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TRANSMITTAL FOR POWER OF ATTORNEY TO ONE OR MORE REGISTERED PRACTITIONERS

NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5, unless the application number and filing date are identified in the Power of Attorney by Applicant form. If neither form PTO/AIA/82A nor form PTO/AIA82B identifies the application to which the Power of Attorney is directed, the Power of Attorney will not be recognized in the application.

Application Number	Unassigned					
Filing Date	Herewith					
First Named Inventor Lawrence Kates						
Title	Wireless Sensor Unit Communication Triggering and Management					
Art Unit	Unassigned					
Examiner Name	Unassigned					
Attorney Docket Number	563800USCON11					
SIGNATURE of A	pplicant or Patent Practitioner					
^{Signature} /Matt	hew Johnson/	Date (Optional)	April 4, 2016			
Name Matthew	v Johnson	Registration Number	72299			
Title (if Applicant is a juristic entity)	ent of Record					
Applicant Name (if Applicant is a juristic entity) MOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. MOTE: Total of 1 forms are submitted.						

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/AJA/82B (07-13) Description: Power of Attorney Approved for use through 11/30/2014, OMB 0651-0051 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

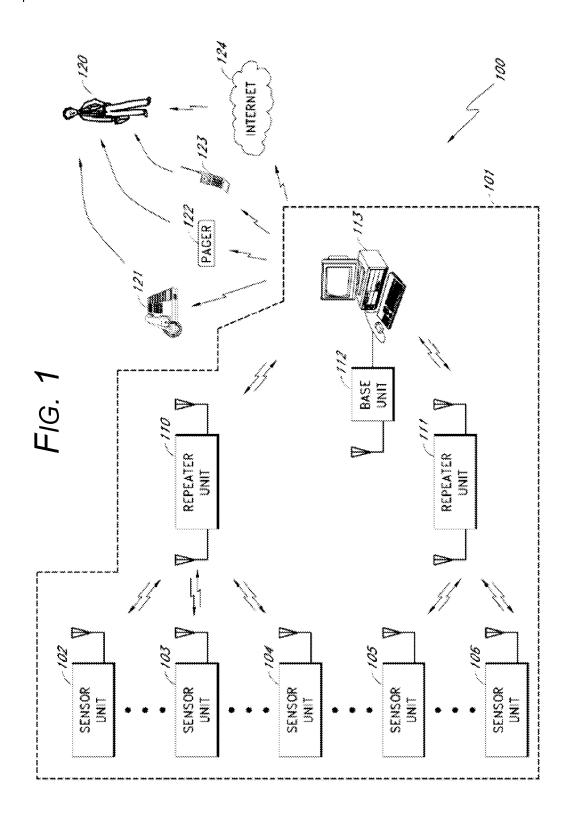
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l hereby revoke all p the boxes below.	revious powers of attorney giver	n in the applicat	on identified in <u>eith</u>	<u>er</u> lhe atlac	hed transmittal letter or		
-	Application Number		Filing Date				
I hereby appo to transact all the attached t OR I hereby appo all business in attached trans Please recognize of letter or the boxes I he address a OR The address a OR The address a OR Firm or	ssociated with the above-mentionersociated with Customer Number:	ated with the follo tt and Trademark) or identified abo ched list (form PT emark Office con identified above.	wing Customer Numi Office connected the ve: 124746 O/AIA/82C) as my/ou hected therewith for the (Note: Complete for the application ider	per as my/ou rewith for the ur attorney(s) he patent app m PTO/AIA/8	r attorney(s) or agent(s), a e application referenced ir or agent(s), and to transa olication referenced in the 32C.)	n act	
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Google Inc.	e Applicant is a juristic entity, list the		in the box);				
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application of t	s concurrently being filed with this d SIGNAT	URE of Applicar		cant is a juris	stic entity)	©	
The undersigned (who	ose title is supplied below) is authorize			where the an	olicant is a juristic entity)		
Signature	auf m to		Date (Optiona		24. 2014	1	
Name	Allen Lo		· · · · · ·			-1	
Title	Assistant Secretary & Deputy	General Couns	el of Google Inc.			\neg	
and certifications. If m	his form must be signed by the application one applicant, use multiple f	ant in accordance		37 CFR 1.41	for signature requirements		
✓ Total of 1	forms are submitted.						
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including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Docket #: GP-5638-00-US-CON11 Page: 1 of 7 Inventor: Kates Wireless Sensor Unit Communication Triggering and Management



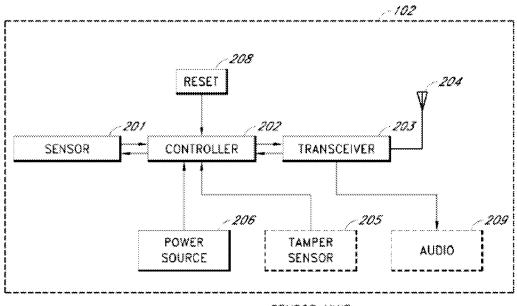
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Sonos Ex. 1012, p. 3 Sonos v. Google IPR2021-00964

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Docket #: GP-5638-00-US-CON11 Page: 2 of 7 Inventor: Kates Wireless Sensor Unit Communication Triggering and Management

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SENSOR UNIT

FIG. 2

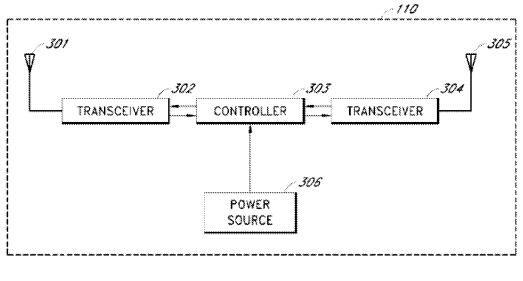


FIG. 3

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Sonos Ex. 1012, p. 4 Sonos v. Google IPR2021-00964 Docket #: GP-5638-00-US-CON11 Page: 3 of 7 Inventor: Kates Wireless Sensor Unit Communication Triggering and Management

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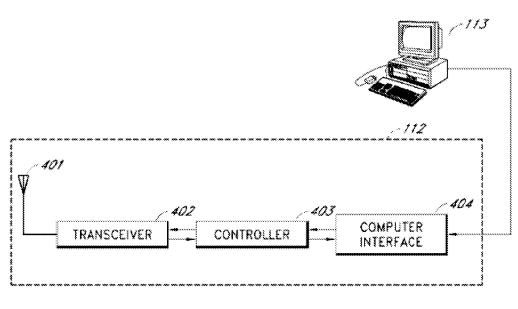


FIG. 4

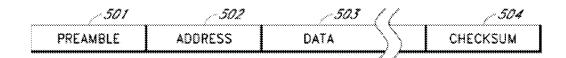
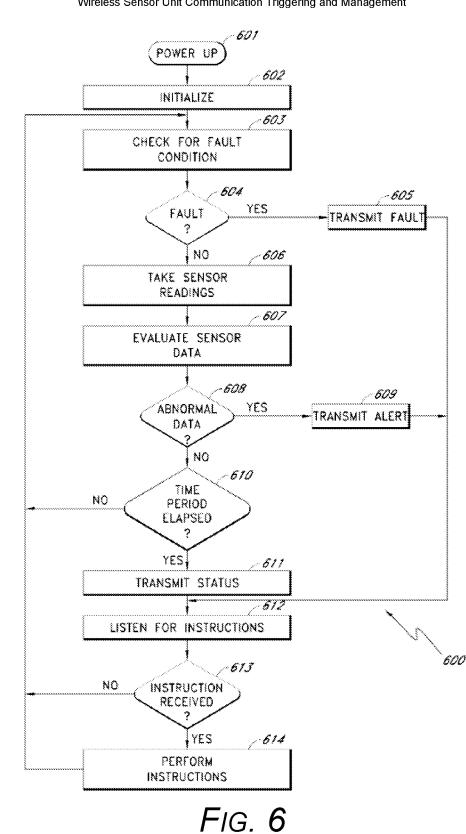
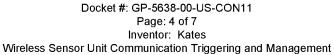


FIG. 5

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Sonos Ex. 1012, p. 6 Sonos v. Google IPR2021-00964

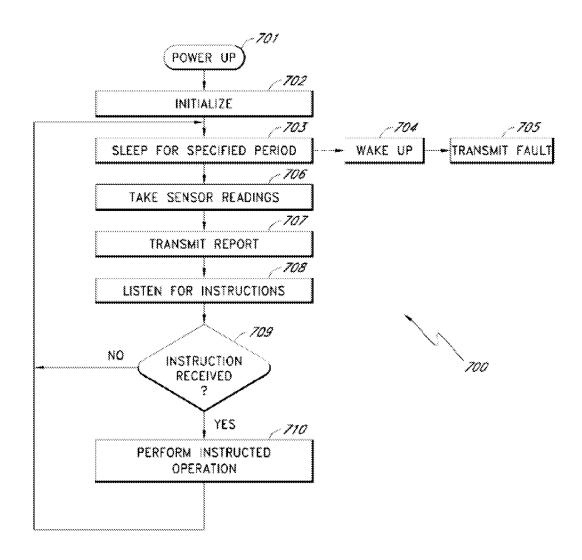


FIG. 7

Sonos Ex. 1012, p. 7 Sonos v. Google IPR2021-00964

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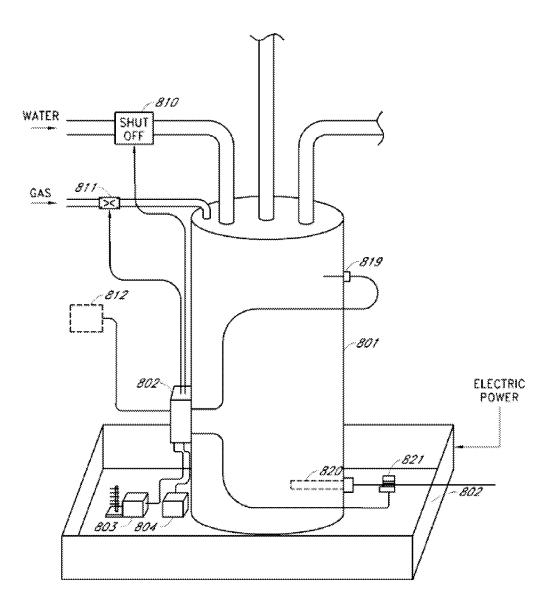
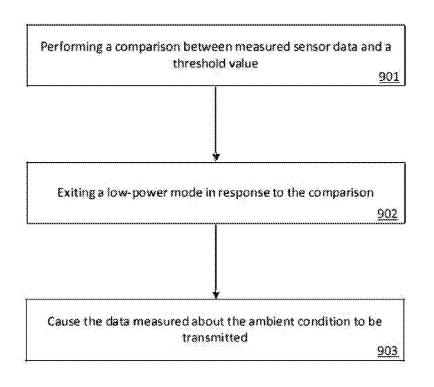


FIG. 8

Sonos Ex. 1012, p. 8 Sonos v. Google IPR2021-00964 +







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APPLICATION DATA SHEET (37 CFR 1.76)

Title of Investion

As the being marked investor, I benetiy dealary (by):

WIRELESS TRANSCEIVER

This declaration is directed to:

United States application of PCT international application resultar <u>14/198,876</u> filed on <u>January 30, 2014</u>

The eixenvidentified application was condent authorized to be purfy by ever.

The attached application, or

I bedieve that I are the original investor or no original joint investor of a darined investion in the explication.

) hereby accountedge that any will a faite elaterment made in talk declaration to pervisit after 13 U.S.C. 1007 by fine or imprisonment of not more than fine (S) years, or both.

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Petitionar/applicant is cautionaid in anicht submitting personal information in documente first in a cutarit application that may contribute to identify theft. Personal information such as social security support, bank accurat rearbors, or credit card numbers (other then a check or credit card authorization family PTC-2038 activities for payment personal termines, or credit card numbers (other then a check or credit card authorization family PTC-2038 activities for payment personal termines, or credit card numbers (other then a check or credit card authorization family PTC-2038 activities for payment personal termines) is resear required by the USPTO to support a petition or an application. If this type of personal information is introduced to choracter submitted by the USPTO, petitionenes/applicant is based consider reducting such personal information is introduced to choracter submitting them is the USPTO. Petitionenes/petitantia based consider reducting such personal information is accutated to the public after publication of the petitionenes/petitantia based consider reducting such personal information is accutated to the public after publication of the USPTO. Petitionenes, the record for request is contained as petition is petitication is in the application of the patient. Furthermore, the record form an abandomet application is and to react the public fiber is application is petitionenes, the record in the submitted patient (see 37 CFR 1,14). Checks and credit card application here PTC-2038 automated for payceent proposes are not reduced in the application file and covering and card automated in the application of the patient.

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invento: Lawrance Kates

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Signations

Note: An application data share (PTOrDANY14 or opplyment), including naming bir under immedie antity, incel according to a truth horse Sensy previously filed. Use an asterional PTO/AIAO1 sum for each additional inventor.

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Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	563800USCON11				
		Application Number					
Title of Invention	le of Invention Wireless Sensor Unit Communication Triggering and Management						
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.							

Secrecy Order 37 CFR 5.2:

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Inventor Information:

Invento								R	emove			
Legal N	lame											
Prefix Given Name			Middle Nam	e		Family Name				Su	ffix	
-	Lawr	ence					Kates					⊡
Reside	ence	Information (Select One)	US Residency		Non US Re	sidency	Activ	e US Military S	ervice		
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Mailing <i>A</i>	Addro	ess of Invente	or:									
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City		Mountain View	v			State/Prov	vince	CA				
Postal	Code	2		Country			US					
	Postal Code 94043 Country i US All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. Add											

Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).								
An Address is being provided for the correspondence Information of this application.								
Customer Number	124746	24746						
Email Address	docket@sbmc-law.com	ocket@sbmc-law.com Add Email Remove Email						

Application Information:

Title of the Invention	Wireless Sensor Unit Communication T	eless Sensor Unit Communication Triggering and Management						
Attorney Docket Number	563800USCON11	300USCON11 Small Entity Status Claimed						
Application Type	Nonprovisional	nprovisional						
Subject Matter	Jtility	ility 🗸						
Total Number of Drawing	Sheets (if any) 7	Suggested Figure for Publication (if any) 1						

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Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	563800USCON11
Application Data She		Application Number	
Title of Invention	Wireless Sensor Unit Commu	nication Triggering and Manage	ment

Filing By Reference:

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

Application number of the previously filed application	Filing date (YYYY-MM-DD)	Intellectual Property Authority or Country

Publication Information:

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
 Request Not to Publish. I hereby request that the attached application not be published under
 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

Please Select One:	Customer Number	US Patent Practitioner	Limited Recognition (37 CFR 11.9)
Customer Number			

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78. When referring to the current application, please leave the "Application Number" field blank.

Prior Application Status	Pending -		Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
	Continuation of	14548137	2014-11-19

PTO/AIA/14 (11-15) Approved for use through 04/30/2017. OMB 0651-0032 and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application D	ata Sha	ot 27 CED	. 4 7	Attorney D	ocket Numb	er 563800	USCC	DN11			
Application D	ala She	el 37 CFR		Application Number							
Title of Invention Wireless Sensor Unit Communication					ering and Mar	agement					
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12905248	Continuat	ion of	-	12482079	2008-07-2	29	7817	7031	2010-	10-19	
Prior Application	on Status	Patented		•				R	kemove		
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Prior Application	on Status	Patented		•				R	kemove		
Application Number Continuity Type		Prior Application Number	Filing Date (YYYY-MM-DD))) Patent Number			sue Date /Y-MM-DD)			
11562313	Continuat	ion of	.	10856231	2004-05-27 714210		2107	2006-	11-28		

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Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)ⁱ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

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Application D	ata Sheet 37 CFR 1.76	Attorney Docket Number	563800USCON11
Application Da		Application Number	
Title of Invention Wireless Sensor Unit Communication Triggering and Management			ment

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March X 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

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Application Da	ta Shoot 27 CED 1 76	Attorney Docket Number	563800USCON11
	Application Data Sheet 37 CFR 1.76		
Title of Invention Wireless Sensor Unit Communication Triggering and Management		ment	

Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

<u>NOTE</u>: This section of the Application Data Sheet is <u>ONLY</u> reviewed and processed with the <u>INITIAL</u> filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)

A. Priority Document Exchange (PDX) - Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).

B. <u>Search Results from U.S. Application to EPO</u> - Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)

A. Applicant <u>DOES NOT</u> authorize the USPTO to permit a participating foreign IP office access to the instant
 application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.

B. Applicant <u>DOES NOT</u> authorize the USPTO to transmit to the EPO any search results from the instant patent
 application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

NOTE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

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Application Da	ta Shoot 27 CED 1 76	Attorney Docket Number	563800USCON11
	Application Data Sheet 37 CFR 1.76		
Title of Invention Wireless Sensor Unit Commur		nication Triggering and Manage	ment

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.					
Applicant 1			Remove		
The information to be provided in this 1.43; or the name and address of the who otherwise shows sufficient propr applicant under 37 CFR 1.46 (assign	section is the name and address assignee, person to whom the in letary interest in the matter who is ee, person to whom the inventor	s of the legal representa oventor is under an oblig s the applicant under 37 is obligated to assign, o	this section should not be completed. tive who is the applicant under 37 CFR pation to assign the invention, or person CFR 1.46. If the applicant is an r person who otherwise shows sufficient ors who are also the applicant should be		
Assignee Legal Representative under 35 U.S.C. 117 Joint Inventor					
Person to whom the inventor is obligated to assign. Person who shows sufficient proprietary interest					
If applicant is the legal representa	tive, indicate the authority to	file the patent applicat	tion, the inventor is:		
			•		
Name of the Deceased or Legally	Incapacitated Inventor:				
If the Applicant is an Organization	on check here.				
Organization Name Google I	nc.				
Mailing Address Information F	or Applicant:				
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Address 2					
City Mou	ntain View	State/Province	CA		
Country US		Postal Code	94043		
Phone Number		Fax Number			
Email Address					
Additional Applicant Data may be generated within this form by selecting the Add button.					

Assignee Information including Non-Applicant Assignee Information:

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Applicatio	n Data Sh	Data Sheet 37 CFR 1.76		Attorney Doc		5638000	ISCON11	
				Application N	lumber			
Title of Inven	tion Wirel	ess (Sensor Unit Commu	nication Triggeri	ng and Manage	ment		
Assignee	1							
application publ	ication. An as n applicant. F	signe or ar	information, includin ee-applicant identifie n assignee-applicant	d in the "Applica	ant Information"	section wil	l appear on the p	
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If the Assigne	ee or Non-A	oplic	ant Assignee is ar	n Organization	check here.		X]
Organization	Name	Goo	gle Inc.					
Mailing Addro	ess Informa	tion	For Assignee in	cluding Non-A	Applicant Ass	ignee:		
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Address 2								
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Application Da	ta Shoot 27 CED 1 76	Attorney Docket Number	563800USCON11
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention Wireless Sensor Unit Communication Triggering and Management			ment

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Electronic Pate							
Application Number:							
Filing Date:							
Title of Invention:	Wir	Wireless Sensor Unit Communication Triggering and Management					
First Named Inventor/Applicant Name:	Law	vrence Kates					
Filer:	Will	William Breen/Whitney Soule					
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Utility application filing		1011	1	280	280		
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Petition:							
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Post-Allowance-and-Post-Issuance:				
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WIRELESS SENSOR UNIT COMMUNICATION TRIGGERING AND MANAGEMENT

Inventor Lawrence Kates

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application is a continuation of U.S. Patent Application Ser. No. 14/548,137, filed November 19, 2014, and entitled, "WIRELESS SENSOR UNIT COMMUNICATION TRIGGERING AND MANAGEMENT," U.S. Patent Application Ser. No. 14/168,876, filed January 30, 2014, and entitled, "WIRELESS TRANSCEIVER," which is a continuation of U.S. Patent Application Ser. No. 12/905,248, filed October 15, 2010, and entitled, "WIRELESS TRANSCEIVER," which is a continuation of U.S. Patent Application Ser. No. 12/182,079, filed July 29, 2008, and entitled "WIRELESS TRANSCEIVER," now U.S. Pat. No. 7,817,031, which is a divisional of U.S. Patent Application Ser. No. 11/562,313, filed November 21, 2006, and entitled "WIRELESS TRANSCEIVER," now U.S. Pat. No. 7,411,494, which is a continuation of U.S. Patent Application Ser. No. 10/856,231, filed May 27, 2004, and entitled "WIRELESS TRANSCEIVER," now U.S. Pat. No. 7,142,107. The entire disclosures of the above applications are hereby incorporated by reference, for all purposes, as if fully set forth herein.

BACKGROUND

[0002] 1. Field of the Invention

[0003] The present invention relates to a wireless sensor unit system providing bi-directional communication between a sensor (e.g., smoke sensor, fire sensor, temperature sensor, water, etc.) and a repeater or base unit in a building protection system.

[0004] 2. Description of the Related Art

[0005] Maintaining and protecting a building or complex is difficult and costly. Some conditions, such as fires, gas leaks, etc. are a danger to the occupants and the structure. Other malfunctions, such as water leaks in roofs, plumbing, etc. are not necessarily dangerous for the occupants, but can nevertheless cause considerable damage. In many cases, an adverse ambient condition such as water leakage, fire, etc. is not detected in the early stages when the damage and/or danger is relatively small. Sensors can be used to detect such adverse ambient conditions,

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Sonos Ex. 1012, p. 25 Sonos v. Google IPR2021-00964 but sensors present their own set of problems. For example, adding sensors, such as, for example, smoke detectors, water sensors, and the like in an existing structure can be prohibitively expensive due to the cost of installing wiring between the remote sensors and a centralized monitoring device used to monitor the sensors. Adding wiring to provide power to the sensors further increases the cost. Moreover, with regard to fire sensors, most fire departments will not allow automatic notification of the fire department based on the data from a smoke detector alone. Most fire departments require that a specific temperature rate-of-rise be detected before an automatic fire alarm system can notify the fire department. Unfortunately, detecting fire by temperature rate-of-rise generally means that the fire is not detected until it is too late to prevent major damage.

SUMMARY

[0006] The present invention solves these and other problems by providing a relatively low cost, robust, wireless sensor system that provides an extended period of operability without maintenance. The system includes one or more intelligent sensor units and a base unit that can communicate with the sensor units. When one or more of the sensor units detects an anomalous condition (e.g., smoke, fire, water, etc.) the sensor unit communicates with the base unit and provides data regarding the anomalous condition. The base unit can contact a supervisor or other responsible person by a plurality of techniques, such as, telephone, pager, cellular telephone, Internet (and/or local area network), etc. In one embodiment, one or more wireless repeaters are used between the sensor units and the base unit to extend the range of the system and to allow the base unit to communicate with a larger number of sensors.

[0007] In one embodiment, the sensor system includes a number of sensor units located throughout a building that sense conditions and report anomalous results back to a central reporting station. The sensor units measure conditions that might indicate a fire, water leak, etc. The sensor units report the measured data to the base unit whenever the sensor unit determines that the measured data is sufficiently anomalous to be reported. The base unit can notify a responsible person such as, for example a building manager, building owner, private security service, etc. In one embodiment, the sensor units do not send an alarm signal to the central location. Rather, the sensors send quantitative measured data (e.g., smoke density, temperature rate of rise, etc.) to the central reporting station.

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Sonos Ex. 1012, p. 26 Sonos v. Google IPR2021-00964 **[0008]** In one embodiment, the sensor system includes a battery-operated sensor unit that detects a condition, such as, for example, smoke, temperature, humidity, moisture, water, water temperature, carbon monoxide, natural gas, propane gas, other flammable gases, radon, poison gasses, etc. The sensor unit is placed in a building, apartment, office, residence, etc. In order to conserve battery power, the sensor is normally placed in a low-power mode. In one embodiment, while in the low power mode, the sensor unit takes regular sensor readings and evaluates the readings to determine if an anomalous condition exists (*e.g.*, block 901 of method 900 of FIG. 9). If an anomalous condition is detected, then the sensor unit "wakes up" (block 902) and begins communicating with the base unit or with a repeater (block 903). At programmed intervals, the sensor also "wakes up" and sends status information to the base unit (or repeater) and then listens for commands for a period of time.

[0009] In one embodiment, the sensor unit is bi-directional and configured to receive instructions from the central reporting station (or repeater). Thus, for example, the central reporting station can instruct the sensor to: perform additional measurements; go to a standby mode; wake up; report battery status; change wake-up interval; run self-diagnostics and report results; etc. In one embodiment, the sensor unit also includes a tamper switch. When tampering with the sensor is detected, the sensor reports such tampering to the base unit. In one embodiment, the sensor reports its general health and status to the central reporting station on a regular basis (e.g., results of self-diagnostics, battery health, etc.).

[0010] In one embodiment, the sensor unit provides two wake-up modes, a first wake-up mode for taking measurements (and reporting such measurements if deemed necessary), and a second wake-up mode for listening for commands from the central reporting station. The two wake-up modes, or combinations thereof, can occur at different intervals.

[0011] In one embodiment, the sensor units use spread-spectrum techniques to communicate with the base unit and/or the repeater units. In one embodiment, the sensor units use frequency-hopping spread-spectrum. In one embodiment, each sensor unit has an Identification code (ID) and the sensor units attaches its ID to outgoing communication packets. In one embodiment, when receiving wireless data, each sensor unit ignores data that is addressed to other sensor units.

