

Operating System Concepts

ABRAHAM SILBERSCHATZ

Yale University

PETER BAER GALVIN

Corporate Technologies, Inc.

GREG GAGNE

Westminster College



JOHN WILEY & SONS. INC



EXECUTIVE EDITOR Bill Zobrist

SENIOR PRODUCTION EDITOR Ken Santor

COVER DESIGNER Madelyn Lesure

COVER ILLUSTRATION Susan St. Cyr

TEXT DESIGNER Judy Allan

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To my children, Lemor, Sivan, and Aaron

Avi Silberschatz

To my wife, Carla, and my children, Gwen Owen and Maddie Peter Baer Galvin

In memory of Uncle Sonny, Robert Jon Heileman 1933 — 2004

Greg Gagne



Preface

Operating systems are an essential part of any computer system. Similarly, a course on operating systems is an essential part of any computer-science education. This field is undergoing rapid change, as computers are now prevalent in virtually every application, from games for children through the most sophisticated planning tools for governments and multinational firms. Yet the fundamental concepts remain fairly clear, and it is on these that we base this book.

We wrote this book as a text for an introductory course in operating systems at the junior or senior undergraduate level or at the first-year graduate level. We hope that practitioners will also find it useful. It provides a clear description of the *concepts* that underlie operating systems. As prerequisites, we assume that the reader is familiar with basic data structures, computer organization, and a high-level language, such as C. The hardware topics required for an understanding of operating systems are included in Chapter 1. For code examples, we use predominantly C, with some Java, but the reader can still understand the algorithms without a thorough knowledge of these languages.

Concepts are presented using intuitive descriptions. Important theoretical results are covered, but formal proofs are omitted. The bibliographical notes contain pointers to research papers in which results were first presented and proved, as well as references to material for further reading. In place of proofs, figures and examples are used to suggest why we should expect the result in question to be true.

The fundamental concepts and algorithms covered in the book are often based on those used in existing commercial operating systems. Our aim is to present these concepts and algorithms in a general setting that is not tied to one particular operating system. We present a large number of examples that pertain to the most popular and the most innovative operating systems, including Sun Microsystems' Solaris; Linux; Mach; Microsoft MS-DOS, Windows NT, Windows 2000, and Windows XP; DEC VMS and TOPS-20; IBM OS/2; and Apple Mac OS X.

In this text, when we refer to Windows XP as an example operating system, we are implying both Windows XP and Windows 2000. If a feature exists in Windows XP that is not available in Windows 2000, we will state this explicitly.

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