# UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SOTERA WIRELESS, INC., Petitioner,

v.

MASIMO CORPORATION, Patent Owner.

> IPR2020-00954 Patent 9,788,735 B2

Before JOSIAH C. COCKS, JENNIFER MEYER CHAGNON, and ROBERT L. KINDER, *Administrative Patent Judges*.

KINDER, Administrative Patent Judge.

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JUDGMENT Final Written Decision Determining All Challenged Claims Unpatentable 35 U.S.C. § 318(a) Dismissing Petitioner's Motion to Exclude 37 C.F.R. §§ 42.155(c), 42.64

# I. INTRODUCTION

## A. Background and Summary

Sotera Wireless, Inc. ("Petitioner")<sup>1</sup> filed a Petition requesting *inter partes* review of U.S. Patent No. 9,788,735 B2 ("the '735 patent," Ex. 1001). Paper 1 ("Pet."). The Petition challenges the patentability of claims 1–20 of the '735 patent. Masimo Corporation ("Patent Owner" or "Masimo") filed a Preliminary Response to the Petition. Paper 7.

On November 25, 2020, we instituted trial. Paper 13 ("Inst. Dec." or "Decision to Institute"). Patent Owner filed a Response. Paper 21 ("PO Resp."). Petitioner filed a Reply. Paper 25 ("Pet. Reply"). Patent Owner filed a Sur-Reply. Paper 30 ("Sur-Reply"). An oral argument was held on August 26, 2021, and a transcript was entered into the record. Paper 40 ("Tr.").

We have jurisdiction to conduct this *inter partes* review under 35 U.S.C. § 6. This Final Written Decision is issued pursuant to 35 U.S.C. § 318(a) and 37 C.F.R. § 42.73. For the reasons discussed herein, we determine that Petitioner has shown, by a preponderance of the evidence, that all challenged claims (claims 1–20) of the '735 patent are unpatentable.

# B. Related Proceedings

Petitioner identifies *Masimo Corp. v. Sotera Wireless, Inc.*, Case No. 3:19-cv-01100-BAS-NLS (S.D. Cal.), served on June 13, 2019, as a related

<sup>&</sup>lt;sup>1</sup> Petitioner identifies Hon Hai Precision Industry Co., Ltd. as a real party-ininterest "because Hon Hai agrees to be bound by the estoppel provisions of 35 U.S.C. § 315(e)." Pet. 1.

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proceeding involving the '735 patent. Pet. 2; Ex. 1029; Ex. 1030. Petitioner also identifies IPR2020-00912, involving the same parties and U.S. Patent No. 10,213,108, which is a parent to the '735 patent. Pet. 2.

## C. The '735 Patent

The '735 patent is directed to an "Body Worn Mobile Medical Patient Monitor." Ex. 1001, code (54). The '735 patent claims priority through a series of continuation applications to Provisional Application No. 60/367,428, filed on March 25, 2002. *Id.* at codes (63), (60). The invention relates to "[a] body worn mobile medical monitoring device configured to minimize cable wiring from a sensor by placement of one or more sensor communication ports." *Id.* at code (57). Structurally, the "device includes a housing securable on a lower arm of a patient, a display, and a sensor communication port positioned on a side of the housing." *Id.* The body worn medical monitoring device may have other input or output ports that download software or provide a wired connection to other measurement instruments. *Id.* at 5:56–61.

The Specification describes a drawback to "[c]onventional physiological measurement systems," as requiring a "patient cable connection between sensor and monitor." *Id.* at 2:22–24. The Specification also describes the problems related with "disconnection of monitoring equipment and a corresponding loss of measurements," when needing to move patients. *Id.* at 2:25–28. A goal of the '735 patent was to allow patient mobility by providing "wireless communication links between sensors and monitors." *Id.* at 2:28–38; Fig. 3. The invention also sought "to provide a communications adapter that is plug-compatible both with existing

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sensors and monitors and that implements a wireless link replacement for the patient cable." *Id.* 

As depicted in Figure 4A below, sensor module 400 is plugcompatible with conventional sensor 310. *Id.* at 4:58–59. Wrist-mounted module 410 has module connector 414 with auxiliary cable 420 running therefrom to mate with sensor connector 318. *Id.* at Fig. 4A, 5:27–61.

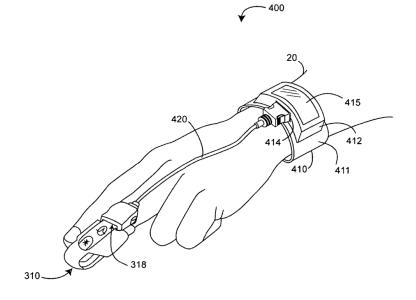


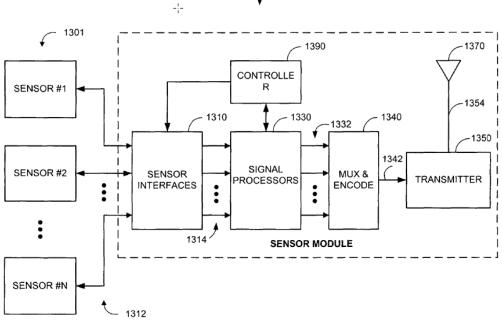
FIG. 4A

Figure 4A illustrates an embodiment of the "communications adapter sensor modules." *Id.* at 4:5–6. Wrist-mounted module 410 may have display 415 that shows sensor measurements, module status, and other visual indicators, such as monitor status. *Id.* at 5:39–42.

The Specification explains that in certain embodiments wrist-mounted module 410 may have other input or output ports that download software, configure the module, or provide a wired connection to other measurement instruments or computing devices. *Id.* at 5:54–61. In such embodiments, the wearable device can communicate with multiple sensors, and a multiple

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parameter sensor module with sensor interfaces and signal processors may be used as depicted in Figure 13, below. *Id.* at 11:56–66.



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Figure 13 depicts a functional block diagram of a sensor module configured for multiple sensors. *Id.* at 4:24–25. The Specification also notes that sensor signal conditioning may be performed in the analog domain or digital domain or both. *Id.* at 6:61–65.

# D. Illustrative Claim

Claim 1, reproduced below in chart form with Petitioner's added designations to identify each limitation, is illustrative of the claims at issue:

Designation	Claim Language
Claim1	A body worn mobile medical monitoring device
(Preamble)	configured to minimize cable wiring from a sensor
1(a)	by placement of one or more sensor
	communication ports, the mobile medical
	monitoring device comprising:

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