[0012] The repeater unit is configured to relay communications traffic between a number of sensor units and the base unit. The repeater units typically operate in an environment with

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Sonos Ex. 1012, p. 27 Sonos v. Google IPR2021-00964 several other repeater units and thus each repeater unit contains a database (e.g., a lookup table) of sensor IDs. During normal operation, the repeater only communicates with designated wireless sensor units whose IDs appears in the repeater's database. In one embodiment, the repeater is battery-operated and conserves power by maintaining an internal schedule of when its designated sensors are expected to transmit and going to a low-power mode when none of its designated sensor units is scheduled to transmit. In one embodiment, the repeater uses spread-spectrum to communicate with the base unit and the sensor units. In one embodiment, the repeater uses frequency-hopping spread-spectrum to communicate with the base unit and the sensor units. In one embodiment, each repeater unit and the repeater unit attaches its ID to outgoing communication packets that originate in the repeater units or to sensor units not serviced by the repeater.

[0013] In one embodiment, the repeater is configured to provide bi-directional communication between one or more sensors and a base unit. In one embodiment, the repeater is configured to receive instructions from the central reporting station (or repeater). Thus, for example, the central reporting station can instruct the repeater to: send commands to one or more sensors; go to standby mode; "wake up"; report battery status; change wake-up interval; run self-diagnostics and report results; etc.

[0014] The base unit is configured to receive measured sensor data from a number of sensor units. In one embodiment, the sensor information is relayed through the repeater units. The base unit also sends commands to the repeater units and/or sensor units. In one embodiment, the base unit includes a diskless PC that runs off of a CD-ROM, flash memory, DVD, or other read-only device, etc. When the base unit receives data from a wireless sensor indicating that there may be an emergency condition (e.g., a fire or excess smoke, temperature, water, flammable gas, etc.) the base unit will attempt to notify a responsible party (e.g., a building manager) by several communication channels (e.g., telephone, Internet, pager, cell phone, etc.). In one embodiment, the base unit sends instructions to place the wireless sensor in an alert mode (inhibiting the wireless sensor's low-power mode). In one embodiment, the base unit sends instructions to activate one or more additional sensors near the first sensor.

[0015] In one embodiment, the base unit maintains a database of the health, battery status, signal strength, and current operating status of all of the sensor units and repeater units in the wireless

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Sonos Ex. 1012, p. 28 Sonos v. Google IPR2021-00964 sensor system. In one embodiment, the base unit automatically performs routine maintenance by sending commands to each sensor to run a self-diagnostic and report the results. The bases unit collects such diagnostic results. In one embodiment, the base unit sends instructions to each sensor telling the sensor how long to wait between "wakeup" intervals. In one embodiment, the base unit schedules different wakeup intervals to different sensors based on the sensor's health, battery health, location, etc. In one embodiment, the base unit sends instructions to repeaters to route sensor information around a failed repeater.

BRIEF DESCRIPTION OF THE DRAWINGS

[0016] FIG. 1 shows a sensor system that includes a plurality of sensor units that communicate with a base unit through a number of repeater units.

[0017] FIG. 2 is a block diagram of a sensor unit.

[0018] FIG. 3 is a block diagram of a repeater unit.

[0019] FIG. 4 is a block diagram of the base unit.

[0020] FIG. 5 shows one embodiment a network communication packet used by the sensor units, repeater units, and the base unit.

[0021] FIG. 6 is a flowchart showing operation of a sensor unit that provides relatively continuous monitoring.

[0022] FIG. 7 is a flowchart showing operation of a sensor unit that provides periodic monitoring.

[0023] FIG. 8 shows how the sensor system can be used to detected water leaks.

[0024] FIG. 9 illustrates a method for using a wireless ambient sensor unit.

DETAILED DESCRIPTION

[0025] FIG. 1 shows an sensor system 100 that includes a plurality of sensor units 102-106 that communicate with a base unit 112 through a number of repeater units 110-111. The sensor units 102-106 are located throughout a building 101. Sensor units 102-104 communicate with the repeater 110. Sensor units 105-105 communicate with the repeater 111. The repeaters 110-111 communicate with the base unit 112. The base unit 112 communicates with a monitoring computer system 113 through a computer network connection such as, for example, Ethernet, wireless Ethernet, firewire port, Universal Serial Bus (USB) port, bluetooth, etc. The computer system 113 contacts a building manager, maintenance service, alarm service, or other responsible

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personnel 120 using one or more of several communication systems such as, for example, telephone 121, pager 122, cellular telephone 123 (e.g., direct contact, voicemail, text, etc.), and/or through the Internet and/or local area network 124 (e.g., through email, instant messaging, network communications, etc.). In one embodiment, multiple base units 112 are provided to the monitoring computer 113. In one embodiment, the monitoring computer 113 is provided to more than one compute monitor, thus allowing more data to be displayed than can conveniently be displayed on a single monitor. In one embodiment, the monitoring computer 113 is provided to multiple monitors located in different locations, thus allowing the data form the monitoring computer 113 to be displayed in multiple locations.

[0026] The sensor units 102-106 include sensors to measure conditions, such as, for example, smoke, temperature, moisture, water, water temperature, humidity, carbon monoxide, natural gas, propane gas, security alarms, intrusion alarms (e.g., open doors, broken windows, open windows, and the like), other flammable gases, radon, poison gasses, etc. Different sensor units can be configured with different sensors or with combinations of sensors. Thus, for example, in one installation the sensor units 102 and 104 could be configured with smoke and/or temperature sensors while the sensor unit 103 could be configured with a humidity sensor.

[0027] The discussion that follows generally refers to the sensor unit 102 as an example of a sensor unit, with the understanding that the description of the sensor unit 102 can be applied to many sensor units. Similarly, the discussion generally refers to the repeater 110 by way of example, and not limitation. It will also be understood by one of ordinary skill in the art that repeaters are useful for extending the range of the sensor units 102-106 but are not required in all embodiments. Thus, for example in one embodiment, one or more of the sensor units 102-106 can communicate directly with the base unit 112 without going through a repeater. It will also be understood by one of ordinary skill in the art that FIG. 1 shows only five sensor units (102-106) and two repeater units (110-111) for purposes of illustration and not by way of limitation. An installation in a large apartment building or complex would typically involve many sensor units and repeater units. Moreover, one of ordinary skill in the art will recognize that one repeater unit can service relatively many sensor units. In one embodiment, the sensor units 102 can communicate directly with the base unit 112 without going through a repeater 111.
[0028] When the sensor unit 102 detects an anomalous condition (e.g., smoke, fire, water, etc.) the sensor unit communicates with the appropriate repeater unit 110 and provides data regarding

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Sonos Ex. 1012, p. 30 Sonos v. Google IPR2021-00964 the anomalous condition. The repeater unit 110 forwards the data to the base unit 112, and the base unit 112 forwards the information to the computer 113. The computer 113 evaluates the data and takes appropriate action. If the computer 113 determines that the condition is an emergency (e.g., fire, smoke, large quantities of water), then the computer 113 contacts the appropriate personnel 120. If the computer 113 determines that the situation warrants reporting, but is not an emergency, then the computer 113 logs the data for later reporting. In this way, the sensor system 100 can monitor the conditions in and around the building 101.

[0029] In one embodiment, the sensor unit 102 has an internal power source (e.g., battery, solar cell, fuel cell, etc.). In order to conserve power, the sensor unit 102 is normally placed in a low-power mode. In one embodiment, using sensors that require relatively little power, while in the low power mode the sensor unit 102 takes regular sensor readings and evaluates the readings to determine if an anomalous condition exists. In one embodiment, using sensors that require relatively more power, while in the low power mode the sensor unit 102 takes and evaluates sensor readings at periodic intervals. If an anomalous condition is detected, then the sensor unit 102 "wakes up" and begins communicating with the base unit 112 through the repeater 110. At programmed intervals, the sensor unit 102 also "wakes up" and sends status information (e.g., power levels, self-diagnostic information, etc.) to the base unit (or repeater) and then listens for commands for a period of time. In one embodiment, the sensor unit 102 also includes a tamper detector. When tampering with the sensor unit 102 is detected, the sensor unit 102 reports such tampering to the base unit 112.

[0030] In one embodiment, the sensor unit 102 provides bi-directional communication and is configured to receive data and/or instructions from the base unit 112. Thus, for example, the base unit 112 can instruct the sensor unit 102 to perform additional measurements, to go to a standby mode, to wake up, to report battery status, to change wake-up interval, to run self-diagnostics and report results, etc. In one embodiment, the sensor unit 102 reports its general health and status on a regular basis (e.g., results of self-diagnostics, battery health, etc.). **[0031]** In one embodiment, the sensor unit 102 provides two wake-up modes, a first wake-up mode for taking measurements (and reporting such measurements if deemed necessary), and a second wake-up mode for listening for commands from the central reporting station. The two wake-up modes, or combinations thereof, can occur at different intervals.

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[0032] In one embodiment, the sensor unit 102 use spread-spectrum techniques to communicate with the repeater unit 110. In one embodiment, the sensor unit 102 use frequency-hopping spread-spectrum. In one embodiment, the sensor unit 102 has an address or identification (ID) code that distinguishes the sensor unit 102 from the other sensor units. The sensor unit 102 attaches its ID to outgoing communication packets so that transmissions from the sensor unit 102 can be identified by the repeater 110. The repeater 110 attaches the ID of the sensor unit 102 to data and/or instructions that are transmitted to the sensor unit 102. In one embodiment, the sensor unit 102 ignores data and/or instructions that are addressed to other sensor units. [0033] In one embodiment, the sensor unit 102 includes a reset function. In one embodiment, the reset function is activated by the reset switch 208. In one embodiment, the reset function is active for a prescribed interval of time. During the reset interval, the transceiver 203 is in a receiving mode and can receive the identification code from an external programmer. In one embodiment, the external programmer wirelessly transmits a desired identification code. In one embodiment, the identification code is programmed by an external programmer that is connected to the sensor unit 102 through an electrical connector. In one embodiment, the electrical connection to the sensor unit 102 is provided by sending modulated control signals (power line carrier signals) through a connector used to connect the power source 206. In one embodiment, the external programmer provides power and control signals. In one embodiment, the external programmer also programs the type of sensor(s) installed in the sensor unit. In one embodiment, the identification code includes an area code (e.g., apartment number, zone number, floor number, etc.) and a unit number (e.g., unit 1, 2, 3, etc.).

[0034] In one embodiment, the sensor communicates with the repeater on the 900 MHz band. This band provides good transmission through walls and other obstacles normally found in and around a building structure. In one embodiment, the sensor communicates with the repeater on bands above and/or below the 900 MHz band. In one embodiment, the sensor, repeater, and/or base unit listen to a radio frequency channel before transmitting on that channel or before beginning transmission. If the channel is in use, (e.g., by another devise such as another repeater, a cordless telephone, etc.) then the sensor, repeater, and/or base unit changes to a different channel. In one embodiment, the sensor, repeater, and/or base unit coordinate frequency hopping by listening to radio frequency channels for interference and using an algorithm to select a next channel for transmission that avoids the interference. Thus, for

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Sonos Ex. 1012, p. 32 Sonos v. Google IPR2021-00964 example, in one embodiment, if a sensor senses a dangerous condition and goes into a continuous transmission mode, the sensor will test (e.g., listen to) the channel before transmission to avoid channels that are blocked, in use, or jammed. In one embodiment, the sensor continues to transmit data until it receives an acknowledgement from the base unit that the message has been received. In one embodiment, the sensor transmits data having a normal priority (e.g., status information) and does not look for an acknowledgement, and the sensor transmits data having elevated priority (e.g., excess smoke, temperature, etc.) until an acknowledgement is received.

[0035] The repeater unit 110 is configured to relay communications traffic between the sensor 102 (and, similarly, the sensor units 103-104) and the base unit 112. The repeater unit 110 typically operates in an environment with several other repeater units (such as the repeater unit 111 in FIG. 1) and thus the repeater unit 110 contains a database (e.g., a lookup table) of sensor unit IDs. In FIG. 1, the repeater 110 has database entries for the Ids of the sensors 102-104, and thus the sensor 110 will only communicate with sensor units 102-104. In one embodiment, the repeater 110 has an internal power source (e.g., battery, solar cell, fuel cell, etc.) and conserves power by maintaining an internal schedule of when the sensor units 102-104 are expected to transmit. In one embodiment, the repeater unit 110 goes to a low-power mode when none of its designated sensor units is scheduled to transmit. In one embodiment, the repeater 110 uses spread-spectrum techniques to communicate with the base unit 112 and with the sensor units 102-104. In one embodiment, the repeater 110 uses frequency-hopping spread-spectrum to communicate with the base unit 112 and the sensor units 102-104. In one embodiment, the repeater unit 110 has an address or identification (ID) code and the repeater unit 110 attaches its address to outgoing communication packets that originate in the repeater (that is, packets that are not being forwarded). In one embodiment, the repeater unit 110 ignores data and/or instructions that are addressed to other repeater units or to sensor units not serviced by the repeater 110. [0036] In one embodiment, the base unit 112 communicates with the sensor unit 102 by transmitting a communication packet addressed to the sensor unit 102. The repeaters 110 and 111 both receive the communication packet addressed to the sensor unit 102. The repeater unit 111 ignores the communication packet addressed to the sensor unit 102. The repeater unit 110 transmits the communication packet addressed to the sensor unit 102 to the sensor unit 102. In

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Sonos Ex. 1012, p. 33 Sonos v. Google IPR2021-00964 one embodiment, the sensor unit 102, the repeater unit 110, and the base unit 112 communicate using Frequency-Hopping Spread Spectrum (FHSS), also known as channel-hopping. **[0037]** Frequency-hopping wireless systems offer the advantage of avoiding other interfering signals and avoiding collisions. Moreover, there are regulatory advantages given to systems that do not transmit continuously at one frequency. Channel-hopping transmitters change frequencies after a period of continuous transmission, or when interference is encountered. These systems may have higher transmit power and relaxed limitations on in-band spurs. FCC regulations limit transmission time on one channel to 400 milliseconds (averaged over 10-20 seconds depending on channel bandwidth) before the transmitter must change frequency. There is a minimum frequency step when changing channels to resume transmission. If there are 25 to 49 frequency channels, regulations allow effective radiated power of 24 dBm, spurs must be -20 dBc, and harmonics must be -41.2 dBc. With 50 or more channels, regulations allow effective radiated power to be up to 30 dBm.

[0038] In one embodiment, the sensor unit 102, the repeater unit 110, and the base unit 112 communicate using FHSS wherein the frequency hopping of the sensor unit 102, the repeater unit 110, and the base unit 112 are not synchronized such that at any given moment, the sensor unit 102 and the repeater unit 110 are on different channels. In such a system, the base unit 112 communicates with the sensor unit 102 using the hop frequencies synchronized to the repeater unit 110 rather than the sensor unit 102. The repeater unit 110 then forwards the data to the sensor unit using hop frequencies synchronized to the sensor unit 102. Such a system largely avoids collisions between the transmissions by the base unit 112 and the repeater unit 110. [0039] In one embodiment, the sensor units 102-106 all use FHSS and the sensor units 102-106 are not synchronized. Thus, at any given moment, it is unlikely that any two or more of the sensor units 102-106 will transmit on the same frequency. In this manner, collisions are largely avoided. In one embodiment, collisions are not detected but are tolerated by the system 100. If a collisions does occur, data lost due to the collision is effectively re-transmitted the next time the sensor units transmit sensor data. When the sensor units 102-106 and repeater units 110-111 operate in asynchronous mode, then a second collision is highly unlikely because the units causing the collisions have hopped to different channels. In one embodiment, the sensor units 102-106, repeater units 110-110, and the base unit 112 use the same hop rate. In one embodiment, the sensor units 102-106, repeater units 110-110, and the base unit 112 use the

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Sonos Ex. 1012, p. 34 Sonos v. Google IPR2021-00964 same pseudo-random algorithm to control channel hopping, but with different starting seeds. In one embodiment, the starting seed for the hop algorithm is calculated from the ID of the sensor units 102-106, repeater units 110-110, or the base unit 112.

[0040] In an alternative embodiment, the base unit communicates with the sensor unit 102 by sending a communication packet addressed to the repeater unit 110, where the packet sent to the repeater unit 110 includes the address of the sensor unit 102. The repeater unit 102 extracts the address of the sensor unit 102 from the packet and creates and transmits a packet addressed to the sensor unit 102.

[0041] In one embodiment, the repeater unit 110 is configured to provide bi-directional communication between its sensors and the base unit 112. In one embodiment, the repeater 110 is configured to receive instructions from the base unit 110. Thus, for example, the base unit 112 can instruct the repeater to: send commands to one or more sensors; go to standby mode; "wake up"; report battery status; change wake-up interval; run self-diagnostics and report results; etc. **[0042]** The base unit 112 is configured to receive measured sensor data from a number of sensor units either directly, or through the repeaters 110-111. The base unit 112 also sends commands to the repeater units 110-111 and/or to the sensor units 110-111. In one embodiment, the base unit 112 communicates with a diskless computer 113 that runs off of a CD-ROM. When the base unit 112 receives data from a sensor unit 102-111 indicating that there may be an emergency condition (e.g., a fire or excess smoke, temperature, water, etc.) the computer 113 will attempt to notify the responsible party 120.

[0043] In one embodiment, the computer 112 maintains a database of the health, power status (e.g., battery charge), and current operating status of all of the sensor units 102-106 and the repeater units 110-111. In one embodiment, the computer 113 automatically performs routine maintenance by sending commands to each sensor unit 102-106 to run a self-diagnostic and report the results. The computer 113 collects and logs such diagnostic results. In one embodiment, the computer 113 sends instructions to each sensor unit 102-106 telling the sensor how long to wait between "wakeup" intervals. In one embodiment, the computer 113 schedules different wakeup intervals to different sensor unit 102-106 based on the sensor unit's health, power status, location, etc. In one embodiment, the computer 113 schedules different wakeup intervals to different sensor unit 102-106 based on the type of data and urgency of the data collected by the sensor unit (e.g., sensor units that have smoke and/or temperature sensors

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produce data that should be checked relatively more often than sensor units that have humidity or moisture sensors). In one embodiment, the base unit sends instructions to repeaters to route sensor information around a failed repeater.

[0044] In one embodiment, the computer 113 produces a display that tells maintenance personnel which sensor units 102-106 need repair or maintenance. In one embodiment, the computer 113 maintains a list showing the status and/or location of each sensor according to the ID of each sensor.

[0045] In one embodiment, the sensor units 102-106 and/or the repeater units 110-111 measure the signal strength of the wireless signals received (e.g., the sensor unit 102 measures the signal strength of the signals received from the repeater unit 110, the repeater unit 110 measures the signal strength received from the sensor unit 102 and/or the base unit 112). The sensor units 102-106 and/or the repeater units 110-111 report such signal strength measurement back to the computer 113. The computer 113 evaluates the signal strength measurements to ascertain the health and robustness of the sensor system 100. In one embodiment, the computer 113 uses the signal strength information to re-route wireless communications traffic in the sensor system 100. Thus, for example, if the repeater unit 110 goes offline or is having difficulty communicating with the sensor unit 102, the computer 113 can send instructions to the repeater unit 111 to add the ID of the sensor unit 102 to the database of the repeater unit 111 (and similarly, send instructions to the repeater unit 110 to remove the ID of the sensor unit 102), thereby routing the traffic for the sensor unit 102 through the router unit 111 instead of the router unit 110. **[0046]** FIG. 2 is a block diagram of the sensor unit 102. In the sensor unit 102, one or more sensors 201 and a transceiver 203 are provided to a controller 202. The controller 202 typically provides power, data, and control information to the sensor(s) 201 and the transceiver 202. A power source 206 is provided to the controller 202. An optional tamper sensor 205 is also provided to the controller 202. A reset device (e.g., a switch) 208 is proved to the controller 202. In one embodiment, an optional audio output device 209 is provided. In one embodiment, the sensor 201 is configured as a plug-in module that can be replaced relatively easily. [0047] In one embodiment, the transceiver 203 is based on a TRF 6901 transceiver chip from Texas Instruments, Inc. In one embodiment, the controller 202 is a conventional programmable microcontroller. In one embodiment, the controller 202 is based on a Field Programmable Gate Array (FPGA), such as, for example, provided by Xilinx Corp. In one embodiment, the sensor

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Sonos Ex. 1012, p. 36 Sonos v. Google IPR2021-00964 201 includes an optoelectric smoke sensor with a smoke chamber. In one embodiment, the sensor 201 includes a thermistor. In one embodiment, the sensor 201 includes a humidity sensor. In one embodiment, the sensor 201 includes an sensor, such as, for example, a water level sensor, a water temperature sensor, a carbon monoxide sensor, a moisture sensor, a water flow sensor, natural gas sensor, propane sensor, etc.

[0048] The controller 202 receives sensor data from the sensor(s) 201. Some sensors 201 produce digital data. However, for many types of sensors 201, the sensor data is analog data. Analog sensor data is converted to digital format by the controller 202. In one embodiment, the controller evaluates the data received from the sensor(s) 201 and determines whether the data is to be transmitted to the base unit 112. The sensor unit 102 generally conserves power by not transmitting data that falls within a normal range. In one embodiment, the controller 202 evaluates the sensor data by comparing the data value to a threshold value (e.g., a high threshold, a low threshold, or a high-low threshold). If the data is outside the threshold (e.g., above a high threshold, below a low threshold, outside an inner range threshold, or inside an outer range threshold), then the data is deemed to be anomalous and is transmitted to the base unit 112. In one embodiment, the data threshold is programmed into the controller 202. In one embodiment, the controller 203 base unit 112 by sending instructions to the controller 204. In one embodiment, the data threshold is programmed by the base unit 112 by sending instructions to the controller 205. In one embodiment, the controller 202 obtains sensor data and transmits the data when commanded by the computer 113.

[0049] In one embodiment, the tamper sensor 205 is configured as a switch that detects removal of or tampering with the sensor unit 102.

[0050] FIG. 3 is a block diagram of the repeater unit 110. In the repeater unit 110, a first transceiver 302 and a second transceiver 305 are provided to a controller 303. The controller 303 typically provides power, data, and control information to the transceivers 302, 304. A power source 306 is provided to the controller 303. An optional tamper sensor (not shown) is also provided to the controller 303.

[0051] When relaying sensor data to the base unit 112, the controller 303 receives data from the first transceiver 303 and provides the data to the second transceiver 304. When relaying instructions from the base unit 112 to a sensor unit, the controller 303 receives data from the second transceiver 304 and provides the data to the first transceiver 302. In one embodiment, the controller 303 conserves power by powering-down the transceivers 302, 304 during periods

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Sonos Ex. 1012, p. 37 Sonos v. Google IPR2021-00964 when the controller 303 is not expecting data. The controller 303 also monitors the power source 306 and provides status information, such as, for example, self-diagnostic information and/or information about the health of the power source 306, to the base unit 112. In one embodiment, the controller 303 sends status information to the base unit 112 at regular intervals. In one embodiment, the controller 303 sends status information to the base unit 112 when requested by the base unit 112. In one embodiment, the controller 303 sends status information to the base unit 112 when requested by the base unit 112. In one embodiment, the controller 303 sends status information to the base unit 112 when requested by the base unit 112. In one embodiment, the controller 303 sends status information to the base unit 112 when requested by the base unit 112 when a fault condition (e.g., battery low) is detected.

[0052] In one embodiment, the controller 303 includes a table or list of identification codes for wireless sensor units 102. The repeater 303 forwards packets received from, or sent to, sensor units 102 in the list. In one embodiment, the repeater 110 receives entries for the list of sensor units from the computer 113. In one embodiment, the controller 303 determines when a transmission is expected from the sensor units 102 in the table of sensor units and places the repeater 110 (e.g., the transceivers 302, 304) in a low-power mode when no transmissions are expected from the transceivers on the list. In one embodiment, the controller 303 recalculates the times for low-power operation when a command to change reporting interval is forwarded to one of the sensor units 102 in the list (table) of sensor units or when a new sensor unit is added to the list (table) of sensor units.

[0053] FIG. 4 is a block diagram of the base unit 112. In the base unit 112, a transceiver 402 and a computer interface 404 are provided to a controller 403. The controller 303 typically provides data and control information to the transceivers 402 and to the interface. The interface 402 is provided to a port on the monitoring computer 113. The interface 402 can be a standard computer data interface, such as, for example, Ethernet, wireless Ethernet, firewire port, Universal Serial Bus (USB) port, bluetooth, etc.

[0054] FIG. 5 shows one embodiment a communication packet 500 used by the sensor units, repeater units, and the base unit. The packet 500 includes a preamble portion 501, an address (or ID) portion 502, a data payload portion 503, and an integrity portion 504. In one embodiment, the integrity portion 504 includes a checksum. In one embodiment, the sensor units 102-106, the repeater units 110-111, and the base unit 112 communicate using packets such as the packet 500. In one embodiment, the packets 500 are transmitted using FHSS.

[0055] In one embodiment, the data packets that travel between the sensor unit 102, the repeater unit 111, and the base unit 112 are encrypted. In one embodiment, the data packets that travel

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between the sensor unit 102, the repeater unit 111, and the base unit 112 are encrypted and an authentication code is provided in the data packet so that the sensor unit 102, the repeater unit, and/or the base unit 112 can verify the authenticity of the packet.

