IP Security" March 1997)					
	361	Serial No. 95/000,660 Appendix R-17 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Friend, R., Internet Working Group RFC 3943 dated November 2004 " <i>Transport Layer Security Protocol Compression Using Lempel-Ziv-Stac</i>)					
	362	Serial No. 95/000,660 Appendix R-18 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012(Implicit Networks, Inc.'s Response to Juniper Networks, Inc.'s First Set of Requests for Admission 1-32)					
	363	Serial No. 95/000,660 Revised - Third Party Comments to Office After Patent Owner's Response dated November 2, 2012					
	364	Serial No. 95/000,660 Action Closing Prosecution dated December 21, 2012					
	365	Serial No. 95/000,660 Comments to Action Closing Prosecution dated February 21, 2013 (including Dec of Dr. Ng)					
	366	Serial No. 95/000,660 Third Party Comments to Action Closing Prosecution dated March 25, 2013					
	367	PCT/US00/33634 – PCT application (WO 01/2077 A2 - 7/12/01)					
	368	PCT/US00/33634 – Written Opinion (WO 01/50277 A3 – 2/14/02)					

INFORMATION DISCLOSURE	Complete if Known		
STATEMENT BY APPLICANT	Application Number	13/911,324	
(Sheet 14 of 14)	Filing Date	2013-06-06	
	First Named Inventor	Edward BALASSANIAN	
	Group Art Unit	2192	
	Examiner Name		

369	PCT/US00/33634 – International Search Report (10/9/01)
370	
371	PCT/US00/33634 – International Preliminary Examination Report (4/8/02)
372	PCT/US00/33634 – Official Communication (1/24/03)
373	PCT/US00/33634 – Response to Official Communication dated January 24, 2003 (3/12/03)
374	PCT/US00/33634 – Official Communication (5/13/04)
375	PCT/US00/33634 - Response to Summons to Attend Oral Proceeding dated May 13, 2004 (10/9/04)
376	PCT/US00/33634 – Decision to Refuse a European Patent application (11/12/04)
377	PCT/US00/33634 – Minutes of the oral proceedings before the Examining Division (10/12/04)
378	PCT/US00/33634 – Closure of the procedure in respect to Application No. 00984234.5 – 2212 (2/22/05)
379	
	AND 8,082,268
	(6 documents)
380	Expert Report of Dr. Alfonso Cardenas Regarding Validity of U.S. Patent No. 7,167,864
	(3 documents)
381	"InfoReports User Guide: Version 3.3.1;" Platinum Technology, Publication No. PRO-X-331-UG00-00, printed April 1998;
	Pages 1-430.

Examiner Signature:

Date Considered:

CERTIFICATION STATEMENT

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18.Signature: /Dean M. Munyon/Date: 2013-06-25Name/Print: Dean M. MunyonRegistration Number: 42,914

Electronic Acknowledgement Receipt				
EFS ID:	16144948			
Application Number:	13911324			
International Application Number:				
Confirmation Number:	4969			
Title of Invention:	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING			
First Named Inventor/Applicant Name:	Edward Balassanian			
Customer Number:	35690			
Filer:	Dean M. Munyon/Danielle Kramer			
Filer Authorized By:	Dean M. Munyon			
Attorney Docket Number:	6743-00105			
Receipt Date:	25-JUN-2013			
Filing Date:				
Time Stamp:	13:26:29			
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File Listing:						
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Form (SB08)		IDS_PTO-1449.pdf	270324	no	14
				5fd6ad640da37ba64128e3ec1f5c15f9d7c6 8675	110	
Warnings:						
Information: Juniper Ex. 1004-p. 104						

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 This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

 New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

(19)	<u>)</u>	Europäisches Patentamt European Patent Office Office européen des brevets		(11)	EP 0 817 031 A2			
(12)		EUROPEAN PATE	NT /	APPLICATIO	N			
(43)	Date of publi 07.01.1998	cation: Bulletin 1998/02	(51)	Int GL [®] : G06F	9/46			
(21)	21) Application number: 97304091.8							
(22)	Date of filing	12.06.1997						
(84)	~	Contracting States: E DK ES FI FR GB GR IE IT LI LU MC	(72)	Inventor: Fowlor Redwood, Calif	w, Brad G. ornia 94062 (US)			
(30)		07.1996 US 675733	(74)	Representative: Urquhart-Dykes Tower House	Browne, Robin Forsythe, Dr. s & Lord			
(71)		JN MICROSYSTEMS, INC. ew, California 94043-1100 (US)		Merrion Way	West Yorkshire (GB)			

(54) Using a distributed object system to find and download java-based applications

(57) A client enabled to load and run Java applets in a distributed object computing system retrieves needed Java classes in a location-independent manner from various class servers in the system. Initially, the client queries a naming service of the distributed object computing system to determine the class server that contains the base class needed. A connection through an object request broker is made from the client to the class server. The client then requests the code for the base class from the class server by using the object request broker. The class server retrieves the code by either reading a file from its own local file set, or if the code is not local, queries the naming service for another class server that has access to the code for the base class. This process of finding a class server and determining if the code is local may be recursive as classes may be moved or renamed. The class server then returns this code to the client by way of the object request broker. The client determines whether the returned code contains any unresolved classes, i.e., classes that are used but not yet defined or loaded. The client requests code for any unresolved class in a manner as above for the base class. The client incorporates Java software to run the applets and ORB binding software to enable the software to make calls to the object request broker. A network class loader enables the client to load and resolve classes over a distributed object system.

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Description

FIELD OF THE INVENTION.

The present invention relates generally to acquiring application program code within a computer system. More specifically, the present invention relates to acquiring Java-based applications within a distributed object system.

BACKGROUND OF THE INVENTION

With the increasing popularity of the Internet, there has been a corresponding increase in the demand to be able to transfer and to view information via the Internet. In general, the internet is used to communicate with others via electronic mail and also to view information within an international network of computers. One aspect of the Internet is the World Wide Web (the "Web"). Among other uses, the Web is used to access Web sites (or Web pages) of a particular company, organization or person. These Web sites contain information and are available for viewing as part of the World Wide Web. When a user accesses a Web site, typically information from that Web site is downloaded to the user's computer. This information includes graphics, windows, text, photographs, sound, video and other information suitable for passing over a computer network.

Typically, software known as a "Web browser" is used to browse through the Web to search for particular 30 Web sites and information, to connect to a particular Web site, and finally to download the information from that Web site onto the user's computer. A wide variety of Web browsers are available. By way of example, two popular Web browsers are "Netscape" and "Mosaic". When using such a browser to download information from a Web site, the information often appears within a window on the screen of the user's computer. And it is then often desirable to also load executable program code that may then be executed on the user's computer within the window or a smaller sub-window. One technique available for loading and executing program code on a user's computer uses the Java programming language available from Sun Microsystems, Inc., of Mountain View, California.

Java is a programming language that also includes an interpreter as a run-time environment. It is an objectoriented programming language that is designed to support applications on networks. Java applications that execute within the run-time environment are known as applets. A Java applet contains compiled code that is portable and may be executed on any computer running the Java interpreter. Structurally, each applet is a collection of classes that may be stored on a computer in a file system. Because Java is a dynamic language these classes may be loaded as they are needed from across a network. There may be one class per file, or there may be many classes in a given file. Java may be running on a single computer or on a number of computers within a network. And although Java may be used in conjunction with a Web browser. Java may also be used as part of any computer system or network. A description of the Java language may be found in "Java in Nutshell" by David Flanagan, available from O'Reilly & Associates, Sebastopol, California, 1996.

Before the popularity of the Web, a Java interpreter loaded applets for execution that were present on the local computer. In Java, typically a base class desired is loaded first, and this base class indicates further classes that are used by the base class and thus need to be loaded as well. Classes that are needed but not yet loaded are termed "unresolved", whereas classes that are needed and that are loaded (or defined) are termed "resolved." So, before the use of the Web, the classes of a particular applet were stored in a collection of files in a file system of the local computer. The Java interprotor running on the local computer would then access the local file system and retrieve the files corresponding to the classes it needed. Unfortunately, Java would then only be able to retrieve applets available from the local file system because only the local file system is known to the Java interpreter. Also, these classes had to be specified by giving a fixed file name.

With the advent of browsers available for the Web. however. Java is able to find and download applets from remote sites; however, this acquisition of applets is still limited. In these situations, a browser typically incorporates a Java interpreter in order to execute applets that are downloaded. A Java applet is downloaded by first identifying the name of the base class desired. Once that base class is identified and loaded, the other classes used by that base class are then retrieved and loaded 35 into the Java interpreter. Because a browser typically uses the hypertext transfer or "http" protocol, the location of these Java classes within the computer network are identified using a Universal Resource Locator (URL) address. A URL address connects machines together. 40 It provides a machine name plus a path to a file on that machine. Thus, through the URL address, the individual files that contain the Java classes may be be identified within a computer network. The http server running on the identified machine reads these files and then sends 45 the classes (in the form of executable bytes) back to the requesting browser for execution.

An example of how this process works may be illustrated as follows. Typically, Web pages are described in a hypertext markup language (HTML) that defines how the Web page will appear and perform when downloaded to the user's computer. In the course of using a Web browser such as Netscape for downloading such a Web page, the user may encounter a Java applet embedded in the HTML that indicates a base class to load. In other words, the HTML page data that a user may acquire through Netscape contains references to applet classes that may be used to execute small programs in parts of the page. Thus, Netscape would be directed to locate

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and download the code for the applet to run in the frame that the page defines. This code is found by reference to a specific URL address that identifies a particular computer.

The drawback with either defining Java classes as being contained in files available on a local file system or as being contained in files that are accessible through a URL address is that these file names are "hard-wired". In other words, the user who desires an applet must know the actual name of the file that corresponds to a physical machine somewhere. It may be difficult to obtain or to update this name. For example, if the Java applet or any of its classes are moved, then these file names must be changed. This is an awkward and undesirable situation in the context of the Internet where applets and classes might be located in different locations and where it may be desirable to move these classes. For example, an applet might be used in the context of a Web browser where the applet performs the function of displaying satellite weather information for a particular Web site. In the course of displaying the information, the applet may need to find and download various classes within the network. It would be undesirable if one class could not be found either because its hardwired file path name had changed or if the class name had changed. Such a situation might result in the weather display halting while only halfway done. Also, if the particular computer is down, the needed classes are inaccessible even if those classes are available elsewhere in the network.

Especially within a distributed object system, the current model for finding and downloading Java classes according to "hard-wired" file names breaks down. For example, the beauty of a distributed object system is that references may be made to objects (such as classes or files) without needing to know where exactly those objects are located. Also, a proper distributed object system allows those objects to be located anywhere within the system yet still allow a requesting entity to find the objects that it needs. Thus, current schemes for finding and downloading Java classes according to a "hardwired* file name are not suitable within a distributed object system. Accordingly, it would be desirable to have a technique for finding and downloading Java-based applications within a distributed object system. Such a technique would allow a requesting entity to query one source for an applet yet be able to find and download all classes needed by that applet no matter where they exist within the distributed object system and without having to give an exact host machine and file name for these classes

SUMMARY OF THE INVENTION

Embodiments of the present invention relate to apparatus and methods used to acquire applet execution code within a distributed object computing system. The distributed object computing system includes clients,

applet servers and an object request broker arranged to facilitate communication between the clients and the applet servers. In a method aspect, initially a client queries the object request broker to determine if there is an applet server available within the system that may be used to obtain particular applet execution code. In another step, the client requests a portion of this applet execution code from a found applet server by using the object request broker. Once requested, the applet server re-10 trieves a portion of the desired appliet execution code. The applet server is then able to return this portion of applet execution code to the client by way of the object request broker.

In a related aspect, the client incorporates applet :5 software to enable the client to run the applet execution code. The client may also have loaded specialized ORB binding software to enable the applet software to make calls to the object request broker, and may have a network class loader to enable the client to load portions of 20the applet code and to resolve portions of the code. The applet software may be a version of the Java programming language and run-time environment that allows the client to run Java applets acquired over the distributed system. These Java applets may be stored as Java classes available from various class servers in the system. In one aspect, the portions of applict execution code are Java classes. Also, the distributed object system may include a naming service used by a client to locate the applet server for a particular class.

In one embodiment, an applet server retrieves the requested applet execution code by determining whether a portion of the applet execution code is found within its local file set. If the portion is present, then the applet server reads a file in order retrieve the code and then returns it to the requesting client. If the portion is not present in the file set, then the applet server queries the naming service to determine if there is another applet server within the distributed object computing system that is associated with the desired portion. If this second applet server is found, it may perform this determination process in a recursive manner until the desired portion of applet execution code is found.

In another aspect, once the portion of applet execution code is returned to the client, the client determines whether the portion of code contains any unresolved references to any other portion of the applet execution code. These unresolved references may take the form of classes used by loaded execution code that are not yet defined or loaded. If there are unresolved references, the client requests additional applet execution code corresponding to these unresolved references from the first applet server found. In turn, this applet server either returns the code itself, or queries the naming service for another applet server with access to the code. In this fashion, all applet execution code needed is loaded into the client for execution.

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BRIEF DESCRIPTION OF THE DRAWINGS

The invention, together with further advantages thereof, may best be understood by reference to the following description taken in conjunction with the accompanying drawings in which:

FIGURE 1a illustrates a distributed object system having an object request broker (ORB) portion, object development facilities and client and server objects according to one embodiment of the present invention.

FIGURE 1b shows the flow of a request from a client to a servant object within the distributed object system of Figure 1a.

FIGURE 1c is an embodiment of an object reference suitable for use within the distributed object system of Figures 1a and 1b.

FIGURE 2 shows a Java client using an object request broker in order to download Java class files from various class servers according to one embodiment of the present invention.

FIGURE 3 shows in greater detail the Java client of FIGURE 2 including modules that it uses to download Java classes according to one embodiment of the present invention.

FIGURE 4 is a flow chart for acquiring Java classes 26 within a distributed object system according to one embodiment of the present invention.

FIGURE 5 is a flow chart showing the request code step of FIGURE 4 in greater detail according to one embodiment of the present invention.

FIGURE 6 shows a typical computer system suitable for implementing the present invention.

DETALED DESCRIPTION OF THE INVENTION

OVERVIEW

The present invention is directed toward distributed object systems and will be described with reference to several preferred embodiments as illustrated in the accompanying drawings. The invention may be practiced within the context of any suitable distributed object system, including those defined under COPBA or any other suitable specification. However, for purposes of illustration, an embodiment of the present invention will be described primarily within the context of an Object Request Broker (ORB) implemented under the CORBA specification from the Object Management Group (OMG), Revision 2.0, dated July 1995. Figure 1a diagrammatically illustrates the overall architecture of a representative distributed object system suitable for implementing an embodiment of the present invention. Figure 1b diagrammatically illustrates some possible flow paths that a request from a client to a servant object may follow within such an architecture that includes a three-level dispatch mechanism. Figure 1c shows one object reference data structure that may be used by a client to refer to a servant object.

A distributed object system 10 typically includes an Object Request Broker (ORB) 11 as is symbolically illustrated in Figure 1a. ORB 11 provides all of the location and transport mechanisms and facilities necessary to deliver a call from a client to a servant (target object) and to return a response to the client, as will be discussed below with reference to Figure 1b. The client and servant may be located in the same process. In different processes on the same machine, or on completely different machines. For the purposes of this discussion,

client 20 may be any code that invokes an operation on a distributed object and thus may or may not take the form of a distributed object or a process. A distributed object may have a wide variety of representations. By :5 way of example, the distributed object may be a C++ object that has been provided by an application developer. Alternatively, an implementation for a distributed object may be developed within a visual application builder 15. This visual application builder allows a de-20veloper to visually select existing object types from a catalog and graphically connect the services provided by one object to the services needed by another (attributes, arguments, results etc.) in order to create a new implementation for an object.

An object development facility 16 may be used to simplify the creation and the installation of distributed objects. It is used to "wrap" or encapsulate developer objects in distributed object code. As such, object development facility 15 may be used to transform a devel-30 oper object into an ORB object implementation 14. In this example, ORB object implementation 14 is presented as a server as shown by its location in the diagram. A developer uses an interface definition language to define an interface for an ORB object, provides a develop-35 er object implementation that implements that object's behavior, and then uses the object development facility 16 in order to produce an ORB object implementation 14. At run time, an instance of this ORB object (a servant object) is created that will utilize this ORB object imple-40 mentation 14. It should be appreciated that the object development facility may also be used to create objects that take the role of clients at some point.

Client 20 communicates with a servant by way of a stub 21, a subcontract layer 36, possibly a filter 40, and a transport layer 38. Stub 21 includes a surrogate 22, a method table 24 and stub functions 25. Client 20 communicates initially with surrogate 22 that appears to the client as the servant object. Alternatively, client 20 may communicate directly with the servant object through a dynamic invocation interface (Dil) 26 instead of through surrogate 22, method table 24 and stub functions 25. Dynamic invocation interface 26 is used to enable clients to construct dynamic requests. A procedure by which a client calls a servant utilizing the above layers is described in Figure 1b.

Subcontract layer 36 provides the functionality required by an object in order to utilize subcontracts to implement various services (or features or object mech-

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anisms named by a particular subcontract. A subcontract identifies a quality of service provided by the distributed object system that may be utilized by an individual object. For example, a subcontract may identify that the feature of security is to be used for a particular object. A particular subcontract may be associated dynamically at run time with a servant object. Filter 40, if being used, may perform a variety of tasks, such as compression, encryption, tracing, or debugging, that are to be applied to communications to and from an object. Transport layer 38 operates to marshal, unmarshal and physically transport information to and from a servant that typically does not share the same process as a client

A standard implementation suite 28 (or object 15 adapter) represents a set of subcontracts that interact with ORB objects 14 in identical ways, as in object key management. A subcontract may also belong to multiple-implementation suites. Also, implementation suites may utilize different subcontracts. A skeleton, that may take the form of either static skeleton 32 or dynamic skeleton 30, is used to transform requests into a format required by a servant object 78 (as will be explained in more detail below with reference to Figure 1b). Thus, skeletons 30 and 32 call an appropriate servant object 78. Static skeleton 32 is used to call interface-specific object implementations 14, while dynamic skeleton 30 is used generically when interface-specific objects are not available. An ORB interface 34 is the interface that goes directly to the ORB that is the same for all ORBs 30 and does not depend upon an object's interface or object adapter. An ORB daemon 46 is responsible for ensuring that object servers are active when invoked by clients

Secure Protocol 42 is a secure interoperability protocol that secures the internet inter-OR8 protocol and helps to transmit information through transport layer 38 in a secure fashion. This may mean integrity protection, confidentially, etc. The internet inter-ORB protocol is a protocol that typically communicates between processes on different machines. However, in some cases, the internet inter-ORB protocci may communicate between processes on the same machine. Security server 54 is a security administration server that secures the services that are used between processes on different computers.

Typecode/Any module 44 implements "Typecode" and "Any" objects. Typecode describes an Interface Definition Language (IDL) data type, allowing type descriptions to be transmitted between clients and servers. An instance of an IDL data type may be encapsulated by an Any object. An Any object refers to typecode of the encapsulated data, and a generic encoding of the data

An implementation repository 50 is used to store information relating to object servers. Specifically, implementation repository 50 stores the information needed to start a server process. For example, implementation

repository 50 stores information such as the location of the server program, any arguments to the program, and any environment variables to pass to the program, etc.

Simple persistence 56 uses an Interface Definition Language (IDL)-defined type and the output from running that IDL type through the IDL compiler, together with a portion of additional code so that an IDL-defined type can be read from, and written to, disk. A naming service 52 is used to name ORB objects. A client may use naming service 52 to find a desired object by name. Namino service \$2 returns an object reference, that in turn may be used to send requests to that object. An Interface Repository 48 (IFR) knows about all interfaces for all objects within the distributed object system.

A request made by a client using a method table ("m-table") dispatch will pass through a variety of the aforementioned layers of the architecture on its way to the servant as diagrammatically illustrated in Figure 1b. The request is initiated by a client and may take any suitable form. The form of the request will depend to a large extent upon the nature of the programming language used to create the client. By way of example, if the client is written in the C++ language, the request may take the form of a C++ method call 62. The call is made to a designated object reference taking the form of a surrogate. The surrogate includes methods that comply with the object's interface.

As will be appreciated by those skilled in the art, the object reference used at different locations within a distributed object system may vary significantly in appearance. In the embodiment described, the client side object reference is a dual pointer (referred to herein as a "fat pointer"). A fat pointer contains two distinct pointers. A first pointer points to a client representation (*client rep") associated with the referenced object. A second pointer points to a method table of the method table dispatch 24 that is associated with the referenced object. A client representation is an object that has methods that support invocation as well as CORBA defined "pseudo" object reference operations. These operations include, but are not limited to, a "duplicate" method, a "release" method, a "narrow" method, a "hash" method, and an "is equivalent" method.

After the client has initiated a call, the call is proc-45essed using a method table dispatch mechanism 24. The method table dispatch mechanism uses a method table that contains a list of pointers to stub functions 25, one of which is associated with the method to be invoked. Stub functions 25 receive a function or procedure 50 call in the "native" language of the client process, then use either a subcontract layer 36 or a native call to eventually call the corresponding servant object. The native language may be any suitable language, as for example a language such as C++.

Method table dispatch 24 determines the appropriate one of the stub functions 25 to process the method call, and then pairs the method call with the appropriate srub function. In the event that the client making the

method call is in the same process as the servant object. a local stub function is called. The local stub function sends the method call directly to servant object 78. Alternatively, if the servant object is in a different process. i.e. a remote process, a remote stub function is called. The remote stub function invokes the client representation, that delivers the invocation to servant object 78.

Subcontracts implemented by subcontract layer 36 are logic modules that provide control of the basic mechanisms of object invocation and argument passing that are important in distributed object systems. A subcontract implemented by subcontract layer 36 determines a specific quality of service for use by an object. A subcontract is uniquely identified by a subcontract identifier typically embedded in an object reference A quality of service is a set of service properties. Among possible service properties that are selectable are qualities relating to server activation, security, transactions, filterability, and clean shutdown. Subcontracts are configured such that certain qualities of service are available. With predetermined qualities of service, the overhead assoclated with processing individual service properties is reduced. Realistically, only commonly used combinations of service properties are supported with subcontracts. However, subcontracts may be created to meet the specific requirements of a given distributed object system.

The identification of an appropriate subcontract in subcontract layer 36 may be thought of as the identification of a desired function that is unique to that sub- 30 contract. For example, a marshal function or an unmarshal function is defined for each subcontract. A subcontract marshal function is used by a stub to marshal an object reference so that it may be transmitted to another address space, or domain. The object reference is typically processed by a transport mechanism in transport layer 38.

A transport mechanism such as T1, T2, etc., that is a part of transport layer 38 is used to marshal and physically transport information to and from servant objects. information, i.e. the object reference or the request, is converted into protocols appropriate to a given domain. By way of example, protocols may include Ethernet protocols and general inter-ORB protocols (GIOPs). In some uncommon cases, protocols may even entail the use of electronic mail to transmit instructions to be implemented on a server. After information is marshaled, the transport mechanism then transports information through any combination of an operating system, a device driver, or a network, that are all a part of hardware. 70 used by the client side of a distributed object system.

While transport mechanisms require a conversion of information into a protocol appropriate to a given domain, some transport mechanisms do not require the encoding of information for different domains. One transport mechanism that does not require a conversion of information into a protocol appropriate to a domain other than the one on which information originates is

termed a "door". Doors are essentially gateways between two different processes on the same host. The use of doors eliminates the need for a conversion of information into a canonical implementation in transport layer 38, as there is no need to encode information into a protocol that may be used by a different machine by virtue of the fact that information is remaining on the same host and therefore does not require a change of domain. Hence, information may simply be "flattened 10 out," or marshaled into a stream that is not encoded for use by a different machine, and passed between the two processes on the host.

Once information is transported through hardware 70 used by the client side, the information is then trans-:5 ported to hardware 70 on the server side of a distributed object system. Once information is routed through hardware 70, the server side of a distributed object system invokes a transport mechanism such as T1, T2 etc. to receive information on an end point that is a part of trans-20port layer 38. In the event that an end point is not created by transport layer 38, transport layer 38 provides the functionality needed for the end point to be created by subcontract layer 36. By way of example, a dedicated end point is typically created by subcontract layer 36, while cluster end points, which typically include network 25 and TCP/IP end points, are typically created by transport layer 38. Regardless of whether end points are created by subcontract layer 36 or transport layer 38, end points "live in," i.e. are a part of, transport layer 38. End points are essentially ports that receive information from a different domain. After an end point in transport layer 38 receives information transported from a different domain, the end point then dispatches the information from transport layer 38 to subcontract layer 36. Subcontract 35 layer 36 then dispatches the information to the skeleton and the servant

Subcontract layer 36 provides the functionality to unmarshal at least some of the information it has received. That is, subcontract layer 36 unmarshals at least part of the request. Then, the request is dispatched to a skeleton 31 that transforms the request into an implementation specific format required by servant object 78. The skeleton 31 may either be a static skeleton 32 or a dynamic skeleton 30 as described above.

In general, a remote request is routed through the client side and the server side as described above. The method call 62 is received, method table dispatch layer 24 is used to identify an appropriate subcontract prior to the selection of a transport mechanism in transport layer 38 that marshals the request and prepares it for transport to another domain. Through hardware 70, the marshaled request is transported to the server side where it is received on an end point that is a part of transport layer 38. An appropriate end point receives information transported across a wire, and information is dispatched from transport layer 38 to subcontract layer 36, that provides the functionality to at least partially unmarshal the information it has received. The subcontract layer then

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dispatches the request to skeleton 31 that transforms the request into a specific format required by servant object 78. This path is shown by arrow 77, and is the path that may be taken by both remote and local requests.

However, if a client and a server are in a local process, i.e. both the client and the server are in the same process, the use of the path shown by arrow 77 as described above is unnecessarily complex. If it is known that the client and the server are in the same process. it is possible to shorten the invocation path, or flow path of a request for service. If a local process may be identified when an object reference is created, shortened flow paths, i.e. the paths represented by arrows 75 and 76, may be taken to send a request from a client to a server that are on the same host. The path represented by arrow 76 is more likely to be taken, as it uses subcontract layer 36 to identify an appropriate subcontract. However, in situations in which an appropriate subcontract does not need to be explicitly identified, the path 20 represented by arrow 75 may be taken.

Figure 1c will now be used to describe an embodiment of an object reference. As will be familiar to those skilled in the art, object references may take a variety of forms depending upon the location within the process. that they are being held at any given time. However, by way of background, a representative object reference for use in a system that utilizes a low overhead implementation suite is illustrated in Figure 1c. In the implementation shown therein, object reference 150 includes 30 a host identifier 152, a port designation 154, and an object key 156. Object key 156 includes a subcontract identifier 158, a server identifier 160, an implementation identifier 162, and a user key 164. Host identifier 152 denotes a particular computer in a network, while port designation 154 identifies the port of the selected computer that is to be used for communication. Object key 156 provides further identifying information used in order to locate a desired servant object on its host machine.

Server identifier 160 names a particular process or program in which the servant object resides, while user key 164 is a unique number or string used to locate the servant within the process named by server identifier 160. Subcontract identifier 158 is used to attach the protocol of a particular subcontract and its associated services with a servant, and implementation identifier 162 names an implementation of an interface that is to be used with that servant object.

FINDING AND DOWNLOADING JAVA-BASED **APPLICATIONS**

An embodiment of the present invention provides a mechanism by which a requesting client may acquire the classes it needs within a distributed object system in order to run a particular applet. In one embodiment, this mechanism uses an object request broker (ORB) in

order to communicate with one or more class servers that provide access to the class files needed. Thus, an OR8 of a distributed object system is used to find the class files needed in a location independent manner. One advantage to using an ORB is that these class files and their associated class server may be located anywhere within the distributed object system yet may still be found by the client. Also, this mechanism allows a client a single point of access to the distributed object 10 system in order to find the class files that it needs. And even though these class files may be in different locations, these class files are retrieved in a manner transparent to the requesting client. That is, the client knows a base class that it wishes to load, but is mercifully unaware of all the machinations behind the scenes required to find other classes used or needed by this base class. Also, a request from a client may pass from an ORB of one distributed object system to an ORB of another. A wide variety of clients are contemplated that may

benefit from being able to find and download executable code according to the present invention. By way of example, one particular client is a client running Java software that is enabled to communicate with an ORS and to download Java classes. Also, in a broad sense, an 25 embodiment of the present invention is able to load any applet execution code. That is, applet execution code may be any execution code that can be downloaded and executed by a client. As used herein, the term "applet" refers to a body of executable program code, which code is effective to implement a function or facility when used in conjunction with a supporting execution environment. An "applet" may refer to a wide variety of types of execution code. By way of example, an applet may be 35 a collection of byte codes representing classes that are executed by a remote interpreter, such as Java classes that are executed using a Java language interpreter. These byte codes may also be compiled before execution, or may even undergo a combination of interpreta-40 tion/compilation before execution. The Java interpreter may reside inside a Web browser. And in particular, applet execution code may refer to code that represents an implementation of a Java class. And applet may mean in particular a small Java program that can be em-45bedded in another application such as an HTML document in order to provide interactive, executable content on a Web page. A Java class may be defined as a collection of data and methods that operate on that data. Together, the data and methods describe the state and 50 behavior of an object.

The present invention is useful and advantageous in many different scenarios. By way of example, an embodiment of the present invention is useful when a Java client wishes to query an object about what its presentation is. The presentation of a particular object may be used to run an executable program in a small window within a larger window. An object may be given the ability to give to the client its presentation, that is an implemen-

tation of the object's "front end" or interface. In this example, the presentation of an object is an applet that is represented as a location independent object. Thus, by way of an embodiment of the present invention, the Java client may use the OR8 in order to find this location independent object wherever it may be within the distributed object system. Once found, the applet may be downloaded to the client by way of an embodiment of the present invention and executed within the client. The present invention may be applicable in many other situations that will be apparent from the description of the figures below. Figures 2 and 3 illustrate an embodiment of the present invention for use within a distributed object system, and Figures 4 and 5 show a flow chart for how the invention may be practiced according to one embodiment.

Figure 2 illustrates graphically how in one embodiment of the invention a Java client 202 may communicate via a communication mechanism 206 in order to acoustice Java class files 209 and 211 within a distributed object system 200. Java client 201 may be a Web browser incorporating a Java interpreter or may be any Javaenabled client that wishes to download an applet for use. A communication mechanism for allowing a Java client to communicate with remote class servers in order to find and download class files may be implemented in a wide variety of manners. By way of example, this communication mechanism may be implemented as an object request broker of a distributed object system. And in particular, this object request broker, or ORB, may be 30 implemented as described above with reference to Figures 1a, 1b, and 1c. Class servers A and B are objects within the distributed object system that are implementations of a particular interface definition language (IDL). In other words, a class server may be defined by an IDL and may include a variety of operations and attributes defined upon it. Associated with each class server on the same machine are files containing Java classes. For example, class server A has an associated tile set 209 and class server B has an associate file set 211. Thus, Java classes may be located on any machine within a distributed object system and are accessible by a Java client via their associated class server as will be explained in more detail below.

Also included within the distributed object system 45 200 is a naming service 208. The naming service 208 allows object names to be registered so that a client may determine the location of a particular object by reference to the naming service. In this way, objects may refer to other objects by name. A naming service may be implemented in a wide variety of manners. By way of example, the naming service may be one module of the Object Services layer of a distributed object system as defined under the OMG COFIBA standard.

