Paper # 31

Entered: February 16, 2022

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC., Petitioner,

v.

MASIMO CORPORATION, Patent Owner.

IPR2020-01523 Patent 8,457,703 B2

Record of Oral Hearing Held: January 19, 2022

Before JOSIAH C. COCKS, ROBERT L. KINDER, and AMANDA F. WIEKER, *Administrative Patent Judges*.

IPR2020-01523 Patent 8,457,703 B2

APPEARANCES:

ON BEHALF OF THE PETITIONER:

WALTER KARL RENNER, ESQUIRE DAN SMITH, ESQUIRE KIM LEUNG, ESQUIRE Fish & Richardson 1000 Maine Avenue, S.W. Washington, D.C. 20024

ON BEHALF OF PATENT OWNER:

JOSH STOWELL, ESQUIRE Knobbe, Martens, Olson & Bear, LLP 2040 Main Street Irvine, CA 92614

The above-entitled matter came on for hearing on Wednesday, January 19, 2022, commencing at 12:39 p.m., EDT, at the U.S. Patent and Trademark Office, by video/by telephone, before Chris Hofer, Notary Public.



PROCEEDINGS

1			
2	JUDGE COCKS: Welcome back everyone. This is the second		
3	session of our marathon oral hearing session today. I am Judge Cocks and		
4	again I'm joined by Judge Wieker and Judge Kinder. This oral argument		
5	session pertains to IPR2020-01523 involving patent 8,457,703. Would		
6	counsel for Petitioner who will be arguing their side today, will they please		
7	introduce themselves?		
8	MR. RENNER: Yes, Your Honor. This is Karl Renner. I am joined		
9	today by Dan Smith and Kim Leung and I'll be presenting primarily. Thank		
10	you.		
11	JUDGE COCKS: Thank you, Mr. Renner, and will counsel for Patent		
12	Owner who will be arguing in this session please identify themselves.		
13	MR. STOWELL: Hello, Your Honor. This is Josh Stowell from		
14	Knobbe, Martens and I will be arguing on behalf of the Patent Owner,		
15	Masimo.		
16	JUDGE COCKS: All right. Thank you, Mr. Stowell. So, we had		
17	provided for this session 40 minutes per side. Again, Petitioner will go first		
18	and may reserve rebuttal time. Patent Owner will then argue their		
19	opposition to Petitioner's case and may reserve surrebuttal time, and then		
20	Petitioner will use their rebuttal time and we will conclude with Patent		
21	Owner arguing their surrebuttal. So, with that said, Mr. Renner, you may		
22	begin whenever you are ready.		
23	MR. RENNER: Thank you, Your Honor, and I'll reserve ten minutes		
24	if I may		



1	JUDGE COCKS:	Yes.	Thank you.

- MR. RENNER: You're welcome. Well, good afternoon, Your
- 3 Honors. I'm Karl Renner as I mentioned and I'm here on behalf of Apple.
- 4 May it please the Court. Today we plan to address the Diab-based grounds
- 5 first. We're going to defer the conversation of the construction of
- 6 predetermined characteristics until addressing the Amano grounds given the
- 7 relevance of that construction to the Amano grounds.
- 8 If I could go to slide 4, please. On slide 4 we show the claims 1 and
- 9 9, the independent claims 1 and 9, which are largely redundant of one
- another and I'll just talk to them briefly to orient us at the outset here. The
- preamble indicates a focus on managing power consumption during a patient
- monitoring and the first two steps implicate driving light sources to emit
- light into tissue and receiving signals attenuated by that tissue as impacted.
- 14 Then the claim goes on to talk about transitioning from a lower power
- consumption level to a higher consumption level when processing
- characteristics are said to pass a predetermined threshold. Claims 9 and 1
- defer in one specific area and that has to do with what happens in the lower
- power consumption level and that is in claim 1 it calls for reduced sensor
- 19 activation but claim 9 speaks instead of signal processor processing.
- Go to slide 12, please, we'll turn into the Diab reference and speak to
- 21 the combination by doing so. Diab is similar to the claims of '703 device as
- 22 it emits light into tissue and it detects the lights attenuated from that tissue
- 23 and it uses that detector attenuation of light to deduce physiological
- 24 measurements for a specific patient (phonetic). Diab addresses two kinds of
- unhelpful artifacts, noise, that may be present in the detected light. The first



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is described as an artifact resulting from, for instance, respiration. It's a low

2 frequency type of an artifact. It's not relevant to our claim's mapping.

The second is where we spend the time in the record and that's where we focus because it's an artifact that's introduced by patient movement, said to be erratic unlike that low frequency that's done with the respiration and the difference between these two noises or artifacts is yielded in the treatment that they get that's different in Diab.

If we look at slide 13, please, we'll see at the bottom figure 20 is annotated and shows how Diab addresses these kinds of noises. The low frequency artifact, those unrelated to motion, are shown as the signal passes with the green line in the top part of this figure. It's an infrared light type noise or artifact and it's processed along this path and it's processed along this path with a DC bandpass filter in a spectral estimation module.

The erratic motion artifacts, those ones that have to do with motion, these are characteristics that appear in the red and the ultrared light and they're otherwise addressed in the processing path that's shown in purple at the bottom and kind of throughout. Most notoriously though, this includes the namesake element, the motion artifact suppression module 580 that's going to get a lot of conversation today. But it also includes corresponding spectral estimation module 588 and there doesn't seem to be controversy at this level of detail. Where the controversy comes in is when examining that purple path and assessing what Diab does in the absence of motion. Does Diab continue to process motion artifacts and suppress them? Does it do the processes that are otherwise called for by its namesake in doing that in the absence of motion or does it continue?



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