[0056] In one embodiment the address portion 502 includes a first code and a second code. In one embodiment, the repeater 111 only examines the first code to determine if the packet should be forwarded. Thus, for example, the first code can be interpreted as a building (or building complex) code and the second code interpreted as a subcode (e.g., an apartment code, area code, etc.). A repeater that uses the first code for forwarding thus forwards packets having a specified first code (e.g., corresponding to the repeater's building or building complex). Thus alleviates the need to program a list of sensor units 102 into a repeater, since a group of sensors in a building will typically all have the same first code but different second codes. A repeater so configured, only needs to know the first code to forward packets for any repeater in the building or building complex. This does, however, raise the possibility that two repeaters in the same building could try to forward packets for the same sensor unit 102. In one embodiment, each repeater waits for a programmed delay period before forwarding a packet. Thus reducing the chance of packet collisions at the base unit (in the case of sensor unit to base unit packets) and reducing the chance of packet collisions at the sensor unit (in the case of base unit to sensor unit packets). In one embodiment, a delay period is programmed into each repeater. In one embodiment, delay periods are pre-programmed onto the repeater units at the factory or during installation. In one embodiment, a delay period is programmed into each repeater by the base unit 112. In one embodiment, a repeater randomly chooses a delay period. In one embodiment, a repeater randomly chooses a delay period for each forwarded packet. In one embodiment, the first code is at least 6 digits. In one embodiment, the second code is at least 5 digits. [0057] In one embodiment, the first code and the second code are programmed into each sensor unit at the factory. In one embodiment, the first code and the second code are programmed when the sensor unit is installed. In one embodiment, the base unit 112 can re-program the first code and/or the second code in a sensor unit.

[0058] In one embodiment, collisions are further avoided by configuring each repeater unit 111 to begin transmission on a different frequency channel. Thus, if two repeaters attempt to begin transmission at the same time, the repeaters will not interfere with each other because the transmissions will begin on different channels (frequencies).

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[0059] FIG. 6 is a flowchart showing one embodiment of the operation of the sensor unit 102 wherein relatively continuous monitoring is provided. In FIG. 6, a power up block 601 is followed by an initialization block 602. After initialization, the sensor unit 102 checks for a fault condition (e.g., activation of the tamper sensor, low battery, internal fault, etc.) in a block 603. A decision block 604 checks the fault status. If a fault has occurred, then the process advances to a block 605 were the fault information is transmitted to the repeater 110 (after which, the process advances to a block 612); otherwise, the process advances to a block 606. In the block 606, the sensor unit 102 takes a sensor reading from the sensor(s) 201. The sensor data is subsequently evaluated in a block 607. If the sensor data is abnormal, then the process advances to a transmit block 609 where the sensor data is transmitted to the repeater 110 (after which, the process advances to a block 612); otherwise, the process advances to a timeout decision block 610. If the timeout period has not elapsed, then the process returns to the fault-check block 603; otherwise, the process advances to a transmit status block 611 where normal status information is transmitted to the repeater 110. In one embodiment, the normal status information transmitted is analogous to a simple "ping" which indicates that the sensor unit 102 is functioning normally. After the block 611, the process proceeds to a block 612 where the sensor unit 102 momentarily listens for instructions from the monitor computer 113. If an instruction is received, then the sensor unit 102 performs the instructions, otherwise, the process returns to the status check block 603. In one embodiment, transceiver 203 is normally powered down. The controller 202 powers up the transceiver 203 during execution of the blocks 605, 609, 611, and 612. The monitoring computer 113 can send instructions to the sensor unit 102 to change the parameters used to evaluate data used in block 607, the listen period used in block 612, etc.

[0060] Relatively continuous monitoring, such as shown in FIG. 6, is appropriate for sensor units that sense relatively high-priority data (e.g., smoke, fire, carbon monoxide, flammable gas, etc.). By contrast, periodic monitoring can be used for sensors that sense relatively lower priority data (e.g., humidity, moisture, water usage, etc.). FIG. 7 is a flowchart showing one embodiment of operation of the sensor unit 102 wherein periodic monitoring is provided. In FIG. 7, a power up block 701 is followed by an initialization block 702. After initialization, the sensor unit 102 enters a low-power sleep mode. If a fault occurs during the sleep mode (e.g., the tamper sensor is activated), then the process enters a wake-up block 704 followed by a transmit fault block 705. If no fault occurs during the sleep period, then when the specified sleep period has expired, the

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Sonos Ex. 1012, p. 40 Sonos v. Google IPR2021-00964 process enters a block 706 where the sensor unit 102 takes a sensor reading from the sensor(s) 201. The sensor data is subsequently sent to the monitoring computer 113 in a report block 707. After reporting, the sensor unit 102 enters a listen block 708 where the sensor unit 102 listens for a relatively short period of time for instructions from monitoring computer 708. If an instruction is received, then the sensor unit 102 performs the instructions, otherwise, the process returns to the sleep block 703. In one embodiment, the sensor 201 and transceiver 203 are normally powered down. The controller 202 powers up the sensor 201 during execution of the block 706. The controller 202 powers up the transceiver during execution of the blocks 705, 707, and 708. The monitoring computer 113 can send instructions to the sensor unit 102 to change the sleep period used in block 703, the listen period used in block 708, etc.

[0061] In one embodiment, the sensor unit transmits sensor data until a handshaking-type acknowledgement is received. Thus, rather than sleep of no instructions or acknowledgements are received after transmission (e.g., after the decision block 613 or 709) the sensor unit 102 retransmits its data and waits for an acknowledgement. The sensor unit 102 continues to transmit data and wait for an acknowledgement until an acknowledgement is received. In one embodiment, the sensor unit accepts an acknowledgement from a repeater unit 111 and it then becomes the responsibility of the repeater unit 111 to make sure that the data is forwarded to the base unit 112. In one embodiment, the repeater unit 111 does not generate the acknowledgement, but rather forwards an acknowledgement from the base unit 112 to the sensor unit 102. The two-way communication ability of the sensor unit 102 provides the capability for the base unit 112 to control the operation of the sensor unit 102 and also provides the capability for robust handshaking-type communication between the sensor unit 102 and the base unit 112. [0062] Regardless of the normal operating mode of the sensor unit 102 (e.g., using the Flowcharts of FIGS. 6, 7, or other modes) in one embodiment, the monitoring computer 113 can instruct the sensor unit 102 to operate in a relatively continuous mode where the sensor repeatedly takes sensor readings and transmits the readings to the monitoring computer 113. Such a mode can be used, for example, when the sensor unit 102 (or a nearby sensor unit) has detected a potentially dangerous condition (e.g., smoke, rapid temperature rise, etc.). [0063] FIG. 8 shows the sensor system used to detect water leaks. In one embodiment, the sensor unit 102 includes a water level sensor and 803 and/or a water temperature sensor 804. The water level sensor 803 and/or water temperature sensor 804 are place, for example, in a tray

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Sonos Ex. 1012, p. 41 Sonos v. Google IPR2021-00964 underneath a water heater 801 in order to detect leaks from the water heater 801 and thereby prevent water damage from a leaking water heater. In one embodiment, a temperature sensor is also provide to measure temperature near the water heater. The water level sensor can also be placed under a sink, in a floor sump, etc. In one embodiment, the severity of a leak is ascertained by the sensor unit 102 (or the monitoring computer 113) by measuring the rate of rise in the water level. When placed near the hot water tank 801, the severity of a leak can also be ascertained at least in part by measuring the temperature of the water. In one embodiment, a first water flow sensor is placed in an input water line for the hot water tank 801 and a second water flow sensor is placed in an output water line for the hot water tank. Leaks in the tank can be detected by observing a difference between the water flowing through the two sensors. [0064] In one embodiment, a remote shutoff valve 810 is provided, so that the monitoring system 100 can shutoff the water supply to the water heater when a leak is detected. In one embodiment, the shutoff valve is controlled by the sensor unit 102. In one embodiment, the sensor unit 102 receives instructions from the base unit 112 to shut off the water supply to the heater 801. In one embodiment, the responsible party 120 sends instructions to the monitoring computer 113 instructing the monitoring computer 113 to send water shut off instructions to the sensor unit 102. Similarly, in one embodiment, the sensor unit 102 controls a gas shutoff valve 811 to shut off the gas supply to the water heater 801 and/or to a furnace (not shown) when dangerous conditions (such as, for example, gas leaks, carbon monoxide, etc.) are detected. In one embodiment, a gas detector 812 is provided to the sensor unit 102. In one embodiment, the gas detector 812 measures carbon monoxide. In one embodiment, the gas detector 812 measures flammable gas, such as, for example, natural gas or propane.

[0065] In one embodiment, an optional temperature sensor 818 is provided to measure stack temperature. Using data from the temperature sensor 818, the sensor unit 102 reports conditions, such as, for example, excess stack temperature. Excess stack temperature is often indicative of poor heat transfer (and thus poor efficiency) in the water heater 818.

[0066] In one embodiment, an optional temperature sensor 819 is provided to measure temperature of water in the water heater 810. Using data from the temperature sensor 819, the sensor unit 102 reports conditions, such as, for example, over-temperature or under-temperature of the water in the water heater.

[0067] In one embodiment, an optional current probe 821 is provided to measure electric current

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provided to a heating element 820 in an electric water heater. Using data from the current probe 821, the sensor unit 102 reports conditions, such as, for example, no current (indicating a burnedout heating element 820). An over-current condition often indicates that the heating element 820 is encrusted with mineral deposits and needs to be replaced or cleaned. By measuring the current provided to the water heater, the monitoring system can measure the amount of energy provided to the water heater and thus the cost of hot water, and the efficiency of the water heater. **[0068]** In one embodiment, the sensor 803 includes a moisture sensor. Using data from the moisture sensor, the sensor unit 102 reports moisture conditions, such as, for example, excess moisture that would indicate a water leak, excess condensation, etc.

[0069] In one embodiment, the sensor unit 102 is provided to a moisture sensor (such as the sensor 803) located near an air conditioning unit. Using data from the moisture sensor, the sensor unit 102 reports moisture conditions, such as, for example, excess moisture that would indicate a water leak, excess condensation, etc.

[0070] In one embodiment, the sensor 201 includes a moisture sensor. The moisture sensor can be place under a sink or a toilet (to detect plumbing leaks) or in an attic space (to detect roof leaks).

[0071] Excess humidity in a structure can cause severe problems such as rotting, growth of molds, mildew, and fungus, etc. (hereinafter referred to generically as fungus). In one embodiment, the sensor 201 includes a humidity sensor. The humidity sensor can be place under a sink, in an attic space, etc. to detect excess humidity (due to leaks, condensation, etc.). In one embodiment, the monitoring computer 113 compares humidity measurements taken from different sensor units in order to detect areas that have excess humidity. Thus for example, the monitoring computer 113 can compare the humidity readings from a first sensor unit 102 in a first attic area, to a humidity reading from a second sensor unit 102 in a second area. For example, the monitoring computer can take humidity readings from a number of attic areas to establish a baseline humidity reading and then compare the specific humidity readings from various sensor units to determine if one or more of the units are measuring excess humidity. The monitoring computer 113 would flag areas of excess humidity for further investigation by maintenance personnel. In one embodiment, the monitoring computer 113 maintains a history of humidity readings for various sensor units and flags areas that show an unexpected increase in humidity for investigation by maintenance personnel.

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Sonos Ex. 1012, p. 43 Sonos v. Google IPR2021-00964 **[0072]** In one embodiment, the monitoring system 100 detects conditions favorable for fungus (e.g., mold, mildew, fungus, etc.) growth by using a first humidity sensor located in a first building area to produce first humidity data and a second humidity sensor located in a second building area to produce second humidity data. The building areas can be, for example, areas near a sink drain, plumbing fixture, plumbing, attic areas, outer walls, a bilge area in a boat, etc. **[0073]** The monitoring station 113 collects humidity readings from the first humidity sensor and the second humidity data. In one embodiment, the monitoring station 113 collects humidity readings from a plurality of humidity sensors and indicates conditions in the first building area when at least a portion of the first humidity data exceeds the baseline humidity by a specified amount. In one embodiment, the monitoring station 113 establishes a baseline humidity sensors and indicates possible fungus growth conditions in the first building area when at least a portion of the first humidity of humidity sensors and indicates possible fungus growth conditions in the first building area when at least a portion of the first building area when at least a portion of the first building area when at least a portion of the first building area when at least a portion of the first building area when at least a portion of the first building area when at least a portion of the first building area when at least a portion of the first building area when at least a portion of the first building area when at least a portion of the first building area when at least a portion of the first building area when at least a portion of the first building area when at least a portion of the first building area when at least a portion of the first building area when at least a portion of the first building area when at least a portion of the first building area when at least a portion of the first building area when at least a portion of the first building area when at le

[0074] In one embodiment, the monitoring station 113 establishes a baseline humidity history by comparing humidity readings from a plurality of humidity sensors and indicates possible fungus growth conditions in the first building area when at least a portion of the first humidity data exceeds the baseline humidity history by a specified amount over a specified period of time. In one embodiment, the monitoring station 113 establishes a baseline humidity history by comparing humidity readings from a plurality of humidity sensors over a period of time and indicates possible fungus growth conditions in the first building area when at least a portion of the first humidity data exceeds the baseline humidity of humidity sensors over a period of time and indicates possible fungus growth conditions in the first building area when at least a portion of the first humidity data exceeds the baseline humidity by a specified percentage of a specified period of time.

[0075] In one embodiment, the sensor unit 102 transmits humidity data when it determines that the humidity data fails a threshold test. In one embodiment, the humidity threshold for the threshold test is provided to the sensor unit 102 by the monitoring station 113. In one embodiment, the humidity threshold for the threshold test is computed by the monitoring station from a baseline humidity established in the monitoring station. In one embodiment, the baseline humidity is computed at least in part as an average of humidity readings from a number of humidity sensors. In one embodiment, the baseline humidity is computed at least in part as a

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time average of humidity readings from a number of humidity sensors. In one embodiment, the baseline humidity is computed at least in part as a time average of humidity readings from a humidity sensor. In one embodiment, the baseline humidity is computed at least in part as the lesser of a maximum humidity reading an average of a number of humidity readings. [0076] In one embodiment, the sensor unit 102 reports humidity readings in response to a query by the monitoring station 113. In one embodiment, the sensor unit 102 reports humidity readings at regular intervals. In one embodiment, a humidity interval is provided to the sensor unit 102 by the monitoring station 113.

[0077] In one embodiment, the calculation of conditions for fungus growth is comparing humidity readings from one or more humidity sensors to the baseline (or reference) humidity. In one embodiment, the comparison is based on comparing the humidity readings to a percentage (e.g., typically a percentage greater than 100%) of the baseline value. In one embodiment, the comparison is based on comparing the humidity readings to a specified delta value above the reference humidity. In one embodiment, the calculation of likelihood of conditions for fungus growth is based on a time history of humidity readings, such that the longer the favorable conditions exist, the greater the likelihood of fungus growth. In one embodiment, relatively high humidity readings over a period of time indicate a higher likelihood of fungus growth than relatively high humidity readings for short periods of time. In one embodiment, a relatively sudden increase in humidity as compared to a baseline or reference humidity is reported by the monitoring station 113 as a possibility of a water leak. If the relatively high humidity reading continues over time then the relatively high humidity is reported by the monitoring station 113 as possibly being a water leak and/or an area likely to have fungus growth or water damage. [0078] Temperatures relatively more favorable to fungus growth increase the likelihood of fungus growth. In one embodiment, temperature measurements from the building areas are also used in the fungus grown-likelihood calculations. In one embodiment, a threshold value for likelihood of fungus growth is computed at least in part as a function of temperature, such that temperatures relatively more favorable to fungus growth result in a relatively lower threshold than temperatures relatively less favorable for fungus growth. In one embodiment, the calculation of a likelihood of fungus growth depends at least in part on temperature such that temperatures relatively more favorable to fungus growth indicate a relatively higher likelihood of fungus growth than temperatures relatively less favorable for fungus growth. Thus, in one

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embodiment, a maximum humidity and/or minimum threshold above a reference humidity is relatively lower for temperature more favorable to fungus growth than the maximum humidity and/or minimum threshold above a reference humidity for temperatures relatively less favorable to fungus growth.

[0079] In one embodiment, a water flow sensor is provided to the sensor unit 102. The sensor unit 102 obtains water flow data from the water flow sensor and provides the water flow data to the monitoring computer 113. The monitoring computer 113 can then calculate water usage. Additionally, the monitoring computer can watch for water leaks, by, for example, looking for water flow when there should be little or no flow. Thus, for example, if the monitoring computer detects water usage throughout the night, the monitoring computer can raise an alert indicating that a possible water leak has occurred.

[0080] In one embodiment, the sensor 201 includes a water flow sensor is provided to the sensor unit 102. The sensor unit 102 obtains water flow data from the water flow sensor and provides the water flow data to the monitoring computer 113. The monitoring computer 113 can then calculate water usage. Additionally, the monitoring computer can watch for water leaks, by, for example, looking for water flow when there should be little or no flow. Thus, for example, if the monitoring computer detects water usage throughout the night, the monitoring computer can raise an alert indicating that a possible water leak has occurred.

[0081] In one embodiment, the sensor 201 includes a fire-extinguisher tamper sensor is provided to the sensor unit 102. The fire-extinguisher tamper sensor reports tampering with or use of a fire-extinguisher. In one embodiment the fire-extinguisher tamper sensor reports that the fire extinguisher has been removed from its mounting, that a fire extinguisher compartment has been opened, and/or that a safety lock on the fire extinguisher has been removed.

[0082] It will be evident to those skilled in the art that the invention is not limited to the details of the foregoing illustrated embodiments and that the present invention may be embodied in other specific forms without departing from the spirit or essential attributed thereof; furthermore, various omissions, substitutions and changes may be made without departing from the spirit of the inventions. For example, although specific embodiments are described in terms of the 900 MHz frequency band, one of ordinary skill in the art will recognize that frequency bands above and below 900 MHz can be used as well. The wireless system can be configured to operate on one or more frequency bands, such as, for example, the HF band, the VHF band, the UHF band,

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Sonos Ex. 1012, p. 46 Sonos v. Google IPR2021-00964 the Microwave band, the Millimeter wave band, etc. One of ordinary skill in the art will further recognize that techniques other than spread spectrum can also be used and/or can be use instead spread spectrum. The modulation uses is not limited to any particular modulation method, such that modulation scheme used can be, for example, frequency modulation, phase modulation, amplitude modulation, combinations thereof, etc. The foregoing description of the embodiments is therefore to be considered in all respects as illustrative and not restrictive, with the scope of the invention being delineated by the appended claims and their equivalents.

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WHAT IS CLAIMED IS:

1. A wireless ambient sensor unit, comprising:

a wireless transceiver;

a sensor configured to measure an ambient condition;

a controller in communication with the wireless transceiver and the sensor, the controller configured to:

compare data measured about the ambient condition to a stored threshold value while the wireless ambient sensor unit is in a low-power mode;

exit the low-power mode in response to the comparison of the data with the stored threshold value; and

transmit the data measured about the ambient condition as one or more messages, using the wireless transceiver, the data being transmitted while the wireless ambient sensor unit is out of the low-power mode and each message includes an address that identifies the wireless ambient sensor unit, a checksum, and an authenticity portion for use in verifying an authenticity of the message.

2. The wireless ambient sensor unit of claim 1, wherein the controller is further configured for at least a portion of the address to be programmed into the wireless ambient sensor unit during an installation process.

3. The wireless ambient sensor unit of claim 1, wherein power is not provided to the wireless transceiver in the low-power mode.

4. The wireless ambient sensor unit of claim 1, wherein the controller is further configured to:

receive a message, via the wireless transceiver to reprogram at least a portion of the address; and

reprogram at least the portion of the address based on the received message.

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Sonos Ex. 1012, p. 48 Sonos v. Google IPR2021-00964 5. The wireless ambient sensor unit of claim 1, wherein the wireless transceiver is configured to use a spread spectrum technique for transmitting the data measured about the ambient condition.

6. The wireless ambient sensor unit of claim 1, wherein the controller is further configured to:

exit the low-power mode on a periodic basis;

transmit a status message using the wireless transceiver;

for a predefined period of time following the transmission of the status message, enter a receive mode to wait for a command to be received via the wireless transceiver; and

enter the low power mode following expiration of the predefined period of time.

7. The wireless ambient sensor unit of claim 1, wherein the ambient condition is one of a level of carbon monoxide or a level of smoke.

8. The wireless ambient sensor unit of claim 1, further comprising:

a reset switch in communication with the controller, and wherein the controller is further configured to:

in response to actuation of the reset switch, cause the wireless ambient sensor unit to enter a receive mode to receive the address, via the wireless transceiver, to program into the wireless ambient sensor unit.

9. The wireless ambient sensor unit of claim 1, further comprising:

a tamper sensor in communication with the controller, and wherein the controller is further configured to:

receive a tamper indication from the tamper sensor indicative of tampering with the wireless ambient sensor unit;

in response to the reception of the tamper indication, exit the low-power mode; and

transmit the a message including an indication of the tampering via the wireless transceiver.

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Sonos Ex. 1012, p. 49 Sonos v. Google IPR2021-00964 10. The wireless ambient sensor unit of claim 1, further comprising an audio output device, and wherein the controller is in communication with the audio output device.

11. The wireless ambient sensor unit of claim 1, wherein the controller is further configured to:

measure a signal strength received using the wireless transceiver; and route transmission of the one or more messages based on the measured signal strength.

12. The wireless ambient sensor unit of claim 1, wherein the controller is further configured to:

prior to the transmission of the one or more messages, listen to a radio frequency channel, using the wireless transceiver, to determine if the radio frequency channel is in use; and

in response to the determination that the radio frequency channel is not is use, transmit the one or more messages via the radio frequency channel.

13. A method for using a wireless ambient sensor unit, the method comprising: measuring an ambient condition with a sensor of the wireless ambient sensor unit; comparing data measured about the ambient condition to a stored threshold value while the wireless ambient sensor unit is in a low-power mode;

exiting the low-power mode in response to the comparison of the data with the stored threshold value; and

transmitting, with a wireless transceiver of the wireless ambient sensor unit, one or more messages indicative of the data measured about the ambient condition, the data being transmitted while the wireless ambient sensor unit is out of the low-power mode and each message including an address that identifies the wireless ambient sensor unit, a checksum, and an authenticity portion for use in verifying an authenticity of the message.

14. The method of claim 13, further comprising:exiting the low-power mode on a periodic basis;transmitting a status message using the wireless transceiver;

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Sonos Ex. 1012, p. 50 Sonos v. Google IPR2021-00964 for a predefined period of time following said transmitting the status message, entering a receive mode to wait for a command to be received via the wireless transceiver; and

entering the low power mode following expiration of the predefined period of time.

15. The method of claim 13, wherein the ambient condition is one of a level of carbon monoxide or a level of smoke.

16. The method of claim 13, further comprising:measuring a signal strength received using the wireless transceiver; androuting transmission of the one or more messages based on the measured signal strength.

17. A system for sensing an ambient condition, the system comprising: a wireless ambient sensor unit configured to:

measure the ambient condition with a sensor;

compare data measured about the ambient condition to a stored threshold value, while the wireless ambient sensor unit is in a low-power mode;

exit the low-power mode in response to the comparison of the data with the stored threshold value; and

transmit, with a wireless transceiver, one or more messages indicative of the data measured about the ambient condition, the data being transmitted while the wireless ambient sensor unit is out of the low-power mode and each message includes an address that identifies the wireless ambient sensor unit, a checksum, and an authenticity portion for use in verifying an authenticity of the message.

18. The system of claim 17, further comprising:

a repeater device configured to:

receive from the wireless ambient sensor unit, the one or more messages indicative of the data about the ambient condition; and

transmit the one or more messages to a base unit.

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Sonos Ex. 1012, p. 51 Sonos v. Google IPR2021-00964 19. The system of claim 18, wherein the repeater device is further configured to: attach an address of the repeater device to the one or more messages prior to the transmission of the one or more messages to the base unit.

20. The system of claim 18, wherein the repeater device is further configured to: compare the address in the one or more messages received from the wireless ambient sensor unit to a stored database that includes a plurality of sensor addresses; and

ignore the one or more messages based on the address not being included in the plurality of sensor addresses.

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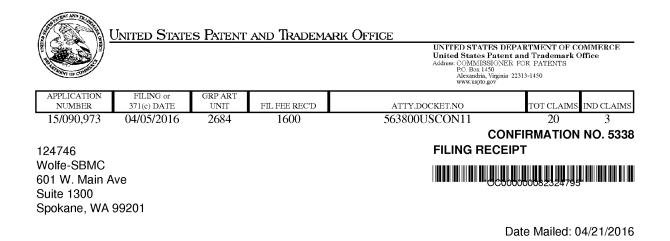
Sonos Ex. 1012, p. 52 Sonos v. Google IPR2021-00964

ABSTRACT

Various embodiments of wireless ambient sensor unit are presented. The sensor unit may include a wireless transceiver configured to transmit sensor data and to receive instructions. The sensor unit may include a sensor configured to measure an ambient condition. The sensor unit may include a controller in communication with the wireless transceiver and the sensor. The controller may be configured to compare data measured about the ambient condition to a stored threshold while the wireless ambient sensor unit is functioning in a low-power mode. The controller may be configured to exit the low-power mode in response to the comparison of the data with the stored threshold. The controller may be configured to cause the data measured about the ambient condition to be transmitted by the wireless transceiver as one or more messages in response to the comparison to the stored threshold.