In one embodiment, the process by which a Java client acquires classes for an applet occurs as follows with reference to the circled numerals. Arrows (1) show how a Java client 202 may use the naming service 208

and the ORB 206 in order to determine a particular class server A that has access to a given class name that the client desires to be loaded. If this class name is contained within the file set 209 associated with class server 5 A, then arrow (2) shows how class server A retrieves the file containing the class name from its file set 209. However, if this class name is not present in the associated file set 209, then arrows (9) illustrate graphically how class server A will itself utilize the naming service 10 in order to determine a second class server B that does have access to the desired class name. Arrow (4) shows how this class server B will access its associated file set 211 in order to retrieve the executable program code corresponding to this class name. Arrow (5) shows how :5 this class code corresponding to the class name desired by the Java client is finally returned via the OR8 206 to the Java client 202. It should be appreciated that the communication taking place as indicated by arrows (1). (3) and (5) is machine independent. That is, the code associated with a particular class name may be moved 20to a new machine or given a new name as long as the naming service is updated to indicate the new location or name. In this fashion, an embodiment of the present invention advantageously allows classes associated with an applet to be located anywhere within a distrib-25 uted object system in a way that is transparent to the requesting client.

Figure 3 shows in greater detail the Java client 202 and its implementation that allows it to communicate with the ORB and to download Java classes. Java client 202 may be a Web browser using a Java interpreter or any Java-enabled client. Simply by itself, Java client 202 with only a Java interpreter is not enabled to talk to a distributed object system through the use of an ORB 35 206. Thus, an OR8 binding mechanism 302 is used to enable the Java client to communicate with a distributed object system through an ORB 205, in one embodiment. OR8 binding 302 is a collection of Java classes that the Java client acquires in a conventional way such as 40 through a URL address or through a local file system. The ORB binding 302 is a module loaded in to a Java interpreter that enables the Java client to talk to an interface definition language (IDL) and to make distributed object calls. In one sense, ORB binding 302 is a connector between a Java interpreter and an interface definition language. The Java client bootstraps itself to the OR8 by loading in these classes and thus extending it capabilities. The ORB binding may be implemented in a variety of manners. By way of example, ORB binding 50 302 may be a software module.

Once the Java client 202 has the capability to talk to an ORB 205 it also needs the capability to load and resolve classes available from the distributed object system. The network class loader 304 is a mechanism that allows a Java client to load and define new classes at run time. It also has functionality to allow classes to be resolved. If a downloaded class uses other classes that are not currently known or defined within the Java

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client, these other classes must be found and loaded ("resolved"). The network class loader 304 is called to acquire these needed classes. The network class loader also emits requests from the Java client in response to a client request for particular Java code. The network class loader is available within a computer network, and may be loaded or acquired by the Java client in a conventional way that a Java client acquires a class. For example, a call to a local file system or to a known URL address loads the network class loader 304. The network class loader 304 may be loaded into Java client 202 as shown by arrow 306 where it is then shown within the Java client as 304'. Once the ORB binding 302 and the network class loader 304' are present in Java client 202, the Java client is ready to acquire needed Java 15 classes over a distributed object system.

Figure 4 shows a flow chart 400 for acquiring a Java class needed by a particular client according to one embodiment of the present invention. For example, in the course of a particular client application, a distributed object has produced the name of a class needed to execute within a Java interpreter. The procedure shown in Figure 4 uses a distributed object system according to one embodiment of the present invention in order to load this class and any other classes it uses. A wide variety of classes exist that may be desirable for loading into a client application. A class desired may depend upon the specific client application. By way of example, classes that implement a portion of a graphical user interface. so-called "panel classes", are suitable for acquiring 30 through use of an embodiment of the present invention. These "panel classes" are especially suitable when the graphical user interface may vary based upon the nature of a distributed object that it is being used to manipulate.

When this acquire class procedure begins, the ORB binding and network class loader have already been loaded into the Java client as shown in Figure 3. In a first step 402, the class name that is desired to be loaded is received. Next, the class server object located somewhere within the distributed object system that has access to the desired class name must be determined. Thus, in step 403, the network class loader (NCL) queries the naming service in order to determine the appropriate class server. This step is illustrated graphically in Figure 2 by arrows (1). In step 404, if no class server is found that corresponds to the desired class name, an error is returned in step 405 and then the procedure ends. However, if a class server is found that corresponds to the desired class name, then in step 406 the OPB establishes a connection to this found class server.

Now that the class server has been found, the NCL requests the class execution code from the class server that corresponds to the desired class name in step 408. The execution code for a particular class may be represented in a wide variety of manners. By way of example, this execution code may take the form of an array of bytes that are stored in a file or files. In step 408 the class server may obtain the necessary class files locally or it may need to request these files of another class server. This process will be described in greater below with reference to Figure 5.

Once this execution code for class name has been retrieved, it is delivered to the network class loader within the Java client in step 410. Next, in step 412, the NCL passes this execution code to the Java interpreter. At this point, because the recently loaded class may use other classes, the Java interpreter must resolve any un-10 defined class references. For example, if the retrieved execution code of the class indicates that other classes are used and these classes are not currently defined within the Java interpreter, then the execution code for these undefined class names must also be retrieved from class servers somewhere within the distributed object system. Step 416 tests whether there are any unresolved classes remaining within the Java interpreter. If not, this indicates that all execution code needed by the original class requested is present in the Java interpreter, and in step 420 this original class is returned to the requesting client as being resolved.

However, if there are one or more unresolved classes, then in step 418 the Java interpreter asks the NCL for the execution code of a first unresolved class. From step 418 the procedure loops back to step 408 in which the NCL requests from the class server the appropriate class execution code. In this fashion, this portion of Figure 4 may loop through steps 408 to 418 until all execution code has been retrieved for all unresolved classes. Thus, it should be appreciated that by reference to an original class name, the client application is able to load and resolve all necessary classes for this original class over a distributed object network.

Figure 5 explains in greater detail step 408 of Figure 35 4 according to one embodiment. Because a class server may not be able to find a particular class within its own associated file set it may be necessary to look elsewhere within the distributed object system for the class needed. In this fashion, an embodiment of the present 40 invention is able to find Java classes anywhere within a distributed object system and in a manner transparent to the client. In this embodiment, the original class server found determines that it does not have local access to the class needed and is able to search for other class 45servers

Initially, in step 502, the class server determines whether the desired class is present in the file set of the class server. If the class (and its corresponding execution code) is found in the server's file set, then in step 504 this file is read and the appropriate execution code is passed back to the NCL within the Java client. However, if the class is not in the server's file set then this class server must look elsewhere in order to find the class. In step 506 this first class server queries the naming service in order to find a class server that does correspond to the desired class name. In other words, the first class server is looking for another class server that has an associated file set that includes a file with the

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class name that is desired. Step 508 tests whether such a class server has been found., If not, then step 510 returns an appropriate error message and the procedure ends. However, if an appropriate class server is found, then in step 512 the execution code corresponding to the class name is requested from the found class server.

It should be appreciated that step 512 may be a recursive step. That is, when the execution code is requested from the found class server, it may be that this found class server does not have access to the class but may need to call the naming service itself in order to find an appropriate class server. This situation may occur if a class is moved from one class server to another. Once the execution code has been found and read from the appropriate file, then in step 514 the resulling bytes are passed back to the NCL within the Java client. After this step the procedure ends,

The present invention as described above employs various process steps involving data stored in computer systems. These steps are those requiring physical manipulation of physical quantities. Usually, though not necessarily, these quantities take the form of electrical or magnetic signals capable of being stored, transferred, combined, compared, and otherwise manipulated, it is sometimes convenient, principally for reasons of common usage, to refer to these signals as bits, values, elements, variables, characters, data structures, or the like. It should be remembered, however, that all of these and similar terms are to be associated with the 30 appropriate physical quantities and are merely convenient labels applied to these quantities.

Further, the manipulations performed are often referred to in terms such as identifying, running, or comparing. In any of the operations described herein that form part of the present invention these operations are machine operations. Useful machines for performing the operations of the present invention include general purpose digital computers or other similar devices. In all cases, there should be bome in mind the distinction between the method of operations in operating a computer and the method of computation itself. The present invention relates to method step for operating a computer in processing electrical or other physical signals to generate other desired physical signals.

The present invention also relates to an apparatus for performing these operations. This apparatus may be specially constructed for the required purposes, or it may be a general purpose computer selectively activated or reconfigured by a computer program stored in the computer. The processes presented herein are not inherently related to any particular computer or other apparatus. In particular, various general purpose machines may be used with programs written in accordance with the teachings herein, or it may be more convenient to construct a more specialized apparatus to perform the required method steps. The required structure for a variety of these machines will appear from the

description given above.

In addition, the present invention further relates to computer readable media that include program instructions for performing various computer-implemented operations. The media and program instructions may be those specially designed and constructed for the purposes of the present invention, or they may be of the kind well known and available to those having skill in the computer software arts. Examples of computer-readable media include, but are not limited to, magnetic media such as hard disks, floppy disks, and magnetic tape: optical media such as CD-ROM disks; magneto-optical media such as floptical disks; and hardware devices that are specially configured to store and perform program instructions, such as read-only memory devices (ROM) and random access memory (RAM). Examples of program instructions include both machine code, such as produced by a compiler, and files containing higher level code that may be executed by the computer using an interpreter.

Figure 6 illustrates a typical computer system in accordance with the present invention. The computer system 100 includes any number of processors 102 (also referred to as central processing units, or CPUs) that are coupled to storage devices including primary storage 106 (typically a random access memory, or RAM). primary storage 104 (typically a read only memory, or ROM). As is well known in the art, primary storage 104 acts to transfer data and instructions uni-directionally to the CPU and primary storage 106 is used typically to transfer data and instructions in a bi-directional manner. Both of these primary storage devices may include any suitable of the computer-readable media described above. A mass storage device 108 is also coupled bidirectionally to CPU 102 and provides additional data storage capacity and may include any of the computerreadable media described above. The mass storage device 108 may be used to store programs, data and the like and is typically a secondary storage medium such as a hard disk that is slower than primary storage. It will be appreciated that the information retained within the mass storage device 108, may, in appropriate cases, be incorporated in standard fashion as part of primary storage 106 as virtual memory. A specific mass storage device such as a CD-ROM 114 may also pass data unidirectionally to the CPU.

CPU 102 is also coupled to an interface 110 that includes one or more input/output devices such as such as video monitors, track balls, mice, keyboards, microphones, touch-sensitive displays, transducer card readers, magnetic or paper tape readers, tablets, styluses, voice or handwriting recognizers, or other well-known input devices such as, of course, other computers. Finaily, CPU 102 optionally may be coupled to a computer or telecommunications network using a network connection as shown generally at 112. With such a network connection, it is contemplated that the CPU might receive information from the network, or might output in-

formation to the network in the course of performing the above-described method steps. The above-described devices and materials will be familiar to those of skill in the computer hardware and software arts.

Although the foregoing invention has been described in some detail for purposes of clarity of understanding, it will be apparent that certain changes and modifications may be practiced within the scope of the appended claims. For instance, the communication mechanism used between the client and class server 10 may be any suitable object request broker. Also, the naming service may be any module capable of identifying the location of a class name within a distributed object system. And the naming service may be part of an object request broker, or may be a separate module. In 15 addition, although the classes have been described as being stored on computer files, they may be present within a computer system on any computer-readable media. And the present invention is capable of loading 20any appropriate portion of executable code, and not necessarily in units of classes. And although the above examples describe applet execution code as being in one form programs for the Java programming environment, it will be appreciated by those of skill in the art that the term applet execution code refers to any suitable in-25 formation that may be downloaded in a manner transparent to a client and then executed by that client. Also, although the ORB binding and network class loader have been described as two separate modules, it is contemplated that they may form one unit that has the func- 30 tionality to allow a Java client to communicate with an OR8 and to download Java classes. Therefore, the described embodiments should be taken as illustrative and not restrictive, and the invention should not be limited to the details given herein but should be defined by the 35 following claims and their full scope of equivalents.

Claims

 In a distributed object computing system having clients, applet servers and an object request broker arranged to facilitate communication between said clients and said applet servers, a computer-implemented method of acquiring applet execution code within said distributed object computing system, compnsing the steps of:

querying said object request broker by a client to determine a first applet server to obtain said 50 applet execution code;

requesting a portion of said applet execution code from said determined first applet server with said object request broker;

retrieving at least said portion of said applet execution code with said first applet server; and returning said portion of said applet execution code retrieved by said first applet server to said client with said object request broker.

 A method as recited in claim 1 wherein said client incorporates applet software and said method further comprises the steps of:

> loading ORB binding software into said client to enable said client to pass requests for said applet execution code to said object request broker; and

loading network class loader software into said client to enable said client to load and resolve portions of said applet execution code that are returned to said client from said first applet server.

- A method as recited in any of claims 1-2 wherein said step of querying said object request broker includes querying a naming service of said distributed object computing system.
- A method as recited in claim 3 wherein said step of retrieving said portion of said applet execution code includes the sub-steps of:

determining whether said portion of said applet execution code is within a file set associated with said first applet server.

reading a first file to retrieve said portion of said applet execution code when it is determined that said portion of said applet execution code is within a file set of said first applet server; and

querying said naming service with said first applet server to determine a second applet server within said distributed object computing system that is associated with said portion of said applet execution code when it is determined that said portion of said applet execution code is not within a file set of said first applet server.

- A method as recited in claim 4 wherein said step of returning said portion of said applet execution code is performed by said second applet server first returning said portion of said applet execution code to said first applet server.
- A method as recited in claim 4 wherein said step of returning said portion of said applet execution code is performed by said second applet server returning said portion of said applet execution code directly to said client.
- 7. A method as recited in any of claims 1-6 wherein

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said portion of said applet execution code corresponds to a Java class.

 A method as recited in any of claims 1-7 further comprising the steps of:

> determining whether said portion of said applet execution code returned to said client by said applet server contains any unresolved references to said applet execution code; and

requesting additional applet execution code corresponding to said unresolved reference from said first applet server through said object request broker when it is determined that said ¹⁵ portion of said applet execution code contains an unresolved reference.

 In a distributed object computing system having clients, applet servers and an object request broker 20 arranged to facilitate communication between said clients and said applet servers, a computer-implemented method of acquiring applet execution code within said distributed object computing system comprising the steps of: 26

> querying a naming service of said distributed object computing system by a client to determine a first applet server to obtain said applet execution code;

requesting a portion of said applet execution code from said determined first applet server with said object request broker;

retrieving at least said portion of said applet execution code with said first applet server; and

returning said portion of said applet execution code retrieved by said first applet server to said 40 client with said object request broker.

 A method as recited in claim 9 wherein said step of retrieving said portion of said applet execution code includes the sub-steps of:

> determining whether said portion of said applet execution code is within a file set associated with said first applet server;

> reading a first file to retrieve said portion of said applet execution code when it is determined that said portion of said applet execution code is within a file set of said first applet server; and

> querying said naming service with said first applet server to determine a second applet server within said distributed object computing system

that is associated with said portion of said applet execution code when it is determined that said portion of said applet execution code is not within a file set of said first applet server.

- 11. A method as recited in claim 10 wherein said step of returning said portion of said applet execution code is performed by said second applet server first returning said portion of said applet execution code to said first applet server.
- 12. A method as recited in claim 10 wherein said step of returning said portion of said applet execution code is performed by said second applet server returning said portion of said applet execution code directly to said client.
- A method as recited in any of claims 9-12 wherein said portion of said applet execution code corresponds to a Java class.
- 14. In a distributed object computing system having clients, applet servers and an object request broker arranged to facilitate communication between said clients and seid applet servers, a computer-implemented method of acquiring applet execution code within said distributed object computing system comprising the steps of:

querying said object request broker by a client to determine a first applet server to obtain said applet execution code;

requesting a portion of said applet execution code from said determined first applet server with said object request broker;

retrieving at least said portion of said applet execution code with said first applet server; and

returning said portion of said applet execution code retrieved by said first applet server to said client with said object request broker;

determining whether said portion of said applet execution code returned to said client by said applet server contains any unresolved references to said applet execution code; and

requesting additional applet execution code corresponding to said unresolved reference from said first applet server through said object request broker when it is determined that said portion of said applet execution code contains an unresolved reference.

 A computer apparatus for use in acquiring applet execution code within a distributed object comput-

ing system having clients and applet servers, said computer apparatus comprising:

a processing unit;

an input/output device coupled to said processing unit;

a storage device in communication with said processing unit; 10

an object request broker arranged to facilitate communication between said clients and said applet servers, said object request broker being further arranged to receive a request for applet ¹⁵ execution code from a client enabled to receive said applet execution code; and

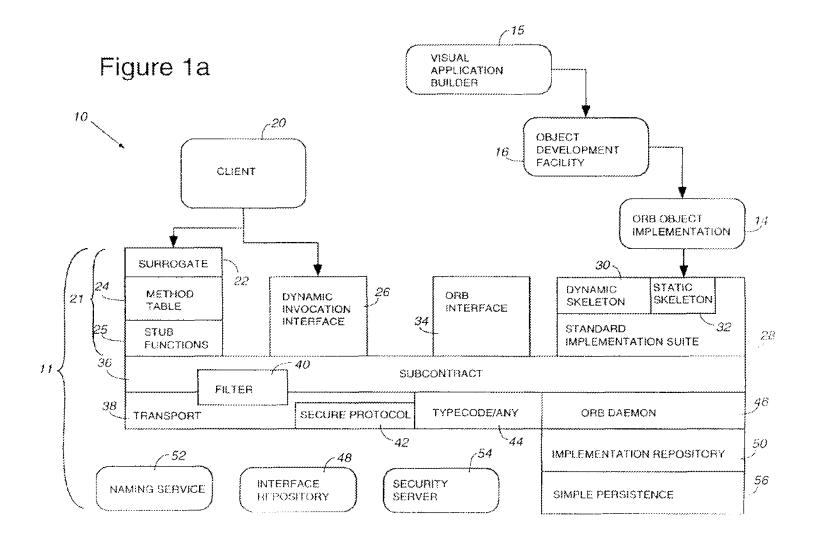
a first applet server being arranged to retrieve said applet execution code in response to said 20 request from said client and to return said applet execution code to said client.

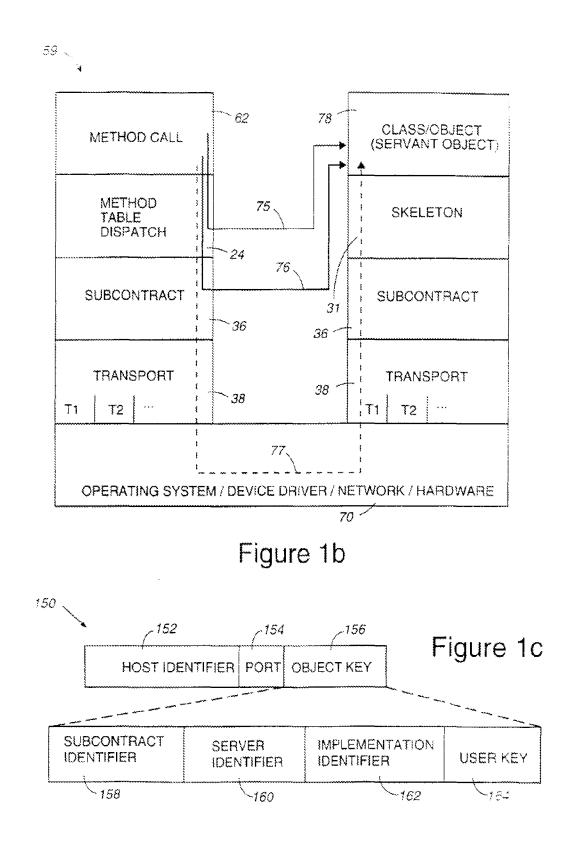
- 16. A computer apparatus as recited in claim 15 wherein said object request broker is associated with a ²⁶ naming service arranged to receive a request from said client to identify said first applet server associated with said applet execution code.
- 17. A computer apparatus as recited in claim 16 wherein said first applet server is arranged to query said naming service to determine a second applet server to retrieve said applet execution code.
- A computer apparatus as recited in any of claims 35 15-17 further comprising:

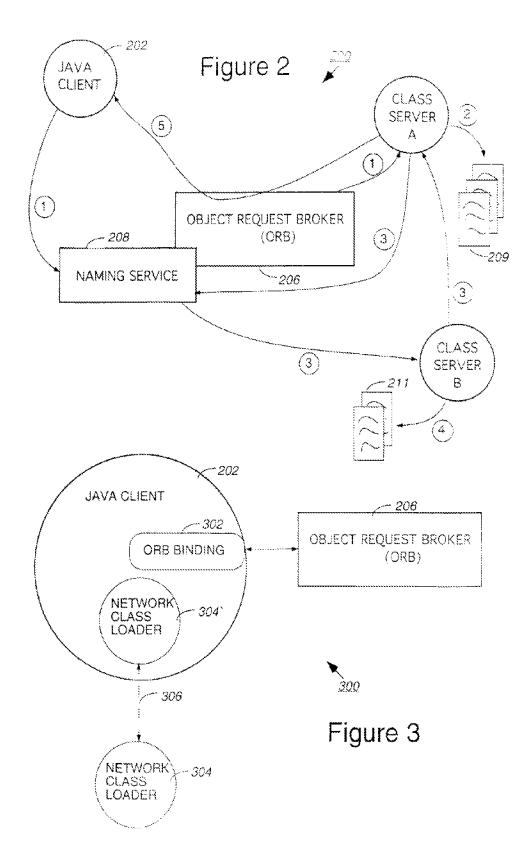
a mass storage unit in communication with said central processing unit, said mass storage unit including files containing said applet execution code, wherein said first applet server is further arranged to retrieve said applet execution code from said files.

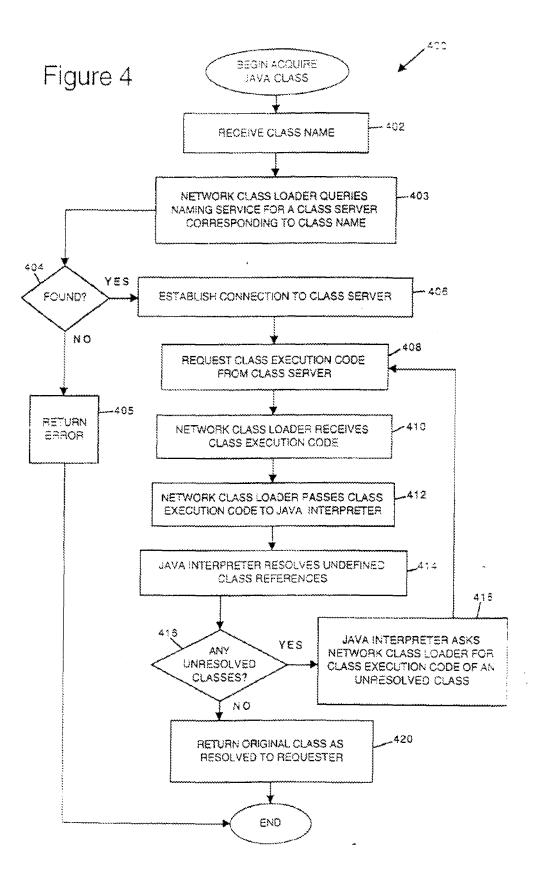
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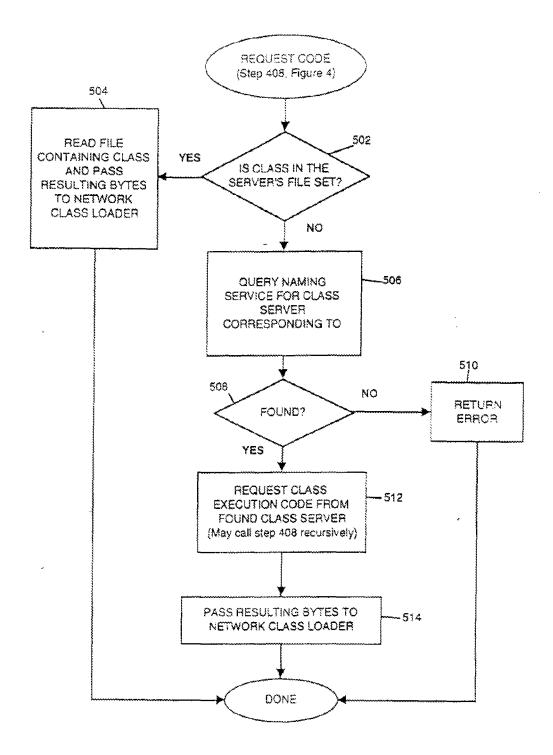
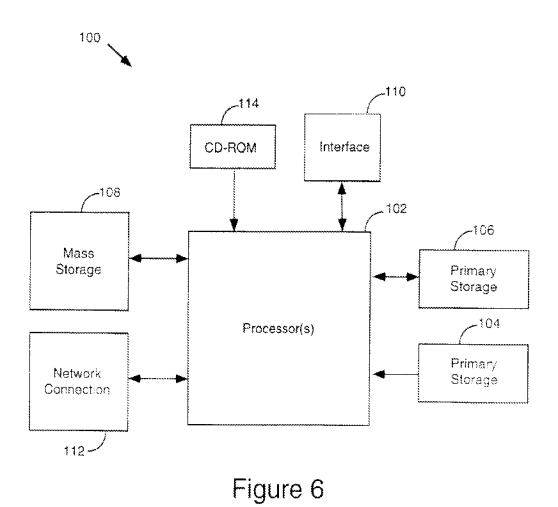


Figure 5



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Electronic Acknowledgement Receipt				
EFS ID:	16130129			
Application Number:	13911324			
International Application Number:				
Confirmation Number:	4969			
Title of Invention:	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING			
First Named Inventor/Applicant Name:	Edward Balassanian			
Customer Number:	35690			
Filer:	Dean M. Munyon			
Filer Authorized By:				
Attorney Docket Number:	6743-00105			
Receipt Date:	25-JUN-2013			
Filing Date:				
Time Stamp:	13:28:17			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with F	Submitted with Payment no				
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Foreign Reference	27_EP0817031.pdf	1880439	no	19
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Warnings:					
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EFS ID:	16132986				
Application Number:	13911324				
International Application Number:					
Confirmation Number:	4969				
Title of Invention:	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING				
First Named Inventor/Applicant Name:	Edward Balassanian				
Customer Number:	35690				
Filer:	Dean M. Munyon				
Filer Authorized By:					
Attorney Docket Number:	6743-00105				
Receipt Date:	25-JUN-2013				
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Application Type:	Utility under 35 USC 111(a)				

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37	Non Patent Literature	262_20121126ExhibitDtoHosie Declaration.pdf		no	40
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(12) EX PARTE REEXAMINATION CERTIFICATE (7567th)

United States Patent

Balassanlan

- (54) METHOD AND SYSTEM FOR DEMULTIPLEXING A FIRST SEQUENCE OF PACKET COMPONENTS TO IDENTIFY SPECIFIC COMPONENTS WHEREIN SUBSEQUENT COMPONENTS ARE PROCESSED WITHOUT RE-IDENTIFYING COMPONENTS
- (75) Inventor: Edward Balassanlan, Kirkland, WA (US)
- (73) Assignee: Implicit Networks, Inc., Bellevue, WA (US)

Reexamination Request:

No. 90/010,356, Dec. 18, 2008

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Filed:	Dec. 29, 1999

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(51) Int. Cl. G06F 13/00 H04I 12/54

H04L 12/54	(2006.01)
H04L 12/56	(2006.01)

(2006.01)

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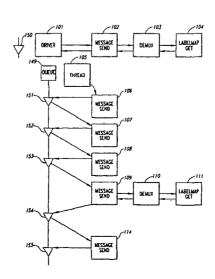
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Primary Examiner-Matthew Heneghan

(57) **ABSTRACT**

A method and system for demultiplexing packets of a message is provided. The demultiplexing system receives packets of a message, identifies a sequence of message handlers for processing the message, identifies state information associated with the message for each message handler, and invokes the message handlers passing the message and the associated state information. The system identifies the message handlers based on the initial data type of the message and a target data type. The identified message handlers effect the conversion of the data to the target data type through various intermediate data types.



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EX PARTE REEXAMINATION CERTIFICATE ISSUED UNDER 35 U.S.C. 307

THE PATENT IS HEREBY AMENDED AS INDICATED BELOW.

Matter enclosed in heavy brackets [] appeared in the patent, but has been deleted and is no longer a part of the patent; matter printed in italics indicates additions made to the patent.

AS A RESULT OF REEXAMINATION, IT HAS BEEN DETERMINED THAT:

Claims 1-5, 7, 9, 10, 12, 14-18, 20, 21, 23, 25, 26, 35-37, 39-41, 43 and 44 is determined to be patentable as amended.

Claims 6, 8, 11, 13, 19, 22, 24, 27-34, 38 and 42, dependent on an amended claim, are determined to be patentable.

New claim 45 is added and determined to be patentable.

1. A method in a computer system for processing a message having a sequence of packets, the method comprising:

- providing a plurality of components, each component being a software routine for converting data with an input format into data with an output format;
- for the first packet of the message,
 - 30 dynamically identifying a non-predefined sequence of components for processing the packets of the message such that the output format of the components of the non-predefined sequence match the input format of the next component in the non-predefined 35 sequence, wherein dynamically indentifying includes selecting individual components to create the nonpredefined sequence of components after the first packet is received; and
 - storing an indication of each of the identified compo- 40 nents so that the non-predefined sequence does not need to be re-identified for subsequent packets of the message; and
- for each of a plurality of packets of the message in sequence,
 - for each of a plurality of components in the identified non-predefined sequence,
 - retrieving state information relating to performing the processing of the component with the previous packet of the message;
 - performing the processing of the identified component with the packet and the retrieved state information; and
 - storing state information relating to the processing of the component with packet for use when process- 55 ing the next packet of the message.

2. The method of claim 1 wherein the storing of an indication of each of the dynamically identified components includes storing a key for use in retrieving state information relating to the message.

3. The method of claim 1 wherein a second component of the non-predefined sequence of components that are dynamically identified is identified after the processing of the first packet by a first component is performed.

tranformed by each component of an identified nonpredefined sequence.

5. The method of claim 1 wherein the identified nonpredefined sequence of components for two messages are different.

7. The method of claim 6 wherein the identified nonpredefined sequence of components for a message are executed by the thread for the message.

9. The method of claim 1 wherein the performing of the processing of the component includes deferring performing of the next component in the identified non-predefined sequence until multiple packets are processed by the component

10. The method of claim 1 wherein the dynamically identifying of a non-predefined sequence of components includes deferring identification of the next component of the non-predefined sequence until processing of the last component identified so far in the non-predefined sequence is performed.

12. The method of claim 1 wherein an output format of a component in the identified non-predefined sequence for a message matches an input format of the next component in 20 the identified non-identified sequence for the message.

14. The method of claim 1 wherein a plurality of nonpredefined sequences of components are dynamically identified for a message.

15. A method in a computer system for demultiplexing 25 packets of messages, the method comprising:

- dynamically identifying a non-predefined sequence of components for processing each message based on the first packet of the message so that subsequent packets of the message can be processed without re-identifying the components, wherein different non-predefined sequences of components can be identified for different messages, each component being a software routine, and wherein dynamically identifying includes selecting individual components to create the non-predefined sequence of components; and
- for each packet of each message, performing the processing of the identified non-predefined sequence of components of the message wherein state information generated by performing the processing of a component for a packet is available to the component when the component processes the next packet of the message.

16. The method of claim 15 wherein the identified nonpredefined sequence of components is identified as the first packet of the message is processed.

17. The method of claim 15 wherein a packet of a message processed by a component of the identified non-predefined sequence for the message is available to the next component in the identified non-predefined sequence.

