

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

REGENERON PHARMACEUTICALS, INC.,
Petitioner

v.

NOVARTIS PHARMA AG,
NOVARTIS TECHNOLOGY LLC,
NOVARTIS PHARMACEUTICALS CORPORATION,
Patent Owners

Case IPR2021-00816
U.S. Patent 9,220,631

PATENT OWNERS' UPDATED MANDATORY NOTICES

Pursuant to 37 C.F.R. § 42.8(a)(3), Patent Owners Novartis Pharma AG, Novartis Technology LLC, and Novartis Pharmaceuticals Corporation (collectively, “Patent Owner”) hereby updates its Mandatory Notices to update the identification of counsel and service information.

I. 37 C.F.R. § 42.8(b)(1) – REAL PARTY-IN-INTEREST

The patent owners and real parties-in-interest are Novartis Pharma AG, Novartis Technology LLC, and Novartis Pharmaceuticals Corporation.

II. 37 C.F.R. § 42.8(b)(2) – RELATED MATTERS

Pursuant to 37 C.F.R. § 42.8(b)(2), Patent Owners identify the following district court and administrative proceedings that would affect, or may be affected by, a decision in this proceeding:

- *Novartis Pharma AG et al v. Regeneron Pharmaceuticals, Inc.*, No. 1:20-cv-00690-TJM-CFH (NDNY)
- *Regeneron Pharmaceuticals Inc. v. Novartis Pharma AG et al*, 1:21-cv-01066-DNH-CFH (NDNY)
- International Trade Commission Inv. No. 337-1207, *Certain Pre-Filled Syringes for Intravitreal Injection and Components Thereof*
- IPR2020-01317 (Patent No. 9,220,631), *Regeneron Pharmaceuticals, Inc. v. Novartis Pharma AG, et al.*

- IPR2020-01318 (Patent No. 9,220,631), *Regeneron Pharmaceuticals, Inc. v. Novartis Pharma AG, et al.*

III. 37 C.F.R. § 42.8(b)(3) – DISCLOSURE OF COUNSEL

Pursuant to 37 C.F.R. § 42.8(b)(3), Patent Owner identifies lead and back-up counsel as follows:

<p>Lead Counsel:</p> <p>Elizabeth J. Holland (Reg. No. 47,657) ALLEN & OVERY LLP 221 Avenue of the Americas New York, NY 10020 Phone: (212) 610-6300 elizabeth.holland@allenoverly.com</p>	<p>First Backup Counsel:</p> <p>Nicholas K. Mitrokostas (<i>pro hac vice</i>) ALLEN & OVERY LLP 1 Beacon Street Boston, MA 02108 Phone: (857) 353-4503 nicholas.mitrokostas@allenoverly.com</p>
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IV. 37 C.F.R. § 42.8(b)(4) – CORRESPONDENCE

Pursuant to 37 C.F.R. § 42.8(b)(4), Patent Owners request that all

electronic correspondence be directed to lead counsel and back-up counsel identified in Section III above. Patent Owner consents to electronic service at the following e-mail address: elizabeth.holland@allenoverly.com, nicholas.mitrokostas@allenoverly.com and william.james@allenoverly.com.

Dated: July 13, 2022

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CERTIFICATE OF SERVICE

A copy of this **Patent Owners' Updated Mandatory Notices** has been served on Petitioner's attorneys of record as follows via electronic mail:

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