Sonos Ex. 1012, p. 53 Sonos v. Google IPR2021-00964

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	APPLIC				olumn 2)	SMALL	ENTITY	OR	OTHEF SMALL	
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SEA	ARCH FEE FR 1.16(k), (i), or (m))	N	/A		N/A	N/A		1	N/A	600
XA	MINATION FEE FR 1.16(o), (p), or (q))	N	/A		N/A	N/A			N/A	720
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A		(Column 1) CLAIMS REMAINING AFTER	MEND	(Column 2) HIGHEST NUMBER PREVIOUSLY		SMALL RATE(\$)	ENTITY ADDITIONAL FEE(\$)	OR		
	Total •	(Column 1) CLAIMS REMAINING	MEND	(Column 2) HIGHEST NUMBER	(Column 3) PRESENT		ADDITIONAL	OR	SMALL	
	Total * (37 CFR 1.16(i))	(Column 1) CLAIMS REMAINING AFTER		(Column 2) HIGHEST NUMBER PREVIOUSLY	(Column 3) PRESENT	RATE(\$)	ADDITIONAL		SMALL RATE(\$)	
	Total •	(Column 1) CLAIMS REMAINING AFTER MENDMENT	Minus	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT	RATE(\$)	ADDITIONAL	OR	SMALL RATE(\$) x =	
	Total (37 CFR 1.16(i)) Independent (37 CFR 1.16(h))	(Column 1) CLAIMS REMAINING AFTER MENDMENT 37 CFR 1.16(s))	Minus Minus	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR **	(Column 3) PRESENT EXTRA =	RATE(\$)	ADDITIONAL	OR	SMALL RATE(\$) x =	
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Applicant(s)

Google Inc., Mountain View, CA; Assignment For Published Patent Application Google Inc., Mountain View, CA

Power of Attorney: The patent practitioners associated with Customer Number 124746

Domestic Priority data as claimed by applicant

This application is a CON of 14/548,137 11/19/2014 PAT 9318015 which is a CON of 14/168,876 01/30/2014 which is a CON of 12/905,248 10/15/2010 ABN which is a CON of 12/482,079 06/10/2009 PAT 8620781 * which is a DIV of 11/562,313 11/21/2006 PAT 7411494 which is a CON of 10/856,231 05/27/2004 PAT 7142107 (*)Data provided by applicant is not consistent with PTO records.

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

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page 1 of 4

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If Required, Foreign Filing License Granted: 04/20/2016

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 15/090.973

Projected Publication Date: 07/28/2016

Non-Publication Request: No

Early Publication Request: No Title

Wireless Sensor Unit Communication Triggering and Management

Preliminary Class

340

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: Yes

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page 4 of 4

	Application Number	15/090,973
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	Apr 5, 2016
(Not for submission under 37 CFR 1.99)	First Named Inventor	Lawrence Kates
	Art Unit	2686
	Examiner Name	Unknown
	Attorney Docket Number	563800USCON11

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INFORMATION DISCLOSURE	Application Number	15/090,973
	Filing Date	Apr 5, 2016
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	First Named Inventor	Lawrence Kates
(Not for submission under 37 CFR 1.99)	Art Unit	2686
	Examiner Name	Unknown
	Attorney Docket Number	563800USCON11

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number	15/090,973
	Filing Date	Apr 5, 2016
	First Named Inventor	Lawrence Kates
	Art Unit	2686
	Examiner Name	Unknown
	Attorney Docket Number	563800USCON11

	"Notice of Allowance", Application Number 10/856,231, 06/28/2006, 4 pages
	"Notice of Allowance", Application Number 10/856,387, 06/23/2006, 6 pages
	"Notice of Allowance", Application Number 10/856,390, 06/27/2006, 7 pages
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	"Notice of Allowance", Application Number 11/145,880, 07/05/2007, 6 pages
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	"Notice of Allowance", Application Number 11/562,313, 05/23/2008, 6 pages
	"Notice of Allowance", Application Number 11/748,388, 05/19/2009, 12 pages
	"Notice of Allowance", Application Number 11/761,760, 07/01/2008, 6 pages
	"Notice of Allowance", Application Number 12/182,079, 06/23/2010, 6 pages
	"Notice of Allowance", Application Number 14/168,876, 02/12/2016, 5 pages
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	Application Number	15/090,973
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	Apr 5, 2016
(Not for submission under 37 CFR 1.99)	First Named Inventor	Lawrence Kates
	Art Unit	2686
	Examiner Name	Unknown
	Attorney Docket Number	563800USCON11

"Notice of Allowance", Application Number 14/339,234, 10/27/2015, 9 pages
"Notice of Allowance", Application Number 14/548,137, 12/10/2015, 5 pages
"Notice Of Allowance", Application Number 14/875,488, 04/08/2016, 5 pages
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"Restriction Requirement", Application Number 10/948,628, 04/20/2006, 7 pages
"Restriction Requirement", Application Number 10/948,628, 12/30/2005, 6 pages
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	Application Number	15/090,973	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	Apr 5, 2016	
(Not for submission under 37 CFR 1.99)	First Named Inventor	Lawrence Kates	
	Art Unit	2686	
	Examiner Name	Unknown	
	Attorney Docket Number	563800USCON11	

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	Conceptual Model for Simulation Load Balancing", Proc. 1998 Spring Simulation ability Workshop, 1998, 7 pages							
	EXAMINER SIGNATURE							
Examiner Signature	Date Considered							
	eference considered, whether or not citation is in conformance with MPEP 609. Draw if not in conformance and not considered. Include copy of this form with next licant.							

Electronic Acl	knowledgement Receipt
EFS ID:	25687800
Application Number:	15090973
International Application Number:	
Confirmation Number:	5338
Title of Invention:	Wireless Sensor Unit Communication Triggering and Management
First Named Inventor/Applicant Name:	Lawrence Kates
Customer Number:	124746
Filer:	David Anthony Morasch/Kenneth Linder
Filer Authorized By:	David Anthony Morasch
Attorney Docket Number:	563800USCON11
Receipt Date:	04-MAY-2016
Filing Date:	05-APR-2016
Time Stamp:	17:49:08
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment no						
File Listin	g:					
Document Number	Document Description File Name					
1		563800USCON11IDS.pdf	260618	yes	18	
		3030003C0N11123.pdf	d4f8eba7b5c81394c52e4dec95ceaedeaff4 7d4a	yes	10	

	Multipart Description/PDF files in .zip	description	
	Document Description	Start	End
	Transmittal Letter	1	2
	Information Disclosure Statement (IDS) Form (SB08)	3	18
Warnings:		L	
Information:			
	Total Files Size (in bytes):	260)618

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

<u>S/N 15/090,973</u>

<u>PATENT</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:Lawrence KatesExaminer:UnknownSerial No.:15/090,973Group Art Unit:2686Filed:April 5, 2016Docket:563800USCON11Title:Wireless Sensor Unit Communication Triggering and Management

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the referenced materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. § 1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 50-4143 in order to have this Supplemental Information Disclosure Statement considered.

Pursuant to 37 C.F.R. § 1.98(d), copies of the listed documents are not provided as these references were previously cited by or submitted to the U.S. Patent Office in connection with Applicants' prior U.S. application, Serial No. 14/548,137, filed on Nov 19, 2014, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

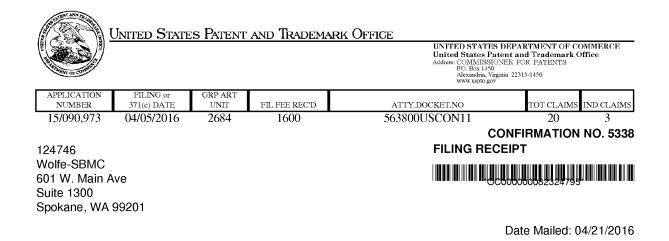
Respectfully submitted,

Lawrence Kates

By their Representatives,

Date May 4, 2016

By /Matthew Johnson/ Matthew Johnson Reg. No. 72,299



Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Lawrence Kates, Corona Del Mar, CA;

Applicant(s)

Google Inc., Mountain View, CA; Assignment For Published Patent Application Google Inc., Mountain View, CA

Power of Attorney: The patent practitioners associated with Customer Number 124746

Domestic Priority data as claimed by applicant

This application is a CON of 14/548,137 11/19/2014 PAT 9318015 which is a CON of 14/168,876 01/30/2014 which is a CON of 12/182,079 07/29/2008 PAT 7817031 which is a CON of 12/905,248 10/15/2010 ABN which is a CON of 12/482,079 06/10/2009 PAT 8620781 * which is a DIV of 11/562,313 11/21/2006 PAT 7411494 which is a CON of 10/856,231 05/27/2004 PAT 7142107 (*)Data provided by applicant is not consistent with PTO records.

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. *Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.*

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

page 1 of 4

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 04/20/2016

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 15/090.973

Projected Publication Date: 07/28/2016

Non-Publication Request: No

Early Publication Request: No Title

Wireless Sensor Unit Communication Triggering and Management

Preliminary Class

340

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: Yes

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific page 2 of 4

countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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page 4 of 4

Electronic Acl	knowledgement Receipt
EFS ID:	25705207
Application Number:	15090973
International Application Number:	
Confirmation Number:	5338
Title of Invention:	Wireless Sensor Unit Communication Triggering and Management
First Named Inventor/Applicant Name:	Lawrence Kates
Customer Number:	124746
Filer:	William Breen/Whitney Soule
Filer Authorized By:	William Breen
Attorney Docket Number:	563800USCON11
Receipt Date:	06-MAY-2016
Filing Date:	05-APR-2016
Time Stamp:	13:15:36
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with I	Payment	no					
File Listing:							
Document Number	Document Description	File Name	ne File Size(Bytes)/ Multi Message Digest Part /.zip				
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2	Request for Corrected Filing Receipt	563800USCON11_Request_for _Corrected_Filing_Receipt.pdf	195256 041d2[040cac40a38aee92bd9e6374a26ef3	no	4
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characterize Post Card, a: <u>New Applica</u> If a new app	/ledgement Receipt evidences receip ed by the applicant, and including pa- s described in MPEP 503. <u>Itions Under 35 U.S.C. 111</u> lication is being filed and the applica	ge counts, where applicable. Ition includes the necessary c	It serves as evidence omponents for a filin	of receipt sin g date (see 3	nilar to 7 CFR
characterize Post Card, as <u>New Applica</u> If a new app 1.53(b)-(d) a	d by the applicant, and including pars s described in MPEP 503. Itions Under 35 U.S.C. 111	ge counts, where applicable. Ition includes the necessary c FR 1.54) will be issued in due o	It serves as evidence omponents for a filin	of receipt sin g date (see 3	nilar to a 7 CFR

and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	563800USCON11
		Application Number	<u>15/090,973</u>
Title of Invention	Wireless Sensor Unit Commu	nication Triggering and Manage	ment
bibliographic data arran This document may be	iged in a format specified by the Un	ited States Patent and Trademark C mitted to the Office in electronic for	being submitted. The following form contains the office as outlined in 37 CFR 1.76. rmat using the Electronic Filing System (EFS) or the

Secrecy Order 37 CFR 5.2:

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Inventor Information:

Invent Legal N		1								R	emove	
Prefix	Give	en Name			Middle Name	•			Family	Name		Suffix
	Lawr	ence							Kates			
Resid	ence	Information (Select One)	\odot	US Residency	0	N	on US Re	sidency	O Activ	e US Military Service	
City	Coro	na Del Mar		St	ate/Province	CA		Countr	y of Resi	dence	US	
Mailing	Addr	ess of Invent	or:									
Addres	ss 1		c/o Google In	C.								
Addres	ss 2		1600 Amphith	ieati	re Parkway							
City		Mountain Vie	w				St	tate/Prov	vince	CA		
Postal	Code	;	94043			Cou	Intr	yi	US	•		
		s Must Be L ithin this form			al Inventor Info Add button.	ormat	ion	blocks	may be		Add	

Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).				
An Address is being provided for the correspondence Information of this application.				
Customer Number 124746				
Email Address	docket@sbmc-law.com	Add Email	Remove Email	

Application Information:

Title of the Invention	Wireless Sensor Un	Wireless Sensor Unit Communication Triggering and Management		
Attorney Docket Number	563800USCON11	563800USCON11 Small Entity Status Claimed		
Application Type	Nonprovisional	Nonprovisional		
Subject Matter	Utility	Utility		
Total Number of Drawing Sheets (if any)		7	Suggested Figure for Publication (if any)	1

:

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	563800USCON11
		Application Number	<u>15/090,973</u>
Title of Invention	Wireless Sensor Unit Communication Triggering and Management		ment

Filing By Reference:

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

Application number of the previously filed application	Filing date (YYYY-MM-DD)	Intellectual Property Authority or Country

Publication Information:

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.					
Please Select One:	Customer Number	O US Patent Practitioner	Limited Recognition (37 CFR 11.9)		

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78. When referring to the current application, please leave the "Application Number" field blank.

Prior Application Status	Pending		Remove
Application Number	Continuity Type	Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
<u>15/090,973</u>	Continuation of	14548137	2014-11-19

Customer Number

PTO/AIA/14 (11-15) Approved for use through 04/30/2017. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	563800USCON11
		Application Number	<u>15/090,973</u>
Title of Invention	Wireless Sensor Unit Communication Triggering and Management		ment

Prior Applicati	on Status	Pending				Rer	nove
Application N	Application Number Continuity Type		Prior Application Number Filing or 371(c) Date (YYYY-MM-DD)				
14548137		Continuation of		14168876		2014-01-30	
Prior Applicati	on Status	Abandoned			1	Rer	nove
Application N	Application Number Continuity Type		tinuity Type	Prior Application Number (YYYY-MM-DD)			
14168876		Continuation	of	12905248		2010-10-15	
Prior Applicati	on Status	Patented			I	Rer	nove
Application Number	Cont	inuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Pat	ent Number	lssue Date (YYYY-MM-DD)
12905248	Continuat	tion of	-12482079-	2008-07-29	78	17031	2010-10-19
Prior Applicati	on Status	Patented	12/182,079	Remove			
Application Number	Cont	inuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Pat	ent Number	lssue Date (YYYY-MM-DD)
12482079 _	Division of	of	11562313	2006-11-21	74	11494	2008-08-12
Prior Application Status Patented					Rer	nove	
Application Number	Cont	inuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Pat	ent Number	lssue Date (YYYY-MM-DD)
11562313	Continuat	Continuation of 10856231		2004-05-27	714	42107	2006-11-28

by selecting the Add button.

12/182,079

Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)ⁱ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove	
Application Number	Country ⁱ	Filing Date (YYYY-MM-DD)	Access Code ⁱ (if applicable)	
Additional Foreign Priority Data may be generated within this form by selecting the Add button.				

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	563800USCON11
		Application Number	<u>15/090,973</u>
Title of Invention	Wireless Sensor Unit Communication Triggering and Management		ment

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
 X 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	563800USCON11
		Application Number	<u>15/090,973</u>
Title of Invention	Wireless Sensor Unit Communication Triggering and Management		

Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

<u>NOTE</u>: This section of the Application Data Sheet is <u>ONLY</u> reviewed and processed with the <u>INITIAL</u> filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)

A. <u>Priority Document Exchange (PDX)</u> - Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).

B. <u>Search Results from U.S. Application to EPO</u> - Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)

A. Applicant **DOES NOT** authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.

B. Applicant <u>DOES NOT</u> authorize the USPTO to transmit to the EPO any search results from the instant patent
 application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

NOTE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	563800USCON11
		Application Number	<u>15/090,973</u>
Title of Invention	Wireless Sensor Unit Communication Triggering and Management		

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.							
Applicant 1							
The information to be provide 1.43; or the name and addre who otherwise shows sufficie applicant under 37 CFR 1.46	ed in this se ss of the a ent propriet (assignee	ection is the name and address ssignee, person to whom the ir ary interest in the matter who i , person to whom the inventor	s of the legal representat iventor is under an oblig s the applicant under 37 is obligated to assign, or	, this section should not be completed. tive who is the applicant under 37 CFR ation to assign the invention, or person CFR 1.46. If the applicant is an person who otherwise shows sufficient irs who are also the applicant should be			
Assignee		C Legal Representative ur	nder 35 U.S.C. 117	Joint Inventor			
Person to whom the inve	ntor is oblig	ated to assign.	O Person who sho	ows sufficient proprietary interest			
If applicant is the legal rep	oresentativ	ve, indicate the authority to	file the patent applicat	ion, the inventor is:			
Name of the Deceased or	r Legally I	ncapacitated Inventor:					
If the Applicant is an Org	janization	check here.					
Organization Name	Google Inc						
Mailing Address Inform	nation Fo	r Applicant:					
Address 1	1600 /	Amphitheatre Parkway					
Address 2							
City	Mount	ain View	State/Province	СА			
Country ⁱ US			Postal Code	94043			
Phone Number	Phone Number Fax Number						
Email Address							
Additional Applicant Data	may be g	enerated within this form by	selecting the Add but	ton.			

Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	563800USCON11
		Application Number	<u>15/090,973</u>
Title of Invention	Wireless Sensor Unit Communication Triggering and Management		

Assignee 1

Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication publication.

Organization Name					
organization	(Goo	gle Inc.		
Mailing Addre	ess Informat	tion	For Assignee including Non-/	Applicant Assignee:	
Address 1			1600 Amphitheatre Parkway		
Address 2					
City		Ν	<i>I</i> ountain View	State/Province	CA
Country ⁱ	US			Postal Code	94043
Phone Numb	er			Fax Number	
Email Addres	ss				•
Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.					

Signature:

NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the <u>INITIAL</u> filing of the application <u>and</u> either box A or B is <u>not</u> checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).

This Application Data Sheet <u>must</u> be signed by a patent practitioner if one or more of the applicants is a **juristic** entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, <u>all</u> joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of <u>all</u> joint inventor-applicants.

See 37 CFR 1.4(d) for the manner of making signatures and certifications.

Signature	/Matthew Johnson/		Date (YYYY-MM-DD)	2016-05-06			
First Name	Matthew Last Name Johnson		Registration Number	72299			
Additional Signature may be generated within this form by selecting the Add button.							

Under the Paperwork Reduction Act of 1998	no persons are required to respond to a collection of information unless it contains a valid OMB control numl	ber.
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	563800USCON11
		Application Number	<u>15/090,973</u>
Title of Invention	Wireless Sensor Unit Communication Triggering and Management		

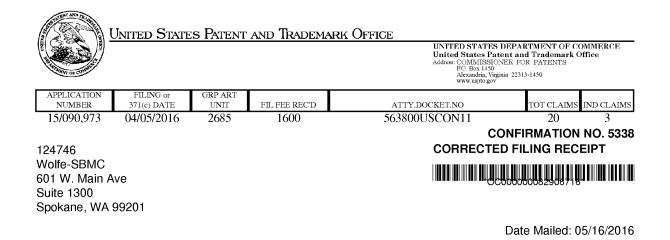
This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1 The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3 A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent CooperationTreaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Lawrence Kates, Corona Del Mar, CA;

Applicant(s)

Google Inc., Mountain View, CA; Assignment For Published Patent Application Google Inc., Mountain View, CA

Power of Attorney: The patent practitioners associated with Customer Number 124746

Domestic Priority data as claimed by applicant

This application is a CON of 14/548,137 11/19/2014 PAT 9318015 which is a CON of 14/168,876 01/30/2014 PAT 9357490 which is a CON of 12/905,248 10/15/2010 ABN which is a CON of 12/182,079 07/29/2008 PAT 7817031 which is a DIV of 11/562,313 11/21/2006 PAT 7411494 which is a CON of 10/856,231 05/27/2004 PAT 7142107

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

page 1 of 4

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 04/20/2016

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 15/090.973

Projected Publication Date: 08/25/2016

Non-Publication Request: No

Early Publication Request: No Title

Wireless Sensor Unit Communication Triggering and Management

Preliminary Class

340

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: Yes

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific page 2 of 4

countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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page 4 of 4



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/090,973	04/05/2016	Lawrence Kates	563800USCON11	5338
124746 Wolfe-SBMC	7590 06/15/201	6	EXAM	INER
601 W. Main A Suite 1300	ve		NWUGO, (ЭЛАКО К
Spokane, WA 9	9201		ART UNIT	PAPER NUMBER
			2685	
			NOTIFICATION DATE	DELIVERY MODE
			06/15/2016	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket@sbmc-law.com

	Application No. 15/090,973	Applicant(s) KATES, LAV	
Office Action Summary	Examiner OJIAKO NWUGO	Art Unit 2685	AIA (First Inventor to File) Status Yes
The MAILING DATE of this communication app Period for Reply	bears on the cover sheet with the	corresponden	
A SHORTENED STATUTORY PERIOD FOR REPLY THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be ti will apply and will expire SIX (6) MONTHS fron , cause the application to become ABANDON	mely filed n the mailing date o ED (35 U.S.C. § 133	f this communication.
Status 1) Responsive to communication(s) filed on <u>4/8/2</u>	<u>2016</u> .		
A declaration(s)/affidavit(s) under 37 CFR 1.1			
	action is non-final.		
3) An election was made by the applicant in resp	-		ng the interview on
; the restriction requirement and election			
4) Since this application is in condition for allowar closed in accordance with the practice under E			to the ments is
	-x parte Quayle, 1955 (.D. 11, 4	.00 0.0. 210.	
Disposition of Claims* 5) Claim(s) <u>1-20</u> is/are pending in the application 5a) Of the above claim(s) is/are withdraw 6) Claim(s) is/are allowed. 7) Claim(s) <u>1-20</u> is/are rejected. 8) Claim(s) is/are objected to. 9) Claim(s) are subject to restriction and/o * If any claims have been determined <u>allowable</u> , you may be eleparticipating intellectual property office for the corresponding a http://www.uspto.gov/patents/init_events/pph/index.jsp or send Application Papers 10) The specification is objected to by the Examine 11) are wing(s) filed on <u>4/5/2016</u> is/are: a)	wn from consideration. r election requirement. ligible to benefit from the Patent Pro pplication. For more information, ple I an inquiry to <u>PPHfeedback@uspto</u> er. .ccepted or b) dbjected to by t	ase see <u>aov</u> . he Examiner.	
Applicant may not request that any objection to the			. ,
Replacement drawing sheet(s) including the correct	tion is required if the drawing(s) is ol	ojected to. See	37 CFR 1.121(d).
Priority under 35 U.S.C. § 119 12)□ Acknowledgment is made of a claim for foreign Certified copies: a)□ All b)□ Some** c)□ None of the: 1.□ Certified copies of the priority documen 2.□ Certified copies of the priority documen 3.□ Copies of the certified copies of the priority documen 3.□ Copies of the certified copies of the priority documen ** See the attached detailed Office action for a list of the certified	ts have been received. ts have been received in Applica prity documents have been recei u (PCT Rule 17.2(a)).	ution No	
Attachment(s)			
1) X Notice of References Cited (PTO-892)	3) 🔲 Interview Summar	y (PTO-413)	
 2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date 	Paper No(s)/Mail F		
U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13) Office Action	Summary	Part of Paper No	./Mail Date 20160608

Sonos Ex. 1012, p. 99 Sonos v. Google IPR2021-00964

The present application, filed on or after March 16, 2013, is being examined

under the first inventor to file provisions of the AIA.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103 which forms the basis for all

obviousness rejections set forth in this Office action:

A patent for a claimed invention may not be obtained, notwithstanding that the claimed invention is not identically disclosed as set forth in section 102 of this title, if the differences between the claimed invention and the prior art are such that the claimed invention as a whole would have been obvious before the effective filing date of the claimed invention to a person having ordinary skill in the art to which the claimed invention pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103 are summarized as follows:

1. Determining the scope and contents of the prior art.

2. Ascertaining the differences between the prior art and the claims at issue.

3. Resolving the level of ordinary skill in the pertinent art.

4. Considering objective evidence present in the application indicating

obviousness or nonobviousness.

Claim 1-8, 10, 12-15, 17 are rejected under 35 U.S.C. 103 as being unpatentable

over Hakanen US20020030592 in view of Marman US6624750 in view of Agrawal

US20020124169.

Regarding **Claim 1**, Hakanen discloses in fig. 2 and ¶s55-57 A wireless ambient sensor unit (system 2 of fig. 1 and ¶23), comprising: a wireless transceiver (transceiver 16 of ¶s35, 37); a sensor (sensor 20, 22, 24, 26) configured to measure an ambient

condition; a controller (CPU 14) in communication with the wireless transceiver and the sensor, the controller configured to: compare data measured about the ambient condition to a stored threshold value while the wireless ambient sensor unit is in a low-power mode; exit the low-power mode in response to the comparison of the data with the stored threshold value (¶32 in view of ¶s55-57); and transmit the data measured (operational parameters of ¶36 in view of ¶4) about the ambient condition as one or more messages, using the wireless transceiver, the data being transmitted while the wireless ambient sensor unit is out of the low-power mode (¶32 in view of ¶s55-57).

Hakanen fails to disclose each message includes an address that identifies the wireless ambient sensor unit.

However Marman discloses in fig. 2 and col.20:36-41 each message includes an address that identifies the wireless ambient sensor unit.

Therefore, it would have been obvious for one of ordinary skill in the art at the time of the invention to include the address of Marman into Hakanen for the purpose of identifying form a given communication device to facilitate message processing.

Further Hakanen and Marman fail to disclose message includes a checksum, and an authenticity portion for use in verifying an authenticity of the message.

However, Agrawal discloses in figs. 7, 8 and ¶61 message includes a checksum, and an authenticity portion for use in verifying an authenticity of the message.