18. The method of claim 15 wherein the components of an 50 identified non-predefined sequence for a message are executed within a thread [associate] associated with a single message.

20. The method of claim 15 wherein the performing of the processing of the component includes deferring performing of the next component in the identified non-predefined sequence until multiple packets are processed by the component.

21. The method of claim 15 wherein the dynamically identifying of a non-predefined sequence of components includes deferring identification of the next component of the non-predefined sequence until processing of the last component identified so far in the non-predefined sequence is complete.

23. The method of claim 15 wherein an output format of a 4. The method of claim 1 wherein the packet may be 65 component in the identified non-predefined sequence for a message matches an input format of the next component in the identified non-predefined sequence for the message.

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25. The method of claim 15 wherein the identified nonpredefined sequences of components are identified for a message.

26. A computer system for processing packets of messages, the [method] system comprising:

- a plurality of components, each component having an input format and an output format;
- identification means that identifies a sequence of components for each message after a packet of message has been received, such that the output format of a component in an identified sequence matches the input format of the next component in the identified sequence;
- receiving means that receives packets of the messages; and
- demultiplexing means that routes packets of messages to the sequence of components identified for each message for performing the processing of the components on the packets.

instructions for demultiplexing packets of messages, by method comprising:

- dynamically identifying a message-specific nonpredefined sequence of components for processing the packets of each message upon receiving the first packet 25 of the message wherein subsequent packets of the message can use the message-specific non-predefined sequence identified when the first packet was received, and wherein dynamically identifying includes selecting individual components to create the message-specific 30 non-predefined sequence of components; and
- for each packet of each message, invoking the identified non-predefined sequence of componentsin sequence to perform the processing of each component for the packet wherein each component saves message-specific 35 state information so that that component can use the [save] saved message-specific state information when that component performs its processing on the next packet of the message.

36. The computer-readable medium of claim 35 wherein a 40second component of the message-specific non-predefined sequence is identified after the first packet is processed by a first component of the message-specific non-predefined sequence.

37. The computer-readable medium of claim 35 wherein a packet may be transformed by each component of an identified non-predefined sequence.

39. The computer-readable medium of claim 38 wherein the identified non-predefined sequence of components for a message is executed by the thread for the message.

40. The computer-readable medium of claim **35** wherein the performing of the processing of the component includes deferring performing of the next component in the identified non-predefined sequence untl multiple packets are procesed by the component.

41. The computer-readable medium of claim 35 wherein the dynamically identifying of a non-predefined sequence of components includes deferring identification of the next component of the *non-predefined* sequence until processing of the last component identified so far in the non-predefined sequence is performed.

43. The computer-readable medium of claim 35 wherein an output format of a component in the identified nonpredefined sequence for a message matches an input format 35. A computer-readable medium containing [instruction] 20 of the next component in the identified non-predefined sequence for the message.

> 44. The computer-readable medium of claim 35 wherein a plurality of non-predefined sequences of components are identified for a message.

> 45. A computer-readable medium containing instructions for demultiplexing packets of a message, by a method comprising:

- identifying a message-specific sequence of components for processing the packets of each message upon receiving the first packet of the message wherein each component in the sequence is identified by using the output format of the previous component to identify a component with a compatible input format, and wherein subsequent packets of the message can use the messagespecific sequence identified when the first packet was received;
- for each packet of the message, invoking the identified sequence of components in sequence to perform the processing of each component for the packet, wherein each component saves message-specific state information so that that component can use the saved messagespecific state information when that component performs its processing on the next packet of the message.

Electronic Acknowledgement Receipt				
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Title of Invention:	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING			
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Electronic A	cknowledgement Receipt
EFS ID:	16130568
Application Number:	13911324
International Application Number:	
Confirmation Number:	4969
Title of Invention:	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING
First Named Inventor/Applicant Name:	Edward Balassanian
Customer Number:	35690
Filer:	Dean M. Munyon
Filer Authorized By:	
Attorney Docket Number:	6743-00105
Receipt Date:	25-JUN-2013
Filing Date:	
Time Stamp:	13:30:18
Application Type:	Utility under 35 USC 111(a)

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Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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		Total Files Size (in bytes)	348	890880	
characterized Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) au Acknowledg	ledgement Receipt evidences receip d by the applicant, and including pag described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> ication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filin	ge counts, where applicable. tion includes the necessary o R 1.54) will be issued in due g date of the application.	It serves as evidence components for a filir	of receipt s ng date (see	imilar to a 37 CFR
If a timely su U.S.C. 371 an national stag <u>New Internat</u> If a new inter an internatio and of the In	ge of an International Application ur bmission to enter the national stage id other applicable requirements a F ge submission under 35 U.S.C. 371 wi <u>tional Application Filed with the USP</u> mational application is being filed ar onal filing date (see PCT Article 11 an ternational Filing Date (Form PCT/RC urity, and the date shown on this Ack on.	of an international applicati orm PCT/DO/EO/903 indicati ill be issued in addition to the <u>PTO as a Receiving Office</u> nd the international applicat d MPEP 1810), a Notification D/105) will be issued in due c	ng acceptance of the e Filing Receipt, in du ion includes the nece of the International ourse, subject to pres	application le course. essary comp Application scriptions c	n as a onents for Number oncerning

Electronic A	Electronic Acknowledgement Receipt					
EFS ID:	16131009					
Application Number:	13911324					
International Application Number:						
Confirmation Number:	4969					
Title of Invention:	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING					
First Named Inventor/Applicant Name:	Edward Balassanian					
Customer Number:	35690					
Filer:	Dean M. Munyon					
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Attorney Docket Number:	6743-00105					
Receipt Date:	25-JUN-2013					
Filing Date:						
Time Stamp:	13:31:55					
Application Type:	Utility under 35 USC 111(a)					

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File Listing:						
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. New Applications Under 35 U.S.C. 111 If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.							
National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.							

Electronic A	Electronic Acknowledgement Receipt				
EFS ID:	16131611				
Application Number:	13911324				
International Application Number:					
Confirmation Number:	4969				
Title of Invention:	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING				
First Named Inventor/Applicant Name:	Edward Balassanian				
Customer Number:	35690				
Filer:	Dean M. Munyon				
Filer Authorized By:					
Attorney Docket Number:	6743-00105				
Receipt Date:	25-JUN-2013				
Filing Date:					
Time Stamp:	13:33:22				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment			no				
File Listing:							
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			Julip	Juniper v	<u>, h</u> . 170

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	rences.pdf	aee5217316ad21f9c8e41222368d9ce6979 4428e		
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42	Non Patent Literature	207_20110630_HP_Exs_A1-14_ to_HP_Invalidity_Contentions	1609916	no	331
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59	Non Patent Literature	224_20111212_PACER_48_Hog	141548	no	5		
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60	Non Patent Literature	225_20111212_PACER_48_Hog	670787	no	4		
		an_Decl-Exh_N.pdf	58b105a8233b15fc831a13f3e42780038e64 4e1b				
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		Total Files Size (in bytes)	: 586	5212182			
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.							
National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.							

INTERNATIONAL SEARCH REPORT

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			21/US 00/33634	
A. CLASSI IPC 7	FICATION OF SUBJECT MATTER H04L29/06 H04L12/56			
According to	o International Patent Classification (IPC) or to both national classifi	cation and IPC		
B. FIELDS	SEARCHED			
Minimum de IPC 7	bcumentation searched (classification system followed by classification H04L	tion symbols)		
	lion searched other than minimum documentation to the extent that	<u></u>		
	ata base consulted during the international search (name of data b ternal, WPI Data, PAJ	ase and, where practical, se	arch terms used)	
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT			
Category °	Citation of document, with indication, where appropriate, of the re	elevant passages	Relevant to claim No.	
x	US 5 870 479 A (DE LANGE MARTIN AL) 9 February 1999 (1999–02–09)	KLAAS ET	1,2,6,7, 10,22, 23,29,30	
A	abstract		3-5,8,9, 11-21, 23-28, 31-34	
	column 2, line 37 -column 3, line column 5, line 37 -column 6, line column 6, line 24 - line 34 column 6, line 52 -column 7, line claims 15,6,7,8	e 13		
Y Furth	er documents are listed in the continuation of box C.	X Patent family me	nbers are listed in annex.	
		<u>د</u>		
 Special categories of cited documents : A[•] document defining the general state of the art which is not considered to be of particular relevance E[•] earlier document but published on or after the international filing date [•]L[•] document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) [•]O[•] document referring to an oral disclosure, use, exhibition or other means [•]P[•] document published prior to the international filing date but 		 *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu- ments, such combination being obvious to a person skilled in the art. 		
	an the priority date claimed	*&* document member of t	ne same patent family	
	actual completion of the international search September 2001	Date of mailing of the 10/09/200	nternational search report	
	hailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tei. (+31-70) 340-2040, Tx. 31 651 epo nl,	Authorized officer		
	Fax: (+31–70) 340–3016	Lai, C		

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INTERNATIONAL SEARCH REPORT

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	ation) DOCUMENTS CONSIDERED TO BE RELEVANT			
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
Category °	Citation of document, with indication, where appropriate, of the relevant passages US 5 568 478 A (VAN LOO JR GERRIT J ET AL) 22 October 1996 (1996-10-22) abstract column 3, line 10 -column 4, line 40 figures 1-3	Helevant to claim No. 19-21, 31-34		

		IONAL SEARCH		1	PCT/U	.ai Application No S 00/33634
Patent document cited in search repor	t	Publication date	:	Patent family member(s)		Publication date
US 5870479	A	09-02-1999	NL AU DE DE DK EP GR JP AT CA CZ WO ES	93018 6797 80595 694194 694194 7260 07260 30312 85108 1820 21732 96011 951220 21355	98 B 94 A 27 D 27 T 01 T 38 T 43 T 52 A 52 A 64 A	16-05-1995 10-07-1997 22-05-1995 12-08-1999 05-01-2000 28-02-2000 14-08-1996 31-12-1999 12-11-1996 15-07-1999 04-05-1995 17-07-1996 04-05-1995 01-11-1999
US 5568478	A	22-10-1996	DE EP JP	43234 06348 71702	79 A	19-01-1995 18-01-1995 04-07-1995

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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

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Applicant's	or agent's file reference		See Notification of Transmittal of International		
PCT 143	3-034/no	FOR FURTHER ACTION P	Preliminary Examination Report (Form PCT/IPEA/416)		
nternationa	al application No.	International filing date (day/month/yea	ar) Priority date (day/month/year)		
PCT/US00/33634		12/12/2000	29/12/1999		
Internation G06F13/		r national classification and IPC			
Applicant					
BECOM	A CORPORATION et al				
	nternational preliminary ex transmitted to the applica		this International Preliminary Examining Authority		
2. This I	REPORT consists of a tota	l of 6 sheets, including this cover shee	et.		
b	een amended and are the		escription, claims and/or drawings which have aining rectifications made before this Authority under the PCT).		
These	annexes consist of a tota	l of 3 sheets.			
3. This r	eport contains indications	relating to the following items:			
1	Basis of the report				
11	Priority				
111	-	of opinion with regard to novelty, invent	ive step and industrial applicability		
IV	Lack of unity of inve				
V	Reasoned statemer	under Article 35(2) with regard to novelty, inventive step or industrial applicability; ations suporting such statement			
VI	Certain documents	• • •			
VII	Certain defects in the second seco	n the international application			
Vii		s on the international application			
Date of sub	mission of the demand	Date of com	pletion of this report		

27/07/20	001	08.04.2002	
	mailing address of the international y examining authority:	Authorized officer	SUPPLICATES MADELING
Ì	European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d	Prins, L	
	Fax: +49 89 2399 - 4465	Telephone No. +49 89 2399 7433	\$1330HHO-31193

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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US00/33634

I. Basis of the report

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (<i>Rules 70.16 and 70.17*)): Description, pages:

1-24	as originally filed			
Claims, No.:				
17-34	as originally filed			
1-16	as received on	21/03/2002	with letter of	21/03/2002
Drawings, sheets:				

1/16-16/16 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- the language of publication of the international application (under Rule 48.3(b)).
- □ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).
- 3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:
 - □ contained in the international application in written form.
 - filed together with the international application in computer readable form.
 - furnished subsequently to this Authority in written form.
 - furnished subsequently to this Authority in computer readable form.
 - □ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
 - The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.
- 4. The amendments have resulted in the cancellation of:



- □ the description, pages:
- ☐ the claims, Nos.:
- ☐ the drawings, sheets:
- 5. X This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

see separate sheet

- 6. Additional observations, if necessary:
- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

e **`** •

Novelty (N)	Yes: No:	Claims Claims	1-4,7,9-12,15
Inventive step (IS)	Yes: No:	Claims Claims	1-4,7,9-12,15
Industrial applicability (IA)	Yes: No:	Claims Claims	1-4,7,9-12,15

2. Citations and explanations see separate sheet

1. Reference is made to the following document(s):

D1: US-A-5 870 479 (DE LANGE MARTIN KLAAS ET AL) 9 February 1999 (1999-02-09)

Re Item V

1 **`** •

- This report has been established as if the amendedments consisting of claims 5,
 6, 8, 13, 14 and 16 had not been made, since they have been considered to go beyond the disclosure as filed (Article 19(2) and Rule 70.2(c) PCT).
- 2.1 No basis could be found in the original application documents for the "same subsequence of routines" by which two messages may be processed according to amended claims 5 and 13.
- 2.2 No basis could be found in the original application documents for the "multiple second routines" or "multiple routines to next process a packet" according to amended claims 6 and 14. These wordings indicate that the output of a first routine is serves as input for two separate subsequent routines which is not disclosed in the original application documents.
- 2.3 No basis could be found in the original application documents for the "tree of routines" according to amended claims 8 and 16.
- 3. The amended independent claims 1 and 9 contain the respective wordings

"identifying based on the generated information of the first routine a second routine" and the corresponding "wherein a sequence of routines is identified for processing packets of a message based on information generated by a previous routine in the sequence" in claim 1, and

"identifies a sequence ... based on information generated by a previous routine in the sequence" in claim 9,

for which also no basis could be found in the original aplication documents (Art.

19(2) PCT). This report has been established as if the above mentioned features are not part of the independent claims.

- 4. Claims 1-4,7,9-12 and 15 do not meet the requirements of Article 33(2) PCT, because the subject-matter of these claims is not new. Document D1 discloses the subject-matter of these claims as follows:
 - a) A method in a computer system for processing packets of a message (column 1, lines 3-4),
 - b) identifying which of a plurality of components is to process a received packet (column 2, lines 37-43) on the basis of an identification of the packet,
 - c) realisation of the processing in software (column 2, lines 60-65),
 - d) status information being stored in an external memory (column 4, lines 8-18, and column 6, lines 53-55),
 - e) assigning packets of the same message to the same component (column 3, lines 16-20, and column 5, lines 61-65),
 - f) performing a sequence of processing steps in order by a plurality of components (column 6, lines 20-24).

Re Item VII

- 3. Independent claims 1, 6, 10, 19, 22, 29, 30, and 31 are not in the two-part form in accordance with Rule 6.3(b) PCT, with those features known in combination from the prior art being placed in a preamble (Rule 6.3(b)(i) PCT) and with the remaining features being included in a characterising part (Rule 6.3(b)(ii) PCT).
- 4. The features of the claims are not provided with reference signs placed in parentheses (Rule 6.2(b) PCT).
- 5. Document D1 is considered the most relevant source of prior art. To meet the



INTERNATIONAL PRELIMINARY International application No. PCT/US00/33634 EXAMINATION REPORT - SEPARATE SHEET

requirements of Rule 5.1(a)(ii) PCT, document D1 should have been identified in the description and the relevant background art disclosed therein should have been briefly discussed.

Re Item VIII

16. The description contains a reference to a US patent application on page 6, line 5 This reference should have been replaced by a publication number if available, so that the document can be easily retrieved (PCT Guidelines II 4-17), or else have been deleted from the description. Application No: PCT/US00/33634 Applicant: BECOMM CORPORATION et al Our ref: PCT1433-03481/km Date: March 21, 2002

New Claims

1. A method in a computer system for processing packets of a plurality of messages, the method comprising:

providing a plurality of routines for processing messages; and

for packets of a message,

identifying a first routine of the plurality of the routines for processing the packet;

executing the first routine to process the packet using state information generated when the first routine processed a previous packet of the message, the processing including generating new state information for the message and generating output information;

identifying based on the generated information of the first routine a second routine of the plurality of the routines for processing the packet; and

executing the second routine to process the packet using state information generated when the second routine processed a previous packet of the message and using output information generated when the first routine processed the previous packet, the processing including generating new state information for the message and generating output information

wherein a sequence of routines is identified for processing packets of a message based on information generated by a previous routine in the sequence and wherein each identified routine processes packets of the message based on state information generated when the routine processed a previous packet of the message and based on output generated when a previous routine in the sequence processed the packet of the message.

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- 2. The method of claim 1 wherein each routine identifies state information generated when the routine processed a previous packet of the message.
- 3. The method of claim 1 including storing state information generated by a routine for a plurality of messages.
- 4. The method of claim 1 wherein all packets of a message are processed by the same sequence of routines.
- 5. The method of claim 4 wherein two messages may be processed by a sub-sequence of routines that are the same.
- 6. The method of claim 1 wherein multiple second routines are identified for processing a packet of a message.
- 7. The method of claim 6 wherein each second routine processes the packet using state information generated when the second routine processed a previous packet of the message and using output information generated when the packet was processed by the first routine, the processing including generating new state information for the message and generating output information.
- 8. The method of claim 7 wherein the identified routines form a tree of routines.
- 9. A computer system for processing packets of a plurality of messages, comprising: a plurality of routines for processing messages; and a component that identifies a sequence of routines for processing packets of a message based on information generated by a previous routine in the sequence and wherein each identified routine processes packets of the message based on state information generated when the routine processed a previous packet of the message and based on output information generated when a previous routine in the sequence processed the packet of the message.

10. The computer system of claim 9 wherein each routine identifies state information generated when the routine processed a previous packet of the message.

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- 11. The computer system of claim 9 wherein the component stores state information generated by a routine for a plurality of messages.
- 12. The computer system of claim 9 wherein all packets of a message are processed by the same sequence of routines.
- 13. The computer system of claim 12 wherein packets of two messages may be processed a sub-sequence of routines that are the same.
- 14. The computer system of claim 9 wherein multiple routines are identified to next process a packet of a message.
- 15. The computer system of claim 14 wherein each of the multiple routines processes the packet using state information generated when that routine processed a previous packet of the message and using output information generated when the packet was processed by a previous routine, the processing including generating new state information for the message and generating output information.
- 16. The computer system of claim 15 wherein the identified routines form a tree of routines.

Electronic A	cknowledgement Receipt
EFS ID:	16134181
Application Number:	13911324
International Application Number:	
Confirmation Number:	4969
Title of Invention:	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING
First Named Inventor/Applicant Name:	Edward Balassanian
Customer Number:	35690
Filer:	Dean M. Munyon
Filer Authorized By:	
Attorney Docket Number:	6743-00105
Receipt Date:	25-JUN-2013
Filing Date:	
Time Stamp:	13:38:26
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment no		no	no			
File Listing:						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Non Patent Literature	343_20120710_Response_to_	2676190	no	47	
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33 Warnings:	Non Patent Literature Non Patent Literature	Intl_Prelim_Examination_Repo rt.pdf 372_WO_01-50277_Official_Co	7f95589015f8aaeb1cae385ae8387b1c7009 676f 34129	no	9
33 Warnings: Information: 34		Intl_Prelim_Examination_Repo rt.pdf	7f95589015f8aaeb1cae385ae8387b1c7009 676f		
33 Warnings: Information:		Intl_Prelim_Examination_Repo rt.pdf 372_WO_01-50277_Official_Co	7f95589015f8aaeb1cae385ae8387b1c7009 676f 34129 86e1a86be0a86f2ac18d2349914462302be d70b8		2

		122020		
Non Patent Literature	373_WO_01-50277_Resp_to_O fficial_Commn_3-12-03.pdf	123920	no	4
		1a89ef82f4b90791e65eb3298c758945b52 b6f7a		
	1			
Non Patent Literature	374_WO_01-50277_Official_Co	105476	no	4
	mmn_5-13-04.pdf	27a41760ff740da151daf2ceee28496a212cf 57a		
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Non Patont Litoraturo	375_WO_01-50277_Resp_to_S	24833	50	1
Non Patent Enerature	9-04.pdf	caadee732199f709d7cc7a7cb77ec4405829 4863	no	1
	<u> </u>			
	376_WO_01-50277_Decision_t	25729		
Non Patent Literature	o_Refuse_European_Pat_App_ 11-12-04.pdf	b550081bcb6cc0ff89cba5ec63ee3aecde5a 4697	no	2
	I		1	
	277 WO 01 50277 Oral Dra sa	163843		
Non Patent Literature	edings_minutes_10-12-04.pdf	9def5d46618c6922a73bc4f8135cf8e723c0	no	7
		5150		
	278 WO 01 50277 Closure ro	23889		
Non Patent Literature	_App_No_00984234.pdf	4ca64989f1aa6805fbeebb9b5ce824cad52d	no	1
		5051		
	379 20130503 Cardenas Valid	22464418		
Non Patent Literature	ity_Rebuttal_Expert_Rpt_for_S		no	65
		45085486/2524165310051069767681101 ded98		
Non Patent Literature		21919861	no	65
	hamos_Part_2.pdf	f8fc77c0b454ed9ebe210df6ca76965e4a70 f760		
		21827133		
Non Patent Literature	ity_Rebuttal_Expert_Rpt_for_S hamos_Part_3.pdf	72860f54cc0813a454e88f7f937ca67051f55 77b	no	65
	Non Patent Literature	Non Patent Literature fficial_Commn_3-12-03.pdf Non Patent Literature 374_WO_01-50277_Official_Comm_5-13-04.pdf Non Patent Literature 375_WO_01-50277_Resp_to_S Non Patent Literature 376_WO_01-50277_Decision_t Non Patent Literature 376_WO_01-50277_Decision_t Non Patent Literature 376_WO_01-50277_Decision_t Non Patent Literature 377_WO_01-50277_Decision_t Non Patent Literature 377_WO_01-50277_Oral_Proce edings_minutes_10-12-04.pdf Non Patent Literature 378_WO_01-50277_Closure_re	Non Patent Literature fficial_Commn_3-12-03.pdf Interdeductor/subscription Non Patent Literature 374_WO_01-50277_Official_Commo_5-13-04.pdf 10547/6 Non Patent Literature 375_WO_01-50277_Resp. to Subscription 24833 Non Patent Literature 376_WO_01-50277_Decision_t 24833 Non Patent Literature 376_WO_01-50277_Decision_t 24833 Non Patent Literature 376_WO_01-50277_Decision_t 25729 Non Patent Literature 376_WO_01-50277_Oral_Proce 163843 Non Patent Literature 377_WO_01-50277_Oral_Proce 163843 Non Patent Literature 377_WO_01-50277_Closure_re 23889 Non Patent Literature 378_WO_01-50277_Closure_re 23889	Non Patent Literature 373_WO_01-50277_Rep_1o_0 fficial_Comm_3-12-03.pdf no Non Patent Literature 374_WO_01-50277_Official_Comm_3-12-03.pdf no Non Patent Literature 374_WO_01-50277_Official_Comm_3-12-03.pdf no Non Patent Literature 375_WO_01-50277_Rep_1o_5 ummons_re_07al_Hearing_10- 9-04.pdf 24833 000 no Non Patent Literature 376_WO_01-50277_Decision_t 0-Refuse_European_Pat_App 25729 000 no Non Patent Literature 376_WO_01-50277_Oral_Proce edings_minutes_10-12-04.pdf 163843 000 no Non Patent Literature 378_WO_01-50277_Closure_re edings_minutes_10-12-04.pdf 163843 000 no Non Patent Literature 378_WO_01-50277_Closure_re _App_No_00984234.pdf 23889 000 no Non Patent Literature 379_20130503_Cardenas_Valid ity_Rebutal_Expert_Rpt_Gr_S 21919861 000 no Non Patent Literature 379_20130503_Cardenas_Valid ity_Rebutal_Expert_Rpt_Gr_S 21919861 000 no Non Patent Literature 379_20130503_Cardenas_Valid ity_Rebutal_Expert_Rpt_Gr_S 21919861 000 no Non Patent Literature 379_20130503_Cardenas_Valid ity_Rebutal_Expert_Rpt_Gr_S 21827133 000 no

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44	Non Patent Literature	379_20130503_Cardenas_Valid ity_Rebuttal_Expert_Rpt_for_S	23337533	no	65
		hamos_Part_4.pdf	e51922a67dd562e73057dbc4b754ce6ee14 cbd6f		
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Information:					
45	Non Patent Literature	379_20130503_Cardenas_Valid ity_Rebuttal_Expert_Rpt_for_S	24006288	no	65
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Information:					
46	Non Patent Literature	379_20130503_Cardenas_Valid ity_Rebuttal_Expert_Rpt_for_S	24398192	no	60
		hamos_Part_6.pdf	b8792da7928badeb9b7d4df07673324c6d a0d194		
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47	Non Patent Literature	380_20130503_Cardenas_Valid ity_Rebuttal_Rpt_for_Whitehor		no	76
4/ Nonratent Elterature		n_Part_1.pdf	667f950c52a12cac998093a3502c46817ca2 3d23	110	,0
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Information:					
48	Non Patent Literature	380_20130503_Cardenas_Valid ity_Rebuttal_Rpt_for_Whitehor		no	73
		n_Part_2.pdf	62d375a4d3572a276e0c62ae9e2d440a402 2e8a4		
Warnings:		·	· .		
Information:					
49	Non Patent Literature	380_20130503_Cardenas_Valid ity_Rebuttal_Rpt_for_Whitehor		no	73
		n_Part_3.pdf	9a33bc876da972ebfa080654c9db20375df cb8e9		
Warnings:			·		
Information:					
50	Non Patent Literature	381_InfoReports_Platinum_Tec	2267832	no	430
50	Non Patent Literature h_04-1998.pdf		a117ed9b5aaa30eaac9c17df5c9a8f0139e1 00b9		450
				1	
Warnings:					
Warnings:					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

	United State	<u>s Patent</u>	and Tradema	UNITED STATES DEI United States Patent Address: COMMISSIONER PO. Box 1450 Alexandria, Virginia 2 www.uspto.gov	and Trademark (FOR PATENTS	
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
13/911,324	06/06/2013	2192	3120	6743-00105	30	4
				CON	FIRMATION	NO. 4969
35690 MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C. P.O. BOX 398 AUSTIN, TX 78767-0398				, P.C.		

Date Mailed: 07/03/2013

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Edward Balassanian, Seattle, WA;

Applicant(s)

IMPLICIT NETWORKS, INC., Bellevue, WA

Power of Attorney: The patent practitioners associated with Customer Number 35690

Domestic Priority data as claimed by applicant

This application is a CON of 13/236,090 09/19/2011 which is a CON of 10/636,314 08/06/2003 PAT 8055786 which is a CON of 09/474,664 12/29/1999 PAT 6629163

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. *Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.*

Permission to Access - A proper Authorization to Permit Access to Application by Participating Offices (PTO/SB/39 or its equivalent) has been received by the USPTO.

If Required, Foreign Filing License Granted: 06/26/2013 The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 13/911,324 Projected Publication Date: 10/10/2013 Non-Publication Request: No Early Publication Request: No

METHOD AND SYSTEM FOR DATA DEMULTIPLEXING

Preliminary Class

Title

717

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

page 2 of 3

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit http://www.SelectUSA.gov or call +1-202-482-6800.

UNITED ST	ates Patent and Trademai	UNITED STA' United States Address: COMMIS P.O. Box I	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
13/911,324	06/06/2013	Edward Balassanian	6743-00105
			CONFIRMATION NO. 4969
35690		POA ACCI	EPTANCE LETTER
MEYERTONS, HOOD, KI P.O. BOX 398 AUSTIN, TX 78767-0398	VLIN, KOWERT & GOETZEL,	P.C.	OC000000062217447*
			Date Mailed: 07/03/2013

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 06/06/2013.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/tpnguyen/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

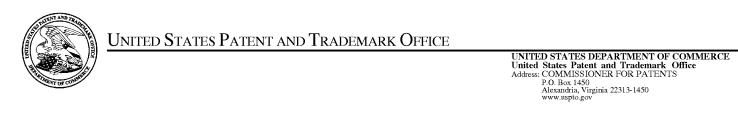
PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875							Application or Docket Number 13/911,324				
APPLICATION AS FILED - PART I (Column 1) (Column 2) SMALL ENTITY							OTHEF OR SMALL		THAN ENTITY		
FOR		NUMBE	NUMBER FILED		NUMBER EXTRA)	FEE(\$)		RATE(\$)	FEE(\$)
BASIC FEE (37 CFR 1.16(a), (b), or (c))		N	N/A		N/A					N/A	280
SEARCH FEE (37 CFR 1.16(k), (i), or (m))		N	N/A		N/A				1	N/A	600
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))		N	N/A		N/A				1	N/A	720
TOTAL CLAIMS (37 CFR 1.16(i))		30	minus	20=	10				OR	× 80 =	800
INDEPENDENT CLAIMS (37 CFR 1.16(h))		^{MS} 4	minus	3 = *	* 1					× 420 =	420
APPLICATION SIZE FEE (37 CFR 1.16(s))		E sheets of p \$310 (\$15 50 sheets	pecification and drawings exceed of paper, the application size fea \$155 for small entity) for each ad ets or fraction thereof. See 35 U.)(G) and 37 CFR 1.16(s).		ze fee due is ch additional						0.00
MUL	_TIPLE DEPENDE	ENT CLAIM PRE	SENT (37	7 CFR 1.16(j))					1		0.00
* If t	he difference in co	olumn 1 is less th	an zero,	enter "0" in colur	mn 2.	TOTAL				TOTAL	2820
							L		1		
(Column 1) (Column 2) (Column 3)						SM	SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
UT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)		ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
Σ	Total (37 CFR 1.16(i))	*	Minus	**	=	x	=		OR	x =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=	x	=		OR	X =	
AM	Application Size Fee (37 CFR 1.16(s))										
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							OR			
	I					TOTAL ADD'L FE	E		OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)				-		
UT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)		ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
ΜË	Total (37 CFR 1.16(i))	*	Minus	**	=	×	=		OR	x =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=	x	=		OR	x =	
AM	Application Size Fee (37 CFR 1.16(s))								1		
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR		
						TOTAL ADD'L FE	E		OR	TOTAL ADD'L FEE	
 * If the entry in column 1 is less than the entry in column 2, write "0" in column 3. ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1. 											