Therefore, it would have been obvious for one of ordinary skill in that art at the time of the invention features of Agrawal in view of Hakanen and Marman to enhance system security.

Regarding **Claim 2**, Marman discloses in fig. 2 and col.24:11-24 wherein the controller is further configured for at least a portion of the address to be programmed into the wireless ambient sensor unit during an installation process.

Regarding **Claim 3**, Marman discloses in fig. 2 and col. 28:20-27 wherein power is not provided to the wireless transceiver in the low-power mode.

Regarding **Claim 4**, Marman discloses in fig. 2 and col.24:11-24 wherein the controller is further configured to: receive a message, via the wireless transceiver to reprogram at least a portion of the address; and reprogram at least the portion of the address based on the received message.

Regarding **Claim 5**, Marman discloses in fig. 2 and col.21:45-col.22:24 wherein the wireless transceiver is configured to use a spread spectrum technique for transmitting the data measured about the ambient condition.

Regarding **Claim 6**, Hanaken discloses in ¶56 wherein the controller is further configured to: exit the low-power mode on a periodic basis; transmit a status message using the wireless transceiver; for a predefined period of time following the transmission of the status message, enter a receive mode to wait for a command to be received via the wireless transceiver; and enter the low power mode following expiration of the predefined period of time.

Regarding **Claim 7**, Marman discloses in fig. 2 and col.7:65-col.8:8 wherein the ambient condition is one of a level of carbon monoxide or a level of smoke.

Regarding **Claim 8**, Marman discloses in fig. 2 and col.12:35-45 further comprising: a reset switch in communication with the controller, and wherein the

controller is further configured to: in response to actuation of the reset switch, cause the wireless ambient sensor unit to enter a receive mode to receive the address, via the wireless transceiver, to program into the wireless ambient sensor unit.

Regarding **Claim 10**, Marman discloses in figs. 5a, 5b and col. 10:27-26 further comprising an audio output device, and wherein the controller is in communication with the audio output device.

Regarding **Claim 12**, Marman discloses in fig. 2 and col.21:45-col.22:24 wherein the controller is further configured to: prior to the transmission of the one or more messages, listen to a radio frequency channel, using the wireless transceiver, to determine if the radio frequency channel is in use; and in response to the determination that the radio frequency channel is not is use, transmit the one or more messages via the radio frequency channel.

Regarding **Claim 13**, the limitations are analogous to the limitation of **claim 1** and is rejected on similar grounds.

Regarding **Claim 14**, Hanaken discloses in ¶56 exiting the low-power mode on a periodic basis; transmitting a status message using the wireless transceiver; for a predefined period of time following said transmitting the status message, entering a receive mode to wait for a command to be received via the wireless transceiver; and entering the low power mode following expiration of the predefined period of time.

Regarding **Claim 15**, Marman discloses in fig. 2 and col.7:65-col.8:8 wherein the ambient condition is one of a level of carbon monoxide or a level of smoke.

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Regarding **Claim 17**, the limitations are analogous to the limitation of **claim 1** and is rejected on similar grounds.

Claim 9 is rejected under 35 U.S.C. 103 as being unpatentable over Hakanen, Marman and Agrawal as applied to **claim 1** above in view of Wolfe US20050030175.

Regarding **Claim 9,** Hakanen discloses in fig. 1 and ¶s 28, 32, 55-57 sensor with the controller, and wherein the controller is further configured to: receive a tamper indication from the tamper sensor indicative of tampering with the wireless ambient sensor unit; in response to the reception of the tamper indication, exit the low-power mode; and transmit the a message including an indication of the tampering via the wireless transceiver.

Hakanen, Marman and Agrawal fail to disclose a tamper senor.

However Wolfe discloses in fig. 1 and ¶42 tamper sensor,

Therefore, it would have been obvious for one of ordinary skill in the art at the time of the invention to include tamper sensor of Wolfe into Hakanen, Marman and Agrawal to enhance system robustness.

Claim 11, 16, 17-20 are rejected under 35 U.S.C. 103 as being unpatentable over Hakanen, Marman and Agrawal as applied to claim 1, 13, 18 above in view of Gutierrez US2040233855.

Regarding **Claim 11**, Hakanen, Marman and Agrawal fail to disclose wherein the controller is further configured to: measure a signal strength received using the wireless

transceiver; and route transmission of the one or more messages based on the measured signal strength.

However Gutierrez's disclosure in fig. 6 and ¶s85-86 renders obvious wherein the controller is further configured to: measure a signal strength received using the wireless transceiver; and route transmission of the one or more messages based on the measured signal strength.

Therefore, it would have been obvious for one of ordinary skill in the art at the time of the invention to include features of Guteirrez into Hakanen, Marman and Agrawal to enhance system robustness.

Claim 16 is rejected on similar grounds as claim 11.

Regarding **Claim 18**, Hakanen, Marman and Agrawal fail to disclose a repeater device configured to: receive from the wireless ambient sensor unit, the one or more messages indicative of the data about the ambient condition; and transmit the one or more messages to a base unit.

However, Gutierrez's disclosures in fig. 5 and ¶s84-85 renders obvious a repeater device (ND 14) configured to: receive from the wireless ambient sensor unit, the one or more messages indicative of the data about the ambient condition; and transmit the one or more messages to a base unit (NCO 24).

Therefore, it would have been obvious for one of ordinary skill in the art at the time of the invention to include features of Guteirrez into Hakanen, Marman and Agrawal to enhance system robustness.

Regarding **Claim 19**, Gutierrez's disclosures in fig. 5 and ¶s84-85 renders obvious wherein the repeater device is further configured to: attach an address of the repeater device to the one or more messages prior to the transmission of the one or more messages to the base unit.

Regarding **Claim 20**, Gutierrez's disclosures in fig. 5 and ¶s84-85 renders obvious wherein the repeater device is further configured to: compare the address in the one or more messages received from the wireless ambient sensor unit to a stored database that includes a plurality of sensor addresses; and ignore the one or more messages based on the address not being included in the plurality of sensor addresses.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. See PTO892.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to OJIAKO NWUGO whose telephone number is (571)272-9755. The examiner can normally be reached on 8AM-5PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, HAI PHAN can be reached on 5712726338. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/OJIAKO NWUGO/ Primary Examiner, Art Unit 2685

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Part of Paper No. 20160608

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BIB DATA SHEET

CONFIRMATION NO. 5338

SERIAL NUM	IBER	FILING or DATE	371(c)		CLASS	GR	OUP ART	UNIT	ΑΤΤΟ	RNEY DOCKET	
15/090,97	15/090,973 04/05/20				340		2685		563	NO. 3800USCON11	
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APPLICANT Google Ir	-	untain View, CA	٩;								
INVENTORS Lawrence Kates, Corona Del Mar, CA;											
** CONTINUING DATA **********************************											
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ADDRESS											
601 W. N Suite 130 Spokane	ADDRESS Wolfe-SBMC 601 W. Main Ave Suite 1300 Spokane, WA 99201 UNITED STATES										
TITLE											
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Search Notes	15090973	KATES, LAWRENCE
	Examiner	Art Unit
	OJIAKO NWUGO	2685

CPC- SEARCHED								
Symbol	Date	Examiner						
G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001	6/8/2016	O.N.						
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US CLASSIFICATION SEARCHED								
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SEARCH NOTES		
Search Notes	Date	Examiner
See attached search histtory	6/8/2016	O.N.

INTERFERENCE SEARCH								
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Index of Claims						Application/Control No.				Ree	Applicant(s)/Patent Under Reexamination KATES, LAWRENCE				
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EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	17	(Kates near3 lawrence).inv. and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 15:35
S2	11	(Kates near3 lawrence).inv. and low near power near3 mode and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 15:36
S3	1	("20140203943" "20110025501" "20080278316" "20070090946" "20050275528").pn. and low near power near3 mode and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 15:43
S4	232	(sensor\$1 detector\$1) and (low near power near3 mode with (transmit transmission)) and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 15:45
S5	10	(sensor\$1 detector\$1) and (low near power near3 mode with (transmit transmission)) with threshold and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 15:46
S6	0	(09/194809).APP.	US-PGPUB; USOCR	OR	OFF	2015/02/20 15:48
S7	31 (sensor\$1 detector\$1) and (((low near power near3 mode) (sleep)) with (transmit transmission)) with threshold and @ad<="20040527"		US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 15:50
	0	(G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001 G08B17/10 G08B25/10).pn. and low near power near3 mode and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;	OR	OFF	2015/02/20 16:05

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S9	0	(G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001 G08B17/10 G08B25/10).pn. and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 16:06
S10	137	(G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001 G08B17/10 G08B25/10).cpc. and low near power near3 mode and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 16:07
S11	129	(G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001 G08B17/10 G08B25/10).cpc. and low near power near3 mode and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 16:07
S12	8	Gas with (sensor\$1 detector\$1) and (((low near power near3 mode) (sleep)) with (transmit transmission)) with threshold and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/24 16:15
S13	7	ambient with (sensor\$1 detector\$1) and (((low near power near3 mode) (sleep)) with (transmit transmission)) with threshold and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 16:17
S14	87	gas with (sensor\$1 detector\$1) and (((low near power near3 mode) (sleep)) with (transmit transmission)) and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/2 16:18
S15	1	gas with (sensor\$1 detector\$1) with (((low near power near3 mode) (sleep)) with (transmit transmission)) and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/2 16:18
S16	76	gas with (sensor\$1 detector\$1) and (((low near power near3 mode) (sleep)) with (transmit transmission)) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 16:19
S17	1	gas with (sensor\$1 detector\$1) and (((low near power near3 mode) (sleep)) with (transmit transmission)) with (address identifier identity) and	US-PGPUB; USPAT; USOCR; FPRS; EPO;	OR	OFF	2015/02/20 16:44

		@ad<="20040527" not (kates near3 lawrence).inv.	JPO; DERWENT; IBM_TDB			
S18	51	gas with (sensor\$1 detector\$1) and (((low near power near3 mode) (sleep)) with (transmit transmission)) and (address identifier identity) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 16:44
S19	5	gas with (sensor\$1 detector\$1) and (((low near power near3 mode) (sleep)) with (transmit transmission)) and (sensor detector) with (address identifier identity) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/2 16:45
S20	100	(sensor\$1 detector\$1) and (((low near power near3 mode) (sleep)) with (transmit transmission)) and (sensor detector) with (address identifier identity) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/2 16:47
S21	4	(sensor\$1 detector\$1) and (((low near power near3 mode) (sleep)) with (transmit transmission)) with (sensor detector) with (address identifier identity) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/2 16:47
S22	249	Gas with (sensor\$1 detector\$1) with (address identifier identity) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/2 16:52
S23	1	Gas with (sensor\$1 detector\$1) with (address identifier identity) with (transmissiom message) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/2 16:52
S24	834	(sensor\$1 detector\$1) with (address identifier identity) with (transmissiom message) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/2 16:53
S25	0	(ambient enviromental) with (sensor\$1 detector\$1) with (address identifier identity) with (transmissiom message) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/2 16:53
S26	30	wireless with (sensor\$1 detector\$1) with (address identifier identity) with	US-PGPUB; USPAT;	OR	OFF	2015/02/2 16:54

		(transmissiom message) and @ad<="20040527" not (kates near3 lawrence).inv.	USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			
S27	0	wireless with (sensor\$1 detector\$1) with (address identifier identity) with (installation (set\$1up)) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 17:23
S28	198	(sensor\$1 detector\$1) with (address identifier identity) with (installation (set\$1up)) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 17:23
S29	58	wireless and (sensor\$1 detector\$1) with (address identifier identity) with (installation (set\$1up)) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 17:23
S30	19	wireless and (sensor\$1 detector\$1) with (identifier identity) with (installation (set\$1up)) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/20 17:24
S31	48	(sensor\$1 detector\$1) with (identifier identity adress) with (installation (set\$1up)) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/22 03:31
S32	8	(sensor\$1 detector\$1) with (identifier identity adress) with (installation (set\$1up)) with (controller processor micro\$1processor) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/22 03:32
S33	451	(sensor\$1 detector\$1) with (sleep stand\$1by low\$1power) with (tranceiver transmitter receiver) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/22 03:53
S34	217	(sensor\$1 detector\$1) with (sleep stand\$1by low\$1power) with (tranceiver transmitter) and @ad<="20040527" not (kates near3 lawrence).inv.		OR	OFF	2015/02/22 03:54

S35	0	(sensor\$1 detector\$1) with (sleep stand\$1by low\$1power) with (tranceiver	US-PGPUB; USPAT;	OR	OFF	2015/02/22
) and @ad<="20040527" not (kates near3 lawrence).inv.	USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB			00.04
S36	65	(sensor\$1 detector\$1) with (sleep stand\$1by low\$1power) with (transceiver) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/22 03:54
S37	0	(Gas oxygen carbon) with (sensor\$1 detector\$1) with (sleep stand\$1by low\$1power) with (transceiver) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/22 03:56
S38	0	(09/831425).APP.	US-PGPUB; USOCR	OR	OFF	2015/02/2
S39	10	(sensor\$1 detector\$1) with (sleep stand\$1by low\$1power) with (transceiver) and tamper\$3 and @ad<= "20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/2 12:26
S40	0	(sensor\$1 detector\$1) and (sleep stand\$1by low\$1power) with (transceiver) with tamper\$3 and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/2: 12:29
S41	0	(sleep stand\$1by low\$1power) with (transceiver) with tamper\$3 and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/2; 12:29
S42	47	(sensor\$1 detector\$1) and (sleep stand\$1by low\$1power) with tamper\$3 and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/22 12:29
S43	9	(sensor\$1 detector\$1) with (sleep stand\$1by low\$1power) with tamper\$3 and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/2
S44	4	network with routing near3 table and @ad<="20040527" and (Gutierrez).inv.	US-PGPUB; USPAT; USOCR;	OR	OFF	2015/02/2 17:16

S53	385	((low near3 power near3 mode)(sleep)	US-PGPUB;	OR	OFF	2015/08/26
S52	12	(low near3 power near3 mode with (transmit transmission)) with threshold and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/26 12:53
S51	11	(low near power near3 mode with (transmit transmission)) with threshold and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/26 12:53
S50	84	"340"/573.1.ccls. and alarm with transmit\$3 with (data measure\$4) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/05/07 12:50
S49	1020	"340"/\$.ccls. and alarm with transmit\$3 with (data measure\$4) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/05/07 12:23
S48	4652	measure\$4) and @ad< = "20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/05/07 12:23
S47	2301	measure\$4) and @ad< = "20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/05/07 12:22
S46		(sensor\$1 detector\$1) with (message) with authentication and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/22 17:21
S45	317	(sensor\$1 detector\$1) with (message signal) with authentication and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/02/22 17:20
			FPRS; EPO; JPO; DERWENT; IBM_TDB			

		with (transmit transmission)) with threshold and @ad<="20040527"	USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			12:54
S54	366	((low near3 power near3 mode)(sleep with power) with (transmit transmission)) with threshold and @ad<= "20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/26 12:54
S55	366	((low near3 power near3 mode)(sleep with power) with (transmit\$1 transmission)) with threshold and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/26 12:54
S56	368	((low near3 power near3 mode)(sleep with power) with (transmit\$3 transmission)) with threshold and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/26 12:55
S57	26	(((low near3 power near3 mode)(sleep with power)) with (transmit\$3 transmission)) with threshold and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/26 12:57
S58	22	(sensor\$1 detector\$1) with (message) with checksum and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/26 14:03
S59	2	"US 20140118109"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/08/26 14:25
S60	2	"US 20150070192"	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2015/08/26 14:25
S61	4	(sensor\$1 detector\$1) with (message) with checksum and encryp\$3 and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/26 15:17
S62	2	((wireless remote) near3 (sensor\$1 detector\$1)) and (message) with checksum with encrypt\$3 and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO;	OR	OFF	2015/08/26 15:19

			DERWENT; IBM_TDB		1	
S63	84	"340"/573.1.ccls. and alarm with transmit\$3 with (data measure\$4) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/26 17:24
S64	138	(G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001 G08B17/10 G08B25/10).cpc. and low near power near3 mode and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/08/26 17:24
S65	0	(G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001 G08B17/10 G08B25/10).pn. and low near power near3 mode and @ad<="20040527"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/12/03 16:39
S66	87	340/573.1,870.39.ccls. and alarm with transmit\$3 with (data measure\$4) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/12/03 16:40
S67	0	340/573.1,870.39.ccls. and alarm with transmit\$3 with (data measure\$4) with ambient with power with encrypted and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/12/03 16:56
S68	0	(G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001 G08B17/10 G08B25/10).pn. and alarm with transmit\$3 with (data measure\$4) with ambient with power with encrypted and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/12/03 16:56
S69	0	(G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001 G08B17/10 G08B25/10).cpc. and alarm with transmit\$3 with (data measure\$4) with ambient with power with encrypted and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2015/12/03 16:58
S70	235	(("Kates") near2 ("Lawrence")).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/12/03 17:00

EAST Search History (Interference)

Ref #	Hits	Search Query		Default Operator	Plurals	Time Stamp
S71	0	(G08B1/08 G06F1/3209 G08B17/00	US-	OR	OFF	2015/12/03

		G08B25/009 G08B25/001 G08B17/10 G08B25/10).pn. and (alarm with transmit\$3 with (data measure\$4) with ambient with power with encrypted).clm.	PGPUB; USPAT			16:57
S72	0	(G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001 G08B17/10 G08B25/10).cpc. and (alarm with transmit\$3 with (data measure\$4) with ambient with power with encrypted).clm.	US- PGPUB; USPAT	OR	OFF	2015/12/03 16:58
S73		340/573.1,870.39.ccls. and (alarm with transmit\$3 with (data measure\$4) with ambient with power with encrypted).clm.	US- PGPUB; USPAT	OR	OFF	2015/12/03 16:58
S74	0	340/\$.ccls. and (alarm with transmit\$3 with (data measure\$4) with ambient with power with encrypted).clm.	US- PGPUB; USPAT	OR	OFF	2015/12/03 16:59

6/8/20166:33:08 PM

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	Application Number	15/090,973
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	Apr 5, 2016
(Not for submission under 37 CFR 1.99)	First Named Inventor	Lawrence Kates
	Art Unit	2686
	Examiner Name	Unknown
	Attorney Docket Number	563800USCON11

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	Art Unit	2686
	Examiner Name	Unknown
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Examiner Signature	/OJIAKO K NWUGO/	Date Considered	06/09/2016
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/090,973	04/05/2016	Lawrence Kates	563800USCON11 5338	
124746 Wolfe-SBMC	7590 08/12/201	6	EXAMINER	
116 W. Pacific Suite 300	Avenue		NWUGO, OJIAKO K	
Spokane, WA 9	9201		ART UNIT	PAPER NUMBER
			2685	
			NOTIFICATION DATE	DELIVERY MODE
			08/12/2016	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket@sbmc-law.com

	Application No.	Applicant(s)
Applicant Initiated Interview Summary	15/090,973	KATES, LAWRENCE
Applicant-Initiated Interview Summary	Examiner	Art Unit
	OJIAKO NWUGO	2685
All participants (applicant, applicant's representative, PTO	personnel):	
(1) <u>OJIAKO NWUGO</u> .	(3)	
(2) <u>Mathew Johnson</u> .	(4)	
Date of Interview: <u>09 August 2016</u> .		
Type: 🛛 Telephonic 🔲 Video Conference 🗌 Personal [copy given to: 🗌 applicant [applicant's representative]	
Exhibit shown or demonstration conducted: Yes If Yes, brief description:] No.	
Issues Discussed 101 112 102 103 Other (For each of the checked box(es) above, please describe below the issue and detail		
Claim(s) discussed: <u>1</u> .		
Identification of prior art discussed: Hakanen US20020030	<u>592</u> .	
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement reference or a portion thereof, claim interpretation, proposed amendments, argume		lentification or clarification of a
Applicant representative sought indicate that the limitation		
one or more messages, using the wireless transceiver" was the limitation is met by ¶36 of the Hakanen. No Ageement v		
Applicant recordation instructions: The formal written reply to the last C section 713.04). If a reply to the last Office action has already been filed, a thirty days from this interview date, or the mailing date of this interview sum interview	pplicant is given a non-extendable per	iod of the longer of one month or
Examiner recordation instructions : Examiners must summarize the sub substance of an interview should include the items listed in MPEP 713.04 general thrust of each argument or issue discussed, a general indication o general results or outcome of the interview, to include an indication as to w	or complete and proper recordation in any other pertinent matters discussed	cluding the identification of the I regarding patentability and the
Attachment		
/OJIAKO NWUGO/ Primary Examiner, Art Unit 2685		
U.S. Patent and Trademark Office PTOL-413 (Rev. 8/11/2010) Intervie	w Summary	Paper No. 20160809

Sonos Ex. 1012, p. 139 Sonos v. Google IPR2021-00964

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out ypographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed.
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Lawrence Kates	APPLICATION NO.:	15/090,973
EXAMINER:	Nwugo, Ojiako K.	CONFIRMATION NO.:	5338
DATE FILED:	April 5, 2016	GROUP ART UNIT:	2685
TITLE:	Wireless Sensor Unit Communication Triggering and Management		

RESPONSE TO OFFICE ACTION DATED JUNE 15, 2016

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Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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This communication is responsive to the Non-Final Office Action dated June 15,

2016, concerning the above-identified application.

Sonos Ex. 1012, p. 141 Sonos v. Google IPR2021-00964

LIST OF CLAIMS

This list of claims replaces all prior versions and listings.

1. (Currently Amended) A wireless ambient sensor unit, comprising:

a wireless transceiver;

a sensor configured to measure quantitative data about an ambient condition;

a controller in communication with the wireless transceiver and the sensor, the controller configured to:

compare <u>the quantitative</u> data measured about the ambient condition to a stored threshold value while the wireless ambient sensor unit is in a low-power mode;

exit the low-power mode in response to the comparison of the <u>quantitative</u> data with the stored threshold value; and

<u>in response to the exit of the low-power mode</u>, transmit the <u>quantitative</u> data measured about the ambient condition as one or more messages, using the wireless transceiver, the <u>quantitative</u> data being transmitted while the wireless ambient sensor unit is out of the low-power mode and each message includes an address that identifies the wireless ambient sensor unit, a checksum, and an authenticity portion for use in verifying an authenticity of the message.

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2. (Original) The wireless ambient sensor unit of claim 1, wherein the controller is further configured for at least a portion of the address to be programmed into the wireless ambient sensor unit during an installation process.

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Sonos Ex. 1012, p. 142 Sonos v. Google IPR2021-00964 3. (Original) The wireless ambient sensor unit of claim 1, wherein power is not provided to the wireless transceiver in the low-power mode.

4. (Original) The wireless ambient sensor unit of claim 1, wherein the
5 controller is further configured to:

receive a message, via the wireless transceiver to reprogram at least a portion of the address; and

reprogram at least the portion of the address based on the received message.

10 5. (Currently Amended) The wireless ambient sensor unit of claim 1, wherein the wireless transceiver is configured to use a spread spectrum technique for transmitting the <u>quantitative</u> data measured about the ambient condition.

6. (Original) The wireless ambient sensor unit of claim 1, wherein thecontroller is further configured to:

exit the low-power mode on a periodic basis;

transmit a status message using the wireless transceiver;

for a predefined period of time following the transmission of the status message, enter a receive mode to wait for a command to be received via the wireless transceiver; and

enter the low power mode following expiration of the predefined period of time.

7. (Original) The wireless ambient sensor unit of claim 1, wherein the ambient condition is one of a level of carbon monoxide or a level of smoke.

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8. (Original) The wireless ambient sensor unit of claim 1, further comprising:

a reset switch in communication with the controller, and wherein the controller is further configured to:

in response to actuation of the reset switch, cause the wireless ambient sensor unit to enter a receive mode to receive the address, via the wireless transceiver, to program into the wireless ambient sensor unit.

9. (Currently Amended) The wireless ambient sensor unit of claim 1, further 10 comprising:

a tamper sensor in communication with the controller, and wherein the controller is further configured to:

receive a tamper indication from the tamper sensor indicative of tampering with the wireless ambient sensor unit;

in response to the reception of the tamper indication, exit the low-power mode; and

transmit [[the]] a message including an indication of the tampering via the wireless transceiver.

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10. (Original) The wireless ambient sensor unit of claim 1, further comprising an audio output device, and wherein the controller is in communication with the audio output device.

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Sonos Ex. 1012, p. 144 Sonos v. Google IPR2021-00964

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11. (Original) The wireless ambient sensor unit of claim 1, wherein the controller is further configured to:

measure a signal strength received using the wireless transceiver; and

route transmission of the one or more messages based on the measured signal 5 strength.

12. (Original) The wireless ambient sensor unit of claim 1, wherein the controller is further configured to:

prior to the transmission of the one or more messages, listen to a radio frequency channel, using the wireless transceiver, to determine if the radio frequency channel is in use; and

in response to the determination that the radio frequency channel is not is use, transmit the one or more messages via the radio frequency channel.