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov **MEYERTONS, HOOD, KIVLIN, KOWERT &** GOETZEL, P.C. P.O. BOX 398 JUL 16 2013 AUSTIN TX 78767-0398 OFFICE OF PETITIONS L Code: TRACK1.GRANT **Decision Granting Request for Prioritized Examination** Application No.: 13/911,324 (Track I or After RCE) THE REQUEST FILED _____6/6/13___ 1. IS GRANTED. The above-identified application has met the requirements for prioritized examination for an original nonprovisional application (Track I). Α. Β. for an application undergoing continued examination (RCE). 2. The above-identified application will undergo prioritized examination. The application will be accorded special status throughout its entire course of prosecution until one of the following occurs: Α. filing a **petition for extension of time** to extend the time period for filing a reply; Β. filing an amendment to amend the application to contain more than four independent claims, more than thirty total claims, or a multiple dependent claim; C. filing a request for continued examination; D. filing a notice of appeal; Ε. filing a request for suspension of action; F. mailing of a notice of allowance; G. mailing of a final Office action; H. completion of examination as defined in 37 CFR 41.102; or 1. abandonment of the application. Telephone inquiries with regard to this decision should be directed to Terri Johnson at 571-272-2991. In his/her absence, calls may be directed to Brian Brown at 571-272-5338 /Terri Johnson/ Petitions Examiner [Signature] (Title)

U.S. Patent and Trademark Office PTO-2298 (Rev. 02-2012)



APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
13/911,324 06/06/2013		Edward Balassanian	6743-00105	4969	
	7590 09/19/201 , HOOD, KIVLIN, KO	EXAMINER			
P.O. BOX 398		CHANG, JUNGWON			
AUSTIN, TX 7	8767-0398		ART UNIT	PAPER NUMBER	
		2454			
			NOTIFICATION DATE	DELIVERY MODE	
			09/19/2013	ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patent_docketing@intprop.com ptomhkkg@gmail.com

	Application No. 13/911,324	Applicant(s) BALASSANIAN, EDWARD					
Office Action Summary	Examiner JUNGWON CHANG	Art Unit 2454	AIA (First Inventor to File) Status No				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address							
Period for Reply A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING D - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period to Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailin earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATIC (36(a). In no event, however, may a reply be t will apply and will expire SIX (6) MONTHS from e, cause the application to become ABANDON	N. imely filed n the mailing date ED (35 U.S.C. §	of this communication.				
Status							
1) Responsive to communication(s) filed on <u>6/6/2</u>	<u>2013</u> .						
A declaration(s)/affidavit(s) under 37 CFR 1 .	130(b) was/were filed on						
	s action is non-final.						
3) An election was made by the applicant in resp	onse to a restriction requirement	t set forth dui	ing the interview on				
; the restriction requirement and election	n have been incorporated into thi	s action.					
4) Since this application is in condition for allowa							
closed in accordance with the practice under I	<i>Ex parte Quayle</i> , 1935 C.D. 11, 4	153 O.G. 213					
Disposition of Claims 5) □ Claim(s) 26-55 is/are pending in the application. 5a) Of the above claim(s) is/are withdrawn from consideration. 6) □ Claim(s) is/are allowed. 7) □ Claim(s) 26-55 is/are rejected. 8) □ Claim(s) is/are objected to. 9) □ Claim(s) are subject to restriction and/or election requirement. * If any claims have been determined allowable, you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov. Application Papers 10) □ The specification is objected to by the Examiner. 11) ☑ The drawing(s) filed on <u>6/6/2013</u> is/are: a) ☑ accepted or b) □ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).							
Priority under 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for foreign	n priority under 35 U.S.C. § 119(a	a)-(d) or (f).					
 Certified copies: a) All b) Some * c) None of the: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 							
Attachment(s)	_						
1) X Notice of References Cited (PTO-892)	3) Interview Summar						
2) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date <u>6/6/2013,6/25/2013</u> .	Paper No(s)/Mail [4)	Jate					

DETAILED ACTION

1. This action is in response to the preliminary amendment filed on 6/6/2013.

Claims 1-25 have been cancelled, and new claims 26-55 have been added.

2. Claims 26-55 are presented for examination.

Double Patenting

3. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

4. Claims 26-55 are rejected on the ground of nonstatutory obviousness-type

double patenting as being unpatentable over claims 1-44 of U.S. patent No. 6,629,163.

Although the conflicting claims are not identical, they are not patentably distinct from

each other because claims 1-44 of the patent '163 comprise the same elements of

claims 26-55 of the present application.

Claim Rejections - 35 USC § 103

5. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis

for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

6. Claims 26-55 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable

over Amir et al, (US 6,711,166), hereinafter Amir, in view of Taylor (US 6,785,730).

7. As to claim 26, Amir discloses the invention as claimed, including an apparatus,

comprising:

a processing unit (processor, fig. 1); and

a memory storing instructions executable by the processing unit to:

create, based on an identification of information in a packet of a message, a path

that includes a sequence of routines for processing packets in the message (col. 4, lines

20-60, "reads identifier information imbedded in the header to demultiplex the data");

and

process packets in the message using the sequence of routines in the created

path (figs. 3B-4; col. 7, line 31 - col. 8, line 33; col. 9, lines 47-62; col. 10, lines 49-58).

8. Although Amir discloses gateway that allows converting one protocol into different protocol (col. 7, line 31 - col. 8, line 33; col. 9, lines 47-62, "protocol converter"; col. 10, lines 49-58), Amir does not specifically disclose converting a TCP format into a different format. Taylor discloses converting a TCP format into a different format (col. 5, lines 8-62; col. 6, line 59 - col. 7, line 53; col. 8, lines 41-55). It would have been obvious to one of ordinary skill in the art, before the effective filling date of the claimed invention, to modify the system of Amir to include converting a TCP format into a different format as taught by Taylor. One having ordinary skill in the art would have been motivated to utilize the teachings of Taylor that would translate the TCP format into the appropriate format (Taylor, col. 7, lines 27-39).

9. As to claim 27, Amir discloses the apparatus of claim 26, wherein the sequence includes: a second routine that is used to execute a second, different protocol to convert packets of the different format into another format; and a third routine that is used to execute a third, different protocol to further convert the packets (figs. 3B-4; col. 7, line 31 - col. 8, line 33; col. 9, lines 47-62; col. 10, lines 49-58).

10. As to claim 28, Amir discloses the apparatus of claim 27, wherein the second protocol is an Internet Protocol (IP) and the third protocol is an Ethernet Protocol (col. 1, line 31 – col. 2, line 8).

11. As to claim 29, Amir discloses the apparatus of claim 26, wherein the memory

stores instructions executable by the processing unit to maintain state information associated with one or more routines in the sequence of routines, and wherein the state information is specific to the message (status, fig. 4; col. 5, line 59 – col. 6, line 58).

12. As to claim 30, Amir discloses the apparatus of claim 26, wherein the sequence of routines includes a routine that is executable to process the packets without converting a format of the packets (It is noted that converting is unnecessary when the source protocol and destination protocol are compatible; col. 7, line 31 - col. 8, line 33; col. 9, lines 47-62, "protocol converter"; col. 10, lines 49-58).

13. As to claim 31, Amir discloses the apparatus of claim 26, wherein the routine is not executable to convert packets having the different format, and wherein the different format is an Internet Protocol (IP) format (col. 7, line 31 - col. 8, line 33; col. 9, lines 47-62, "protocol converter"; col. 10, lines 49-58).

14. As to claim 32, Amir discloses the apparatus of claim 26, wherein the memory stores instructions executable by the processing unit to identify an address associated with the information, wherein the address indicates the routines in the sequence of routines of the created path (figs. 4-5; col. 6, lines 1-65).

15. Claims 33-55 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Amir et al, (US 6,711,166), hereinafter Amir, in view of Engel et al, (US 6,115,393),

16. As to claims 33 and 41, they are rejected for the same reasons set forth in claim 26 above. In addition, Amir discloses a non-transitory, computer-readable medium comprising software instructions for processing a message, wherein the software instructions, when executed, cause a computer system to: obtain information from an initial packet of the message (col. 6, lines 1-65; col. 7, line 31 - col. 8, line 33); use the obtained information to identify an address comprising a list of conversion routines (figs. 3B-4; col. 7, line 31 - col. 8, line 33; col. 9, lines 47-62; col. 10, lines 49-58). However, Amir does not specifically disclose a session in which a transport layer protocol is executed to convert packets in a transport layer format into a different format; and another session in which a different protocol corresponding to the different format is executed. Engel, on the other hand, discloses a session in which a transport layer protocol is executed to convert packets in a transport layer format into a different format; and another session in which a different protocol corresponding to the different format is executed (figs. 2-7; col. 7, lines 20-62; col. 8, line 20 - col. 9, line 7). It would have been obvious to one of ordinary skill in the art, before the effective filling date of the claimed invention, to modify the system of Amir to include a session in which a transport layer protocol is executed to convert packets in a transport layer format into a different format; and another session in which a different protocol corresponding to the different format is executed as taught by Engel. One having ordinary skill in the art would have been motivated to utilize the teachings of Engel that would provide communication

information derived from the packet which is associated with multiple layers of at least one of the protocols (Engel, col. 2, lines 28-31).

17. As to claim 34, Amir discloses the medium of claim 33, wherein one or more of the sessions comprises state information for one or more of the conversion routines, and wherein the state information is specific to the message (status, fig. 4; col. 5, line 59 – col. 6, line 58).

18. As to claim 35, Amir discloses the medium of claim 33, wherein the different protocol is associated with a layer selected from the group consisting of an application layer and a network layer (OSI model; col. 1, lines 13-30).

19. As to claim 36, Amir discloses the medium of claim 33, wherein at least one of the routines associated with at least one of the sessions is not used to convert the packets (It is noted that converting is unnecessary when the source protocol and destination protocol are compatible; col. 7, line 31 - col. 8, line 33; col. 9, lines 47-62, "protocol converter"; col. 10, lines 49-58).

20. As to claim 37, Amir discloses the medium of claim 33, wherein the transport layer protocol is a Transmission Control Protocol (TCP) (col. 1, line 31 - col. 2, line 8, "TCP").

21. As to claim 38, Amir discloses the medium of claim 37, wherein the message comprises a stream of data (col. 1, lines 13-30, "stream of data").

22. As to claim 39, Amir discloses the medium of claim 33, wherein using the obtained information to identify the address includes determining a plurality of protocols by analyzing headers of the initial packet, and wherein the plurality of protocols includes protocols executable at the transport layer and an application layer (figs. 4-5; col. 6, lines 1-65, "header").

23. As to claim 40, Amir discloses the medium of claim 33, wherein the different format is not compatible with the transport layer protocol, and wherein the different format is a network layer format (col. 7, line 31 - col. 8, line 33; col. 9, lines 47-62, "protocol converter"; col. 10, lines 49-58).

24. As to claim 42, Amir discloses the apparatus of claim 41, wherein a different session is associated with a different routine that is used to execute a second, different protocol to convert the packets from the output format to a different output format, and wherein another session is associated with another routine that is used to execute a third, different protocol corresponding to the different output format (col. 7, line 31 - col. 8, line 33; col. 9, lines 47-62, "protocol converter"; col. 10, lines 49-58).

25. As to claim 43, Amir discloses the apparatus of claim 42, wherein the protocols

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include a Transmission Control Protocol (TCP), an Internet Protocol (IP), and an Ethernet Protocol (col. 1, line 31 – col. 2, line 8).

26. As to claim 44, Amir discloses the apparatus of claim 41, wherein at least one of the sessions is associated with a routine that is executable to process packets of the message without converting the packets (It is noted that converting is unnecessary when the source protocol and destination protocol are compatible; col. 7, line 31 - col. 8, line 33; col. 9, lines 47-62, "protocol converter"; col. 10, lines 49-58).

27. As to claim 45, Amir discloses the apparatus of claim 41, wherein the particular routine is executable to convert packets by removing an outermost header of the packets (It is noted that decryption procedure is well known in the art to remove the outside header"; col. 4, lines 20-60, "reads identifier information imbedded in the header to demultiplex the data").

28. As to claim 46, Amir discloses the apparatus of claim 41, wherein the protocol is a transport layer protocol (col. 1, line 31 - col. 2, line 8, "TCP").

29. As to claim 47, Amir discloses the apparatus of claim 46, wherein the transport layer protocol is a Transmission Control Protocol (TCP), and wherein the message comprises a stream of data (col. 1, lines 13-30, "stream of data").

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30. As to claim 48, Amir discloses the apparatus of claim 41, wherein the obtained information includes information from headers of the packet that are associated with a network layer and a transport layer (col. 6, lines 1-65).

31. As to claim 49, Amir discloses the apparatus of claim 48, wherein the memory stores instructions executable by the processing unit to maintain state information associated with one or more routines in the sequence of sessions, and wherein the state information is specific to the message (status, fig. 4; col. 5, line 59 – col. 6, line 58).

32. As to claim 50, it is rejected for the same reasons set forth in claim 26 above. In addition, although "decryption procedure" is well known in the art to remove the outside header, and Amir discloses removing an outermost header of a given packet (col. 4, lines 20-60, "reads identifier information imbedded in the header to demultiplex the data"), Amir does not specifically disclose removing an outermost header of a given packet using a first session corresponding to a protocol in a first layer and by removing the resulting outermost header using a second session corresponding to a different protocol in a different layer. Engel, on the other hand, discloses removing an outermost header of a given and by removing the resulting outermost header using a first session corresponding to a protocol in a first layer and by removing to a different layer. Engel, on the other hand, discloses removing an outermost header of a given packet using a first session corresponding to a protocol in a first layer and by removing the resulting outermost header using a second session corresponding to a protocol in a first layer and by removing the resulting outermost header using a second session corresponding to a protocol in a first layer and by removing the resulting outermost header using a second session corresponding to a different layer (figs. 2-7; col. 7, lines 20-62; col. 8, line 20 – col. 9, line 7). It would have been obvious to one of ordinary skill in the art, before the

effective filling date of the claimed invention, to modify the system of Amir to include removing an outermost header of a given packet using a first session corresponding to a protocol in a first layer and by removing the resulting outermost header using a second session corresponding to a different protocol in a different layer as taught by Engel. One having ordinary skill in the art would have been motivated to utilize the teachings of Engel that would provide communication information derived from the packet which is associated with multiple layers of at least one of the protocols (Engel, col. 2, lines 28-31).

33. As to claim 51, Amir discloses the medium of claim 50, wherein the protocol in the first layer is a Transmission Control Protocol (TCP), and the message comprises a stream of data (col. 1, lines 13-30, "stream of data").

34. As to claim 52, Amir discloses the medium of claim 50, wherein the protocol in the first layer is a transport layer protocol and the different protocol in the different layer is an application layer protocol (OSI model; col. 1, lines 13-30).

35. As to claim 53, it is rejected for the same reasons set forth in claim 50. In addition, Amir discloses the layers include a network layer, a transport layer, and an application layer (OSI model; col. 1, lines 13-30).

36. As to claim 54, Amir discloses the medium of claim 50, wherein at least one of

the routines associated with at least one of sessions is not used to remove a header of the packets (It is noted that converting is unnecessary when the source protocol and destination protocol are compatible; col. 7, line 31 - col. 8, line 33; col. 9, lines 47-62, "protocol converter"; col. 10, lines 49-58).

37. As to claim 55, Amir discloses the medium of claim 50, wherein the outermost header has a format that is incompatible with a format of the resulting outermost header, and wherein the outermost header is associated with a network layer protocol (It is noted that decryption procedure is well known in the art to remove the outside header; col. 4, lines 20-60, "reads identifier information imbedded in the header to demultiplex the data").

38. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

Saito et al, US 7,383,341, Crouch et al, US 6,259,781, Volfsun et al, US 6,151,390, Zarom, US 6,356,529 disclose protocol conversion using channel associated signaling.

39. Any inquiry concerning this communication or earlier communications from the examiner should be directed to JUNGWON CHANG whose telephone number is (571)272-3960. The examiner can normally be reached on M-F 8:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph E. Avellino can be reached on 571-272-3905. The fax phone

number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/JUNGWON CHANG/ Primary Examiner, Art Unit 2454 September 16, 2013

Notice of References Cited	Application/Control No. 13/911,324	Applicant(s)/Pa Reexamination BALASSANIAI	1			
Notice of References Cited	Examiner	Art Unit				
	JUNGWON CHANG	2454	Page 1 of 1			

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-6,629,163	09-2003	Balassanian, Edward	710/33
*	В	US-6,711,166	03-2004	Amir et al.	370/395.1
*	С	US-6,785,730	08-2004	Taylor, Rebecca S.	709/230
*	D	US-6,115,393	09-2000	Engel et al.	370/469
*	Е	US-7,383,341	06-2008	Saito et al.	709/228
*	F	US-6,259,781	07-2001	Crouch et al.	379/207.02
*	G	US-6,151,390	11-2000	Volftsun et al.	379/229
*	Н	US-6,356,529	03-2002	Zarom, Rony	370/231
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FOREIGN PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Part of Paper No. 20130606-A

Juniper Ex. 1004-p. 217 Juniper v Implicit

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10) Approved for use through 07/31/2012. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		2013-06-06
First Named Inventor	Edwa	rd Balassanian
Art Unit		
Examiner Name Attorney Docket Number		
		6743-00105

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	Filing Date		2013-06-06
INFORMATION DISCLOSURE	First Named Inventor	Edwa	rd Balassanian
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		
	Examiner Name		
	Attorney Docket Number		6743-00105

	1	Defer	791, Internet Protocol: DARPA Internet Program nse Advanced Research Projects Agency Inform ute University of Southern California, 52 pages.				
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	Filing Date 2		2013-06-06
INFORMATION DISCLOSURE	First Named Inventor	Edwa	rd Balassanian
(Not for submission under 37 CFR 1.99)	Art Unit		
	Examiner Name		
	Attorney Docket Numb	er	6743-00105

CERTIFICATION STATEMEN	Γ
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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Dean M. Munyon/	Date (YYYY-MM-DD)	2013-06-06
Name/Print	Dean M. Munyon	Registration Number	42914

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	59	((EDWARD) near2 (BALASSANIAN)).INV.	US- PGPUB; USPAT; USOCR	OR	OFF	2013/09/16 22:44
S1	20	(routine\$4 near9 packet\$5 near9 path\$5) and ((convert\$5 conversion\$5) near9 tcp)	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/14 18:29
S5	65	"5768521" and @ad<"19991229"	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/14 18:37
S6	7	S1 and @ad<"19991229"	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/14 18:37
S7	117	(routine\$4 near9 packet\$5 near9 process\$5) and ((convert\$5 conversion\$5) near2 protocol\$5)	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/14 18:43
S8	34	S7 and @ad<"19991229"	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/14 18:43
S12	1440	((conver\$6 conversion) with protocol\$4) and ((packet\$4 message\$3) near9 handler\$4)	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/15 00:11
S13	286	S12 and @ad<"19991229"	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/15 00:12
S14	193	S13 and ("709"/\$ "370"/\$)	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/15 00:12
S19		((conver\$6 conversion) with protocol\$4 with routine\$4) and ((packet\$4 message\$3) near9 handl\$4)	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/15 00:26

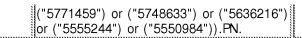
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S26	105	((convert\$9 conversion\$4) near8 (tcp protocol\$5) near8 handl\$5 same (packet\$5 header\$5)) and @ad<"19991229"	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/15 22:23
S34	2795	(automatic\$6 dynamic\$5) near9 (serie\$5 consequenc\$6 sequenc\$6) near9 ((conversion convert\$6 translat\$5))	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/16 10:54
S35	1360	S34 and @ad< "20030806"	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/16 10:56
S36	68	S34 and @ad<"20030806" and ("709"/\$ "370"/\$)	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/16 10:56
S37	4	((conversion convert\$6 translat\$5) with tcp) and S35	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/16 10:56
S41	20	(automatic\$6 dynamic\$5) near9 (serie\$5 consequenc\$6 sequenc\$6) near9 ((conversion convert\$6 translat\$5)) with protocol\$5	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/16 11:01
S43	4	S41 and @ad<"19991229"	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/16 11:01
S48	680	(serie\$5 consequenc\$6 sequenc\$6) with ((conversion convert\$6 translat\$5)) with (demux demultiplex\$5)	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/16 11:03
S50	270	S48 and @ad<"19991229"	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/16 11:03
S51	4	(((conversion convert\$6 translat\$5)) with (tcp protocol\$5)) and S50	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/16 11:04
S52	122	((conversion convert\$6 translat\$5)) same (demux demultiplex\$5) same (tcp)	US- PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2013/09/16 11:06
S54	17	(("6185208") or ("6115393") or ("6101189") or ("6094578") or ("6075796") or ("6047002") or ("6038233") or ("6018710") or ("5896383") or ("5894478") or ("5841764") or ("5809233") or	USPAT;	OR	OFF	2013/09/16 11:15 iner Fx 1004-

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13/911,32	4	06/06/2013		709	:	2454		6743-00105
		RULE						
APPLICANTS IMPLICIT NETWORKS, INC., Bellevue, WA, Assignee (with 37 CFR 1.172 Interest); Edward Balassanian, Seattle, WA;								
This appli whi	** CONTINUING DATA ***********************************							
** FOREIGN AI	PPLICA	TIONS *********	*************	*				
** IF REQUIRE 06/26/201		EIGN FILING LIC	ENSE GR	ANTED **				
Foreign Priority claime 35 USC 119(a-d) cond	ditions met		Met after Allowance	STATE OR COUNTRY	SHEE DRAWI			INDEPENDENT CLAIMS
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						Other		
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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	13911324	BALASSANIAN, EDWARD
	Examiner	Art Unit
	JUNGWON CHANG	2454

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED				
Symbol	Date	Examiner		

US CLASSIFICATION SEARCHED

Class	Subclass	Date	Examiner
709	230, 228	9/17/2013	JWC
370	395.1, 469, 231	9/17/2013	JWC
379	207.02, 229	9/17/2013	JWC
710	33	9/17/2013	JWC

SEARCH NOTES					
Search Notes Date Examiner					
EAST search report attached	9/17/2013	JWC			
Inventor name searched	9/17/2013	JWC			

INTERFERENCE SEARCH						
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner			

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(Sheet 1 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
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	Group Art Unit	2192
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		<i>Implicit Networks, Inc. v. Advanced Micro Devices, Inc. et al.</i> ; C08-0184 JLR; USDC for the Western District of Washington, Seattle Division:				
	143	2/4/08 Plaintiff's Original Complaint				
	144	8/26/08 Defendant NVIDIA Corporation's Answer to Complaint				
	145	8/26/08 Defendant Sun Microsystems, Inc.'s Answer to Complaint				
	146	8/27/08 Defendant Advanced Micro Devices, Inc.'s Answer to Complaint for Patent Infringement				
	147	8/27/08 RealNetworks, Inc.'s Answer to Implicit Networks, Inc.'s Original Complaint for Patent Infringement, Affirmative Defenses, and Counterclaims				
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	150	9/15/08 Plaintiff's Reply to NVIDIA Corporation's Counterclaims				
	151	9/15/08 Plaintiff's Reply to Sun Microsystems Inc.'s Counterclaims				
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	154	12/10/08 Order granting Stipulated Motion for Dismissal with Prejudice re NVIDIA Corporation, Inc.				
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	156	12/29/08 Order granting Stipulated Motion for Dismissal without Prejudice of Claims re Sun Microsystems, Inc.				
	157	1/5/09 Plaintiff's Opposition to Defendants AMD, RealNetworks, RMI, and Sun's Motion to Stay Pending Reexamination and Exhibit A				
	158	1/9/09 Reply of Defendants AMD, RealNetworks, RMI, and Sun's Motion to Stay Pending the Patent and Trademark Office's Reexamination of the '163 Patent				
	159	2/9/09 Order Granting Stay Pending the United States Patent and Trademark Office's Reexamination of U.S. Patent No. 6,629,163				
	160	2/17/09 Order Granting Stipulated Motion for Dismissal of Advanced Micro Devices, Inc. with Prejudice				
	161	5/14/09 Order Granting Stipulated Motion for Dismissal of RMI Corporation with Prejudice				
	162	10/13/09 Order Granting Stipulated Motion for Dismissal of Claims Against and Counterclaims by Intel Corporation				
	163	10/30/09 Executed Order for Stipulated Motion for Dismissal of Claims Against and Counterclaims by RealNetworks, Inc.				
		Implicit Networks, Inc. v. Microsoft Corp., C09-5628 HLR; USDC for the Northern District of California, San Francisco Division				
	164	11/30/09 Plaintiff's Original Complaint, Implicit v Microsoft, Case No. 09-5628				
	165	01/22/10 Order Dismissing Case, Implicit v Microsoft, Case No. 09-5628				
		Implicit Networks, Inc. v. Cisco Systems, Inc., C10-3606 HRL; USDC for the Northern District of California, San Francisco Division				
	166	08/16/10 Plaintiff's Original Complaint, Implicit v Cisco, Case No. 10-3606				
	167	11/22/10 Defendant Cisco Systems, Inc.'s Answer and Counterclaims, <i>Implicit v Cisco</i> , Case No. 10-3606				
	168	12/13/10 Plaintiff, Implicit Networks, Inc.'s, Answer to Counterclaims, <i>Implicit v Cisco</i> , Case No. 10-3606				
	169	10/04/11 Order of Dismissal with Prejudice, Implicit v Cisco, Case No. 10-3606				
		Implicit Networks, Inc. v. Citrix Systems, Inc., C10-3766 JL; USDC for the Northern District of California, San Francisco Division				
	170	08/24/10 Plaintiff's Original Complaint, Implicit v Citrix, Case No. 10-3766				
	171	12/01/10 Plaintiff's First Amended Complaint, Implicit v Citrix, Case No. 10-3766				

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INFORMATION DISCLOSURE		Complete if Known
STATEMENT BY APPLICANT	Application Number	13/911,324
(Sheet 7 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

	172	01/14/11 Defendant Citrix Systems, Inc.'s Answer, Defenses and Counter-complaint for Declaratory Judgment, Implicit v Citrix				
		Case No. 10-3766				
	173	02/18/11 Plaintiff, Implicit Networks, Inc.'s, Answer to Defendants Counterclaims, Implicit v Citrix, Case No. 10-3766				
	<u> </u>	Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine,				
Examiner Initials*	Cite No. ¹	journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or country				
muais		where published.				
	174	05/02/11 Order of Dismissal, Implicit v Citrix, Case No. 10-3766				
		Implicit Networks, Inc. v.F5 Networks, Inc., C10-3365 JCS; USDC for the Northern District of California, San Francisco Division				
	175	07/30/10 Plaintiff's Original Complaint, Implicit v F5, Case No. 10-3365				
	176	10/13/10 Defendants' Answer and Counter-Complaint, Implicit v F5, Case No. 10-3365				
	177	11/03/10 Plaintiff's Answer to Counter-Complaint, Implicit v F5, Case No. 10-3365				
	178	12/10/10 Plaintiff's First Amended Complaint, Implicit v F5, Case No. 10-3365				
	179	01/14/11 Defendants' Answer to 1 st Amended Complaint and Counterclaim, Implicit v F5, Case No. 10-3365				
	180	02/18/11 Plaintiff's Answer to F5's Amended Counter-Complaint, Implicit v F5, Case No. 10-3365				
	181	04/18/11 Defendants' Amended Answer to 1 st Amended Complaint and Counter-Complaint, <i>Implicit v F5</i> , Case No. 10-3365				
	182	05/05/11 Plaintiff's Answer to F5's Amended Counter-Complaint, Implicit v F5, Case No. 10-3365				
	183	07/22/11 F5 Networks, Inc.'s Invalidity Contentions, Implicit v F5, Case No. 10-3365				
	184	07/22/11 F5 Networks, Inc.'s Invalidity Contentions, Exhibit A, <i>Implicit v F5</i> , Case No. 10-3365				
	101	(31 documents)				
	185	07/22/11 F5 Networks, Inc.'s Invalidity Contentions, Exhibit B, <i>Implicit v F5</i> , Case No. 10-3365				
	186	10/18/11 Joint Claim Construction & Pre-Hearing Statement (PR 4-3), <i>Implicit v F5</i> , Case No. 10-3365				
	187	10/18/11 Joint Claim Construction & Pre-Hearing Statement (PR 4-3) Exhibit A, <i>Implicit v F5</i> , Case No. 10-3365				
	107	10/18/11 Joint Claim Construction & Pre-Hearing Statement (PR 4-3) Exhibit A, <i>Implicit v F5</i> , Case No. 10-3365 (2 documents)				
	188	11/28/11 Plaintiff's Opening Claim Construction Brief, <i>Implicit v F5</i> , Case No. 10-3365				
	189	11/29/11 Amended Joint Claim Construction & Pre-Hearing Statement, <i>Implicit v F5</i> , Case No. 10-3365				
	190	11/29/11 Amended Joint Claim Construction & Pre-Hearing Statement, <i>Implicit v F5</i> , Case No. 10-3365				
	191	12/12/11 Defendants' Claim Construction Brief, <i>Implicit v F5</i> , Case No. 10-3365				
	192	12/19/11 Plaintiff's Reply to Defendants' (F5, HP, Juniper) Responsive Claim Construction Brief (4-5), <i>Implicit v F5</i> , Case No.				
	172	10-3365				
	193	01/27/12 Transcript of Proceeding Held on 1-17-12; Implicit v F5, Case No. 10-3365				
	194	01/27/12 Transcript of Proceeding Held on 1-18-12; Implicit v F5, Case No. 10-3365				
	195	01/27/12 Transcript of Proceeding Held on 1-19-12; Implicit v F5, Case No. 10-3365				
	196	02/29/12 Claim Construction Order				
	197	08/15/12 Storer Invalidity Report				
	198	09/10/12 Implicit's Expert Report of Scott M. Nettles				
	199	03/13/13 Order Granting Defendants' Motion for Summary Judgment				
	200	04/09/13 Notice of Appeal to the Federal Circuit				
		Implicit Networks, Inc. v. Hewlett-Packard Company, C10-3746 JCS: USDC for the Northern District of California, San				
		Francisco Division				
	201	08/23/10 Plaintiff's Original Complaint, Implicit v HP, Case No. 10-3746				
	202	11/23/10 Plaintiff's First Amended Complaint, Implicit v HP, Case No. 10-3746				
	203	01/14/11 Defendant HP's Answer and Counterclaims, Implicit v HP, Case No. 10-3746				
	204	02/18/11 Implicit Networks, Inc.'s Answer to HP Counterclaims, Implicit v HP, Case No. 10-3746				
	205	05/10/11 Plaintiff's Amended Disclosure of Asserted Claims and Infringement Contentions, Case No. 10-3746				
	206	06/30/11 Defendant HP Company's Invalidity Contentions, Implicit v HP, Case No. 10-3746				
	207	06/30/11 Defendant HP Company's Invalidity Contentions, A1-14, Implicit v HP, Case No. 10-3746				
	208	06/30/11 Defendant HP Company's Invalidity Contentions, B1-21, Implicit v HP, Case No. 10-3746				
		Implicit Networks, Inc. v. Juniper Networks, C10-4234 EDL: USDC for the Northern District of California, San Francisco				

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Sheet 8 of 14)

Complete if Known			
Application Number	13/911,324		
Filing Date	2013-06-06		
First Named Inventor	Edward BALASSANIAN		
Group Art Unit	2192		
Examiner Name			