15 13. (Currently Amended) A method for using a wireless ambient sensor unit, the method comprising:

measuring an ambient condition with a sensor of the wireless ambient sensor unit;

comparing <u>quantitative</u> data measured about the ambient condition to a stored threshold value while the wireless ambient sensor unit is in a low-power mode;

exiting the low-power mode in response to the comparison of the <u>quantitative</u> data with the stored threshold value; and

<u>in response to said exiting the low-power mode</u>, transmitting, with a wireless transceiver of the wireless ambient sensor unit, one or more messages indicative of the <u>quantitative</u> data measured about the ambient condition, the <u>quantitative</u> data being

Page 5 of 15

transmitted while the wireless ambient sensor unit is out of the low-power mode and each message including an address that identifies the wireless ambient sensor unit, a checksum, and an authenticity portion for use in verifying an authenticity of the message.

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14. (Original) The method of claim 13, further comprising: exiting the low-power mode on a periodic basis; transmitting a status message using the wireless transceiver;

for a predefined period of time following said transmitting the status message,

entering a receive mode to wait for a command to be received via the wireless transceiver; and

entering the low power mode following expiration of the predefined period of time.

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15. (Original) The method of claim 13, wherein the ambient condition is one of a level of carbon monoxide or a level of smoke.

16. (Original) The method of claim 13, further comprising: measuring a signal strength received using the wireless transceiver; and routing transmission of the one or more messages based on the measured signal

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strength.

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17. (Currently Amended) A system for sensing an ambient condition, the system comprising:

a wireless ambient sensor unit configured to:

measure the ambient condition with a sensor;

compare <u>quantitative</u> data measured about the ambient condition to a stored threshold value, while the wireless ambient sensor unit is in a low-power mode;

exit the low-power mode in response to the comparison of the <u>quantitative</u> data with the stored threshold value; and

<u>in response to the exit of the low-power mode</u>, transmit, with a wireless transceiver, one or more messages indicative of the <u>quantitative</u> data measured about the ambient condition, the <u>quantitative</u> data being transmitted while the wireless ambient sensor unit is out of the low-power mode and each message includes an address that identifies the wireless ambient sensor unit, a checksum, and an authenticity portion for use in verifying an authenticity of the message.

18. (Original) The system of claim 17, further comprising:

a repeater device configured to:

receive from the wireless ambient sensor unit, the one or more messages indicative of the data about the ambient condition; and

transmit the one or more messages to a base unit.

Page 7 of 15

Sonos Ex. 1012, p. 147 Sonos v. Google IPR2021-00964 19. (Original) The system of claim 18, wherein the repeater device is further configured to:

attach an address of the repeater device to the one or more messages prior to the transmission of the one or more messages to the base unit.

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20. (Original) The system of claim 18, wherein the repeater device is further configured to:

compare the address in the one or more messages received from the wireless ambient sensor unit to a stored database that includes a plurality of sensor addresses; and

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ignore the one or more messages based on the address not being included in the plurality of sensor addresses.

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REMARKS

Applicant respectfully requests reconsideration and allowance of the application. Claims 1-20 are pending, of which claims 1, 5, 9, 13, and 17 are amended. Support for the amendments can be found in the specification as filed, *e.g.*, at ¶ [0007] and in Fig. 7.

Applicant does not concede the propriety of the rejections, or the Office's comments. Nevertheless, in the interest of advancing prosecution of the application, claims are amended as indicated above and discussed below. Applicant reserves the right to further argue against the Office's comments and rejections. Additionally, Applicant requests that the Office contact the undersigned agent in an effort to further advance prosecution prior to issuing a subsequent Office Action.

Interview Summary

Applicant appreciates the Examiner's time to conduct the telephone interview on August 9, 2016, and the efforts to clarify pending issues to advance prosecution of the application. Pending claims were discussed with respect to the cited references, as well as additional features that may be incorporated into the claims. Specifically, we discussed transmitting measured data about an ambient condition after exiting a lowpower mode, which is not an alarm signal and Applicant submits is not disclosed by the cited references.

Although no agreement was reached as to specific claim amendments that would place the pending claims in condition for allowance, Applicant submits that the features

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incorporated into the independent claims overcome the cited references. The Examiner reserved the right to further evaluate the references of record and/or conduct another search for additional references.

<u>§ 103 Claim Rejections</u>

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Claims 1-8, 10, 12-15, and 17 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent Application Pub. No. 2002/0030592 to Hakanen et al. ("Hakanen") in view of U.S. Patent No. 6,624,750 to Marman et al. ("Marman") and further in view of U.S. Patent Application Pub. No. 2002/0124169 to Agrawal et al. ("Agrawal"). (Office Action, p. 2).

Claim 9 stands rejected under 35 U.S.C. § 103(a) as being unpatentable over Hakanen, Marman, and Agrawal and further in view of U.S. Patent Application Pub. No. 2005/0030175 to Wolfe ("Wolfe"). (Office Action, p. 6).

Claims 11, 16, and 18-20 rejected under 35 U.S.C. § 103(a) as being unpatentable over Hakanen, Marman, and Agrawal and further in view of U.S. Patent Application Pub. No. 2004/0233855 to Gutierrez et al. ("Gutierrez"). (Office Action, p. 6).

Applicant makes no representation that cited references are prior art. This response and any remarks, comments, or amendments included herein are not intended to be, and are not interpreted to be, an admission that the cited references are prior art or that the rejections are proper or conceded. Applicant reserves the right to dispose of

Page 10 of 15

any cited references under 35 U.S.C. § 102 and/or 35 U.S.C. § 103, including but not limited to, antedating one or more of the cited references. Claim 1 5 In the interest of advancing prosecution and without conceding the propriety of the rejection, independent claim 1 is amended to recite: A wireless ambient sensor unit, comprising: a wireless transceiver: 10 a sensor configured to measure quantitative data about an ambient condition; a controller in communication with the wireless transceiver and the sensor, the controller configured to: 15 compare the quantitative data measured about the ambient condition to a stored threshold value while the wireless ambient sensor unit is in a low-power mode; 20 exit the low-power mode in response to the comparison of the quantitative data with the stored threshold value; and in response to the exit of the low-power mode, transmit the quantitative data measured about the ambient condition as one or more messages, using the wireless transceiver, the quantitative data being transmitted while the wireless 25 ambient sensor unit is out of the low-power mode and each message includes an address that identifies the wireless ambient sensor unit, a checksum, and an authenticity portion for use in verifying an authenticity of the message. Applicant submits that Hakanen, Marman, and/or Agrawal do not disclose, 30 teach, or in any way suggest the subject matter of claim 1 as amended. The Office cites Hakanen ¶¶ [0004], [0032], [0036], and [0055]-[0057] for "exit the low-power mode in response to the comparison of the data with the stored threshold value," and "transmit

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the data measured about the ambient condition as one or more messages, using the wireless transceiver, the data being transmitted while the wireless ambient sensor unit is out of the low-power mode (*Office Action*, pp. 2-3). Applicant disagrees because Hakanen (*Hakanen*, Figs 2A-2C) only shows and describes transmitting an alarm signal in response to exiting a sleep mode. As illustrated in Hakanen Fig. 2A, after exiting sleep mode (30), if an alarm threshold is detected (at 72), an alarm signal is sent (at 74). Only if there is a request from a mobile communicator (at 36) does Hakanen send measured parameters (at 34). The transmission of an alarm signal, and not measured data, in response to exiting a sleep mode in Hakanen is not a basis to reject the feature of sending quantitative data in response to exiting a low-power-mode. Marman and Agrawal also fail to teach or suggest any such subject matter. There is no indication in Hakanen that "in response to the exit of the low-power mode, transmit the quantitative data measured about the ambient condition as one or more messages, using the wireless transceiver," as recited in amended claim 1.

Accordingly, the Hakanen, Marman, and Agrawal combination does not support the §103 rejection of claim 1 as amended for at least the reasons described above, and Applicant requests that the rejection be withdrawn. Additionally, dependent claims 2-12 are allowable as depending from claim 1, and the §103 rejection should be withdrawn. To the extent that dependent claim 11 is further rejected, Gutierrez is not seen to add anything of significance to the rejection of independent claim 1 and the §103 rejection should be withdrawn.

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Claims 13 and 17

Independent claims 13 and 17 are amended in a manner that is consistent (although not identical) to the amendment entered for claim 1. For example:

<u>Claim 13</u> recites "exiting the low-power mode in response to the comparison of the *quantitative* data with the stored threshold value," and "*in response to said exiting the low-power mode,* transmitting, with a wireless transceiver of the wireless ambient sensor unit, one or more messages indicative of the *quantitative* data measured about the ambient condition, the *quantitative* data being transmitted while the wireless ambient sensor unit is out of the low-power mode."

<u>Claim 17</u> recites "exit the low-power mode in response to the comparison of the *quantitative* data with the stored threshold value," and "*in response to the exit of the low-power mode,* transmit, with a wireless transceiver, one or more messages indicative of the *quantitative* data measured about the ambient condition, the *quantitative* data being transmitted while the wireless ambient sensor unit is out of the low-power mode."

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As discussed above in response to the rejection of claim 1, Hakanen, Marman, and/or Agrawal do not disclose, teach, or in any way suggest the subject matter of claims 13 and 17 as amended. Hakanen only describes transmitting an alarm signal in response to exiting a sleep mode, but does not send quantitative data in response to exiting a low-power-mode. Marman and Agrawal also fail to teach or suggest any such subject matter. There is no indication in the cited references of "exiting the low-power mode in response to the comparison of the quantitative data with the stored threshold value," and "in response to said exiting the low-power mode, transmitting, with a

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wireless transceiver of the wireless ambient sensor unit, one or more messages indicative of the quantitative data measured about the ambient condition, the quantitative data being transmitted while the wireless ambient sensor unit is out of the low-power mode," as recited in claim 13, or to "exit the low-power mode in response to the comparison of the quantitative data with the stored threshold value," and "in response to the exit of the low-power mode, transmit, with a wireless transceiver, one or more messages indicative of the quantitative data measured about the ambient condition, the quantitative data being transmitted while the wireless ambient sensor unit is out of the low-power mode," as recited in claim 17.

Accordingly, the Hakanen, Marman, and Agrawal combination does not support the §103 rejection of claims 13 and 17 as amended for at least the reasons described above, and Applicant requests that the rejection be withdrawn. Additionally, dependent claims 14-16 and 18-20 are allowable as depending from respective independent claims 13 and 17, and the §103 rejection should be withdrawn. To the extent that dependent claims 16 and 18-20 are further rejected, Gutierrez is not seen to add anything of significance to the rejection of independent claims 13 and 17, and the §103 rejection should be withdrawn.

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Conclusion

Applicant submits that all objections and/or rejections of the pending claims have been addressed, and respectfully requests issuance of the application. If any issues remain that preclude issuance of the application, the Examiner is requested to contact the undersigned agent before issuing a subsequent Action.

Respectfully submitted,

Dated: <u>August 12, 2016</u>

By: <u>/Matthew Johnson/</u>

Matthew Johnson Reg. No. 72,299 (509) 755-7267

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Electronic Acl	knowledgement Receipt
EFS ID:	26630876
Application Number:	15090973
International Application Number:	
Confirmation Number:	5338
Title of Invention:	Wireless Sensor Unit Communication Triggering and Management
First Named Inventor/Applicant Name:	Lawrence Kates
Customer Number:	124746
Filer:	William Breen/Whitney Soule
Filer Authorized By:	William Breen
Attorney Docket Number:	563800USCON11
Receipt Date:	12-AUG-2016
Filing Date:	05-APR-2016
Time Stamp:	16:24:30
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment no					
File Listing:					
Document Number	Document Description	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
			140036		
1		563800USCON11_Response_tc _Non-Final_OA.pdf	6d6deec8b96a55af4fec541b3d3c15e524b 3c888	yes	15

	Multipart Description/PDF files in .zip description							
	Document Description	Start	End					
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1					
	Claims	2	8					
	Applicant summary of interview with examiner	9	10					
	Applicant Arguments/Remarks Made in an Amendment	11	15					
Warnings:		н — Т						
Information:								

Total	Files	Size	(in	bytes):
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140036

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (09-11) Approved for use through 1/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	BASIC FEE N/A N/A N/A					N/A		N/A			
	SEARCH FEE (37 CFR 1.16(k), (i), (or (m))		N/A		N/A		N/A			
	EXAMINATION FE (37 CFR 1.16(0), (p),	E		N/A		N/A		N/A			
	TAL CLAIMS CFR 1.16(i))			min	us 20 = *			X \$ =			
IND	DEPENDENT CLAIM CFR 1.16(h))	S		mi	nus 3 = *			X \$ =			
	Image: Barbon Size FEE (37 CFR 1.16(s)) If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).										
	MULTIPLE DEPEN	IDENT CLA	AIM PRE	ESENT (37	7 CFR 1.16(j))						
* lf	the difference in colu	umn 1 is les	ss than a	zero, ente	r "0" in column 2.			TOTAL			
		(Colum	ın 1)		(Column 2)	ION AS AMEN (Column 3		NRT II			
AMENDMENT	08/12/2016	CLAIMS REMAIN AFTER AMENDI			HIGHEST NUMBER PREVIOUSLY PAID FOR	ISLY PRESENT EXTR		RATE (\$)	ADDITI	ONAL FEE (\$)	
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AME	Application Si	ze Fee (37	CFR 1.	16(s))							
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AM	FIRST PRESEN	ITATION OF	MULTIP	LE DEPENI	DENT CLAIM (37 CFF	R 1.16(j))					
** # *** The	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to										

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

	Application Number	15/090,973
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	Apr 5, 2016
(Not for submission under 37 CFR 1.99)	First Named Inventor	Lawrence Kates
	Art Unit	2685
	Examiner Name	Ojiako K. Nwugo
	Attorney Docket Number	563800USCON11

	U.S. PATENTS						
Examiner Initial*	Patent Number	Issue Date	Patentee or Applicant				
	US-5565852	Oct 15, 1996	Petlier, Mark A., et al.				
	US-5966079	Oct 12, 1999	Tanguay, William P.				
	US-5973603 Oct 26, 1999 US-6108614 Aug 22, 2000		Judy, Leroy H. Lincoln, Larry A., et al.				
	US-6421539	Jul 16, 2002	Jeong, Jin-soo				
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	US-8589174	Nov 19, 2013	Nelson, Kyle S., et al.				
	US-9357490 May 31, 2016		Kates, Lawrence				
	US-9412260	Aug 9, 2016	Kates, Lawrence				

U.S. PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Publication Number	Publication Date	Patentee or Applicant			
	US-20020102979	Aug 1, 2002	Curley, Joseph, et al.			
	US-20020126005	Sep 12, 2002	Hardman, Gordon E., et al.			
	US-20030025612	Feb 6, 2003	Holmes, John K., et al.			
	US-20040017291 Jan 29, 2004		Hardman, Gordon E., et al.			
	US-20040023629	Feb 5, 2004	Klank, Otto			
	US-20040222884	Nov 11, 2004	Costa, Hilario, et al.			
	US-20040263340	Dec 30, 2004	Pearson, Joseph J., et al.			
	US-20070001854	Jan 4, 2007	Chung, Kevin K., et al.			
	US-20150172887	Jun 18, 2015	Petite, Thomas D.			

	NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials* Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
"Non-Final Office Action", Application Number 15/161,880, 07/12/2016, 10 pages							
	"Non-Final Office Action", Application Number 14/534,848, 08/11/2016, 12 pages						
	"Final Office Action", Application Number 14/536,108, 06/13/2016, 16 pages						

	Application Number	15/090,973
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	Apr 5, 2016
(Not for submission under 37 CFR 1.99)	First Named Inventor	Lawrence Kates
	Art Unit	2685
	Examiner Name	Ojiako K. Nwugo
	Attorney Docket Number	563800USCON11

EXAMINER SIGNATURE						
Examiner Signature		Date Considered				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

Electronic Patent Application Fee Transmittal						
Application Number:	15	090973				
Filing Date:	05-	05-Apr-2016				
Title of Invention:	Wireless Sensor Unit Communication Triggering and Management					
First Named Inventor/Applicant Name:	Lawrence Kates					
Filer:	Da	vid Anthony Moras	ch/Kenneth Linc	ler		
Attorney Docket Number:	56	3800USCON11				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
Total in USD (\$)			180	

Electronic Acknowledgement Receipt				
EFS ID:	26641841			
Application Number:	15090973			
International Application Number:				
Confirmation Number:	5338			
Title of Invention:	Wireless Sensor Unit Communication Triggering and Management			
First Named Inventor/Applicant Name:	Lawrence Kates			
Customer Number:	124746			
Filer:	David Anthony Morasch/Kenneth Linder			
Filer Authorized By:	David Anthony Morasch			
Attorney Docket Number:	563800USCON11			
Receipt Date:	15-AUG-2016			
Filing Date:	05-APR-2016			
Time Stamp:	15:02:44			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	yes		
Payment Type	Credit Card		
Payment was successfully received in RAM	\$180		
RAM confirmation Number	1307		
Deposit Account	504143		
Authorized User SIMON, SCOTT			
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:			
Charge any Additional Fees required under 37 CFR 1.16 (National application filing, search, and examination fees)			
Charge any Additional Fees required under 37 CFR 1.17 (Patent application and reexamination processing fees)			

Charge any Additional Fees required under 37 CFR 1.19 (Document supply fees)

Charge any Additional Fees required under 37 CFR 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 CFR 1.21 (Miscellaneous fees and charges)

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
			182293		
1	563800USCON11IDS.pdf	6869753e244b1834ba42d09878a938b6a2 0f8158	yes	3	
	Mult	ipart Description/PDF files in .	zip description		
	Document D	Document Description		Eı	nd
	Transmitta	al Letter	1	1	
	Information Disclosure Stat	ement (IDS) Form (SB08)	2	3	
Warnings:			1		
Information:		-	,		
			391823		
2	Non Patent Literature	14534848NFOA081116.pdf	aa99c13f3431d10a82d1b3e1074c2787b25 920fd	no	12
Warnings:		-	1	I	
Information:					
			581025		16
3	Non Patent Literature	14536108FOA061316.pdf	b370effe88cbd1700378e5ba08b7c49cab5 14afa	no	
Warnings:		4	Į I		
Information:					
			363570		10
4	Non Patent Literature	15161880NFOA071216.pdf	82fe34c3d139aa1d209893f3ccced1d13259 9cf6	no	
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			30536		2
5	Fee Worksheet (SB06)	fee-info.pdf	43462f3bd1290d36b95812e2c8710cb7729 30d16	no	
Warnings:		<u> </u>	ļ l		
Information:					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

S/N 15/090,973

<u>PATENT</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Lawrence Kates	Examiner:	Ojiako K. Nwugo
Serial No.:	15/090,973	Group Art Unit:	2685
Filed:	April 5, 2016	Docket:	563800USCON11
Title:	Wireless Sensor Unit Comr	nunication Triggeri	ng and Management

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the referenced materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to $37 \text{ C.F.R.} \S 1.97(c)(2)$, Applicants have included the fee of \$180.00 as set forth in $37 \text{ C.F.R.} \S 1.17(p)$. Please charge any additional fees or credit any overpayment to Deposit Account No. 50-4143.

 Respectfully submitted,

 Lawrence Kates

 By their Representatives,

 Date
 August 15, 2016

 By
 /Matthew Johnson/

 Matthew Johnson

 Reg. No. 72,299

UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMMERCE Address.COMMISSIONER FOR PATENTS PORTUNING UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES					
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE		
15/090,973	04/05/2016	Lawrence Kates	563800USCON11		
			CONFIRMATION NO. 5338		
124746		PUBLICA	FION NOTICE		
Wolfe-SBMC 116 W. Pacific Avenue Suite 300 Spokane, WA 99201			OC000000085357243*		

Title:Wireless Sensor Unit Communication Triggering and Management

Publication No.US-2016-0247382-A1 Publication Date:08/25/2016

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

page 1 of 1



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/090,973	04/05/2016	Lawrence Kates	563800USCON11	5338
124746 Wolfe-SBMC	7590 11/10/201	6	EXAM	INER
116 W. Pacific Suite 300	Avenue		NWUGO,	ЭЛАКО К
Spokane, WA 9	9201		ART UNIT	PAPER NUMBER
			2685	
			NOTIFICATION DATE	DELIVERY MODE
			11/10/2016	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket@sbmc-law.com

	Application No. 15/090,973	Applicant(s) KATES, LAWRENCE		
Office Action Summary	Examiner OJIAKO NWUGO	Art Unit 2685	AIA (First Inventor to File) Status Yes	
The MAILING DATE of this communication app	bears on the cover sheet with the	e corresponden	ce address	
Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).				
Status 1)⊠ Responsive to communication(s) filed on <u>8/25</u> ,				
A declaration(s)/affidavit(s) under 37 CFR 1.		<u>.</u>		
2a) This action is FINAL . 2b) This 3) An election was made by the applicant in resp	action is non-final.	nt set forth duri	ng the interview on	
; the restriction requirement and election				
4) Since this application is in condition for allowa closed in accordance with the practice under <i>B</i>	nce except for formal matters, p	prosecution as	to the merits is	
Disposition of Claims*				
5) Claim(s) <u>1-20</u> is/are pending in the application				
5a) Of the above claim(s) is/are withdra 6) Claim(s) is/are allowed.	wn from consideration.			
7) Claim(s) <u>1-20</u> is/are rejected.				
8) Claim(s) is/are objected to.				
9) Claim(s) are subject to restriction and/o				
* If any claims have been determined <u>allowable</u> , you may be e		_	way program at a	
participating intellectual property office for the corresponding a http://www.uspto.gov/patents/init_events/pph/index.jsp or sence				
	an inquiry to <u>reconstant output</u>	XIIII.		
Application Papers 10) The specification is objected to by the Examine	er.			
11) The drawing(s) filed on is/are: a) acc		e Examiner.		
Applicant may not request that any objection to the	drawing(s) be held in abeyance. S	ee 37 CFR 1.85	i(a).	
Replacement drawing sheet(s) including the correct	Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).			
Priority under 35 U.S.C. § 119				
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119	(a)-(d) or (f).		
a) All b) Some** c) None of the:				
1. Certified copies of the priority documen	ts have been received.			
2. Certified copies of the priority documen		ation No		
3. Copies of the certified copies of the priority documents have been received in this National Stage				
application from the International Bureau (PCT Rule 17.2(a)).				
** See the attached detailed Office action for a list of the certified copies not received.				
Attachment(s)				
1) X Notice of References Cited (PTO-892)	3) 🔲 Interview Summa	ry (PTO-413)		
2) X Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/ Paper No(s)/Mail Date	Paper No(s)/Mail SB/08b) 4) Other:	Date		
U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13) Office Action	Summary	Part of Paper N	o./Mail Date 20161102	

Sonos Ex. 1012, p. 169 Sonos v. Google IPR2021-00964

The present application, filed on or after March 16, 2013, is being examined

under the first inventor to file provisions of the AIA.

Response to Amendment

Response to Arguments

Applicant's arguments with respect to claims 1-20 have been considered but are

moot because the arguments do not apply to any of the references being used in the

current rejection.

The present application, filed on or after March 16, 2013, is being examined

under the first inventor to file provisions of the AIA.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103 which forms the basis for all

obviousness rejections set forth in this Office action:

A patent for a claimed invention may not be obtained, notwithstanding that the claimed invention is not identically disclosed as set forth in section 102 of this title, if the differences between the claimed invention and the prior art are such that the claimed invention as a whole would have been obvious before the effective filing date of the claimed invention to a person having ordinary skill in the art to which the claimed invention pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148

USPQ 459 (1966), that are applied for establishing a background for determining

obviousness under 35 U.S.C. 103 are summarized as follows:

1. Determining the scope and contents of the prior art.

2. Ascertaining the differences between the prior art and the claims at issue.

3. Resolving the level of ordinary skill in the pertinent art.

4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claim 1-8, 10, 12-15, 17 are rejected under 35 U.S.C. 103 as being unpatentable over Hakanen US20020030592 in view of Marman US6624750 in view of Agrawal US20020124169 in view Okubo US20040164855.

Regarding **Claim 1**, Hakanen discloses in fig. 2 and ¶s55-57 A wireless ambient sensor unit (system 2 of fig. 1 and ¶23), comprising: a wireless transceiver (transceiver 16 of ¶s35, 37); a sensor (sensor 20, 22, 24, 26) configured to measure an ambient condition; a controller (CPU 14) in communication with the wireless transceiver and the sensor, the controller configured to: compare data measured about the ambient condition to a stored threshold value while the wireless ambient sensor unit is in a low-power mode; exit the low-power mode in response to the comparison of the data with the stored threshold value (¶32 in view of ¶s55-57); and transmit the data measured (operational parameters of ¶36 in view of ¶4) about the ambient condition as one or more messages, using the wireless transceiver, the data being transmitted while the wireless ambient sensor unit is out of the low-power mode (¶32 in view of ¶s55-57).

Hakanen fails to disclose each message includes an address that identifies the wireless ambient sensor unit.

However Marman discloses in fig. 2 and col.20:36-41 each message includes an address that identifies the wireless ambient sensor unit.

Therefore, it would have been obvious for one of ordinary skill in the art at the time of the invention to include the address of Marman into Hakanen for the purpose of identifying form a given communication device to facilitate message processing.

Further Hakanen and Marman fail to disclose message includes a checksum, and an authenticity portion for use in verifying an authenticity of the message.

However, Agrawal discloses in figs. 7, 8 and ¶61 message includes a checksum, and an authenticity portion for use in verifying an authenticity of the message.

Therefore, it would have been obvious for one of ordinary skill in that art at the time of the invention features of Agrawal in view of Hakanen and Marman to enhance system security.