 09/20/10 Plaintiff's Original Complaint, <i>Implicit v Juniper</i>, Case No. 10-4234 11/12/10 Juniper Network's Motion to Dismiss For Failure to State a Claim Under Rule 12(B)(6): Memorandum of Points and Authorities; <i>Implicit v Juniper</i>, Case No. 10-4234 Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or country where published. 11/12/10 Juniper Network's Request for Judicial Notice in Support of its Motion to Dismiss For Failure to State a Claim Under Rule 12(B)(6): Memorandum of Points and Authorities; <i>Implicit v Juniper</i>, Case No. 10-4234 12/01/10 First Amended Complaint; <i>Implicit v Juniper</i>, Case No. 10-4234 01/18/11 Juniper Networks, Inc.'s Answer and Affirmative Defenses to 1st Amended Complaint, <i>Implicit v Juniper</i>, Case No. 10-4234 02/18/11 Plaintiff's Answer to Defendant's Counterclaims, <i>Implicit v Juniper</i>, Case No. 10-4234 05/23/11 Plaintiff's Disclosure of Asserted Claims and Infringement Contentions, <i>Implicit v Juniper</i>, Case No. 10-4234
Authorities; Implicit v Juniper, Case No. 10-4234 Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or country where published. 11/12/10 Juniper Network's Request for Judicial Notice in Support of its Motion to Dismiss For Failure to State a Claim Under Rule 12(B)(6): Memorandum of Points and Authorities; Implicit v Juniper, Case No. 10-4234 2 12/01/10 First Amended Complaint; Implicit v Juniper, Case No. 10-4234 3 01/18/11 Juniper Networks, Inc.'s Answer and Affirmative Defenses to 1 st Amended Complaint, Implicit v Juniper, Case No. 10-4234 4 02/18/11 Plaintiff's Answer to Defendant's Counterclaims, Implicit v Juniper, Case No. 10-4234
 Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or country where published. 11/12/10 Juniper Network's Request for Judicial Notice in Support of its Motion to Dismiss For Failure to State a Claim Under Rule 12(B)(6): Memorandum of Points and Authorities; <i>Implicit v Juniper</i>, Case No. 10-4234 12/01/10 First Amended Complaint; <i>Implicit v Juniper</i>, Case No. 10-4234 01/18/11 Juniper Networks, Inc.'s Answer and Affirmative Defenses to 1st Amended Complaint, <i>Implicit v Juniper</i>, Case No. 10-4234 02/18/11 Plaintiff's Answer to Defendant's Counterclaims, <i>Implicit v Juniper</i>, Case No. 10-4234
 journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or country where published. 11/12/10 Juniper Network's Request for Judicial Notice in Support of its Motion to Dismiss For Failure to State a Claim Under Rule 12(B)(6): Memorandum of Points and Authorities; <i>Implicit v Juniper</i>, Case No. 10-4234 12/01/10 First Amended Complaint; <i>Implicit v Juniper</i>, Case No. 10-4234 01/18/11 Juniper Networks, Inc.'s Answer and Affirmative Defenses to 1st Amended Complaint, <i>Implicit v Juniper</i>, Case No. 10-4234 02/18/11 Plaintiff's Answer to Defendant's Counterclaims, <i>Implicit v Juniper</i>, Case No. 10-4234
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Rule 12(B)(6): Memorandum of Points and Authorities; Implicit v Juniper, Case No. 10-4234 12/01/10 First Amended Complaint; Implicit v Juniper, Case No. 10-4234 01/18/11 Juniper Networks, Inc.'s Answer and Affirmative Defenses to 1 st Amended Complaint, Implicit v Juniper, Case No. 10-4234 02/18/11 Plaintiff's Answer to Defendant's Counterclaims, Implicit v Juniper, Case No. 10-4234
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 01/18/11 Juniper Networks, Inc.'s Answer and Affirmative Defenses to 1st Amended Complaint, <i>Implicit v Juniper</i>, Case No. 10-4234 02/18/11 Plaintiff's Answer to Defendant's Counterclaims, <i>Implicit v Juniper</i>, Case No. 10-4234
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05/23/11 Plaintiff's Disclosure of Asserted Claims and Infringement Contentions, <i>Implicit v Juniper</i> , Case No. 10-4234
11/15/11 Plaintiff's Amended Disclosure of Asserted Claim and Infringement Contentions, <i>Implicit v Juniper</i> , Case No. 10-4234
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12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit T-3, Implicit v Juniper, Case
No. 10-4234
12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit T-4, <i>Implicit v Juniper</i> , Case
No. 10-4234
12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit U, Implicit v Juniper, Case No.
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12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit V, Implicit v Juniper, Case No.
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INFORMATION DISCLOSURE	Complete if Known	
STATEMENT BY APPLICANT	Application Number	13/911,324
(Sheet 9 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

	236	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit X, Implicit v Juniper, Case No. 10-4234
Examinan	Cita	Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine,
Examiner Initials*	Cite No. ¹	journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or country
muais		where published.
	237	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Y-1, <i>Implicit v Juniper</i> , Case No. 10-4234
	238	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Y-2, Implicit v Juniper, Case
	220	No. 10-4234
	239	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Y-3, <i>Implicit v Juniper</i> , Case No. 10-4234
	240	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Y-4, Implicit v Juniper, Case
	210	No. 10-4234
	241	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Z, Implicit v Juniper, Case No.
	211	10-4234
	242	12/19/11 Spencer Hosie Declaration in Support of Plaintiff's Reply Claim Construction Brief, <i>Implicit v Juniper</i> , Case No. 10-
	2.2	4234
	243	12/19/11 Spencer Hosie Declaration in Support of Plaintiff's Reply Claim Construction Brief, Exhibit P, Implicit v Juniper, Case
		No. 10-4234
	244	01/10/12 Plaintiff's 1-10-12 Amended Disclosure of Asserted Claims and Infringement Contentions, Implicit v Juniper, Case
		No. 10-4234
	245	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Implicit v Juniper, Case No. 10-4234
	246	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Exhibit A1, Implicit v Juniper, Case No. 10-4234
	247	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Exhibit A2, Implicit v Juniper, Case No. 10-4234
	248	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Exhibit A3, Implicit v Juniper, Case No. 10-4234
	249	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Exhibit A4, Implicit v Juniper, Case No. 10-4234
	250	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Exhibit B1, Implicit v Juniper, Case No. 10-4234
	251	02/29/12 Plaintiff's 2-29-12 Amended Disclosure of Asserted Claims and Infringement Contentions, <i>Implicit v Juniper</i> , Case No. 10-4234
	252	04/06/12 Plaintiff's 4-6-12 Amended Disclosure of Asserted Claims and Infringement Contentions, Implicit v Juniper, Case No.
	252	10-4234 04/00/12 Plaintiffer 4.0.12 Amondod Displayment of Assorted Claims and Infrincement Contentions, Invitation, Invitate Case No.
	253	04/09/12 Plaintiff's 4-9-12 Amended Disclosure of Asserted Claims and Infringement Contentions, <i>Implicit v Juniper</i> , Case No. 10-4234
	254	09/11/12 Implicit's Expert Report of Scott Nettles
	254	11/09/12 Juniper's Notice of Motion and Memorandum of Law ISO Motion for Summary Judgment or, in the alternative, for
	255	Partial Summary Judgment, on the Issue of Invalidity
	256	11/09/12 Exhibit 2 to Declaration in support of Juniper's Motion for Summary Judgment – Calvert Expert Report
	257	11/09/12 Exhibit 3 to Declaration in support of Juniper's Motion for Summary Judgment – Calvert Supplemental Expert Report
	258	11/26/12 Implicit Opposition to Juniper's and F5 Motion on Invalidity
	259	11/26/12 Exhibit A to Hosie Declaration- 08/27/12 Excerpts from David Blaine deposition
	260	11/26/12 Exhibit B to Hosie Declaration–10/25/12 Excerpts from Kenneth Calvert Deposition
	261	11/26/12 Exhibit C to Hosie Declaration $-08/15/12$ Excerpts from Kenneth Calvert Expert Report
	262	11/26/12 Exhibit D to Hosie Declaration – USPN 6,651,099 to Dietz et al
	263	11/26/12 Exhibit E to Hosie Declaration – Understanding Packet-Based and Flow-Based Forwarding
	264	11/26/12 Exhibit F to Hosie Declaration – Wikipedia on Soft State
	265	11/26/12 Exhibit G to Hosie Declaration – Sprint Notes
	266	11/26/12 Exhibit H to Hosie Declaration – Implicit's Supplemental Response to Juniper's 2 nd Set of Interrogatories
	267	11/26/12 Exhibit I to Hosie Declaration – USPN 7,650,634 (Zuk)
	268	03/13/13 Order Granting Defendants' Motion for Summary Judgment
	269	04/09/13 Notice of Appeal to the Federal Circuit

INFORMATION DISCLOSURE	Complete if Known	
STATEMENT BY APPLICANT (Sheet 10 of 14)	Application Number	13/911,324
	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

PTO/SB/08a (01-10) Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE	Cor	mplete if Known
STATEMENT BY APPLICANT	Application Number	13/911,324
(Sheet 11 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

		Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book,
Examiner	Cite	magazine, journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city
Initials*	No. ¹	and/or country where published.
		Other Implicit Networks, Inc. Prosecution Matters:
	270	Serial No. 11/933,022 Utility Application filed October 31, 2007
	271	Serial No. 11/933,022 Preliminary Amendment filed February 19, 2008
	272	Serial No. 11/933,022 Office Action mailed June 24, 2009
	273	Serial No. 11/933,022 Amendment filed September 24, 2009
	274	Serial No. 11/933,022 Office Action dated December 11, 2009
	275	Serial No. 11/933,022 Amendment and Response dated January 29, 2010
	276	Serial No. 11/933,022 Notice of Allowance dated March 2, 2010
	277	Serial No. 11/933,022 Issue Notification dated May 4, 2010
	278	Serial No.10/636,314 Utility Application filed August 6, 2003
	279	Serial No.10/636,314 Office Action dated April 7, 2008
	280	Serial No.10/636,314 Response to Restriction Requirement dated August 5, 2008
	281	Serial No.10/636,314 Office Action dated October 3, 2008
	282	Serial No.10/636,314 Response to Office Action dated April 3, 2009
	283	Serial No.10/636,314 Notice of Non-Compliant Amendment dated May 4, 2009
	284	Serial No.10/636,314 Amendment to Office Action Response dated June 4, 2009
	285	Serial No.10/636,314 Notice of Non-Compliant Amendment dated June 12, 2009
	286	Serial No.10/636,314 Amendment to Office Action dated July 10, 2009
	287	Serial No.10/636,314 Final Rejection Office Action dated October 21, 2009
	288	Serial No.10/636,314 Amendment after Final Office Action dated December 14, 2009
	289	Serial No.10/636,314 Advisory Action dated January 11, 2010
	290	Serial No.10/636,314 Notice of Non-Compliant Amendment dated January 11, 2010
	291	Serial No.10/636,314 Supplemental Amendment and Response dated March 13, 2010
	292	Serial No.10/636,314 Office Action dated May 11, 2010
	293	Serial No.10/636,314 Amendment and Response dated September 13, 2010
	294	Serial No.10/636,314 Final Rejection dated November 24, 2010
	295	Serial No.10/636,314 Notice of Appeal dated May 19, 2011
	296	Serial No.10/636,314 Amendment and Request for Continued Examination dated July 19, 2011
	297	Serial No.10/636,314 Notice of Allowance dated September 13, 2011
	298	Serial No.10/636,314 Notice of Allowance dated September 19, 2011
	299	Serial No.10/636,314 Issue Notification dated October 19, 2011
	300	Serial No. 09/474,664 Utility Application filed December 29, 1999
	301	Serial No. 09/474,664 Office Action dated September 23, 2002
	302	Serial No. 09/474,664 Amendment and Response dated February 24, 2003
	302	Serial No. 09/474,664 Notice of Allowance dated May 20, 2003
	505	501ai 110. 07 17 1,001 110000 01 7110 wallog dated 194y 20, 2005
	304	Serial No. 90/010, 356 Request for Ex Parte Reexamination dated December 15, 2008
	305	Serial No. 90/010, 356 Request for Ex Parte Reexamination dated December 15, 2008
	305	Serial No. 90/010, 356 First Office Action dated July 7, 2009
	_	Serial No. 90/010, 356 First Office Action dated July 7, 2009 Serial No. 90/010, 356 First Office Action Response dated September 1, 2009
	307	
	308	Serial No. 90/010, 356 Patent Owner Interview Summary dated October 23, 2009
	309	Serial No. 90/010, 356 Office Action Final dated December 4, 2009
	310	Serial No. 90/010, 356 Amendment and Response to Office Action dated December 18, 2009
	311	Serial No. 90/010, 356 Amendment and Response to Office Action dated January 4, 2010

*

INFORMATION DISCLOSURE	Cor	mplete if Known
STATEMENT BY APPLICANT	Application Number	13/911,324
(Sheet 12 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

Examiner	Cite	Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city
Initials*	No.1	and/or country where published.
	312	Serial No. 90/010, 356 Advisory Action dated January 21, 2010
	313	Serial No. 90/010, 356 Amendment and Response to Advisory Action dated February 8, 2010
	314	Serial No. 90/010, 356 Notice of Intent to Issue a Reexam Certificate dated March 2, 2010
	315	Serial No. 90/010, 356 Reexamination Certificate Issued dated June 22, 2010
	316	Serial No. 95/000,659 Inter Partes Reexam Request dated February 13, 2012
	317	Serial No. 95/000,659 Order Granting Reexamination dated April 3, 2012
	318	Serial No. 95/000,659 Office Action dated April 3, 2012
	319	Serial No. 95/000,659 Office Action Response dated June 4, 2012 (including Exhibits 1 & 2)
		(4 documents)
	320	Serial No. 95/000,659 Third Party Comments to Patent Owner's Response to Office Action dated July 5, 2012
	321	Serial No. 95/000,659 Appendix R-1 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Declaration of Prof. Dr. Bernhard Plattner)
	322	Serial No. 95/000,659 Appendix R-2 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Prof. Dr. Bernhard Plattner CV)
	323	Serial No. 95/000,659 Appendix R-3 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Listing of Publications to Prof. Dr. Bernhard Plattner updated February 2012)
	324	Serial No. 95/000,659 Appendix R-4 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012(Office Action Granting Reexamination in 95/000,660 dated May 10, 2012)
	325	Serial No. 95/000,659 Appendix R-5 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Office Action in 95/000,660 dated May 10, 2012)
	326	Serial No. 95/000,659 Appendix R-6 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Implicit Networks, Inc. USPN 6,629,163 Claims Chart)
	327	Serial No. 95/000,659 Appendix R-7 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Internet Protocol DARPA Internet Program Protocol Specification dated September 1991)
	328	Serial No. 95/000,659 Appendix R-8 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Atkinson, "IP Encapsulating Security Payload (ESP) dated August 1995)
	329	Serial No. 95/000,659 Appendix R-9 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Claim Construction Order dated February 29, 2012)
	330	Serial No. 95/000,659 Appendix R-10-1 to Third Party Comments to Patent Owner's Response to Office Action dated July 5
		2012 (Vol. I of Edward Balassanian Deposition Transcript dated May 30, 2012)
	331	Serial No. 95/000,659 Appendix R-10-2 to Third Party Comments to Patent Owner's Response to Office Action dated July 5
		2012 (Vol. II of Edward Balassanian Deposition Transcript dated May 31, 2012)
	332	Serial No. 95/000,659 Appendix R-10-3 to Third Party Comments to Patent Owner's Response to Office Action dated July 5
		2012 (Vol. III of Edward Balassanian Deposition Transcript dated June 7, 2012)
	333	Serial No. 95/000,659 Appendix R-10-4 to Third Party Comments to Patent Owner's Response to Office Action dated July 5
		2012 (Vol. IV of Edward Balassanian Deposition Transcript dated June 8, 2012)
	334	Serial No. 95/000,659 Appendix R-11 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Implicit Networks, Inc.'s Response to Juniper Networks, Inc.'s First Set of Requests for Admission 1-32)
	335	Serial No. 95/000,659 Action Closing Prosecution dated October 1, 2012
	336	Serial No. 95/000,659 Petition to Withdraw and Reissue Action Closing Prosecution dated November 20, 2012
	337	Serial No. 95/000,659 Patent Owner Comments to Action Closing Prosecution dated December 3, 2012
	338	Serial No. 95/000,659 Opposition to Petition dated December 17, 2012
	339	Serial No. 95/000,659 Third Party Comments to Action Closing Prosecution dated January 2, 2013
	339	Senar No. 95/000,059 Third Party Comments to Action Closing Prosecution dated January 2, 2015
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	340	Serial No. 95/000,660 Inter Partes Reexam Request dated March 2, 2012
	341	Serial No. 95/000,660 Order Granting Reexamination dated May 10, 2012
	1	

NFORMATION DISCLOSURE	Cor	mplete if Known
STATEMENT BY APPLICANT	Application Number	13/911,324
(Sheet 13 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

. .		Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine,
Examiner	Cite	journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or country where
Initials*	No.	published.
	342	Serial No. 95/000,660 Office Action dated May 10, 2012
	343	Serial No. 95/000,660 Response to Office Action dated July 10, 2012 (including Exhibits 1 and 2)
	344	Serial No. 95/000,660 Third Party Comments to Office After Patent Owner's Response dated August 8, 2012 (including Revised Comments)
	345	Serial No. 95/000,660 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Declaration of Prof. Dr. Bernhard Plattner)
	346	Serial No. 95/000,660 Appendix R-1 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Prof. Dr. Bernhard Plattner CV)
	347	Serial No. 95/000,660 Appendix R-3 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Listing of Publications to Prof. Dr. Bernhard Plattner updated February 2012)
	348	Serial No. 95/000,660 Appendix R-4 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012(Office Action Granting Reexamination in 95/000,660 dated May 10, 2012)
	349	Serial No. 95/000,660 Appendix R-5 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Office Action in 95/000,660 dated May 10, 2012)
	350	Serial No. 95/000,660 Appendix R-6 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Implicit Networks, Inc. USPN 6,629,163 Claims Chart)
	351	Serial No. 95/000,660 Appendix R-7 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Internet Protocol DARPA Internet Program Protocol Specification dated September 1991)
	352	Serial No. 95/000,660 Appendix R-8 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Atkinson, "IP Encapsulating Security Payload (ESP) dated August 1995)
	353	Serial No. 95/000,660 Appendix R-9 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Claim Construction Order dated February 29, 2012)
	354	Serial No. 95/000,660 Appendix R-10 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Vol. I-IV of Edward Balassanian Deposition Transcript dated May 30, 2012)
	355	Serial No. 95/000,660 Appendix R-11 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Shacham, A., et al, " <i>IP Payload Compression Protocol</i> ", Network Working Group, RFC 3173 September 2001)
	356	Serial No. 95/000,660 Appendix R-12 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Shacham, A., et al, " <i>IP Payload Compression Protocol</i> ", Network Working Group, RFC 2393 December 1998)
	357	Serial No. 95/000,660 Appendix R-13 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 ('163 Pfeiffer Claim Chart)
	358	Serial No. 95/000,660 Appendix R-14 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Ylonen, T., "SSH Transport Layer Protocol", Network Working Group – Draft February 22, 1999)
	359	Serial No. 95/000,660 Appendix R-15 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Dommety, G., "Key and Sequence Number Extensions to GRE", Network Working Group, RFC 2890 September 2000)
	360	Serial No. 95/000,660 Appendix R-16 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Monsour, R., et al, "Compression in IP Security" March 1997)
	361	Serial No. 95/000,660 Appendix R-17 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Friend, R., Internet Working Group RFC 3943 dated November 2004 " <i>Transport Layer Security Protocol</i> <i>Compression Using Lempel-Ziv-Stac</i>)
	362	Serial No. 95/000,660 Appendix R-18 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012(Implicit Networks, Inc.'s Response to Juniper Networks, Inc.'s First Set of Requests for Admission 1-32)
	363	Serial No. 95/000,660 Revised - Third Party Comments to Office After Patent Owner's Response dated November 2, 2012
	364	Serial No. 95/000,660 Action Closing Prosecution dated December 21, 2012
	365	Serial No. 95/000,660 Comments to Action Closing Prosecution dated February 21, 2013 (including Dec of Dr. Ng)
	366	Serial No. 95/000,660 Third Party Comments to Action Closing Prosecution dated March 25, 2013
	367	PCT/US00/33634 – PCT application (WO 01/2077 A2 - 7/12/01)
	368	PCT/US00/33634 – Written Opinion (WO 01/50277 A3 – 2/14/02)

PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE	C	Somplete if Known
STATEMENT BY APPLICANT	Application Number	13/911,324
(Sheet 14 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

369	PCT/US00/33634 – International Search Report (10/9/01)
370	PCT/US00/33634 – Response to Official Communication dated December 7, 2001 (3/21/02)
371	PCT/US00/33634 – International Preliminary Examination Report (4/8/02)
372	PCT/US00/33634 – Official Communication (1/24/03)
373	PCT/US00/33634 – Response to Official Communication dated January 24, 2003 (3/12/03)
374	PCT/US00/33634 – Official Communication (5/13/04)
375	PCT/US00/33634 – Response to Summons to Attend Oral Proceeding dated May 13, 2004 (10/9/04)
376	PCT/US00/33634 – Decision to Refuse a European Patent application (11/12/04)
377	PCT/US00/33634 – Minutes of the oral proceedings before the Examining Division (10/12/04)
378	PCT/US00/33634 – Closure of the procedure in respect to Application No. 00984234.5 – 2212 (2/22/05)
379	05/03/13 Expert Report of Dr. Alfonso Cardenas Regarding Validity of U.S. Patent Nos. 6,877,006; 7,167,864; 7,720,861;
	AND 8,082,268
	(6 documents)
380	Expert Report of Dr. Alfonso Cardenas Regarding Validity of U.S. Patent No. 7,167,864
	(3 documents)
381	"InfoReports User Guide: Version 3.3.1;" Platinum Technology, Publication No. PRO-X-331-UG00-00, printed April 1998;
	Pages 1-430.

Examiner	Signature:
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/Jungwon Chang/

Date Considered:

09/12/2013

CERTIFICATION STATEMENT

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18.Signature: /Dean M. Munyon/Date: 2013-06-25Name/Print: Dean M. MunyonRegistration Number: 42,914

				Application/Control No.					Applicant(s)/Patent Under Reexamination						
Index of Claims			.	13911324				BALASSANIAN, EDWARD							
						Examiner					Art Un	it			
						JUNGWON	CHAI	NG			2454				
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U.S. Patent and Trademark Office

Part of Paper No. : 20130606-A

Juniper Ex. 1004-p. 241 Juniper v Implicit

					A	pplication/	Con	trol N	lo.	Applica Reexar	ant(s nina	s)/Pat tion	ent Unde	r
Index of Claims		1	13911324			BALAS	BALASSANIAN, EDWARD							
					E	xaminer				Art Uni	it			
			J	UNGWON		NG		2454						
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		38	✓											
		39	✓											
		40	√											
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		42	✓											
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Part of Paper No. : 20130606-A

UNITED ST	ates Patent and Trademan	UNITED STA United States Address: COMMI PO. Box	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
13/911,324	06/06/2013	Edward Balassanian	6743-00105
35690 MEYERTONS, HOOD, KI	VLIN, KOWERT & GOETZEL,	P.C.	CONFIRMATION NO. 4969 FION NOTICE
P.O. BOX 398 AUSTIN, TX 78767-0398	,		CC000000064322218*

Title:METHOD AND SYSTEM FOR DATA DEMULTIPLEXING

Publication No.US-2013-0266025-A1 Publication Date:10/10/2013

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

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In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

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Doc Code: DIST.E.FILE Document Description: Electronic T	erminal Disclaimer - Filed		PTO/SB/26 U.S. Patent and Trademark Office Department of Commerce		
Electronic Petition Request	OUBLE PATENTING REJECTION OVER A				
Application Number	13911324				
Filing Date	06-Jun-2013				
First Named Inventor	Edward Balassanian				
Attorney Docket Number	6743-00105				
Title of Invention	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING				
Filing of terminal disclaimer doe Office Action	s not obviate requirement for resp	onse unde	er 37 CFR 1.111 to outstanding		
This electronic Terminal Disclaim	ner is not being used for a Joint Res	search Agre	eement.		
Owner	Pe	rcent Inter	est		
IMPLICIT NETWORKS, INC.	10	00%			
-	any patent granted on the instant a		isclaims, except as provided below, the n which would extend beyond the expiration		
6629163					
as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.					
In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term of the prior patent, "as the term of said prior patent is presently shortened by any terminal disclaimer," in the event that said prior patent later: - expires for failure to pay a maintenance fee; - is held unenforceable; - is found invalid by a court of competent jurisdiction; - is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; - has all claims canceled by a reexamination certificate; - is reissued; or - is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.					
•) Terminal disclaimer fee under 37 CFR 1.20(d) is included with Electronic Terminal Disclaimer request.					

Juniper Ex. 1004-p. 244 Juniper v Implicit

0	l certify, in accordance with 37 CFR 1.4(d)(4), that the terminal disclaimer fee under 37 CFR 1.20(d) required for this terminal disclaimer has already been paid in the above-identified application.					
0	Applicant claims SMALL ENTITY	Y status. See 37 CFR 1.27.				
0	Applicant is no longer claiming	g SMALL ENTITY status. See 37 CFR 1.27(g)(2).				
0	Applicant(s) status remains as s	SMALL ENTITY.				
$ \bullet $	Applicant(s) status remains as other than SMALL ENTITY.					
belie the l	hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
тні	IS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES				
l ce	rtify, in accordance with 37 CFR	1.4(d)(4) that I am:				
۲	An attorney or agent registered this application	t to practice before the Patent and Trademark Office who is of record in				
	Registration Number 42914	4				
0	A sole inventor					
0	A joint inventor; I certify that I a	am authorized to sign this submission on behalf of all of the inventors				
0	A joint inventor; all of whom are signing this request					
0	The assignee of record of the entire interest that has properly made itself of record pursuant to 37 <u>CFR 3.7</u> 1					
Sig	nature	/Dean M. Munyon/				
Nai	me	Dean M. Munyon				

*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

Electronic Patent Application Fee Transmittal							
Application Number:	139	911324					
Filing Date:	06-Jun-2013						
Title of Invention:		METHOD AND SYSTEM FOR DATA DEMULTIPLEXING					
First Named Inventor/Applicant Name:	Edv	ward Balassanian					
Filer:	De	an M. Munyon/Dee	na Beasley				
Attorney Docket Number:	6743-00105						
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Statutory or Terminal Disclaimer		1814	1	160	160		
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:					x. 1004-p. 246 Iniper v Implicit		

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD)(\$)	160

Doc Code: DISQ.E.FILE Document Description: Electronic Terminal Disclaimer – Approved

Application No.: 13911324

Filing Date: 06-Jun-2013

Applicant/Patent under Reexamination: Balassanian et al.

Electronic Terminal Disclaimer filed on November 20, 2013

APPROVED

This patent is subject to a terminal disclaimer

DISAPPROVED

Approved/Disapproved by: Electronic Terminal Disclaimer automatically approved by EFS-Web

U.S. Patent and Trademark Office

Electronic Ac	Electronic Acknowledgement Receipt					
EFS ID:	17451979					
Application Number:	13911324					
International Application Number:						
Confirmation Number:	4969					
Title of Invention:	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING					
First Named Inventor/Applicant Name:	Edward Balassanian					
Customer Number:	35690					
Filer:	Dean M. Munyon/Deena Beasley					
Filer Authorized By:	Dean M. Munyon					
Attorney Docket Number:	6743-00105					
Receipt Date:	20-NOV-2013					
Filing Date:	06-JUN-2013					
Time Stamp:	12:06:26					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Submitted with Payment	yes			
Payment Type	Deposit Account			
Payment was successfully received in RAM	\$160			
RAM confirmation Number	10289			
Deposit Account	501505			
Authorized User				
The Director of the USPTO is hereby authorized to	charge indicated fees and credit any overpayment as follows:			
Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)				
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Juniper v Implicit

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Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

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Information:							
2	Fee Worksheet (SB06)	fee-info.pdf	30345	no	2		
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National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office							
lf a new inter an internatio and of the In	national application is being filed ar onal filing date (see PCT Article 11 an ternational Filing Date (Form PCT/RC urity, and the date shown on this Ack	nd the international applicat d MPEP 1810), a Notification D/105) will be issued in due c	of the International <i>I</i> ourse, subject to pres	Application scriptions co	Number oncerning		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		13911324
Filing Date		2013-06-06
First Named Inventor	Edwa	rd Balassanian
Art Unit		2454
Examiner Name CHAN		IG, JUNGWON
Attorney Docket Number		6743-00105

U.S.PATENTS									Remove		
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Examiner Initials* Cite No lnclude name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.									T⁵		

	Application Number		13911324		
	Filing Date		2013-06-06		
INFORMATION DISCLOSURE	First Named Inventor	Edwa	Edward Balassanian		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2454		
	Examiner Name	CHAN	NG, JUNGWON		
	Attorney Docket Number		6743-00105		

	1	Non-Final Office Action in Inter Partes Reexamination Control No. 95/000,659 issued August 16, 2013, 107 pages.						
	2	Decis	Decision on Petition in Reexamination Control No. 95/000,659 issued August 19, 2013, 3 pages.					
	3	Response to Non-Final Office Action in Reexamination Control No. 95/000,659 mailed October 2, 2013 including Exhibits A-C, 37 pages.						
	4	Decision on Petition in Reexamination Control No. 95/000,660 issued July 30, 2013, 12 pages.						
	5	Non-f	on-Final Office Action in Inter Partes Reexamination Control No. 95/000,660 issued August 30, 2013, 23 pages.					
If you wis	sh to a	dd add	ditional non-patent literature document citation information please click the	Add b	outton Add			
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Standard S ⁴ Kind of do	T.3). ³ F	For Japa by the a	O Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the canese patent documents, the indication of the year of the reign of the Emperor must precede appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. In is attached.	the seri	ial number of the patent doc	ument.		

INFORMATION DISCLOSURE	Application Number		13911324
	Filing Date 2		2013-06-06
	First Named Inventor	Edwa	ard Balassanian
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2454
	Examiner Name	CHAN	NG, JUNGWON
	Attorney Docket Number		6743-00105

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

X Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Dean M. Munyon/	Date (YYYY-MM-DD)	2013-11-25
Name/Print	Dean M. Munyon	Registration Number	42914

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal							
Application Number:	13	911324					
Filing Date:	06-	-Jun-2013					
Title of Invention:	ME	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING					
First Named Inventor/Applicant Name:	Edward Balassanian						
Filer:	Dean M. Munyon/Danielle Kramer						
Attorney Docket Number:	6743-00105						
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic A	Electronic Acknowledgement Receipt					
EFS ID:	17494626					
Application Number:	13911324					
International Application Number:						
Confirmation Number:	4969					
Title of Invention:	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING					
First Named Inventor/Applicant Name:	Edward Balassanian					
Customer Number:	35690					
Filer:	Dean M. Munyon/Danielle Kramer					
Filer Authorized By:	Dean M. Munyon					
Attorney Docket Number:	6743-00105					
Receipt Date:	25-NOV-2013					
Filing Date:	06-JUN-2013					
Time Stamp:	15:05:04					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Submitted with I	Payment	yes	yes			
Payment Type		Deposit Account	Deposit Account			
Payment was successfully received in RAM		\$180	\$180			
RAM confirmatio	n Number	1802				
Deposit Account	Deposit Account		501505			
Authorized User						
File Listing:						
Document Number	Document Description	File Name	File Size(Bytes)/ Multi Pages Message DigestnipePart./Jzip4-[AfappI.)			
		•	Juniper v Implicit			

1	Non Patent Literature	N1_95000659_Non-Final- Action-8-16-13.pdf	4893659 02faec67ef2736a5e1b5cd809c4aa4d31a3f	no	107
Warnings:			8a28		
Information	8				
2	Non Patent Literature	N2_95000659_Petition- decision-8-19-13.pdf	103921	no	3
			c1bbb8d23f1e7c7db8c8f4f9c107c7192830 e1b8		
Warnings:					
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3	Non Patent Literature	N3_95000659_Response-after- non-final-action_10-2-13.pdf	2762410 592137c2ab7dc171f23a03f782028c837051	no	37
Warnings:			2bdd		
Information					
4	Non Patent Literature	N4_95000660_Petition-	594074		12
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5	Non Patent Literature	N5_95000660_Non-Final- Action-8-30-13.pdf	838003 54d64b2135c4d0e0af28d2bc5cb88d60843	no	23
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Warnings: Information:					
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6	Information Disclosure Statement (IDS)	6743-00105_IDSpdf	611825	no	4
	Form (SB08)		74b6f11e4526d5681bd17557f9237e74ded e928f		
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Information	1				
autoloading of you are citing l within the Ima	lumber Citation or a U.S. Publication Number data into USPTO systems. You may remove J.S. References. If you chose not to include ge File Wrapper (IFW) system. However, no Non Patent Literature will be manually revi	the form to add the required dat U.S. References, the image of the f data will be extracted from this fo	a in order to correct the I form will be processed ar rm. Any additional data s	nformational Id be made av	Message if ⁄ailable
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Warnings:					
Information					
		Total Files Size (in bytes)	98	34479	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

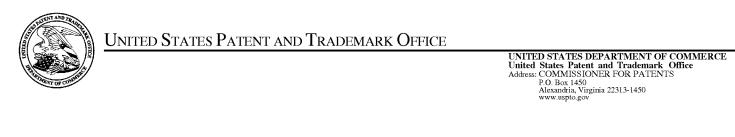
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/911,324	06/06/2013	Edward Balassanian	6743-00105	4969
	7590 12/06/201 , HOOD, KIVLIN, KC	EXAMINER		
P.O. BOX 398		CHANG, JUNGWON		
AUSTIN, TX 7	8/0/-0398	ART UNIT	PAPER NUMBER	
		2454		
			NOTIFICATION DATE	DELIVERY MODE
			12/06/2013	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patent_docketing@intprop.com ptomhkkg@gmail.com

	Application No.	Applicant(s)					
	13/911,324	BALASSANIAN,	EDWARD				
Applicant-Initiated Interview Summary	Examiner	Art Unit					
	JUNGWON CHANG	2454					
All participants (applicant, applicant's representative, PTO	personnel):						
(1) <u>JUNGWON CHANG</u> .	(3) <u>Edward Balassanian (a</u>	pplicant).					
(2) <u>Dean M. Munyon, Reg. No. 42,914</u> .	(4)						
Date of Interview: <u>20 November 2013</u> .							
Type: 🔲 Telephonic 🔲 Video Conference 🛛 Personal [copy given to: 🗌 applicant	applicant's representative]						
Exhibit shown or demonstration conducted: Yes If Yes, brief description:	🗌 No.						
Issues Discussed 101 112 102 103 Oth (For each of the checked box(es) above, please describe below the issue and detail							
Claim(s) discussed: <u>26</u> .							
Identification of prior art discussed: <u>Amir, Engel, Taylor</u> .							
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreemen reference or a portion thereof, claim interpretation, proposed amendments, argum		identification or clarifi	cation of a				
Discussed how the present application is distinguished from identification of information in a packet of a message, a pail packets in the message". Further discussed possible amon allowance. In addition, Applicant and applicant's representa double patenting rejection.	h that includes a sequence of dments to claim 26 to place th	routines for proc e application in c	essing condition for				
section 713.04). If a reply to the last Office action has already been filed, a	Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview						
Examiner recordation instructions : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.							
Attachment							
/JUNGWON CHANG/ Primary Examiner, Art Unit 2454							
U.S. Patent and Trademark Office							

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Edv	ward Balassanian	§	Atty.Dkt.No.:	6743-00	0105	
Serial Number: 13/		§ §	Examiner:	Chang,	Jungwon	
Filing Date: Jun	ne 6, 2013	§ §	Group/Art Unit:	2454		
	AND SYSTEM FOR MULTIPLEXING	§ § §	Conf. No.	4969		
		§ §	****CERTIFICATE	OF E-FIL	ING TRANSMISSI	ON****
		§ §	via electronic fi	ling to the U	ondence is being tran United States Patent a e date shown below:	
		§ § §	On: <u>December 19, 201</u> Date		<u>/Dean M. Munyon/</u> Dean M. Munyon, #	42,914

RESPONSE TO OFFICE ACTION MAILED SEPTEMBER 19, 2013

This paper is submitted in response to an Office Action of September 19, 2013, to further highlight why the application is in condition for allowance.

Please amend the case as listed below.

IN THE CLAIMS:

The following is a current listing of claims and will replace all prior versions and listings of claims in the application. Please amend the claims as follows:

1-25. (Canceled)

26. (Currently Amended) A[[n]] <u>first</u> apparatus <u>for receiving data from a second apparatus</u>, <u>the first apparatus</u> comprising:

a processing unit; and

a memory storing instructions executable by the processing unit to:

create, based on an identification of information in a <u>received</u> packet of a message, a path that includes [[a]] <u>one or more data structures that indicate a</u> sequence of routines for processing packets in the message; [[and]]

store the created path; and

process <u>subsequent</u> packets in the message using the sequence of routines <u>indicated</u> in the <u>created</u> <u>stored</u> path, wherein the sequence includes a routine that is used to execute a Transmission Control Protocol (TCP) to convert <u>one or more</u> packets having a TCP format into a different format.

27. (Currently Amended) The <u>first</u> apparatus of claim 26, wherein the sequence includes:

a second routine that is used to execute a second, different protocol to convert packets of the different format into another format; and

a third routine that is used to execute a third, different protocol to further convert the packets.

28. (Currently Amended) The <u>first</u> apparatus of claim 27, wherein the second protocol is an Internet Protocol (IP) and the third protocol is an Ethernet Protocol.

29. (Currently Amended) The <u>first</u> apparatus of claim 26, wherein the <u>one or more data</u> <u>structures further indicate sessions corresponding to respective ones of the sequence of routines</u> <u>memory stores instructions executable by the processing unit to maintain state information</u>

associated with one or more routines in the sequence of routines, and wherein the state information is specific to the message.

30. (Currently Amended) The <u>first</u> apparatus of claim 26, wherein the sequence of routines includes a routine that is executable to process the packets without converting a format of the packets.

31. (Currently Amended) The <u>first</u> apparatus of claim 26, wherein the routine is not executable to convert packets having the different format, and wherein the different format is an Internet Protocol (IP) format.

32. (Currently Amended) The <u>first</u> apparatus of claim 26, wherein the memory stores instructions executable by the processing unit to identify an address associated with the information, wherein the address indicates the routines in the sequence of routines of the created path.

33. (Currently Amended) A non-transitory, computer-readable medium comprising software instructions for processing a message, wherein the software instructions, when executed, cause a computer system to:

obtain information from a[[n]] initial particular packet of the message, wherein the particular packet has been received by the computer system;

use the obtained information to identify an address <u>specifying</u> comprising a list of conversion routines;

create a path that includes <u>one or more data structures that specify</u> a sequence of sessions, wherein sessions in the sequence <u>include correspond to</u> respective ones of the conversion routines in the list;

store the created path; and

process <u>subsequent</u> packets of the message <u>using</u> by routing packets through sessions <u>specified</u> in the created path, including:

a session <u>associated with</u> in which a transport layer protocol <u>that</u> is executed to convert <u>one or more</u> packets in a transport layer format into a different format; and

another session <u>associated with</u> in which a different protocol <u>that is executed</u>, wherein the different protocol corresponds corresponding to the different format is executed.

34. (Currently Amended) The medium of claim 33, wherein one or more of the sessions comprises specify state information for one or more of the conversion routines, and wherein the state information is specific to the message.

35. (Currently Amended) The medium of claim [[33]]<u>34</u>, wherein the different protocol is associated with a layer selected from the group consisting of an application layer and a network layer.

36. (Canceled)

37. (Previously Presented) The medium of claim 33, wherein the transport layer protocol is a Transmission Control Protocol (TCP).

38. (Previously Presented) The medium of claim 37, wherein the message comprises a stream of data.

39. (Currently Amended) The medium of claim 33, wherein using the obtained information to identify the address includes determining a plurality of protocols by analyzing headers of the initial particular packet, and wherein the medium includes software instructions executable to determine plurality of protocols includes protocols executable at the transport layer and <u>at</u> an application layer.

40. (Canceled)

41. (Currently Amended) A[[n]] <u>first</u> apparatus <u>configured to receive data from a second</u> <u>apparatus</u>, <u>the first apparatus</u> comprising:

a processing unit; and

memory storing instructions that are executable by the processing unit to:

obtain and analyze information from a received packet of a message;

identify an address based on the obtained information, wherein the address comprises references a list of routines;

create <u>one or more data structures that indicate state information</u> a sequence of sessions, wherein sessions in the sequence are associated with <u>corresponding to</u> respective ones of the routines in the list; [[and]]

store the one or more data structures; and

process <u>subsequent</u> packets of the message using the <u>state information</u> sequence, <u>including state information that corresponds to</u> wherein one of the sessions in the sequence is associated with a particular routine that is used to execute a protocol to convert [[the]] packets from an input format to an output format, wherein the particular routine is not executable to convert packets having the output format.

42. (Currently Amended) The <u>first</u> apparatus of claim 41, wherein <u>the state information used</u> to process subsequent packets of the message includes state information that corresponds to a different session is associated with a different routine that is used to execute a second, different protocol to convert [[the]] packets from the output format to a different output format, and wherein <u>the state information used to process subsequent packets of the message includes state</u> information that corresponds to another session is associated with another routine that is used to execute a third, different protocol <u>associated with</u> corresponding to the different output format.

43. (Currently Amended) The <u>first</u> apparatus of claim 42, wherein the protocols include a Transmission Control Protocol (TCP), an Internet Protocol (IP), and an Ethernet Protocol.

44. (Currently Amended) The <u>first</u> apparatus of claim 41, wherein at least one of the sessions is associated with a routines in the list that is executable to process packets of the message without converting <u>a format of</u> the packets.

45. (Currently Amended) The <u>first</u> apparatus of claim 41, wherein the particular routine is executable to convert packets by removing an outermost header of the packets.

46. (Currently Amended) The <u>first</u> apparatus of claim 41, wherein the protocol is a transport layer protocol.

47. (Currently Amended) The <u>first</u> apparatus of claim 46, wherein the transport layer protocol is a Transmission Control Protocol (TCP), and wherein the message comprises a stream of data.

48. (Currently Amended) The <u>first</u> apparatus of claim 41, wherein the obtained information includes information from headers of the <u>received</u> packet that are associated with a network layer and a transport layer.

49. (Canceled)

50. (Currently Amended) A non-transitory, computer-readable medium comprising program instructions executable by a computer system to:

identify information from different headers associated with various layers of a <u>received</u> packet of a message;

create, using the identified information, <u>one or more data structures that reference</u> a sequence of sessions of routines; [[and]]

store the one or more data structures; and

process <u>subsequent</u> packets of the message <u>using the sequence of routines referenced by</u> <u>the one or more data structures</u>, including by removing an outermost header of a given packet using a first <u>routine</u> session corresponding to a protocol in a first layer and by removing the resulting outermost header using a second <u>routine</u> session corresponding to a different protocol in a different layer.

51. (Previously Presented) The medium of claim 50, wherein the protocol in the first layer is a Transmission Control Protocol (TCP), and the message comprises a stream of data.

52. (Previously Presented) The medium of claim 50, wherein the protocol in the first layer is a transport layer protocol and the different protocol in the different layer is an application layer protocol.

53. (Currently Amended) The medium of claim 50, wherein processing <u>subsequent</u> packets of the message further includes removing the resulting outermost header using a third <u>routine</u> session corresponding to another protocol in another layer <u>to remove the outermost header</u> resulting from use of the second routine, and wherein the layers include a network layer, a transport layer, and an application layer.

54. (Currently Amended) The medium of claim 50, wherein at least one of the <u>sequence of</u> routines associated with at least one of sessions is not used to remove a header of the packets.

55. (Previously Presented) The medium of claim 50, wherein the outermost header has a format that is incompatible with a format of the resulting outermost header, and wherein the outermost header is associated with a network layer protocol.

56. (New) The first apparatus of claim 29, wherein the sessions specify state information for one or more of the sequence of routines, and wherein the state information is specific to the message.

57. (New) The first apparatus of claim 32, wherein the memory stores instructions executable by the processing unit to use the address to select the sequence of routines from a plurality of sequences of routines that are stored by the first apparatus prior to receiving the packet of the message.

58. (New) The medium of claim 50, wherein the one or more data structures further reference state information for one or more of the routines in the sequence of routines.

REMARKS:

Claims 26-55 were pending in the application. Claims 26-35, 39, 41-48, 50, 53, and 54 have been amended. Claims 36, 40, and 49 have been canceled, and claims 56-58 have been added. Claims 26-35, 37-39, 41-48, and 50-58 thus remain pending in this application.

Examiner Interview

The undersigned practitioner, the inventor, and the Examiner conducted an in-person at the Patent Office on November 20, 2013. Applicant agrees with the characterization of the interview provided in the Interview Summary mailed on December 6, 2013. After the Examiner agreed with Applicant's arguments that the claims as currently pending distinguished over the references, the interview turned to possible changes to the claims that the Examiner requested three types of amendments to claim 26: 1) an indication that the apparatus of claim 26 has the ability to receive data;¹ 2) a reference to "one or more data structures" (e.g., the "path" as including "one or more data structures"); and 3) a reference to "process[ing] **subsequent** packets in the message using the sequence of routines indicated in the stored path."² Similar changes were also requested with respect to the three remaining independent claims.

Applicant has made the requested amendments to claim 26, and has also amended the other independent claims in a similar fashion. Applicant has also made additional amendments that vary the language found in the independent claims, as well as amendments that broaden these claims. Applicant submits that none of these amendments were necessary to distinguish over the cited references.

¹ This amendment is not intended to foreclose other possible functionality of the "first apparatus"—for example, the ability to also transmit data.

 $^{^2}$ The undersigned noted during the interview that the apparatus of claim 26, in addition to processing "subsequent" packets in the message "using the sequence of routines indicated in the stored path," was also capable of processing the packet of the message on which the "identification of information" was based. Applicant agreed to inclusion of the "subsequent" limitation in the claims as part of a process of negotiation with the Examiner to facilitate allowance.

Claim Language

Applicant offers the following remarks regarding various terms appearing in the claims as currently amended. First, the term "path" is not to be limited to specific embodiments disclosed in the specification—for example, the specific embodiment of a path that is disclosed in paragraph [0029] with reference to Fig. 5. Instead, the term "path" is intended to be construed more broadly; currently amended independent claims 26 and 33 identify specific properties of the "paths" variously recited in those claims.

Second, the term "data structure" is to be construed broadly according to its ordinary meaning in the art. Under this ordinary meaning, "creation" of a "data structure" is broad enough to include allocating memory in a computing system that is usable to store data according to a specified organization. Applicant's specification at paragraph [0029] provides one such non-limiting example of a data structure.

Third, the terms "indicate," "specify," and "reference" are to be interpreted broadly. A data structure, for example, might "indicate," "specify," or "reference" a routine in various ways. In one non-limiting example, the data structure might indicate, specify, or reference a routine by actually including the routine. In another non-limiting example, a data structure might indicate, specify, or reference a routine by pointing to or otherwise identifying the routine without bodily including it in the data structure. As another non-limiting example, an address might be said to "indicate," "specify," or "reference" a list of routines by providing an index to an entry within a lookup table that identifies the list. The terms "indicate," "specify," and "reference" are intended to cover at least these examples, as well as other embodiments.

Finally, references in the claim to "storing" a path or "storing" one or more data structures does not connote any particular medium on which the storing occurs. Rather, the "storing" limitations merely indicate that the created path or created data structures are retained long enough in order to "process subsequent packets."

Double Patenting Rejections

The Examiner rejected claims 26-55 on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-44 of U.S. Patent No. 6,629,163. Without acceding to the propriety of the rejection, Applicant filed an electronic terminal disclaimer on November 20, 2013. This terminal disclaimer was approved the same day. Accordingly, these rejections are believed to be moot.

Obviousness Rejections

The Examiner rejected claims 26-55 under pre-AIA 35 U.S.C. § 103 as being unpatentable over U.S. Patent No. 6,711,166 to Amir et al. in view of U.S. Patent No. 6,785,730 to Taylor. The Examiner also rejected claims 33-55 under pre-AIA 35 U.S.C. § 103 as being unpatentable over Amir in view of U.S. Patent No. 6,115,393 to Engel et al. As noted in the Interview Summary, the Examiner agreed that the previously pending claims distinguished over Amir, and thus overcame both obviousness rejections set forth in the Office Action. The Examiner has also agreed that each of the presently amended independent claims distinguish over the art of record. Accordingly, these rejections are believed to be overcome for at least the reasons stated in the Examiner's Interview Summary.

CONCLUSION:

Applicant respectfully submits the application is in condition for allowance, and an early notice to that effect is requested.

If any extension of time (under 37 C.F.R. § 1.136) is necessary to prevent the abovereferenced application from becoming abandoned, Applicant hereby petitions for such extension.

The Commissioner is authorized to charge any fees that may be required, or credit any overpayment, to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 501505/6743-00105/DMM.

Respectfully submitted,

Date: December 19, 2013

By: <u>/Dean M. Munyon</u>/ Dean M. Munyon Reg. No. 42,914

Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. P. O. Box 398 Austin, Texas 78767 (512) 853-8847

Electronic A	Electronic Acknowledgement Receipt					
EFS ID:	17712257					
Application Number:	13911324					
International Application Number:						
Confirmation Number:	4969					
Title of Invention:	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING					
First Named Inventor/Applicant Name:	Edward Balassanian					
Customer Number:	35690					
Filer:	Dean M. Munyon/Deena Beasley					
Filer Authorized By:	Dean M. Munyon					
Attorney Docket Number:	6743-00105					
Receipt Date:	19-DEC-2013					
Filing Date:	06-JUN-2013					
Time Stamp:	15:29:24					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Submitted with Payment no						
File Listing:						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1		Response_to_Office_Action_09	103136	Vec	13	
		_19_13.pdf	888e28b8947cff824597b5fef579fbd27f266 6b8	yes	5	

	Multipart Description/PDF files in .zip description								
	Document Description	Start	End						
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1						
	Claims	2	9						
	Applicant Arguments/Remarks Made in an Amendment	10	13						
Warnings:		L							
Information:									
	Total Files Size (in bytes):	103	3136						

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

P/	TENT APPL		E DETI	ERMINATION	Application	or Docket Number 911,324	Filing Date 66/06/2013	alid OMB control number.		
							ENTITY: 🛛 L	ARGE 🗌 SMA		
				APPLIC	ATION AS FIL	ED – PAR	ТІ			
			(Column 1)	(Column 2)					
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	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A			
	SEARCH FEE (37 CFR 1.16(k), (i), (or (m))	N/A		N/A		N/A			
	EXAMINATION FE (37 CFR 1.16(o), (p),	E	N/A		N/A		N/A			
	AL CLAIMS CFR 1.16(i))	- (4)/	mir	nus 20 = *			X \$ =			
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	(37 CFR 1.16(h)) Immus 3 = X S = Immus 3 = If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Immus 3 =									
	MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) * If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL									
^ If t	ne difference in colu	umn 1 is less than	zero, ente	r "0" in column 2.			TOTAL			
	(Column 1) (Column 2) (Column 3)									
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							TOTAL ADD'L FE	E	0	
		(Column 1)		(Column 2)	(Column 3))	-			
F		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIC	ONAL FEE (\$)	
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MD	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =			
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proce: prepa	ss) an application. (ring, and submitting	Confidentiality is go the completed ap	overned by plication fo	v 35 U.S.C. 122 and form to the USPTO.	d 37 CFR 1.14. Thi Time will vary dep	s collection is ending upon t	benefit by the public estimated to take 12 he individual case. Ar prmation Officer, U.S.	minutes to complete ny comments on the	e, including gathering, amount of time you	

Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Juniper Ex. 1004-p. 278 Juniper v Implicit UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

³⁵⁶⁹⁰ 7590 02/12/2014 MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C. P.O. BOX 398 AUSTIN, TX 78767-0398 EXAMINER CHANG, JUNGWON

ART UNIT PAPER NUMBER
2454

DATE MAILED: 02/12/2014

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/911,324	06/06/2013	Edward Balassanian	6743-00105	4969

TITLE OF INVENTION: METHOD AND SYSTEM FOR DATA DEMULTIPLEXING

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$O	\$960	05/12/2014

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE **Commissioner for Patents** P.O. Box 1450 Alexandria, Virginia 22313-1450 or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

35690 7590 02/12/2014 MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C. P.O. BOX 398 AUSTIN, TX 78767-0398

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

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te)	(Da

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/911,324	06/06/2013	Edward Balassanian	6743-00105	4969

TITLE OF INVENTION: METHOD AND SYSTEM FOR DATA DEMULTIPLEXING

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	05/12/2014
EXAM	MINER	ART UNIT	CLASS-SUBCLASS			
CHANG, J	JUNGWON	2454	709-246000			
CFR 1.363). Change of corresp Address form PTO/S	lence address or indicatio condence address (or Cha B/122) attached. dication (or "Fee Address 02 or more recent) attach	nge of Correspondence	or agents OR, alternativ	3 registered patent attorn rely, e firm (having as a memb igent) and the names of u rnevs or agents. If no nam	per a 2	
PLEASE NOTE: Un recordation as set for (A) NAME OF ASSI	lless an assignee is ident th in 37 CFR 3.11. Comj IGNEE		THE PATENT (print or typ data will appear on the p T a substitute for filing an (B) RESIDENCE: (CITY	atent. If an assignee is id assignment.	TRY)	
4a. The following fee(s) Issue Fee Publication Fee (1	0 0 0	4l	 D. Payment of Fee(s): (Plean A check is enclosed. 	se first reapply any prev d. Form PTO-2038 is atta	viously paid issue fee sh	own above)
 Applicant certifyi Applicant assertir Applicant changin 	atus (from status indicate ng micro entity status. Se ng small entity status. See ng to regular undiscounte be signed in accordance v	ee 37 CFR 1.29 37 CFR 1.27 d fee status.	<u>NOTE:</u> Absent a valid ce fee payment in the micro <u>NOTE:</u> If the application to be a notification of los <u>NOTE:</u> Checking this bo: entity status, as applicabl 3. See 37 CFR 1.4 for sign	rtification of Micro Entity entity amount will not be was previously under mic s of entitlement to micro e s will be taken to be a not e.	Status (see forms PTO/ accepted at the risk of a cro entity status, checking ntity status. ification of loss of entitle	SB/15A and 15B), issue oplication abandonment. g this box will be taken
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			Page 2 of 3		Juniper Ex.	1004-p. 280

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPAUNDENVOMPOSIMERCE

	ted States Pate	ENT AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	Trademark Office OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/911,324	06/06/2013	Edward Balassanian	6743-00105	4969
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MEYERTONS, F P.O. BOX 398	100D, KIVLIN, KO	WERT & GOETZEL, P.C.	CHANG, J	UNGWON
AUSTIN, TX 7876	7-0398		ART UNIT	PAPER NUMBER
			2454	
			DATE MAILED: 02/12/201	4

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation. Juniper EX. 1004-p. 282

	Application No.	Applicant(s	
	13/911,324 Examiner	BALASSAN	IAN, EDWARD AIA (First Inventor to
Notice of Allowability	JUNGWON CHANG	2454	File) Status
			No
The MAILING DATE of this communication ap All claims being allowable, PROSECUTION ON THE MERITS I herewith (or previously mailed), a Notice of Allowance (PTOL-8- NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT of the Office or upon petition by the applicant. See 37 CFR 1.3	S (OR REMAINS) CLOSED in thi 5) or other appropriate communic RIGHTS. This application is subj 13 and MPEP 1308.	s application. If no ation will be mailed ect to withdrawal fro	t included in due course. THIS
1. \square This communication is responsive to <u>amendment filed 12/</u>	(19/2013 & terminal disclaimer file	<u>ed 11/20/2013.</u> .	
A declaration(s)/affidavit(s) under 37 CFR 1.130(b) wa	as/were filed on <u> </u>		
2. An election was made by the applicant in response to a re requirement and election have been incorporated into this		ring the interview or	n; the restriction
 3.	program at a participating intellec	tual property office	for the corresponding
4. 🔲 Acknowledgment is made of a claim for foreign priority un	der 35 U.S.C. § 119(a)-(d) or (f).		
Certified copies:			
a) 🔲 All b) 🔲 Some *c) 🗋 None of the:			
1. 🔲 Certified copies of the priority documents ha	ve been received.		
2. 🗌 Certified copies of the priority documents ha	ve been received in Application N	lo	
Copies of the certified copies of the priority c	locuments have been received in	this national stage	application from the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE noted below. Failure to timely comply will result in ABANDON THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		eply complying with	the requirements
5. 🔲 CORRECTED DRAWINGS (as "replacement sheets") mu	ist be submitted.		
including changes required by the attached Examine Paper No./Mail Date	r's Amendment / Comment or in t	the Office action of	
Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such ir	1.84(c)) should be written on the d the header according to 37 CFR 1	rawings in the front .121(d).	(not the back) of
6. DEPOSIT OF and/or INFORMATION about the deposit of attached Examiner's comment regarding REQUIREMENT I			the
Attachment(s)			
1. 🔲 Notice of References Cited (PTO-892)	5. 🔲 Examiner's An	nendment/Commer	ıt
2.	6. 🔲 Examiner's Sta	atement of Reasons	s for Allowance
Paper No./Mail Date <u>11/25/2013</u> 3. Examiner's Comment Regarding Requirement for Deposit	7. 🗌 Other		
of Biological Material			
4. ☐ Interview Summary (PTO-413), Paper No./Mail Date			
/JUNGWON CHANG/			
Primary Examiner, Art Unit 2454			

Continuation of Item 1. This communication is responsive to :

					Ар	plication/	Cont	rol N	lo.		Applicant(s)/Patent Under Reexamination				
	Ind	lex of C	Claim	IS									IIAN,	EDWARI)
					Ex	aminer					Art Unit				
					JU	NGWON	CHAN	NG			2454				
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U.S. Patent and Trademark Office

Part of Paper No. : 20131219

			A	Application/Control No.					Applicant(s)/Patent Under Reexamination				
Index of Claims			13	13911324					BALASSANIAN, EDWARD				
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Claims	□ Claims renumbered in the same order as presented by applicant □ CPA ☑ T.D.				. 🗆	R.1.47							
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Final	Original	09/17/2013	01/27/2014										
13	37	✓	=										
14	38	✓	=										
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9	57		=										
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Part of Paper No. : 20131219

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	13911324	BALASSANIAN, EDWARD
	Examiner	Art Unit
	JUNGWON CHANG	2454

CPC- SEARCHED				
Symbol	Date	Examiner		

CPC COMBINATION SETS - SEARCHED					
Symbol	Date	Examiner			

US CLASSIFICATION SEARCHED

Class	Subclass	Date	Examiner
709	230, 228	9/17/2013	JMC
370	395.1, 469, 231	9/17/2013	JWC
379	207.02, 229	9/17/2013	JMC
710	33	9/17/2013	JWC
709	246	1/27/2014	JMC
709	238	1/27/2014	JWC
370	466	1/27/2014	JWC

SEARCH NOTES						
Search Notes	Date	Examiner				
EAST search report attached	9/17/2013	JWC				
Inventor name searched	9/17/2013	JWC				
East Google ACM search report attached	1/27/2014	JWC				
Inventor name searched	1/27/2014	JWC				
Parent patent applications searched	1/27/2014	JMC				

INTERFERENCE SEARCH						
US Class/ CPC Symbol			Examiner			
709	227, 238, 246, 231	1/27/2014	JWC			
370	466	1/27/2014	JWC			



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BIB DATA SHEET

CONFIRMATION NO. 4969

SERIAL NUM	BER	FILING or DATI		C	LASS	GR	ROUP ART UNIT		ATTORNEY DOCKE	
13/911,32	4	06/06/2			709		2454		6743-00105	
		RULI				l				
,	APPLICANTS IMPLICIT NETWORKS, INC., Bellevue, WA, Assignee (with 37 CFR 1.172 Interest);									
s	INVENTORS Edward Balassanian, Seattle, WA;									
This appli whi whi	** CONTINUING DATA **********************************									
** IF REQUIRE 06/26/201	D, FOR				TED **					
Foreign Priority claime		Yes No	⊡n Metafi	tor	STATE OR	{ ~ ·	IEETS	тот		INDEPENDENT
35 USC 119(a-d) conditions met Yes Yes No Verified and /JUNGWON CHANG/ Acknowledged Examiner's Signature		Allowa	INCE	COUNTRY WA	DRA	RAWINGS CLAII 16 30			CLAIMS 4	
ADDRESS	*****	******	*******		****************		****			
MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C. P.O. BOX 398 AUSTIN, TX 78767-0398 UNITED STATES										
TITLE	*******	******	******	*****	*******	*******	******	*********		
METHOD AND SYSTEM FOR DATA DEMULTIPLEXING										
	FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following:					All Fees				
						1.16 Fees (Filing)				
					NT	1.17 Fees (Processing Ext. of time)				
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	Packet processing - Wikipedia, the free encyclopedia en.wikipedia.org/wiki/Packet_processing > Wikipedia > In digital communications networks, packet processing refers to the wide variety of that manages the traversal of the multi-layered network or protocol stack from the lower, physical, Check to see if there is any route to the destination network For example, in voice and video applications, the necessary conversion from			
	Multiprotocol Label Switching - Wikipedia, the free encyclopedia en.wikipedia.org/wiki/Multiprotocol_Label_Switching + Wikipedia.> The labels identify virtual links (paths) between distant nodes rather than endpoints, If the popped label was the last on the label stack , the packet " leaves" the			
	<u>RFC 3032 - MPLS Label Stack Encoding</u> tools lett org/search/fc3032 ★ Internet Engineering Task			
	Linux IP Networking: A Guide to the Implementation and www.cs.unh.edu/cnrg//linux-net.html * University of New Fixmps* A Guide to the Implementation and Modification of the Linux Protocol Stack The location of the original unmodified document be identified Applications read from and write to BSD sockets; the BSD sockets translate the operations into INET The routing cache is a hash table that IP uses to actually route packets .			
	How Does the Internet Work? - The Shulers Home www.theshulers.com/whitepapers/internet/index.html?utm_source • Internet Addresses: Protocol Stacks and Packets: Networking infrastructure, Internet (Internet Control Message Protocol) echo request message) to the named computer Hardware Layer, Converts binary packet data to network signals and back if we were to follow the path that the message "Helio computer 5 6.7.8"			
	Lua 5.1 Reference Manual www.lua.org/manual/5.1/manual.html Lua Use For complete control over how numbers are converted to strings, use the format Pushes onto the stack a string identifying the current position of the control at Lua initializes the C path package opath in the same way it initializes the Lua			
	NAT64 Technology: Connecting IPv6 and IPv4 Networks [Enterprise www.cisco.com//white_paper_c11-676276.html > Cisco Systems, Inc. * Dual stack is a transition technology in which IPv4 and IPv6 operate in tandem over shared or decicated links IPv4-converted IPv6 addresses This requirement is identified as scenarios 1 and 5 in RFC 6144 discussed After NAT64 translation, the translated IPv4 packet is forwarded by the usual IPv4 route fockup. 10.			
	PDFI <u>MPLS for Dummies - Nanoa</u> www.nanog.org/meetings/nanog49/presentations//mpls-nanog49.pdf * trie, which required many memory accesses just to route a single packet . • Exact matches were MPLS labels can also be stacked multiple times • The top tabel is Easier to implement (just turn the knob on your router, it's free). 28 The best pstb calculation happens on-demand when a failure is detected • It can take			
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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	13911324	BALASSANIAN, EDWARD
	Examiner	Art Unit
	JUNGWON CHANG	2454

Symbol	Туре	Version

CPC Combination Sets				
Symbol	Туре	Set	Ranking	Version

		Total Claim	is Allowed:
(Assistant Examiner)	(Date)	3	C
/JUNGWON CHANG/ Primary Examiner.Art Unit 2454	01/27/2014	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	15

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	13911324	BALASSANIAN, EDWARD
	Examiner	Art Unit
	JUNGWON CHANG	2454