Further Hakanen, Marman and Agrawal fail to disclose comparing

quantitative data, exit the low-power mode in response to the comparison of the *quantitative* data with the stored threshold value; and in response to the exit of the low-power mode, transmit the quantitative data measured about the ambient condition as one or more messages.

However Okubo discloses in in fig. 3 and ¶27 the transmitter 30 is in a sleep state such that substantially no power from the battery 36 is consumed during the time period other than the above-described measuring operation time t2 and the transmitting operation time t3. In ¶30 Okubo further discloses In a temperature compensation mode, the transmission controller 31 controls the transmitting circuit 34 to perform the transmitting operation at time intervals (second time intervals) shorter than the transmission time interval t4, thus by the

shorting the time interval between transmissions exits low power mode and in view of ¶s29,31,32 Okubo discloses comparing *quantitative* data, exit the low-power mode in response to the comparison of the *quantitative* data with the stored threshold value; and in response to the exit of the low-power mode, transmit the quantitative data measured about the ambient condition as one or more messages.

Therefore, it would have been obvious for one of ordinary skill in the art at the time of the invention to include Okubo into Hakanen, Marman and Agrawal to conserve power while effectively monitoring system properties.

Regarding **Claim 2**, Marman discloses in fig. 2 and col.24:11-24 wherein the controller is further configured for at least a portion of the address to be programmed into the wireless ambient sensor unit during an installation process.

Regarding **Claim 3**, Marman discloses in fig. 2 and col. 28:20-27 wherein power is not provided to the wireless transceiver in the low-power mode.

Regarding **Claim 4**, Marman discloses in fig. 2 and col.24:11-24 wherein the controller is further configured to: receive a message, via the wireless transceiver to reprogram at least a portion of the address; and reprogram at least the portion of the address based on the received message.

Regarding **Claim 5**, Marman discloses in fig. 2 and col.21:45-col.22:24 wherein the wireless transceiver is configured to use a spread spectrum technique for transmitting the **quantitative** data measured about the ambient condition.

Regarding **Claim 6**, Hanaken discloses in ¶56 wherein the controller is further configured to: exit the low-power mode on a periodic basis; transmit a status message using the wireless transceiver; for a predefined period of time following the transmission of the status message, enter a receive mode to wait for a command to be received via the wireless transceiver; and enter the low power mode following expiration of the predefined period of time.

Regarding **Claim 7**, Marman discloses in fig. 2 and col.7:65-col.8:8 wherein the ambient condition is one of a level of carbon monoxide or a level of smoke.

Regarding **Claim 8**, Marman discloses in fig. 2 and col.12:35-45 further comprising: a reset switch in communication with the controller, and wherein the controller is further configured to: in response to actuation of the reset switch, cause the wireless ambient sensor unit to enter a receive mode to receive the address, via the wireless transceiver, to program into the wireless ambient sensor unit.

Regarding **Claim 10**, Marman discloses in figs. 5a, 5b and col. 10:27-26 further comprising an audio output device, and wherein the controller is in communication with the audio output device.

Regarding **Claim 12**, Marman discloses in fig. 2 and col.21:45-col.22:24 wherein the controller is further configured to: prior to the transmission of the one or more messages, listen to a radio frequency channel, using the wireless transceiver, to determine if the radio frequency channel is in use; and in response to the determination that the radio frequency channel is not is use, transmit the one or more messages via the radio frequency channel.

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Regarding **Claim 13**, the limitations are analogous to the limitation of **claim 1** and is rejected on similar grounds.

Regarding **Claim 14**, Hanaken discloses in ¶56 exiting the low-power mode on a periodic basis; transmitting a status message using the wireless transceiver; for a predefined period of time following said transmitting the status message, entering a receive mode to wait for a command to be received via the wireless transceiver; and entering the low power mode following expiration of the predefined period of time.

Regarding **Claim 15**, Marman discloses in fig. 2 and col.7:65-col.8:8 wherein the ambient condition is one of a level of carbon monoxide or a level of smoke.

Regarding **Claim 17**, the limitations are analogous to the limitation of **claim 1** and is rejected on similar grounds.

Claim 9 is rejected under 35 U.S.C. 103 as being unpatentable over Hakanen, Marman, Agrawal and **Okubo** as applied to **claim 1** above in view of Wolfe US20050030175.

Regarding **Claim 9**, Hakanen discloses in fig. 1 and ¶s 28, 32, 55-57 sensor with the controller, and wherein the controller is further configured to: receive a tamper indication from the tamper sensor indicative of tampering with the wireless ambient sensor unit; in response to the reception of the tamper indication, exit the low-power mode; and transmit the a message including an indication of the tampering via the wireless transceiver.

Hakanen, Marman and Agrawal fail to disclose a tamper senor. However Wolfe discloses in fig. 1 and ¶42 tamper sensor,

Therefore, it would have been obvious for one of ordinary skill in the art at the time of the invention to include tamper sensor of Wolfe into Hakanen, Marman Agrawal and **Okubo** to enhance system robustness.

Claim 11, 16, 17-20 are rejected under 35 U.S.C. 103 as being unpatentable over Hakanen, Marman Agrawal and Okubo as applied to claim 1, 13, 18 above in view of Gutierrez US2040233855.

Regarding **Claim 11**, Hakanen, Marman and Agrawal fail to disclose wherein the controller is further configured to: measure a signal strength received using the wireless transceiver; and route transmission of the one or more messages based on the measured signal strength.

However Gutierrez's disclosure in fig. 6 and ¶s85-86 renders obvious wherein the controller is further configured to: measure a signal strength received using the wireless transceiver; and route transmission of the one or more messages based on the measured signal strength.

Therefore, it would have been obvious for one of ordinary skill in the art at the time of the invention to include features of Guteirrez into Hakanen, Marman Agrawal and **Okubo** to enhance system robustness.

Claim 16 is rejected on similar grounds as claim 11.

Regarding **Claim 18**, Hakanen, Marman and Agrawal fail to disclose a repeater device configured to: receive from the wireless ambient sensor unit, the one or more

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messages indicative of the data about the ambient condition; and transmit the one or more messages to a base unit.

However, Gutierrez's disclosures in fig. 5 and ¶s84-85 renders obvious a repeater device (ND 14) configured to: receive from the wireless ambient sensor unit, the one or more messages indicative of the data about the ambient condition; and transmit the one or more messages to a base unit (NCO 24).

Therefore, it would have been obvious for one of ordinary skill in the art at the time of the invention to include features of Guteirrez into Hakanen, Marman Agrawal and **Okubo** to enhance system robustness.

Regarding **Claim 19**, Gutierrez's disclosures in fig. 5 and ¶s84-85 renders obvious wherein the repeater device is further configured to: attach an address of the repeater device to the one or more messages prior to the transmission of the one or more messages to the base unit.

Regarding **Claim 20**, Gutierrez's disclosures in fig. 5 and ¶s84-85 renders obvious wherein the repeater device is further configured to: compare the address in the one or more messages received from the wireless ambient sensor unit to a stored database that includes a plurality of sensor addresses; and ignore the one or more messages based on the address not being included in the plurality of sensor addresses.

Claim(s) 1-3, 13, 17 is/are rejected under 35 U.S.C. 103 as being unpatentable over Okubo US20040164855 in view of Agrawal US20020124169.

Regarding Claim 1, Okubo discloses fig. 2 and ¶s22, 24, 25,29 A wireless ambient sensor unit (transmitter 30), comprising: a wireless transceiver (transmitting unit 34); a sensor (temperature sensor 33) configured to measure quantitative data (temperature data) about an ambient condition; a controller (transmission control 31) in communication with the wireless transceiver and the sensor, the controller (¶s30-32) configured to: compare the quantitative data measured about the ambient condition to a stored threshold value while the wireless ambient sensor unit is in a low-power mode (In fig. 3 and ¶27 transmitter 30 has a transmission operation time T3 and is otherwise in a sleep state during interval T4, In ¶s29-30 In a temperature compensation mode, the transmission controller 31 controls the transmitting circuit 34 to perform the transmitting operation at time intervals (second time intervals) shorter than the transmission time interval t4 in the normal mode and equal to or longer than the measurement time interval t1, thus shorting transmission intervals reads on exiting low power in response to comparing data); exit the low-power mode in response to the comparison of the quantitative data with the stored threshold value; and in response to the exit of the lowpower mode(fig ¶s 27-30), transmit the quantitative data (transmitting temperature data of ¶26) measured about the ambient condition as one or more messages, using the wireless transceiver, the quantitative data being transmitted while the wireless ambient sensor unit is out of the low-power mode and each message includes an address (ID codes of ¶25) that identifies the wireless ambient sensor unit.

Okubo fails to discloses a checksum, and an authenticity portion for use in verifying an authenticity of the message

However, Agrawal discloses in figs. 7, 8 and ¶61 message includes a checksum, and an authenticity portion for use in verifying an authenticity of the message. Therefore, it would have been obvious for one of ordinary skill in that art at the time of the invention features of Agrawal in view of Okubo to enhance system security.

Regarding **Claim 2**, Okubo discloses in ¶s23,25 the ID codes registered thus rendering obvious wherein the controller is further configured for at least a portion of the address to be programmed into the wireless ambient sensor unit during an installation process.

Regarding **Claim 3**, Okubo discloses in ¶27 the transmitter 30 is in a sleep state such that substantially no power from the battery 36 is consumed during the time period other than the above-described measuring operation time t2 and the transmitting operation time t3 thus rendering obvious wherein power is not provided to the wireless transceiver in the low-power mode.

Regarding **Claims 13**, **17** the limitations are analogous to the limitation of **claim 1** and is rejected on similar grounds.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to OJIAKO NWUGO whose telephone number is (571)272-9755. The examiner can normally be reached on 8AM-5PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, HAI PHAN can be reached on 5712726338. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000. Application/Control Number: 15/090,973 Art Unit: 2685

/OJIAKO NWUGO/ Primary Examiner, Art Unit 2685

Notice of References Cited	Application/Control No. 15/090,973	Applicant(s)/Patent Under Reexamination KATES, LAWRENCE			
Notice of hereichees offed	Examiner	Art Unit			
	OJIAKO NWUGO	2685	Page 1 of 1		
LLC DATENT DOCUMENTS					

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	CPC Classification	US Classification
*	А	US-2004/0164855 A1	08-2004	Okubo, Youichi	B60C23/20	340/445
	В	US-				
	С	US-				
	D	US-				
	Е	US-				
	F	US-				
	G	US-				
	Н	US-				
	Ι	US-				
	J	US-				
	к	US-				
	L	US-				
	М	US-				

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	CPC Classification
	Ν					
	0					
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NON-PATENT DOCUMENTS * Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U ۷ W Х

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20161102

Sonos Ex. 1012, p. 182 Sonos v. Google IPR2021-00964

Γ

	Application Number	15/090,973
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	Apr 5, 2016
(Not for submission under 37 CFR 1.99)	First Named Inventor	Lawrence Kates
	Art Unit	2685
	Examiner Name	Ojiako K. Nwugo
	Attorney Docket Number	563800USCON11

	U.S. PATENTS						
Examiner Initial*	Patent Number	Issue Date	Patentee or Applicant				
	US-5565852	Oct 15, 1996	Petlier, Mark A., et al.				
	US-5966079	Oct 12, 1999	Tanguay, William P.				
	US-5973603	Oct 26, 1999	Judy, Leroy H.				
	US-6108614	Aug 22, 2000	Lincoln, Larry A., et al.				
	US-6421539	Jul 16, 2002	Jeong, Jin-soo				
	US-7063667	Jun 20, 2006	Ben-Oren, Ilan, et al.				
	US-8589174	Nov 19, 2013	Nelson, Kyle S., et al.				
	US-9357490	May 31, 2016	Kates, Lawrence				
	US-9412260	Aug 9, 2016	Kates, Lawrence				

	U.S. PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Publication Number	Publication Date	Patentee or Applicant				
	US-20020102979	Aug 1, 2002	Curley, Joseph, et al.				
	US-20020126005	Hardman, Gordon E., et al.					
	US-20030025612	Feb 6, 2003	Holmes, John K., et al.				
	US-20040017291	Jan 29, 2004	Hardman, Gordon E., et al.				
	US-20040023629	Feb 5, 2004	Klank, Otto				
	US-20040222884	Nov 11, 2004	Costa, Hilario, et al.				
	US-20040263340	Dec 30, 2004	Pearson, Joseph J., et al.				
	US-20070001854	Jan 4, 2007	Chung, Kevin K., et al.				
	US-20150172887	Jun 18, 2015	Petite, Thomas D.				

	NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials* Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
	"Non-Final Office Action", Application Number 15/161,880, 07/12/2016, 10 pages					
	"Non-Final Office Action", Application Number 14/534,848, 08/11/2016, 12 pages					
	"Final Office Action", Application Number 14/536,108, 06/13/2016, 16 pages					

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /O.K.N/

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number	15/090,973
	Filing Date	Apr 5, 2016
(Not for submission under 37 CFR 1.99)	First Named Inventor	Lawrence Kates
	Art Unit	2685
	Examiner Name	Ojiako K. Nwugo
	Attorney Docket Number	563800USCON11

EXAMINER SIGNATURE						
Examiner Signature	/OJIAKO K NWUGO/	Date Considered	11/03/2016			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

				pplication/	(Con	trol N	0.		Applicant(s)/Patent Under Reexamination		
			15090973		KATES,	KATES, LAWRENCE					
			E:	xaminer				Art Unit	Art Unit		
			0	JIAKO NW	UGO	I		2685			
✓ F	Rejected	-	Car	ncelled		N	Non-El	ected	Α	Appeal	
=	Allowed	÷	Res	stricted		I	Interfe	rence	0	Objecte	d
Claims	renumbered	in the same	order as pi	resented by a	applica	ant	C] CPA	□ T.D.	🗌 R.1.4	7
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Final	Original	06/08/2016	11/02/2016	;							
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	6	~	~								
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	8	✓	✓								
	9	✓	✓								
	10	✓	✓								
	11	✓ ✓	✓ ✓								
	12	✓ ✓	✓ ✓								
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Part of Paper No. : 20161102

U.S. Patent and Trademark Office

Sonos Ex. 1012, p. 185 Sonos v. Google IPR2021-00964

	Application/Control No.	Applicant(s)/Patent Under Reexamination	
Search Notes	15090973	KATES, LAWRENCE	
	Examiner	Art Unit	
	OJIAKO NWUGO	2685	

CPC- SEARCHED						
Symbol	Date	Examiner				
G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001	6/8/2016	O.N.				
G08B17/10 G08B25/10 with text						
G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001	11/2/2016	O.N.				
G08B17/10 G08B25/10						

CPC COMBINATION SETS - SEARCHED				
Symbol Date Examine				

Class	Subclass	Date	Examiner
340	573.1,870.39 with text	6/8/2016	0.N.
340	573.1,870.39	11/2/2016	0.N.

SEARCH NOTES		
Search Notes	Date	Examiner
See attached search histtory	6/8/2016	0.N.
G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001 G08B17/10 G08B25/10 with text	11/2/2016	O.N.
340/573.1,870.39 with text	11/2/2016	0.N.
See attached search history	11/2/2016	0.N.

INTERFERENCE SEARCH						
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner			

	/OJIAKO NWUGO/ Primary Examiner.Art Unit 2685

U.S. Patent and Trademark Office

Part of Paper No. : 20161102

Sonos Ex. 1012, p. 186 Sonos v. Google IPR2021-00964

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1		(G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001 G08B17/10 G08B25/10).cpc. and alarm with transmit\$3 with (data measure\$4) with ambient with power with encrypted and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/11/02 18:27
L2		340/573.1,870.39.ccls. and alarm with transmit\$3 with (data measure\$4) and @ad<="20040527" not (kates near3 lawrence).inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/11/02 18:28

EAST Search History (Interference)

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11/2/2016 6:55:04 PM

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number	15/090,973
	Filing Date	Apr 5, 2016
(Not for submission under 37 CFR 1.99)	First Named Inventor	Lawrence Kates
	Art Unit	2685
	Examiner Name	Ojiako K. Nwugo
	Attorney Docket Number	563800USCON11

			U.S. F	ATENTS	6	
Examiner Initial*	Patent Number Issue Date Patentee or Applicant					
	US-9474023		Oct 18, 2016	Kate	s, Lawrence	
		U.S.	PATENT APPLIC	CATION	PUBLICATIONS	
Examiner Initial*	Publication Number	er	Publication Date	Paten	ee or Applicant	
	US-20160267	761	Sep 15, 2016	Kate	s, Lawrence	
	US-20160286	6490	Sep 29, 2016	Kate	s, Lawrence	
		NON	-PATENT LITERA	ATURE D	OCUMENTS	
Examiner Initials*	······································					
	"Notice of Allowance", Application Number 15/179,350, 08/15/2016, 8 pages					
			EXAMINER S	IGNATU	RE	
Examiner Signature Date Considered						
line throu		ot in conf			ion is in conformance wit Include copy of this form	

Electronic Patent Application Fee Transmittal					
Application Number:	15	090973			
Filing Date:	05	05-Apr-2016			
Title of Invention:	Wireless Sensor Unit Communication Triggering and Management				
First Named Inventor/Applicant Name:	Lawrence Kates				
Filer:	Da	vid Anthony Moras	ch/Kenneth Linc	ler	
Attorney Docket Number:	56	3800USCON11			
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt				
EFS ID:	27503724			
Application Number:	15090973			
International Application Number:				
Confirmation Number:	5338			
Title of Invention:	Wireless Sensor Unit Communication Triggering and Management			
First Named Inventor/Applicant Name:	Lawrence Kates			
Customer Number:	124746			
Filer:	David Anthony Morasch/Kenneth Linder			
Filer Authorized By:	David Anthony Morasch			
Attorney Docket Number:	563800USCON11			
Receipt Date:	14-NOV-2016			
Filing Date:	05-APR-2016			
Time Stamp:	16:22:25			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$180
RAM confirmation Number	111516INTEFSW16241500
Deposit Account	
Authorized User	
The Director of the USPTO is hereby authorized to cha	arge indicated fees and credit any overpayment as follows:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			178470		
1		563800USCON11IDS.pdf	df2e9a88cb893b28d18885e4b5f12372c89 a1d08	yes	3
	Multi	part Description/PDF files in	.zip description		
	Document De	escription	Start	E	nd
	Transmittal	Letter	1	2	
	Information Disclosure Statement (IDS) Form (SB08) 3			3	
Warnings:					
Information:		1	1		
			433387		8
2	Non Patent Literature	15179350NOA081516.pdf	8d7ed5b0d78f38ed7fd803301502263f219 0fdc9	no	
Warnings:		ł			
Information:					
3	Fee Worksheet (SB06)				2
5	ree worksneet (5000)	fee-info.pdf	18a200d1f68c18f0a3bb6e84c00f133eb149 7f02	no	2
Warnings:		ł	· · · · · · · · · · · · · · · · · · ·		
Information:					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

<u>S/N 15/090,973</u>

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:Lawrence KatesExaminer:Ojiako K. NwugoSerial No.:15/090,973Group Art Unit:2685Filed:April 5, 2016Docket:563800USCON11Title:Wireless Sensor Unit Communication Triggering and Management

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the referenced materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. § 1.97(e)(2), Applicant states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Pursuant to $37 \text{ C.F.R.} \S 1.97(c)(2)$, Applicants have included the fee of \$180.00 as set forth in $37 \text{ C.F.R.} \S 1.17(p)$. Please charge any additional fees or credit any overpayment to Deposit Account No. 50-4143.

Respectfully submitted,

Lawrence Kates

By their Representatives,

Date November 14, 2016

By <u>/Matthew Johnson/</u> Matthew Johnson Reg. No. 72,299



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
15/090,973	04/05/2016	Lawrence Kates	563800USCON11	5338	
124746 Wolfe-SBMC	7590 01/17/201	7	EXAMINER		
	116 W. Pacific Avenue NWUGO, OJIAKO K		ЭЛАКО К		
Spokane, WA 9	9201		ART UNIT PAPER NUM		
			2685		
			NOTIFICATION DATE	DELIVERY MODE	
			01/17/2017	ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket@sbmc-law.com

	Application No.	Applicant(s)	
Applicant-Initiated Interview Summary	15/090,973	KATES, LAWRE	NCE
	Examiner	Art Unit	
	OJIAKO NWUGO	2685	
All participants (applicant, applicant's representative, PTO p	ersonnel):		
(1) <u>OJIAKO NWUGO</u> .	(3)		
(2) <u>Matt Johnson</u> .	(4)		
Date of Interview: 29 December 2016.			
Type: 🛛 Telephonic 🔲 Video Conference 🗌 Personal [copy given to: 🗌 applicant 🗌] applicant's representative]		
Exhibit shown or demonstration conducted: Yes If Yes, brief description:] No.		
Issues Discussed 101 112 102 103 Other (For each of the checked box(es) above, please describe below the issue and detailed			
Claim(s) discussed: <u>1</u> .			
Identification of prior art discussed:			
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement w reference or a portion thereof, claim interpretation, proposed amendments, argument		entification or clarificat	tion of a
Applicant proposed amendments to Claim 1, to include " a se ambient condition while sensor unit in in low-power mode" ap allowable subject matter.			
Applicant recordation instructions: The formal written reply to the last Off section 713.04). If a reply to the last Office action has already been filed, app thirty days from this interview date, or the mailing date of this interview summinterview	olicant is given a non-extendable peri	od of the longer of on	e month or
Examiner recordation instructions : Examiners must summarize the substructions of an interview should include the items listed in MPEP 713.04 for general thrust of each argument or issue discussed, a general indication of a general results or outcome of the interview, to include an indication as to whom the interview of the interview of the include an indication of a second se	r complete and proper recordation inc any other pertinent matters discussed	luding the identificati regarding patentabili	on of the
Attachment			
/OJIAKO NWUGO/ Primary Examiner, Art Unit 2685			
U.S. Patent and Trademark Office PTOL-413 (Rev. 8/11/2010) Interview	Summary	Paper	No. 20170109

Sonos Ex. 1012, p. 197 Sonos v. Google IPR2021-00964

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- -Name of applicant
- -Name of examiner
- Date of interview
- -Type of interview (telephonic, video-conference, or personal)
- -Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by
 attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does
 not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Lawrence Kates	APPLICATION NO.:	15/090,973
EXAMINER:	Nwugo, Ojiako K.	CONFIRMATION NO.:	5338
DATE FILED:	April 5, 2016	GROUP ART UNIT:	2685
TITLE:	Wireless Sensor Unit Communication	Triggering and Manager	nent

RESPONSE TO FINAL OFFICE ACTION DATED NOVEMBER 10, 2016

5

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

10

This communication is responsive to the Final Office Action dated November 10, 2016, concerning the above-identified application, and is filed concurrently with form PTO/SB/434 to request consideration under After Final Consideration Pilot Program 2.0.

Doc Code: A.NE.AFCP Document Description: After Final Consideration Pilot Program Request

				PTO/SB/434 (05-13
(CERTIFICATION AN AFTER FINA	ID REQUEST FOF L CONSIDERATIC		
Practitioner Docket No.: Application N 563800USCON11 15/090 First Named Inventor: Title:		pplication No.: 5/090,973		Filing Date: April 5, 2016
		tle:	nit Communica	tion Triggering and Management
	RTIFIES THE FOLLOWING . 0) OF THE ACCOMPANYII			HE AFTER FINAL CONSIDERATION PILOT
35 U.S.C. 111	(a) [a continuing applicat	ion (<i>e.g.,</i> a continuatio	n or divisional appli	isional application filed under cation) is filed under 35 U.S.C. 111(a) and is al stage in compliance with 35 U.S.C. 371(c).
2. The above-id	entified application cont	ains an outstanding fin	al rejection.	
				jection. The response includes an oaden the scope of the independent claim ir
	tion and request for cons the outstanding final reje		2.0 is the only AFCP 2	2.0 certification and request filed in
5. Applicant is v	willing and available to pa	rticipate in any intervi	ew requested by the	examiner concerning the present response
6. This certifica	tion and request is being	filed electronically usir	ng the Office's electr	onic filing system (EFS-Web).
1.116, <i>e.g.</i> , e				sponses after final rejection under 37 CFR is no additional fee required to request
8. By filing this	certification and request,	applicant acknowledg	es the following:	
 The examine (see items 1 Th (i) ad wi 37 If it th all th all 	to 7 above). For compliar e examiner will review th is necessitated by the an ditional search and/or co Il process the submission CFR 1.116, <i>e.g.</i> , by mailin the examiner determines e examiner determines e allotted time, then the owance (after completing at the amendment does in plicant and request an in • The interview will authority, a prim • If the applicant d days from the da	2.0 submission is com at submissions: the response under 37 C andment and (ii) could nsideration is required consistent with curren ag an advisory action. that the amendment of at additional search at examiner will consider g the additional search not place the application terview. I be conducted by the ary examiner and/or si eclines the interview, of the that the examiner fi urrent practice concer	pliant, <i>i.e.</i> , that the in FR 1.116 to determine the completed with but cannot be completed but cannot be completed but cannot be completed but cannot be completed but consideration whether the amend and/or consideration on whether the amend and/or consideration on the consideration on a consider	te in AFCP 2.0. requirements of the program have been me in the time allotted under AFCP 2.0. If oleted within the allotted time, the examine g responses after final rejection under additional search and/or consideration, or i is required and could be completed within ment places the application in condition for n, if required). If the examiner determines lowance, then the examiner will contact the examiner does not have negotiation aminer will also participate. nnot be scheduled within ten (10) calendar licant, then the examiner will proceed final rejection under 37 CFR 1.116.
Signature Date				
/Matthew Johnson/ February 9, 2017		2017		
(Print/Typed) Matthe	ew Johnson	P R	ractitioner egistration No. 722	99
	signed in accordance with ignature is required, see be	37 CFR 1.33. See 37 CFR		equirements and certifications. Submit multiple
✓ * Total of 1	forms are submitted.			