	US ORIGINAL CLASSIFICATION									INTERNATIONAL	CLA	ss	IFIC	ΑΤΙ	ON
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709	238														
370	466														

NONE		Total Claim	ns Allowed:
(Assistant Examiner)	(Date)	3	0
/JUNGWON CHANG/ Primary Examiner.Art Unit 2454	01/27/2014	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	15

U.S. Patent and Trademark Office

Part of Paper No. 20131219

Juniper Ex. 1004-p. 292 Juniper v Implicit

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	13911324	BALASSANIAN, EDWARD
	Examiner	Art Unit
	JUNGWON CHANG	2454

	Claims re	enumbere	d in the s	ame orde	r as prese	ented by a	applicant		СР	A D] Т.D.	[] R.1.4	47	
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NONE		Total Clain	ns Allowed:	
(Assistant Examiner)	(Date)	30		
/JUNGWON CHANG/ Primary Examiner.Art Unit 2454	01/27/2014	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	15	

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Part of Paper No. 20131219

Google	"packet "stored "sequence of routines "protocol "conversion "identify	
	Web Images Shopping News Videos More - Search Kols	
	About 4,020,000 results (9.53 senards)	
	Method and system for data demultiplexing - Internet FAQ Archives www.fags.org/patents/app/20130266025 - General packets of a message is Get 10. 2018 - A method and system for demultiplexing packets of a message is provided is used to execute a second, different protocol to convert packets of the different format The apparatus of claim 26, wherein the sequence of routines includes a The conversion system stores the identified sequence so that the	
	Patent EP1177514A1 - Method and system for generating a www.google.com/patents/EP1177514A1 - Google - Feb 6, 2002 - When identifying a sequence of routines, the system may check for The method of claim 1 including storing an indication of the identified sequence of routines in a For example, a protocol may have edges that each convert data in During the process, the packet of data is sequentially converted to	
	Brevette US5377191 - Network communication system - Google	
	www.google.it/patents/US5377191 * Google * wherein said specific routines convert between the format and protocols of the task to cause a notification routine identified by stored job data to be executed 13 the X25 packet switched message transfer protocol (although each gateway they appear to illustrate a conventional sequence of routines which could be	
	Decument 93 :: Implicit Networks, Inc. v. F5 Networks, Inc. :: 3 Ju law.justia.com/cases/federal/district-courts/california/candoe/3/33 マ Feb 25, 2012 - A sequence of conversion routines that was not identified in or determinable that the 20 sequence of routines cannot be identified or "determinable from and 'format' are used interchangeably to refer to the cutput of a protocol the previous packet of the message'); 1:54-56 ("storing state information	
	Scott W. Bradley LinkedIn www.linkedin.com/in/scottwb69 * Greater Seattle Area - Founder and Principal Engineer at Pacet Digital, LLO While I do find great success at leading teams and taking wide responsibility for a product ranging from device drivers, network protocods, and a custom schedullers, beginning with building a tool for automatically converting legacy WAIS and When identifying a sequence of routines , the system may check for routines	
	PDFI Method and system for generating a mapping between types of d pstentimages storage googleapis.com/pdfs/US7730211 pdf → by E Batassantan - 2010 - Otted by 1 - Related articles Jun 1, 2010 - converting data in one type into data in another type. In one, (22) Filed: ()et-31 When identifying a sequence of routines, the system may. (60) Provisional toutines Which are stored at the receiving computer system. A Search protocols for addresses that Add packet to TCP message. TCP message.	
	<u>Mark Edwin Hurd</u> members.ozemail.com.au/~markhurd/resume.html ← Using the Dynamic Data Exchange (DDE) protocol , all of these products make it easy for the These working papers can be in any Windows spreadsheet package that The client was continually consulted, and kept informed, throughout this process The tools could be used to determine the call sequence of routines	
	Patent WO2002084947A2 Method and system for transmission www.google.co.uk/patents/WO2002084947A2 Google The proxy/billing server stores the raw billing data and an accounting program such as GPRs, carriers are able to identify a total number of physical packets or the amount of The calling sequence of routines within application 210 is shown The Protocol Manager 500 performs protocol conversion of the messages	
	PDF1 <u>View</u> https://darchive.mblwhoilibrary.org/bitstream/handle/_/WHOI-92?_1 + by AJ Plueolemann - 1992 - Oiled by 5 - Belated articles of the ADOM: The communication protocol, the data stream, and the sample regulator was chosen for its high conversion efficiency and small size (low calls a sequence of routines that perform several processing steps along with error arrays. Under normal conditions 8 ensembles wil have been unpacked and stored .	
	User's Guide to PSO Stack Software Revision: 1.1.1. Introduction borkhuis home xs4ail nVxxworks/ftp/vxhacks/pso_stack/README * The function id_get_value() will return the value stored in the file descriptor BSD Unix steep/wakeup mechanism is converted to use semaphore in VxWorks 2.2 TCP and IP protocols Most of the TCP and IP software is preserved in their a sequence of routines that are used to establish initial runtime conditions, similar	

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Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

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Ormation Disclosure Statement (IDS) Filed U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		13911324
Filing Date		2013-06-06
First Named Inventor Edwa		rd Balassanian
Art Unit		2454
Examiner Name CHAN		IG, JUNGWON
Attorney Docket Number		6743-00105

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		13911324		
Filing Date		2013-06-06		
First Named Inventor Edwa		d Balassanian		
Art Unit		2454		
Examiner Name	CHAN	IG, JUNGWON		
Attorney Docket Number		6743-00105		

	1	Non-Final Office Action in Inter Partes Reexamination Control No. 95/000,659 issued August 16, 2013, 107 pages.														
	2	Decision on Petition in Reexamination Control No. 95/000,659 issued August 19, 2013, 3 pages.														
	3 Response to Non-Final Office Action in Reexamination Control No. 95/000,659 mailed October 2, 2013 including Exhibits A-C, 37 pages.															
	4	Decision on Petition in Reexamination Control No. 95/000,660 issued July 30, 2013, 12 pages.														
	5	Non-Final Office Action in Inter Partes Reexamination Control No. 95/000,660 issued August 30, 2013, 23 pages.														
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Examiner	Examiner Signature /Jungwon Chang/ Date Considered 01/27/2014															
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	Application Number		13911324	
	Filing Date		2013-06-06	
INFORMATION DISCLOSURE	First Named Inventor Edward		ard Balassanian	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2454	
	Examiner Name	CHAN	IG, JUNGWON	
	Attorney Docket Number		6743-00105	

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

X Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Dean M. Munyon/	Date (YYYY-MM-DD)	2013-11-25
Name/Print	Dean M. Munyon	Registration Number	42914

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

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· ······	 increasing the portability and re-usability of protocol code Bobby Kropczak, Kenneth L. Calvert, Mostafa H. Ammar 	
■ Setine by People ■	August 1997 IEEE/ ACM Transactions on Networkin	ig (TON) , Volume 5 Issue 4
<u>Names</u> Institutions	Publisher: IEEE Press Full text available: 📆 <u>Pdf</u> (283.64 KB)	
Authors	Bibliometrics: Downloads (6 Weeks): 4, Downloads (12 Months): 15	, Downloads (Overall): 370, Citation Count: 1
Befine by Publications Publication Year Publication Names All Publication Names	Keywords: portability, protocol deployment, protocol implemen	tation, protocol subsystem
<u>All Publications</u> <u>Content Formats</u> Publishers	2 The Kerberos Network Authentication Service (V5)	
Publishers	<u>J. Kohl, C. Neuman</u>	
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Proceeding Series	Full text available: 🛃 🔣 (275.40 KB)	
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FEEDBACK ^{***} Please provide us with feedback Found 8 of 2,194,865	This document gives an overview and specification of Version 5 authentication system. Version 4, described elsewhere [1,2], is Athena, and at other Internet sites.	
	3 Fast detection of communication patterns in distributed execu Thomas Kunz, Michel F. H. Seuren	tions
	November 1997 CASCON '97: Proceedings of the 1997 conference Collaborative research Publisher: IBM Press	of the Centre for Advanced Studies on
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	Understanding distributed applications is a tedious and difficult t diagrams are often used to obtain a better understanding of the tool we use is Poet, an event	
	4 FYI on a Network Management Tool Catalog: Tools for Monite Interconnected Devices B. Enger, J. Beynolds	pring and Debugging TCP/IP internets and
	June 1993 FYI on a Network Management Tool Catalog: Tools for and Interconnected Devices	Monitoring and Debugging TCP/IP Internets
	Publisher: RFC Editor	
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	The goal of this FYI memo is to provide an update to FYI 2, RFC to site administrators and network managers. New and/or updat descriptions are welcome, and should	
	5 Addressing and the future of communications competition&co internet Ashley Addeen, John Leslie King	lon: lessons from telephony and the
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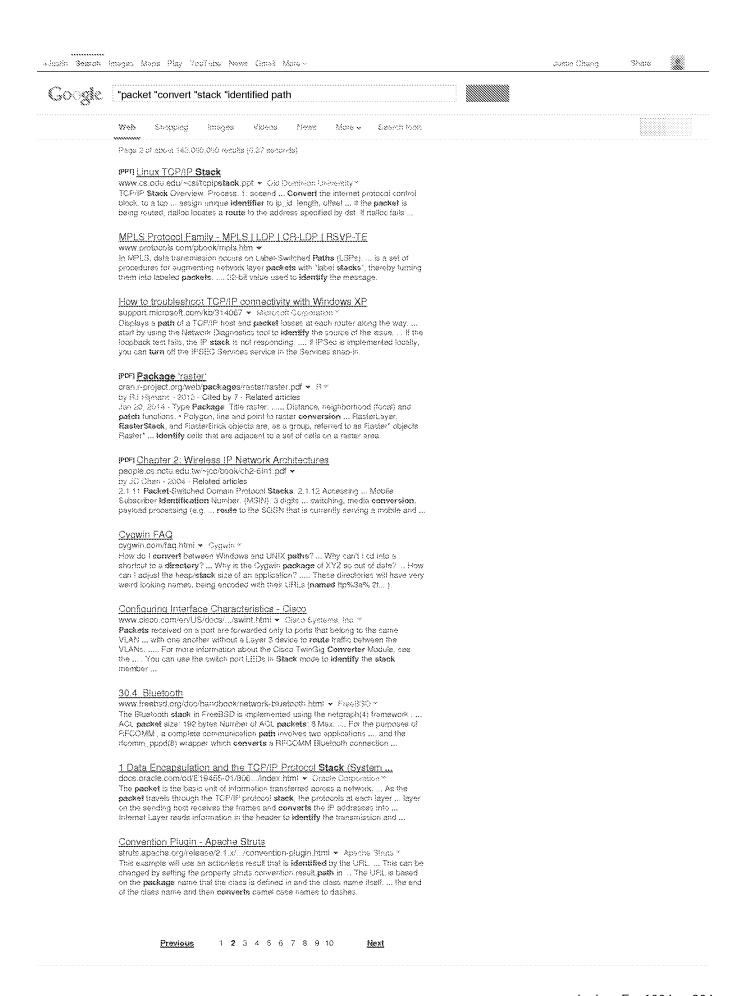
	Publisher: IOS Press
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6	HEMS monitoring and control language G. Trewitt, C. Partridge November 1988 HEMS monitoring and control language Publisher: RFC Editor Full text available: Int (96.42 KB)
	Bibliometrics: Downloads (6 Weeks): 0, Downloads (12 Months): 0, Downloads (Overall): 4, Citation Count: 0
7	Request For Comments reference guide J. K. Revnolds, J. Postel August 1987 Request For Comments reference guide
	Publisher: RFC Editor
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8	implementation guide for the ISO Transport Protocol W. McCoy June 1987 Implementation guide for the ISO Transport Protocol
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APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	ATT	ORNEY DOCKET NO.	CONFIRMATION NO.			
13/911,324	06/06/2013	- I	Edward Balassanian		6743-00105	4969			
TITLE OF INVENTION	N: METHOD AND SYST	TEM FOR DATA DEMU	LTIPLEXING						
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE			
nonprovisional	UNDISCOUNTED	\$960	\$0	\$O	\$960	05/12/2014			
EXAN	AINER	ART UNIT	CLASS-SUBCLASS						
CHANG, J	IUNGWON	2454	709-246000	-					
1. Change of correspond CFR 1.363).	lence address or indicatio	n of "Fee Address" (37	2. For printing on the p	atent front page, list	. Meverton	s, Hood, Kivlin,			
	oondence address (or Cha B/122) attached.	nge of Correspondence	(1) The names of up to or agents OR, alternativ	o 3 registered patent attor vely,	mevs ¹	Goetzel, P.C.			
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Implicit Ne	tworks, Inc.		Bellevue, WA						
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Authorized Signature	Date					
Typed or printed name Dean M. Munyon	Registration No. 42,914					

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PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

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Electronic Patent Application Fee Transmittal					
Application Number:	139	13911324			
Filing Date:	06-	06-Jun-2013			
Title of Invention:	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING				
First Named Inventor/Applicant Name:	Edv	ward Balassanian			
Filer:	De	an M. Munyon/Dee	na Beasley		
Attorney Docket Number:	674	43-00105			
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Utility Appl Issue Fee 1501 1 960 960				960	
Extension-of-Time: Juniper Ex. 1004-p. 307					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD) (\$)	960

Electronic Ac	Electronic Acknowledgement Receipt			
EFS ID:	18245190			
Application Number:	13911324			
International Application Number:				
Confirmation Number:	4969			
Title of Invention:	METHOD AND SYSTEM FOR DATA DEMULTIPLEXING			
First Named Inventor/Applicant Name:	Edward Balassanian			
Customer Number:	35690			
Filer:	Dean M. Munyon/Deena Beasley			
Filer Authorized By:	Dean M. Munyon			
Attorney Docket Number:	6743-00105			
Receipt Date:	19-FEB-2014			
Filing Date:	06-JUN-2013			
Time Stamp:	17:34:36			
Application Type:	Utility under 35 USC 111(a)			

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INFORMATION DISCLOSURE		Complete if Known
STATEMENT BY APPLICANT (Sheet 1 of 14)	Application Number	13/911,324
	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

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Examiner Initial	Cite No.	Patent Number	Name of Patentee Or Applicant Of Cited Document	Issue Date
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Examiner Initial	Cite No.	Foreign Document No.	Country Code	Name of Patentee or Applicant of cited Document	Publication Date
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INFORMATION DISCLOSURE	Complete if Known		
STATEMENT BY APPLICANT (Sheet 2 of 14)	Application Number	13/911,324	
	Filing Date	2013-06-06	
	First Named Inventor	Edward BALASSANIAN	
	Group Art Unit	2192	
	Examiner Name		

Examiner Initials*	Cite No. ¹	Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or country where published
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STATEMENT BY APPLICANT	Application Number	13/911,324
(Sheet 3 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
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	Group Art Unit	2192
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	Group Art Unit	2192
	Examiner Name	

		171	12/01/10 Plaintiff's First Amended Complaint, Implicit v Citrix, Case No. 10-3766	J
		170	08/24/10 Plaintiff's Original Complaint, Implicit v Citrix, Case No. 10-3766]
		xxx0000000000000	Division	*100000
		103	Innlicit Natworks Inc. v. Citrix Systems Inc. C10.3766 II · USDC for the Northern District of California. San Francisco.	_
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		166	08/16/10 Plaintiff's Original Complaint, <i>Implicit v Cisco</i> , Case No. 10-3606 11/22/10 Defendant Cisco Systems, Inc.'s Answer and Counterclaims, <i>Implicit v Cisco</i> , Case No. 10-3606	-
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			Implicit Networks, Inc. v. Microsoft Corp., C09-5628 HLR; USDC for the Northern District of California, San Francisco	1
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		162	10/13/09 Order Granting Stipulated Motion for Dismissal of Claims Against and Counterclaims by Intel Corporation	1
		161	5/14/09 Order Granting Stipulated Motion for Dismissal of RMI Corporation with Prejudice	1
		160	2/17/09 Order Granting Stipulated Motion for Dismissal of Advanced Micro Devices, Inc. with Prejudice	1
		159	2/9/09 Order Granting Stay Pending the United States Patent and Trademark Office's Reexamination of U.S. Patent No. 6,629,163	
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		158	1/9/09 Reply of Defendants AMD, RealNetworks, RMI, and Sun's Motion to Stay Pending the Patent and Trademark Office's	
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		156	12/29/08 Order granting Stipulated Motion for Dismissal without Prejudice of Claims re Sun Microsystems, Inc.	1
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		155	12/16/08 Defendants AMD, RealNetworks, RMI, and Sun's Motion to Stay Pending the Patent and Trademark Office's	1
		154	12/10/08 Order granting Stipulated Motion for Dismissal with Prejudice re NVIDIA Corporation, Inc.	
		153	9/16/08 Plaintiff's Reply to Intel Corp.'s Counterclaims	1
		152	9/16/08 Plaintiff's Reply to RealNetworks, Inc.'s Counterclaims	1
		151	9/15/08 Plaintiff's Reply to Sun Microsystems Inc.'s Counterclaims	1
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		149	8/27/08 Defendant RMI Corporation's Answer to Plaintiff's Original Complaint	-
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	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
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	173	02/18/11 Plaintiff, Implicit Networks, Inc.'s, Answer to Defendants Counterclaims, Implicit v Citrix, Case No. 10-3766
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	180	02/18/11 Plaintiff's Answer to F5's Amended Counter-Complaint, Implicit v F5, Case No. 10-3365
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	182	05/05/11 Plaintiff's Answer to F5's Amended Counter-Complaint, Implicit v F5, Case No. 10-3365
	183	07/22/11 F5 Networks, Inc.'s Invalidity Contentions, Implicit v F5, Case No. 10-3365
	184	07/22/11 F5 Networks, Inc.'s Invalidity Contentions, Exhibit A, Implicit v F5, Case No. 10-3365
		(31 documents)
	185	07/22/11 F5 Networks, Inc.'s Invalidity Contentions, Exhibit B, Implicit v F5, Case No. 10-3365
	186	10/18/11 Joint Claim Construction & Pre-Hearing Statement (PR 4-3), Implicit v F5, Case No. 10-3365
	187	10/18/11 Joint Claim Construction & Pre-Hearing Statement (PR 4-3) Exhibit A, Implicit v F5, Case No. 10-3365
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	188	11/28/11 Plaintiff's Opening Claim Construction Brief, Implicit v F5, Case No. 10-3365
	189	11/29/11 Amended Joint Claim Construction & Pre-Hearing Statement, Implicit v F5, Case No. 10-3365
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	192	12/19/11 Plaintiff's Reply to Defendants' (F5, HP, Juniper) Responsive Claim Construction Brief (4-5), Implicit v F5, Case No.
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	196	02/29/12 Claim Construction Order
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	198	09/10/12 Implicit's Expert Report of Scott M. Nettles
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	203	01/14/11 Defendant HP's Answer and Counterclaims, <i>Implicit v HP</i> , Case No. 10-3746
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	205	05/10/11 Plaintiff's Amended Disclosure of Asserted Claims and Infringement Contentions, Case No. 10-3746
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Application Number	13/911,324		
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First Named Inventor	Edward BALASSANIAN		
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Examiner Name			

		Division
	209	09/20/10 Plaintiff's Original Complaint, Implicit v Juniper, Case No. 10-4234
	210	11/12/10 Juniper Network's Motion to Dismiss For Failure to State a Claim Under Rule 12(B)(6): Memorandum of Points and Authorities; <i>Implicit v Juniper</i> , Case No. 10-4234
		Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine,
Examiner Initials*	Cite No. ¹	journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or country where published.
	211	11/12/10 Juniper Network's Request for Judicial Notice in Support of its Motion to Dismiss For Failure to State a Claim Under
	211	Rule 12(B)(6): Memorandum of Points and Authorities; <i>Implicit v Juniper</i> , Case No. 10-4234
	212	12/01/10 First Amended Complaint; <i>Implicit v Juniper</i> , Case No. 10-4234
	212	01/18/11 Juniper Networks, Inc.'s Answer and Affirmative Defenses to 1 st Amended Complaint, <i>Implicit v Juniper</i> , Case No. 10
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	215	05/23/11 Plaintiff's Disclosure of Asserted Claims and Infringement Contentions, <i>Implicit v Juniper</i> , Case No. 10-4234
	215	11/15/11 Plaintiff's Amended Disclosure of Asserted Claim and Infringement Contentions, <i>Implicit v Juniper</i> , Case No. 10-423-
	210	11/28/11 Spencer Hosie Declaration in Support of Plaintiff's Opening Claim Construction Brief), <i>Implicit v Juniper</i> , Case No.
	217	10-4234
	218	11/28/11 Spencer Hosie Declaration in Support of Plaintiff's Opening Claim Construction Brief Exhibit E, Implicit v Juniper,
		Case No. 10-4234
	219	11/28/11 Spencer Hosie Declaration in Support of Plaintiff's Opening Claim Construction Brief Exhibit J, Implicit v Juniper,
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	220	11/28/11 Spencer Hosie Declaration in Support of Plaintiff's Opening Claim Construction Brief Exhibit K, Implicit v Juniper,
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	221	11/28/11 Spencer Hosie Declaration in Support of Plaintiff's Opening Claim Construction Brief Exhibits M-O, Implicit v
		Juniper, Case No. 10-4234
	222	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Implicit v Juniper, Case No. 10-4234
	223	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit B, <i>Implicit v Juniper</i> , Case No. 10-4234
	224	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit F, Implicit v Juniper, Case No.
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	225	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit N, Implicit v Juniper, Case No.
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	226	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit P, Implicit v Juniper, Case No.
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	227	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Q, Implicit v Juniper, Case No.
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	228	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit S., Implicit v Juniper, Case No. 10-4234
	229	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit T-1, <i>Implicit v Juniper</i> , Case
	229	No. 10-4234
	230	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit T-2, Implicit v Juniper, Case
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	231	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit T-3, Implicit v Juniper, Case
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	232	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit T-4, <i>Implicit v Juniper</i> , Case No. 10-4234
	233	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit U, Implicit v Juniper, Case No.
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	234	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit V, Implicit v Juniper, Case No
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	235	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit W, Implicit v Juniper, Case No
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	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

	236	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit X, <i>Implicit v Juniper</i> , Case No. 10-4234
E !	Cite	Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine,
Examiner Initials*	Cite No. ¹	journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or country
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	237	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Y-1, <i>Implicit v Juniper</i> , Case No. 10-4234
	238	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Y-2, <i>Implicit v Juniper</i> , Case No. 10-4234
	239	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Y-3, <i>Implicit v Juniper</i> , Case No. 10-4234
	240	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Y-4, <i>Implicit v Juniper</i> , Case No. 10-4234
	241	12/12/11 Holly Hogan Declaration in Support of Defendants' Claim Construction Brief, Exhibit Z, <i>Implicit v Juniper</i> , Case No. 10-4234
	242	12/19/11 Spencer Hosie Declaration in Support of Plaintiff's Reply Claim Construction Brief, <i>Implicit v Juniper</i> , Case No. 10- 4234
	243	12/19/11 Spencer Hosie Declaration in Support of Plaintiff's Reply Claim Construction Brief, Exhibit P, <i>Implicit v Juniper</i> , Case No. 10-4234
	244	01/10/12 Plaintiff's 1-10-12 Amended Disclosure of Asserted Claims and Infringement Contentions, <i>Implicit v Juniper</i> , Case No. 10-4234
	245	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, <i>Implicit v Juniper</i> , Case No. 10-4234
	246	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Exhibit A1, <i>Implicit v Juniper</i> , Case No. 10-4234
	247	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Exhibit A2, <i>Implicit v Juniper</i> , Case No. 10-4234
	248	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Exhibit A3, <i>Implicit v Juniper</i> , Case No. 10-4234
	249	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Exhibit A4, <i>Implicit v Juniper</i> , Case No. 10-4234
	250	02/10/12 Juniper Networks, Inc.'s Supplemental Invalidity Contentions, Exhibit B1, <i>Implicit v Juniper</i> , Case No. 10-4234
	251	02/29/12 Plaintiff's 2-29-12 Amended Disclosure of Asserted Claims and Infringement Contentions, <i>Implicit v Juniper</i> , Case No. 10-4234
	252	04/06/12 Plaintiff's 4-6-12 Amended Disclosure of Asserted Claims and Infringement Contentions, <i>Implicit v Juniper</i> , Case No. 10-4234
	253	04/09/12 Plaintiff's 4-9-12 Amended Disclosure of Asserted Claims and Infringement Contentions, <i>Implicit v Juniper</i> , Case No. 10-4234
	254	09/11/12 Implicit's Expert Report of Scott Nettles
	255	11/09/12 Juniper's Notice of Motion and Memorandum of Law ISO Motion for Summary Judgment or, in the alternative, for Partial Summary Judgment, on the Issue of Invalidity
	256	11/09/12 Exhibit 2 to Declaration in support of Juniper's Motion for Summary Judgment – Calvert Expert Report
	257	11/09/12 Exhibit 2 to Declaration in support of Juniper's Motion for Summary Judgment – Calvert Supplemental Expert Report
	258	11/26/12 Implicit Opposition to Juniper's and F5 Motion on Invalidity
	259	11/26/12 Exhibit A to Hosie Declaration- 08/27/12 Excerpts from David Blaine deposition
	260	11/26/12 Exhibit B to Hose Declaration– 10/25/12 Excerpts from Kenneth Calvert Deposition
	261	11/26/12 Exhibit C to Hosie Declaration $-08/15/12$ Excerpts from Kenneth Calvert Expert Report
	262	11/26/12 Exhibit D to Hosie Declaration – USPN 6,651,099 to Dietz et al
	263	11/26/12 Exhibit E to Hose Declaration – Understanding Packet-Based and Flow-Based Forwarding
	263	11/26/12 Exhibit F to Hose Declaration – Olderstanding Facker-based and Flow-based Forwarding 11/26/12 Exhibit F to Hose Declaration – Wikipedia on Soft State
	265	11/26/12 Exhibit G to Hosie Declaration – Writpena on Soft State
	265	11/26/12 Exhibit H to Hosie Declaration – Implicit's Supplemental Response to Juniper's 2 nd Set of Interrogatories
	267	11/26/12 Exhibit I to Hosie Declaration – Inplicit's Supplemental Response to Jumper's 2 – Set of Interfogatories
	267	03/13/13 Order Granting Defendants' Motion for Summary Judgment
	269	04/09/13 Notice of Appeal to the Federal Circuit
	209	

INFORMATION DISCLOSURE		Complete if Known	
STATEMENT BY APPLICANT	Application Number	13/911,324	
(Sheet 10 of 14)	Filing Date	2013-06-06	
	First Named Inventor	Edward BALASSANIAN	
	Group Art Unit	2192	
	Examiner Name		

INFORMATION DISCLOSURE	Complete if Known	
STATEMENT BY APPLICANT	Application Number	13/911,324
(Sheet 11 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

		Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book,	
Examiner	Cite	magazine, journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city	
Initials*	No. ¹	and/or country where published.	
000000000000000000000000000000000000000	Other Implicit Networks, Inc. Prosecution Matters.		
	270	Serial No. 11/933,022 Utility Application filed October 31, 2007	
	271	Serial No. 11/933,022 Preliminary Amendment filed February 19, 2008	
	272	Serial No. 11/933,022 Office Action mailed June 24, 2009	
	273	Serial No. 11/933,022 Amendment filed September 24, 2009	
	274	Serial No. 11/933,022 Office Action dated December 11, 2009	
	275	Serial No. 11/933,022 Amendment and Response dated January 29, 2010	
	276	Serial No. 11/933,022 Notice of Allowance dated March 2, 2010	
	277	Serial No. 11/933,022 Issue Notification dated May 4, 2010	
	278	Serial No.10/636,314 Utility Application filed August 6, 2003	
	279	Serial No.10/636,314 Office Action dated April 7, 2008	
	280	Serial No.10/636,314 Response to Restriction Requirement dated August 5, 2008	
	281	Serial No.10/636,314 Office Action dated October 3, 2008	
	282	Serial No.10/636,314 Response to Office Action dated April 3, 2009	
	283	Serial No.10/636,314 Notice of Non-Compliant Amendment dated May 4, 2009	
	284	Serial No.10/636,314 Amendment to Office Action Response dated June 4, 2009	
	285	Serial No.10/636,314 Notice of Non-Compliant Amendment dated June 12, 2009	
	286	Serial No.10/636,314 Amendment to Office Action dated July 10, 2009	
	287	Serial No.10/636,314 Final Rejection Office Action dated October 21, 2009	
	288	Serial No.10/636,314 Amendment after Final Office Action dated December 14, 2009	
	289	Serial No.10/636,314 Advisory Action dated January 11, 2010	
	290	Serial No.10/636,314 Notice of Non-Compliant Amendment dated January 11, 2010	
	291	Serial No.10/636,314 Supplemental Amendment and Response dated March 13, 2010	
	292	Serial No.10/636,314 Office Action dated May 11, 2010	
	293	Serial No.10/636,314 Amendment and Response dated September 13, 2010	
	294	Serial No.10/636,314 Final Rejection dated November 24, 2010	
	295	Serial No.10/636,314 Notice of Appeal dated May 19, 2011	
	296	Serial No.10/636,314 Amendment and Request for Continued Examination dated July 19, 2011	
	297	Serial No.10/636,314 Notice of Allowance dated September 13, 2011	
	298	Serial No.10/636,314 Notice of Allowance dated September 19, 2011	
	299	Serial No.10/636,314 Issue Notification dated October 19, 2011	
	277		
	300	Serial No. 09/474,664 Utility Application filed December 29, 1999	
	301	Serial No. 09/474,664 Office Action dated September 23, 2002	
	302	Serial No. 09/474,664 Amendment and Response dated February 24, 2003	
 	302	Serial No. 09/474,664 Notice of Allowance dated May 20, 2003	
	303	Senar No. 09/474,004 Nonce of Anowance dated May 20, 2005	
	204	Seriel No. 00/010, 256, Document for Explored Documentation dated Documents, 15, 2009	
L	304	Serial No. 90/010, 356 Request for Ex Parte Reexamination dated December 15, 2008 Serial No. 90/010, 356 Office Action Granting Reexamination dated January 17, 2009	
	-		
	306	Serial No. 90/010, 356 First Office Action dated July 7, 2009	
	307	Serial No. 90/010, 356 First Office Action Response dated September 1, 2009	
	308	Serial No. 90/010, 356 Patent Owner Interview Summary dated October 23, 2009	
	309	Serial No. 90/010, 356 Office Action Final dated December 4, 2009	
	310	Serial No. 90/010, 356 Amendment and Response to Office Action dated December 18, 2009	
	311	Serial No. 90/010, 356 Amendment and Response to Office Action dated January 4, 2010	

INFORMATION DISCLOSURE	Complete if Known	
STATEMENT BY APPLICANT	Application Number	13/911,324
(Sheet 12 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

Examiner	Cite	Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city
Initials*	No. ¹	and/or country where published.
	312	Serial No. 90/010, 356 Advisory Action dated January 21, 2010
	313	Serial No. 90/010, 356 Amendment and Response to Advisory Action dated February 8, 2010
	314	Serial No. 90/010, 356 Notice of Intent to Issue a Reexam Certificate dated March 2, 2010
	315	Serial No. 90/010, 356 Reexamination Certificate Issued dated June 22, 2010
	316	Serial No. 95/000,659 Inter Partes Reexam Request dated February 13, 2012
	317	Serial No. 95/000,659 Order Granting Reexamination dated April 3, 2012
	318	Serial No. 95/000,659 Office Action dated April 3, 2012
	319	Serial No. 95/000,659 Office Action Response dated June 4, 2012 (including Exhibits 1 & 2)
	519	(4 documents)
	320	Serial No. 95/000,659 Third Party Comments to Patent Owner's Response to Office Action dated July 5, 2012
	321	Serial No. 95/000,659 Appendix R-1 to Third Party Comments to Patent Owner's Response to Office Action dated July 5, 2012 (Declaration of Prof. Dr. Bernhard Plattner)
	322	Serial No. 95/000,659 Appendix R-2 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Prof. Dr. Bernhard Plattner CV)
	323	Serial No. 95/000,659 Appendix R-3 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Listing of Publications to Prof. Dr. Bernhard Plattner updated February 2012)
	324	Serial No. 95/000,659 Appendix R-4 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012(Office Action Granting Reexamination in 95/000,660 dated May 10, 2012)
	325	Serial No. 95/000,659 Appendix R-5 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Office Action in 95/000,660 dated May 10, 2012)
	326	Serial No. 95/000,659 Appendix R-6 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Implicit Networks, Inc. USPN 6,629,163 Claims Chart)
	327	Serial No. 95/000,659 Appendix R-7 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Internet Protocol DARPA Internet Program Protocol Specification dated September 1991)
	328	Serial No. 95/000,659 Appendix R-8 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Atkinson, "IP Encapsulating Security Payload (ESP) dated August 1995)
	329	Serial No. 95/000,659 Appendix R-9 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Claim Construction Order dated February 29, 2012)
	330	Serial No. 95/000,659 Appendix R-10-1 to Third Party Comments to Patent Owner's Response to Office Action dated July 5
		2012 (Vol. I of Edward Balassanian Deposition Transcript dated May 30, 2012)
	331	Serial No. 95/000,659 Appendix R-10-2 to Third Party Comments to Patent Owner's Response to Office Action dated July 5
		2012 (Vol. II of Edward Balassanian Deposition Transcript dated May 31, 2012)
	332	Serial No. 95/000,659 Appendix R-10-3 to Third Party Comments to Patent Owner's Response to Office Action dated July 5
		2012 (Vol. III of Edward Balassanian Deposition Transcript dated June 7, 2012)
	333	Serial No. 95/000,659 Appendix R-10-4 to Third Party Comments to Patent Owner's Response to Office Action dated July 5
	_	2012 (Vol. IV of Edward Balassanian Deposition Transcript dated June 8, 2012)
	334	Serial No. 95/000,659 Appendix R-11 to Third Party Comments to Patent Owner's Response to Office Action dated July 5,
		2012 (Implicit Networks, Inc.'s Response to Juniper Networks, Inc.'s First Set of Requests for Admission 1-32)
	335	Serial No. 95/000,659 Action Closing Prosecution dated October 1, 2012
	336	Serial No. 95/000,659 Petition to Withdraw and Reissue Action Closing Prosecution dated November 20, 2012
	337	Serial No. 95/000,659 Patent Owner Comments to Action Closing Prosecution dated December 3, 2012
	338	Serial No. 95/000,659 Opposition to Petition dated December 17, 2012
	339	Serial No. 95/000,659 Third Party Comments to Action Closing Prosecution dated January 2, 2013
	340	Serial No. 95/000,660 Inter Partes Reexam Request dated March 2, 2012
	341	Serial No. 95/000,660 Order Granting Reexamination dated May 10, 2012
	5-11	Seria 110. 20/000,000 Order Granning Reexamination dated May 10, 2012

INFORMATION DISCLOSURE	Complete if Known	
STATEMENT BY APPLICANT	Application Number	13/911,324
(Sheet 13 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

Examiner	Cite	Include name of author (in CAPTIAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number (s), publisher, city and/or country where
Initials*	No.	published.
minais	342	Serial No. 95/000,660 Office Action dated May 10, 2012
	343	Serial No. 95/000,660 Response to Office Action dated July 10, 2012 (including Exhibits 1 and 2)
	343	
		Serial No. 95/000,660 Third Party Comments to Office After Patent Owner's Response dated August 8, 2012 (including Revised Comments)
	345	Serial No. 95/000,660 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Declaration of Prof. Dr. Bernhard Plattner)
	346	Serial No. 95/000,660 Appendix R-1 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Prof. Dr. Bernhard Plattner CV)
	347	Serial No. 95/000,660 Appendix R-3 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Listing of Publications to Prof. Dr. Bernhard Plattner updated February 2012)
	348	Serial No. 95/000,660 Appendix R-4 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012(Office Action Granting Reexamination in 95/000,660 dated May 10, 2012)
	349	Serial No. 95/000,660 Appendix R-5 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Office Action in 95/000,660 dated May 10, 2012)
	350	Serial No. 95/000,660 Appendix R-6 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Implicit Networks, Inc. USPN 6,629,163 Claims Chart)
	351	Serial No. 95/000,660 Appendix R-7 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Internet Protocol DARPA Internet Program Protocol Specification dated September 1991)
	352	Serial No. 95/000,660 Appendix R-8 to Third Party Comments to Patent Owner's Response to Office Action dated August 8 2012 (Atkinson, "IP Encapsulating Security Payload (ESP) dated August 1995)
	353	Serial No. 95/000,660 Appendix R-9 to Third Party Comments to Patent Owner's Response to Office Action dated August 2012 (Claim Construction Order dated February 29, 2012)
	354	Serial No. 95/000,660 Appendix R-10 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Vol. I-IV of Edward Balassanian Deposition Transcript dated May 30, 2012)
	355	Serial No. 95/000,660 Appendix R-11 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Shacham, A., et al, "IP Payload Compression Protocol", Network Working Group, RFC 3173 September 2001)
	356	Serial No. 95/000,660 Appendix R-12 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Shacham, A., et al, "IP Payload Compression Protocol", Network Working Group, RFC 2393 December 1998)
	357	Serial No. 95/000,660 Appendix R-13 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 ('163 Pfeiffer Claim Chart)
	358	Serial No. 95/000,660 Appendix R-14 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Ylonen, T., "SSH Transport Layer Protocol", Network Working Group – Draft February 22, 1999)
	359	Serial No. 95/000,660 Appendix R-15 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Dommety, G., " <i>Key and Sequence Number Extensions to GRE</i> ", Network Working Group, RFC 2890 September 2000)
	360	Serial No. 95/000,660 Appendix R-16 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Monsour, R., et al, "Compression in IP Security" March 1997)
	361	Serial No. 95/000,660 Appendix R-17 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012 (Friend, R., Internet Working Group RFC 3943 dated November 2004 " <i>Transport Layer Security Protocol Compression Using Lempel-Ziv-Stac</i>)
	362	Serial No. 95/000,660 Appendix R-18 to Third Party Comments to Patent Owner's Response to Office Action dated August 8, 2012(Implicit Networks, Inc.'s Response to Juniper Networks, Inc.'s First Set of Requests for Admission 1-32)
	363	Serial No. 95/000,660 Revised - Third Party Comments to Office After Patent Owner's Response dated November 2, 2012
	364	Serial No. 95/000,660 Action Closing Prosecution dated December 21, 2012
	365	Serial No. 95/000,660 Comments to Action Closing Prosecution dated February 21, 2013 (including Dec of Dr. Ng)
	366	Serial No. 95/000,660 Third Party Comments to Action Closing Prosecution dated March 25, 2013
	367	PCT/US00/33634 – PCT application (WO 01/2077 A2 - 7/12/01)
	368	PCT/US00/33634 – Written Opinion (WO 01/50277 A3 – 2/14/02)

PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE	Complete if Known	
STATEMENT BY APPLICANT	Application Number	13/911,324
(Sheet 14 of 14)	Filing Date	2013-06-06
	First Named Inventor	Edward BALASSANIAN
	Group Art Unit	2192
	Examiner Name	

369	PCT/US00/33634 – International Search Report (10/9/01)
370	PCT/US00/33634 – Response to Official Communication dated December 7, 2001 (3/21/02)
371	PCT/US00/33634 – International Preliminary Examination Report (4/8/02)
372	PCT/US00/33634 – Official Communication (1/24/03)
373	PCT/US00/33634 – Response to Official Communication dated January 24, 2003 (3/12/03)
374	PCT/US00/33634 – Official Communication (5/13/04)
375	PCT/US00/33634 – Response to Summons to Attend Oral Proceeding dated May 13, 2004 (10/9/04)
376	PCT/US00/33634 – Decision to Refuse a European Patent application (11/12/04)
377	PCT/US00/33634 – Minutes of the oral proceedings before the Examining Division (10/12/04)
378	PCT/US00/33634 – Closure of the procedure in respect to Application No. 00984234.5 – 2212 (2/22/05)
379	05/03/13 Expert Report of Dr. Alfonso Cardenas Regarding Validity of U.S. Patent Nos. 6,877,006; 7,167,864; 7,720,861;
	AND 8,082,268
	(6 documents)
380	Expert Report of Dr. Alfonso Cardenas Regarding Validity of U.S. Patent No. 7,167,864
	(3 documents)
381	"InfoReports User Guide: Version 3.3.1;" Platinum Technology, Publication No. PRO-X-331-UG00-00, printed April 1998;
	Pages 1-430.

Examiner	Signature:
----------	------------

/Jungwon Chang/

Date Considered:

02/27/2014

CERTIFICATION STATEMENT

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18.Signature: /Dean M. Munyon/Date: 2013-06-25Name/Print: Dean M. MunyonRegistration Number: 42,914

UNITED STATES PATENT AND TRADEMARK OFFICE



APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/911,324	04/08/2014	8694683	6743-00105	4969
	590 03/19/2014			

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C. P.O. BOX 398 AUSTIN, TX 78767-0398

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

IMPLICIT NETWORKS, INC., Bellevue, WA, Assignee (with 37 CFR 1.172 Interest); Edward Balassanian, Seattle, WA;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

UNITED STATE	es Patent and Tradema	UNITED S' United Sta Address: COM PO. B Alexa	FATES DEPARTMENT OF COMMERCE tes Patent and Trademark Office MISSIONER FOR PATENTS ox 1450 udra, Virginia 22313-1450 ispto.gov
APPLICATION NUMBER	PATENT NUMBER	GROUP ART UNIT	FILE WRAPPER LOCATION
13/911,324	8694683	2454	9200

Correspondence Address/Fee Address Change

The following fields have been set to Customer Number 126601 on 09/15/2014

- Correspondence Address
- Maintenance Fee Address

The address of record for Customer Number 126601 is:

126601 MHKKG (Implicit/BeLabs) P.O. Box 398 Austin, TX 78767-0398 Case 6:16-cv-00080 Document 2 Filed 02/23/16 Page 1 of 1 PageID #: 74

AO 120 (Rev. 08/10)

DECISION/JUDGEMENT

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK	
filed in the U.S. Dist	-	n Distri	a 1116 you are hereby advised that a court action has been on the following as 35 U.S.C. § 292.):
DOCKET NO. 6:16-cv-00080	DATE FILED 2/23/2016	U.S. DI	STRICT COURT Eastern District of Texas - Tyler Division
PLAINTIFF IMPLICIT, LLC			DEFENDANT TREND MICRO, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 8,694,683	4/8/2014	Impl	icit, LLC
2 9,270,790	2/23/2016	Impl	icit, LLC
3			
4			
5			

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
		dment 🗌 Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOI	DER OF PATENT OR	TRADEMARK
1				
2				
3				
4				
5				

In the above-entitled case, the following decision has been rendered or judgement issued:

CLERK (BY) DEPUTY CLERK DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Juniper Ex. 1004-p. 327 Juniper v Implicit Case 6:16-cv-00079 Document 2 Filed 02/23/16 Page 1 of 1 PageID #: 106

AO 120 (Rev. 08/10)

DECISION/JUDGEMENT

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK	
filed in the U.S. Distr	-	n Distri	ict of Texas - Tyler Division on the following on the following is 35 U.S.C. § 292.):
DOCKET NO. 6:16-cv-00079	DATE FILED 2/23/2016	U.S. DI	STRICT COURT Eastern District of Texas - Tyler Division
PLAINTIFF IMPLICIT, LLC			DEFENDANT NOKIA SOLUTIONS AND NETWORKS US, LLC
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 6,324,685	11/27/2001	Impl	icit, LLC
2 8,694,683	4/8/2014	Implicit, LLC	
3 8,856,779	10/7/2014	Implicit, LLC	
4 9,270,790	2/23/2016	Implicit, LLC	
5			

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
	Amen	dment 🗌 Answ	er 🗌 Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	Н	OLDER OF PATENT OR	TRADEMARK
1				
2				
3				
4				
5				

In the above-entitled case, the following decision has been rendered or judgement issued:

CLERK (BY) DEPUTY CLERK DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Juniper Ex. 1004-p. 328 Juniper v Implicit Case 6:16-cv-00078 Document 2 Filed 02/23/16 Page 1 of 1 PageID #: 106

AO 120 (Rev. 08/10)

DECISION/JUDGEMENT

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK	
filed in the U.S. Dist	-	n Distri	ict of Texas - Tyler Division on the following on the following as 35 U.S.C. § 292.):
DOCKET NO. 6:16-cv-00078	DATE FILED 2/23/2016	U.S. DISTRICT COURT Eastern District of Texas - Tyler Division	
PLAINTIFF			DEFENDANT
IMPLICIT, LLC			NEC CORPORATION OF AMERICA
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 6,324,685	11/27/2001	Impl	icit, LLC
2 8,694,683	4/8/2014	Implicit, LLC	
3 8,856,779	10/7/2014	Implicit, LLC	
4 9,270,790	2/23/2016	Implicit, LLC	
5			

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
		dment 🗌 Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLD	ER OF PATENT OR '	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

CLERK (BY) DEPUTY CLERK DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Juniper Ex. 1004-p. 329 Juniper v Implicit Case 6:16-cv-00076 Document 2 Filed 02/23/16 Page 1 of 1 PageID #: 106

AO 120 (Rev. 08/10)

DECISION/JUDGEMENT

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK	
filed in the U.S. Distr	-	n Distri	ict of Texas - Tyler Division on the following on the following as 35 U.S.C. § 292.):
DOCKET NO. 6:16-cv-00076	DATE FILED 2/23/2016	U.S. DISTRICT COURT Eastern District of Texas - Tyler Division	
PLAINTIFF			DEFENDANT
IMPLICIT, LLC			HUAWEI TECHNOLOGIES USA, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 6,324,685	11/27/2001	Impl	icit, LLC
2 8,694,683	4/8/2014	Implicit, LLC	
3 8,856,779	10/7/2014	Implicit, LLC	
4 9,270,790	2/23/2016	Implicit, LLC	
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
		dment 🗌 Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLD	ER OF PATENT OR '	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

CLERK (BY) DEPUTY CLERK DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Juniper Ex. 1004-p. 330 Juniper v Implicit Case 6:16-cv-00075 Document 2 Filed 02/23/16 Page 1 of 1 PageID #: 106

AO 120 (Rev. 08/10)

DECISION/JUDGEMENT

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK	
* -			1116 you are hereby advised that a court action has beenact of Texas - Tyler Divisionas 35 U.S.C. § 292.):
DOCKET NO. 6:16-cv-00075	DATE FILED 2/23/2016	U.S. DISTRICT COURT Eastern District of Texas - Tyler Division	
PLAINTIFF IMPLICIT, LLC			DEFENDANT ERICSSON, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 6,324,685	11/27/2001	Impl	icit, LLC
2 8,694,683	4/8/2014	Implicit, LLC	
3 8,856,779	10/7/2014	Implicit, LLC	
4 9,270,790	2/23/2016	Implicit, LLC	
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
		dment 🗌 Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLD	ER OF PATENT OR '	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

CLERK (BY) DEPUTY CLERK DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Juniper Ex. 1004-p. 331 Juniper v Implicit Case 6:16-cv-00079-JRG Document 24 Filed 12/29/16 Page 1 of 1 PageID #: 159 AO 120 (Rev. 08/10)

то:	Mail Stop 8 Director of the U.S. Patent and Trademark Office
	P.O. Box 1450
	Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas - Tyler Division on the following

□ Trademarks or ☑ Patents. (□ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 6:16-cv-00079	DATE FILED 2/23/2016	U.S. DISTRICT COURT Eastern District of Texas - Tyler Division	
PLAINTIFF IMPLICIT, LLC	Format m/d/yyyy	DEFENDANT NOKIA SOLUTIONS AND NETWORKS US, LLC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1 6,324,685	11/27/2001	Implicit, LLC	
2 8,694,683	4/8/2014	Implicit, LLC	
3 8,856,779	10/7/2014	Implicit, LLC	
4 9,270,790	2/23/2016	Implicit, LLC	
5			

In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	Amendment	Answer Cross Bill Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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3	Format m/d/yyyy	
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

All claims and counterclaims asserted in this suit between Implicit and Nokia are dismissed with prejudice and each party is to bear its own attorneys' fees and costs. Signed on 12/29/2016 by Judge Rodney Gilstrap.

CLERK	(BY) DEPUTY CLERK	DATE
Daniel A. O' foole	M. Covey	
		Eormat m/d/vvvv

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

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Save As...

Juniper Ex. 1004-p. 332

Reset

Case 6:17-cv-00336-JRG Document 2 Filed 06/07/17 Page 1 of 1 PageID #: 306

AO 120 (Rev. 08/10)			
TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
filed in the U.S. Di	•	n District o	1116 you are hereby advised that a court action has beenof Texas, Tyler Divisionon the followings 35 U.S.C. § 292.):
DOCKET NO. 6:17-cv-336	DATE FILED 6/7/2017	U.S. DI	STRICT COURT in District of Texas, Tyler Division
PLAINTIFF			DEFENDANT
Implicit, LLC			Palo Alto Networks, Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 6,324,685	11/27/2001	Implicit, LLC	
2 8,694,683	4/8/2014	Implicit, LLC	
3 8,856,779	10/7/2014	Implicit, LLC	
4 9,270,790	2/23/2016	Implicit, LLC	
5 9.325.740	4/26/2016	Implicit, LLC	

In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	Amer	ndment Answer Cross Bill Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 9,591,104	3/7/2017	Implicit, LLC
2		
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In the above---entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

-	CLERK	(BY) DEPUTY CLERK	DATE
1			

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy Juniper Ex. 1004-p. 333 Juniper v Implicit Case 6:17-cv-00336-JRG Document 2 Filed 06/07/17 Page 1 of 1 PageID #: 306

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK	
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court in District of Texas, Tyler Division on the following Trademarks or Patents. (the patent action involves 35 U.S.C. § 292.):				
DOCKET NO. 6:17-cv-336	DATE FILED 6/7/2017	U.S. DI	STRICT COURT in District of Texas, Tyler Division	
PLAINTIFF	•		DEFENDANT	
Implicit, LLC			Palo Alto Networks, Inc.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
1 6,324,685	11/27/2001	Impl	icit, LLC	
2 8,694,683	4/8/2014	Impl	icit, LLC	
3 8,856,779	10/7/2014	Impl	icit, LLC	
4 9,270,790	2/23/2016	Impl	icit, LLC	
5 9,325,740	4/26/2016	Impl	icit, LLC	

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
		dment Answer Cross Bill Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 9,591,104	3/7/2017	Implicit, LLC
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Juniper Ex. 1004-p. 334 Juniper v Implicit Case 6:16-cv-00076-JRG Document 2 Filed 02/23/16 Page 1 of 1 PageID #: 106

AO 120 (Rev. 08/10)

DECISION/JUDGEMENT

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
filed in the U.S. Dist	-	n Distri	int of Texas - Tyler Division on the following on the following as 35 U.S.C. § 292.):
DOCKET NO. 6:16-cv-00076	DATE FILED 2/23/2016	U.S. DI	STRICT COURT Eastern District of Texas - Tyler Division
PLAINTIFF IMPLICIT, LLC			DEFENDANT HUAWEI TECHNOLOGIES USA, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1 6,324,685	11/27/2001	Implicit, LLC	
2 8,694,683	4/8/2014	Impl	icit, LLC
3 8,856,779	10/7/2014	Impl	icit, LLC
4 9,270,790	2/23/2016	Impl	icit, LLC
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
		dment 🗌 Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLD	ER OF PATENT OR '	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

CLERK (BY) DEPUTY CLERK DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Juniper Ex. 1004-p. 335 Juniper v Implicit Case 6:16-cv-00075-JRG Document 2 Filed 02/23/16 Page 1 of 1 PageID #: 106

AO 120 (Rev. 08/10)

DECISION/JUDGEMENT

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
* -			1116 you are hereby advised that a court action has beenct of Texas - Tyler Divisions 35 U.S.C. § 292.):
DOCKET NO. 6:16-cv-00075	DATE FILED 2/23/2016	U.S. DI	STRICT COURT Eastern District of Texas - Tyler Division
PLAINTIFF IMPLICIT, LLC			DEFENDANT ERICSSON, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 6,324,685	11/27/2001	Implicit, LLC	
2 8,694,683	4/8/2014	Implicit, LLC	
3 8,856,779	10/7/2014	Implicit, LLC	
4 9,270,790	2/23/2016	Implicit, LLC	
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
		dment 🗌 Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLD	ER OF PATENT OR '	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

CLERK (BY) DEPUTY CLERK DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Juniper Ex. 1004-p. 336 Juniper v Implicit AO 120 (Rev. 08/10)

Mail Stop 8 IO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THE Office FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
filed in the U.S. Dis	•	15 U.S.C. § 1116 you are hereby advised that a court action has been tern District of Texas - Tyler Division on the following tion involves 35 U.S.C. § 292.):
DOCKET NO. 6:16-cv-00075 PLAINTIFF IMPLICIT, LLC	DATE FILED 2/23/2016 Format m/d/yyyy	U.S. DISTRICT COURT Eastern District of Texas - Tyler Division DEFENDANT ERICSSON, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 6,324,685	11/27/2001	Implicit, LLC
2 8,694,683	4/8/2014	Implicit, LLC
3 8,856,779	10/7/2014	Implicit, LLC
4 9,270,790	2/23/2016	Implicit, LLC
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In the above---entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
		nt 🗌 Answer 📋 Cross Bill 🗌 Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

Ordered that all claims asserted in thsi suit between Implicit and Ericsson are hereby dismissed with prejudice.

CLERK	(BY) DEPUTY CLERK	DATE
David A. O'Toole	Gleith S Green	8/3/17

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy



Case 6:16-cv-00080-JRG Document 2 Filed 02/23/16 Page 1 of 1 PageID #: 74

AO 120 (Rev. 08/10)

DECISION/JUDGEMENT

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
* -			ict of Texas - Tyler Division on the following on the following as 35 U.S.C. § 292.):
DOCKET NO. 6:16-cv-00080	DATE FILED 2/23/2016	U.S. DI	STRICT COURT Eastern District of Texas - Tyler Division
PLAINTIFF IMPLICIT, LLC			DEFENDANT TREND MICRO, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 8,694,683	4/8/2014	Implicit, LLC	
2 9,270,790	2/23/2016	Implicit, LLC	
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
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PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	Н	OLDER OF PATENT OR	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

CLERK (BY) DEPUTY CLERK DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Juniper Ex. 1004-p. 338 Juniper v Implicit Case 2:18-cv-00046-JRG Document 6 Filed 02/27/18 Page 1 of 1 PageID #: 122

AO 120 (Rev. 08/10)

DECISION/JUDGEMENT

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
* -			t of Texas, Marshall Division on the following on the following s 35 U.S.C. § 292.):
DOCKET NO. 2:18-cv-46-JRG	DATE FILED 2/26/2018	U.S. DISTRICT COURT Eastern District of Texas, Marshall Division	
PLAINTIFF	•		DEFENDANT
Implicit, LLC			McAfee, LLC
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 8,694,683	4/8/2014	Implicit, LLC	
2 9,270,790	2/23/2016	Implicit, LLC	
3 9,591,104	3/7/2017	Implicit, LLC	
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
	Amen	dment 🗌 Ans	wer Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

CLERK (BY) DEPUTY CLERK DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Juniper Ex. 1004-p. 339 Juniper v Implicit Case 2:18-cv-00047-JRG Document 6 Filed 02/27/18 Page 1 of 1 PageID #: 122

AO 120 (Rev. 08/10)

DECISION/JUDGEMENT

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
• -			t of Texas, Marshall Division on the following on the following s 35 U.S.C. § 292.):
DOCKET NO. 2:18-cv-47-JRG	DATE FILED 2/26/2018	U.S. DISTRICT COURT Eastern District of Texas, Marshall Division	
PLAINTIFF			DEFENDANT
Implicit, LLC			Sophos Ltd
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 8,694,683	4/8/2014	Implicit, LLC	
2 9,270,790	2/23/2016	Implicit, LLC	
3 9,591,104	3/7/2017	Implicit, LLC	
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
	Amen	dment 🗌 Ans	wer Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

CLERK (BY) DEPUTY CLERK DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Juniper Ex. 1004-p. 340 Juniper v Implicit Case 2:18-cv-00053-JRG Document 6 Filed 03/12/18 Page 1 of 1 PageID #: 124

AO 120 (Rev. 08/10)

DECISION/JUDGEMENT

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK	
filed in the U.S. District Court Eastern Distric			t of Texas, Marshall Division on the following
Trademarks or \mathbf{I}	Patents. (] the patent action	1 mvoive	\$ 55 U.S.C. § 292.):
DOCKET NO. 2:18-cv-53-JRG	DATE FILED 3/8/2018	U.S. DI	STRICT COURT Eastern District of Texas, Marshall Division
PLAINTIFF	•		DEFENDANT
Implicit, LLC			NETSCOUT Systems, Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 8,694,683	4/8/2014	Implicit, LLC	
2 9,270,790	2/23/2016	Implicit, LLC	
3 9,591,104	3/7/2017	Implicit, LLC	
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
		dment 🗌 Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOL	DER OF PATENT OR	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

CLERK (BY) DEPUTY CLERK DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Juniper Ex. 1004-p. 341 Juniper v Implicit Case 2:18-cv-00054-JRG Document 5 Filed 03/12/18 Page 1 of 1 PageID #: 121

AO 120 (Rev. 08/10)

DECISION/JUDGEMENT

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
x -			1116 you are hereby advised that a court action has beent of Texas, Marshall Divisionon the followingas 35 U.S.C. § 292.):
DOCKET NO. 2:18-cv-54-JRG	DATE FILED 3/8/2018	U.S. DI	STRICT COURT Eastern District of Texas, Marshall Division
PLAINTIFF			DEFENDANT
Implicit, LLC			Sandvine Corporation
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 8,694,683	4/8/2014	Implicit, LLC	
2 9,270,790	2/23/2016	Implicit, LLC	
3 9,591,104	3/7/2017	Implicit, LLC	
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
	Amen	dment 🗌 Answer	Cross Bill	□ Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLD	ER OF PATENT OR '	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

CLERK (BY) DEPUTY CLERK DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Juniper Ex. 1004-p. 342 Juniper v Implicit Case 2:18-cv-00046-JRG Document 15 Filed 04/10/18 Page 1 of 1 PageID #: 142

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
1 0			1116 you are hereby advised that a court action has beent of Texas, Marshall Divisionas 35 U.S.C. § 292.):
DOCKET NO. 2:18-cv-46-JRG	DATE FILED 2/26/2018	U.S. DI	STRICT COURT Eastern District of Texas, Marshall Division
PLAINTIFF			DEFENDANT
Implicit, LLC			McAfee, LLC
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 8,694,683	4/8/2014	Implicit, LLC	
2 9,270,790	2/23/2016	Implicit, LLC	
3 9,591,104	3/7/2017	Implicit, LLC	
4			
5			

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
	Amen	dment 🗌 Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOL	DER OF PATENT OR	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

It is therefore ORDERED that Plaintiff's claims against Defendant McAfee, LLC are DISMISSED WITHOUT PREJUDICE

CLERK	(BY) DEPUTY CLERK	DATE
Daniel A. O'Toole	Nakisha Love	4/10/18

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Juniper Ex. 1004-p. 343 Juniper v Implicit

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
* -			1116 you are hereby advised that a court action has beenct of Texas - Tyler Divisions 35 U.S.C. § 292.):
DOCKET NO. 6:16-cv-00078	DATE FILED 2/23/2016	U.S. DI	STRICT COURT Eastern District of Texas - Tyler Division
PLAINTIFF IMPLICIT, LLC			DEFENDANT NEC CORPORATION OF AMERICA
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 6,324,685	11/27/2001	Implicit, LLC	
2 8,694,683	4/8/2014	Implicit, LLC	
3 8,856,779	10/7/2014	Implicit, LLC	
4 9,270,790	2/23/2016	Implicit, LLC	
5			

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
		dment 🗌 Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOI	DER OF PATENT OR	TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

ORDER DISMISSING CASE. All claims asserted in this suit by Plaintiff against Defendant are hereby dismissed with prejudice, subject to the terms of a settlement agreement. All attorneys' fees and costs are to be borne by the party that incurred them

CLERK	(BY) DEPUTY CLERK	DATE
David A O'Toole	Michael Lantz	12/7/2016

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Juniper Ex. 1004-p. 344 Juniper v Implicit

AQ 120	(Rev. 08/10)	
TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	FILING ACTION

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following

DOCKET NO. 2:18-cv-54-JRG	DATE FILED 3/8/2018	U.S. DISTRICT COURT Eastern District of Texas, Marshall Division
PLAINTIFF		DEFENDANT
Implicit, LLC		Sandvine Corporation
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 8,694,683	4/8/2014	Implicit, LLC
2 9,270,790	2/23/2016	implicit, LLC
3 9,591,104	3/7/2017	Implicit, LLC
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
	Ame	ndment	Answer	Cross Bill	Other Pleading
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

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(BY) DEPUTY CLERK

DATE

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ן	TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office	RF FILING OR I
	P.O. Box 1450	ACTION RE
	Alexandria, VA 22313-1450]

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Marshall Division on the following

□ Trademarks or 🛛 🗹 Patents. (□ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 2:18-cv-53-JRG	DATE FILED 3/8/2018	U.S. DISTRICT COURT Eastern District of Texas, Marshall Division		
PLAINTIFF	•	DEFENDANT		
Implicit, LLC			NETSCOUT Systems, Inc.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	`	HOLDER OF PATENT OR TRADEMARK	
1 8,694,683	4/8/2014	Impli	icit, LLC	
2 9,270,790	2/23/2016	Impli	icit, LLC	
3 9,591,104	3/7/2017	Implicit, LLC		
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
	Armen	dment [Answer	🔲 Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER	R OF PATENT OR 1	RADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK

(BY) DEPUTY CLERK

DATE

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Case 2:18-cv-00047-JRG Document 18 Filed 11/02/18 Page 1 of 1 PageID #: 142

O: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THEfficeFILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK	
filed in the U.S. Dis		U.S.C. § 1116 you are hereby advised that a court action has been n District of Texas, Marshall Division on the following n involves 35 U.S.C. § 292.):	
DOCKET NO. 2:18-cv-47-JRG	DATE FILED 2/26/2018	U.S. DISTRICT COURT Eastern District of Texas, Marshall Division	
PLAINTIFF Implicit, LLC		DEFENDANT Sophos Ltd	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1 8,694,683	4/8/2014	Implicit, LLC	
2 9,270,790	2/23/2016	Implicit, LLC	
3 9,591,104	3/7/2017	Implicit, LLC	
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	Format m/d/yyyy In the above—entitled case, the fo	following patent(s)/ trademark(s) have been included:	
DATE INCLUDED	INCLUDED BY	dment Answer Cross Bill Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
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In the abo DECISION/JUDGEMENT	ve—entitled case, the following de	ecision has been rendered or judgement issued:	

ORDERED that all claims asserted in this suit between Plaintiff Implicit and Defendant Sophos are hereby DISMISSED WITHOUT PREJUDICE.

CLERK Daniel A. O' Poole

(BY) DEPUTY CLERK ch DATE **11/2/18**

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Juniper Ex. 1004-p. 347