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Electronic Acl	knowledgement Receipt
EFS ID:	28316704
Application Number:	15090973
International Application Number:	
Confirmation Number:	5338
Title of Invention:	Wireless Sensor Unit Communication Triggering and Management
First Named Inventor/Applicant Name:	Lawrence Kates
Customer Number:	124746
Filer:	William Breen/Whitney Soule
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Application Type:	Utility under 35 USC 111(a)

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File Listin	g:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
			188633			
1		563800USCON11_Response. pdf	a4ee83d8e2462387728afa4c7a9f2db39b3 8f5c1	yes	16	

	Multip	part Description/PDF files in .	zip description		
	Document Description		Start	End	
	Applicant Arguments/Remarks	Made in an Amendment	9	16	
	Applicant summary of inte	rview with examiner	8	8	
	Claims	5	2	7	
	Response After F	inal Action	1	1	
Warnings:					
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2005/0030175 to Wolfe ("Wolfe"). (*Office Action*, p. 7). Claims 11 and 16-20 rejected under 35 U.S.C. § 103(a) as being unpatentable over Hakanen, Marman, Agrawal, and Okubo and further in view of U.S. Patent Application Pub. No. 2004/0233855 to Gutierrez et al. ("Gutierrez"). (*Office Action*, p. 8).

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Applicant makes no representation that cited references are prior art. This response and any remarks, comments, or amendments included herein are not intended to be, and are not interpreted to be, an admission that the cited references are prior art or that the rejections are proper or conceded. Applicant reserves the right to dispose of any cited references under 35 U.S.C. § 102 and/or 35 U.S.C. § 103, including but not limited to, antedating one or more

10 of the cited references.

Claim 1

Independent claim 1 recites:

A wireless ambient sensor unit, comprising:

a wireless transceiver;

a sensor configured to measure quantitative data about an ambient condition;

20 a controller in communication with the wireless transceiver and the sensor, the controller configured to:

compare the quantitative data measured about the ambient condition to a stored threshold value while the wireless ambient sensor unit is in a low-power mode;

exit the low-power mode in response to the comparison of the quantitative data with the stored threshold value; and

in response to the exit of the low-power mode, transmit the quantitative data measured about the ambient condition as one or more messages, using the wireless transceiver, the quantitative data being transmitted while the wireless ambient sensor unit is out of the low-power mode and each message includes an address that identifies

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Sonos Ex. 1012, p. 204 Sonos v. Google IPR2021-00964 the wireless ambient sensor unit, a checksum, and an authenticity portion for use in verifying an authenticity of the message.

Applicant submits that neither the combination of Hakanen, Marman, Agrawal, and Okubo, nor the combination of Okubo and Agrawal disclose, teach, or in any way suggest the subject matter of claim 1.

<u>Okubo</u>

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In one rejection (Office Action, p. 10) to claim 1, the Office Action relies on Okubo to disclose all elements of claim 1 except for a checksum and authenticity portion. However, Okubo actually also fails to disclose exiting a low-power mode in response to a comparison of quantitative data and, <u>responsive to such exit</u>, transmitting the measured quantitative data.

Okubo (and Hakanen) are directed to placing temperature sensors in vehicle tires to

reliably detect gradual tire failure (Hakanen, [0030], Okubo [0003]-[0004]). Immediate sensor information (*i.e.*, quantitative sensor data responsive to an emergency condition) is obviously not necessary as drivers of such vehicles typically appreciate when a tire blow-out or flat tire occurs (Hakanen, [0030]). In contrast, the subject application (see, e.g., Specification [0014]) and pending claims are directed to responsively providing sensor measurements (*i.e.*, quantitative data) to emergency situations.

Okubo does not disclose such providing of sensor data. Okubo describes transmission mode changes, but <u>does not go the extra step</u> of proactively transmitting sensor data <u>responsive</u> <u>to such mode changes</u>. Specifically, Okubo describes that a 'mode change' occurs whereby, in response to a temperature measurement exceeding a threshold, the transmission time interval changes. *E.g.*, the transmission interval may change from once every ten minutes to once every

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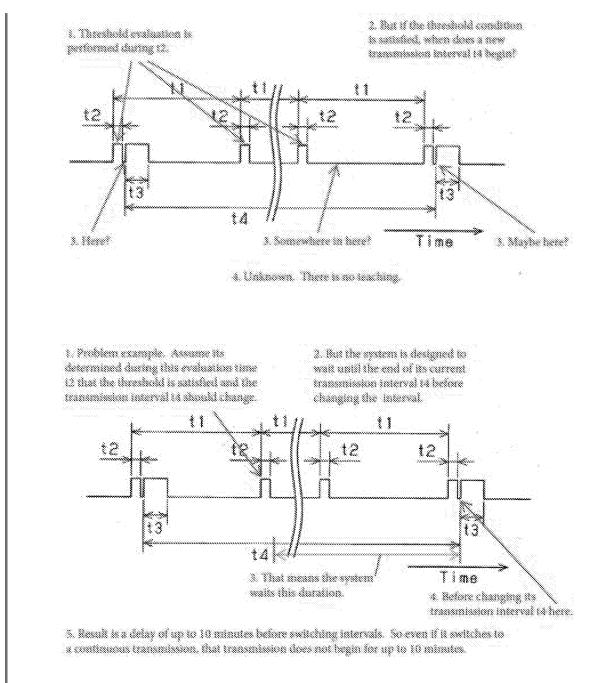
five minutes (Okubo, [0038]-[0039]). While switching transmission intervals may suffice for detecting gradual tire failure, it is obviously insufficient to respond to emergency situations.

While not relied on in the Office Action, Okubo also describes switching to a mode whereby transmission is continuously performed (Okubo, [0046]). However, even that disclosure is not the same as the claimed responsive communication of sensor data. Specifically, the disclosure regarding Fig. 3 of Okubo describes the evaluation time t2, transmitting time t3, and transmission interval t4. While Okubo describes switching to a continuous transmission mode, it fails to disclose *when* such mode switch becomes effective. That is, immediately, after a current transmission interval t4, at some point during a current transmission interval t4, or some other time?

Accordingly, although a temperature threshold may be determined to be exceeded during, e.g., the second t2 from the left of Fig. 3, the transmitting mode may not change until the end of t4. The effect is that even though the transmitting mode changes to a continuous transmission, it does not do so until the expiration of t4 (i.e., nearly 10 minutes). Again, such a delay may be sufficient for detecting gradual tire failure, but such delay is obviously unacceptable in emergency situations. This is the practical and tangible difference between simply changing transmission modes (Okubo) and going that extra step to transmit data responsive to exiting a low-power mode (pending claims).

This is an important point to understand. Two annotated versions of Okubo's Fig. 3 20 are presented as follows to assist:

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Sonos Ex. 1012, p. 207 Sonos v. Google IPR2021-00964 For at least these reasons, Okubo does not teach nor suggest, "<u>in response to the exit of</u> <u>the low-power mode, transmit the quantitative data</u> measured about the ambient condition" as recited in claim 1.

<u>Hakanen</u>

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In the other rejection to claim 1 (Office Action, p. 4), the Office Action relies on Hakanen to disclose all elements of claim 1 except for a variety including "in response to the exit of the low-power mode, transmit the quantitative data measured about the ambient condition." Applicant agrees that Hakanen does not disclose this element. The Office Action points to Okubo to satisfy this deficiency (Office Action, p.5). Applicant disagrees for at least the reasons provided above under <u>Okubo</u>.

<u>Agrawal</u>

In the rejections to claim 1 (Office Action, pp. 4, 11), the Office Action recognizes that both Hakanen and Okubo fail to disclose that a message includes a checksum and an authenticity portion, but then relies on Agrawal to satisfy these deficiencies. To support this, the Office Action indicates that it would have been obvious in order to "enhance system security" (*id*). Applicant respectfully disagrees.

Hakanen and Okubo actually teach against the proposed modification. Specifically, both Hakanen and Okubo describe tire pressure monitoring systems where the sensors are mounted inside tires and the tires are mounted to a vehicle and in close proximity to a receiver, in either the other tires or the vehicle. On its face, the close proximity of the sensors in these systems significantly diminishes any need or desire for authentication. More importantly,

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perhaps, is that Okubo (Okubo, [0028]) and Hakanen (Hakanen, [0031]) describe that the design considerations are motivated by battery capacity. Including authentication information in the transmissions of Hakanen and/or Okubo would necessarily make messages longer, communications more complex, and accordingly battery life shorter. A result that is clearly

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against the teachings of these references.

Further, modifying Hakanen as proposed would render Hakanen's disclosed systems unsatisfactory for its intended purpose. Specifically, in Agrawal, the ability of the sending node to send authenticated communications is predicated upon the authentication of the sending node by a cluster head (Agrawal, [0033], [0061]).

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[0033] Strong authentication of packets sent between nodes of different clusters in a two-tier ad hoc network is provided by the cluster heads. The cluster head authenticates a node that enters the cluster. Thereafter, when the node requests a session with a node in another cluster, the cluster head negotiates a session secret key (SSK) with the corresponding cluster head of the receiving node. Further, the cluster head provides authentication tags for the sending node to use with each packet. The sending node calculates a check result from a number of the authentication tags, which are then encrypted with the SSK, so that the receiving node can authenticate the number of packets. (emphasis added)

However, Hakanen describes communications between vehicle tires, and between a

20 mobile phone and any of the tires, without a central node that coordinates communication: "mobile phone 28 in fact is communicatively connectable to any one of the tires 4 mounted to vehicle 6 at any time. Similarly, every tire mounted to vehicle 6 is in direct communication with every other tire so that the respective information from all of the tires of the vehicle are exchanged among the tires" (Hakanen, [0036]). Accordingly, the ability to communicate between nodes in Hakanen is distributed among the nodes without a central coordinating node (i.e., Agrawal's "cluster head"). Modifying Hakanen with such a cluster head would prevent

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the tires from being able to directly communicate with one another, thereby rendering Hakanen unsatisfactory for its purpose of direct tire-to-tire communication.

For at least these reasons, one skilled in the art would not be motivated to modify Hakanen and Okubo with the teachings of Agrawal as suggested in the Office Action, and thus the Office Action has failed to establish a *prima facie* case of obviousness with respect to claim 1. Accordingly, neither the Hakanen, Marman, Agrawal, and Okubo combination, nor the Okubo and Agrawal combination support the §103 rejections of claim 1 as amended for at least the reasons described above, and Applicant requests that the rejection be withdrawn. Additionally, dependent claims 2-12 are allowable as depending from claim 1, and the §103 rejections should be withdrawn. To the extent that dependent claims 9 and 11 are further rejected, Wolfe and/or Gutierrez are not seen to add anything of significance to the rejections of independent claim 1 and the §103 rejections should be withdrawn.

Claims 13 and 17

Independent claim 13 and amended independent claim 17 recite features that are consistent (although not identical) to the features recited in claim 1. Claim 13 recites, "exiting the low-power mode in response to the comparison of the quantitative data with the stored threshold value." Amended Claim 17 recites, "exit the low-power mode in response to the comparison of the quantitative data with the stored threshold value." As discussed above in response to the rejection of claim 1, neither the combination of Hakanen, Marman, Agrawal, and Okubo, nor the combination of Okubo and Agrawal disclose, teach, or in any way suggest the subject matter of independent claim 13 and amended independent claim 17.

Accordingly, neither the Hakanen, Marman, Agrawal, and Okubo combination, nor the Okubo and Agrawal combination support the §103 rejections of independent claim 13 and

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amended independent claim 17 for at least the reasons described above, and Applicant requests that the rejections be withdrawn. Additionally, dependent claims 14-16 and 18-20 are allowable as depending from respective independent claims 13 and 17, and the §103 rejections should be withdrawn. To the extent that dependent claims 16 and 18-20 are further rejected, Gutierrez is not seen to add anything of significance to the rejection of independent claims 13 and 17, and the §103 rejection should be withdrawn.

Conclusion

Applicant submits that all objections and/or rejections of the pending claims have been addressed, and respectfully requests issuance of the application. If any issues remain that preclude issuance of the application, the Examiner is requested to contact the undersigned agent before issuing a subsequent Action.

Respectfully submitted,

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Dated: February 9, 2017

By: /Matthew Johnson/

Matthew Johnson Reg. No. 72,299 (509) 755-7267

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REMARKS

Applicant respectfully requests reconsideration and allowance of the application. Claims 1-20 are pending, of which claim 17 is amended. Specifically, Applicant respectfully requests reconsideration of the basis for rejections over Okubo and Hakanen in view of the following appreciations of those cited references.

Interview Summary

Applicant appreciates the Examiner's time to conduct the telephone interview on December 29, 2016. The pending claims and cited references were discussed. Although no agreement was reached at the time, upon Applicant's further review of the cited references Applicant submits that the pending claims are not rendered obvious over the cited references for at least the following reasons.

§ 103 Claim Rejections

Claims 1-8, 10, 12-15, and 17 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent Application Pub. No. 2002/0030592 to Hakanen et al. ("Hakanen") in view of U.S. Patent No. 6,624,750 to Marman et al. ("Marman") further in view of U.S. Patent Application Pub. No. 2002/0124169 to Agrawal et al. ("Agrawal") and further in view of U.S. Patent Application Pub. No. 2002/0124169 to Agrawal et al. ("Agrawal") and further in view of U.S. Patent Application Pub. No. 2004/0164855 to Okubo ("Okubo").
(*Office Action*, p. 3). Claims 1-3, 13, and 17 stand alternately rejected under 35 U.S.C. § 103(a) as being unpatentable over Okubo in view of Agrawal. (*Office Action*, p. 9). Claim 9 stands rejected under 35 U.S.C. § 103(a) as being unpatentable over Hakanen, Marman, Agrawal, and Okubo and further in view of U.S. Patent Application Pub. No.

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LIST OF CLAIMS

	LIST OF CLAIMS
	This list of claims replaces all prior versions and listings.
5	 (Previously Presented) A wireless ambient sensor unit, comprising: a wireless transceiver; a sensor configured to measure quantitative data about an ambient condition;
	a controller in communication with the wireless transceiver and the sensor, the
	controller configured to:
	compare the quantitative data measured about the ambient condition to a stored
10	threshold value while the wireless ambient sensor unit is in a low-power mode;
	exit the low-power mode in response to the comparison of the quantitative data
	with the stored threshold value; and
	in response to the exit of the low-power mode, transmit the quantitative data
	measured about the ambient condition as one or more messages, using the wireless
15	transceiver, the quantitative data being transmitted while the wireless ambient sensor
	unit is out of the low-power mode and each message includes an address that identifies
	the wireless ambient sensor unit, a checksum, and an authenticity portion for use in
	verifying an authenticity of the message.
20	2. (Original) The wireless ambient sensor unit of claim 1, wherein the controller
	is further configured for at least a portion of the address to be programmed into the wireless
	ambient sensor unit during an installation process.
25	3. (Original) The wireless ambient sensor unit of claim 1, wherein power is not provided to the wireless transceiver in the low-power mode.

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4. (Original) The wireless ambient sensor unit of claim 1, wherein the controller is further configured to:

receive a message, via the wireless transceiver to reprogram at least a portion of the address; and

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reprogram at least the portion of the address based on the received message.

5. (Previously Presented) The wireless ambient sensor unit of claim 1, wherein the wireless transceiver is configured to use a spread spectrum technique for transmitting the quantitative data measured about the ambient condition.

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6. (Original) The wireless ambient sensor unit of claim 1, wherein the controller is further configured to:

exit the low-power mode on a periodic basis;

transmit a status message using the wireless transceiver;

for a predefined period of time following the transmission of the status message, enter a receive mode to wait for a command to be received via the wireless transceiver; and enter the low power mode following expiration of the predefined period of time.

7. (Original) The wireless ambient sensor unit of claim 1, wherein the ambient20 condition is one of a level of carbon monoxide or a level of smoke.

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8. (Original) The wireless ambient sensor unit of claim 1, further comprising:a reset switch in communication with the controller, and wherein the controller isfurther configured to:

in response to actuation of the reset switch, cause the wireless ambient sensor unit to
 enter a receive mode to receive the address, via the wireless transceiver, to program into the wireless ambient sensor unit.

9. (Previously Presented) The wireless ambient sensor unit of claim 1, further comprising:

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a tamper sensor in communication with the controller, and wherein the controller is further configured to:

receive a tamper indication from the tamper sensor indicative of tampering with the wireless ambient sensor unit;

in response to the reception of the tamper indication, exit the low-power mode; and

transmit a message including an indication of the tampering via the wireless transceiver.

10. (Original) The wireless ambient sensor unit of claim 1, further comprising an audio output device, and wherein the controller is in communication with the audio output device.

11. (Original) The wireless ambient sensor unit of claim 1, wherein the controller is further configured to:

measure a signal strength received using the wireless transceiver; and

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route transmission of the one or more messages based on the measured signal strength.

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12. (Original) The wireless ambient sensor unit of claim 1, wherein the controller is further configured to:

prior to the transmission of the one or more messages, listen to a radio frequency channel, using the wireless transceiver, to determine if the radio frequency channel is in use; and

in response to the determination that the radio frequency channel is not is use, transmit the one or more messages via the radio frequency channel.

13. (Previously Presented) A method for using a wireless ambient sensor unit, themethod comprising:

measuring an ambient condition with a sensor of the wireless ambient sensor;

comparing quantitative data measured about the ambient condition to a stored threshold value while the wireless ambient sensor unit is in a low-power mode;

exiting the low-power mode in response to the comparison of the quantitative data with the stored threshold value; and

in response to said exiting the low-power mode, transmitting, with a wireless transceiver of the wireless ambient sensor unit, one or more messages indicative of the quantitative data measured about the ambient condition, the quantitative data being transmitted while the wireless ambient sensor unit is out of the low-power mode and each message including an address that identifies the wireless ambient sensor unit, a checksum, and an authenticity portion for use in verifying an authenticity of the message.

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	14. (Original) The method of claim 13, further comprising:
	exiting the low-power mode on a periodic basis;
	transmitting a status message using the wireless transceiver;
	for a predefined period of time following said transmitting the status message, entering
5	a receive mode to wait for a command to be received via the wireless transceiver; and
	entering the low power mode following expiration of the predefined period of time.
	15. (Original) The method of claim 13, wherein the ambient condition is one of a
	level of carbon monoxide or a level of smoke.
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	16. (Original) The method of claim 13, further comprising:
	measuring a signal strength received using the wireless transceiver; and
	routing transmission of the one or more messages based on the measured signal
	strength.
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	17. (Currently Amended) A system for sensing an ambient condition, the system
	comprising:
	a wireless ambient sensor unit configured to:
	measure the ambient condition with a sensor;
20	compare quantitative data measured about the ambient condition to a stored
	threshold value[[,]] while the wireless ambient sensor unit is in a low-power mode;
	exit the low-power mode in response to the comparison of the quantitative data
	with the stored threshold value; and
	in response to the exit of the low-power mode, transmit, with a wireless
25	transceiver, one or more messages indicative of the quantitative data measured about
	the ambient condition, the quantitative data being transmitted while the wireless
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Sonos Ex. 1012, p. 217 Sonos v. Google IPR2021-00964 ambient sensor unit is out of the low-power mode and each message includes an address that identifies the wireless ambient sensor unit, a checksum, and an authenticity portion for use in verifying an authenticity of the message.

18. (Original) The system of claim 17, further comprising:

a repeater device configured to:

receive from the wireless ambient sensor unit, the one or more messages indicative of the data about the ambient condition; and

transmit the one or more messages to a base unit.

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19. (Original) The system of claim 18, wherein the repeater device is further configured to:

attach an address of the repeater device to the one or more messages prior to the transmission of the one or more messages to the base unit.

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20. (Original) The system of claim 18, wherein the repeater device is further configured to:

compare the address in the one or more messages received from the wireless ambient sensor unit to a stored database that includes a plurality of sensor addresses; and

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ignore the one or more messages based on the address not being included in the plurality of sensor addresses.

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PTO/SB/06 (09-11) Approved for use through 1/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE	
United States Patent and Trademark Office	
Address: COMMISSIONER FOR PATENTS	
P.O. Box 1450	
Alexandria, Virginia 22313-1450	
www.uspto.gov	

NOTICE OF ALLOWANCE AND FEE(S) DUE

124746 7590 Wolfe-SBMC 116 W. Pacific Avenue Suite 300 Spokane, WA 99201

EXAMINER

NWUGO OIIAKO K

ART UNIT PAPER NUMBER 2685

DATE MAILED: 03/16/2017

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/090,973	04/05/2016	Lawrence Kates	563800USCON11	5338

TITLE OF INVENTION: WIRELESS SENSOR UNIT COMMUNICATION TRIGGERING AND MANAGEMENT

03/16/2017

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$O	\$960	06/16/2017

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

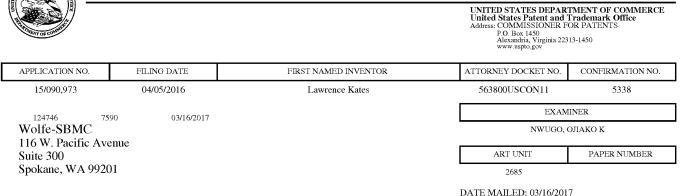
Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This appropriate. All further indicated unless correct maintenance fee notifica	ted below or directed oth	or transmitting the ISSI g the Patent, advance o erwise in Block 1, by (UE FEE and PUBLIC orders and notification a) specifying a new co	ATION FEE (if requ of maintenance fees v prrespondence address	ired). Blocks 1 through 5 s vill be mailed to the curren and/or (b) indicating a sep	should be completed where t correspondence address as arate "FEE ADDRESS" for	
CURRENT CORRESPONI	DENCE ADDRESS (Note: Use Bl	ock 1 for any change of address)		Fee(s) Transmittal Th	is certificate cannot be used.	or domestic mailings of the for any other accompanying ent or formal drawing, must	
¹²⁴⁷⁴⁶ Wolfe-SBMC 116 W. Pacific Suite 300	7590 03/16. Avenue	/2017		Cer I hereby certify that th States Postal Service y addressed to the Mai transmitted to the USP	tificate of Mailing or Trans is Fee(s) Transmittal is bein vith sufficient postage for fin Stop ISSUE FEE address TO (571) 273-2885, on the d	smission g deposited with the United st class mail in an envelope above, or being facsimile late indicated below.	
Spokane, WA 9	9201					(Depositor's name)	
-						(Signature) (Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	FOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
15/090,973	04/05/2016		Lawrence Kates		563800USCON11	5338	
	N: WIRELESS SENSOR	UNIT COMMUNICATI		D MANAGEMENT			
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE D	UE PREV. PAID ISSU	E FEE TOTAL FEE(S) DUE	E DATE DUE	
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	06/16/2017	
	MINER	ART UNIT	CLASS-SUBCLASS				
	OJIAKO K lence address or indication	2685	340-870390	he patent front page, li			
 "Fee Address" ind PTO/SB/47; Rev 03- Number is required ASSIGNEE NAME A PLEASE NOTE: Un 	AND RESIDENCE DATA lless an assignee is identi th in 37 CFR 3.11. Comp	' Indication form ed. Use of a Customer A TO BE PRINTED ON ' fied below, no assignee	or agents OR, alter (2) The name of a s registered attorney 2 registered patent listed, no name wil THE PATENT (print o data will appear on th T a substitute for filing	single firm (having as a or agent) and the nam attorneys or agents. If l be printed. r type) he patent. If an assign	e is identified below, the o	document has been filed for	
Please check the approp	riate assignee category or	categories (will not be p	rinted on the patent):	Individual IC	prporation or other private gr	oup entity 📮 Government	
	are submitted: No small entity discount p # of Copies	permitted)	 A check is enclose Payment by credit The director is her 	ed. card. Form PTO-2038	ge the required fee(s), any de		
Applicant certifyi	atus (from status indicated ing micro entity status. Se	e 37 CFR 1.29	fee payment in the m	icro entity amount will	Entity Status (see forms PT not be accepted at the risk o	f application abandonment.	
	ng small entity status. See		<u>NOTE:</u> If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status. <u>NOTE:</u> Checking this box will be taken to be a notification of loss of entitlement to small or micro				
	be signed in accordance w		entity status, as applie	cable.		inchent to small or fillefo	
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Typed or printed nan	ne			Registration N	Io		
			Page 2 of 3				
PTOL-85 Part B (10-13)) Approved for use throug	h 10/31/2013.	OMB 0651-0033	U.S. Patent and Tra	demark Office; U.S. DEPAR	TMENT OF COMMERCE	

Sonos Ex. 1012, p. 221 Sonos v. Google IPR2021-00964



UNITED STATES PATENT AND TRADEMARK OFFICE



Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(
Nation of Allowahility	15/090,973 Examiner	KATES, LA	WRENCE AIA (First Inventor to File)
Notice of Allowability	OJIAKO NWUGO	2685	Status
			Yes
All claims being allowable, PROSECUTION ON THE MERITS herewith (or previously mailed), a Notice of Allowance (PTOL-4 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT of the Office or upon petition by the applicant. See 37 CFR 1.3	IS (OR REMAINS) CLOSE 35) or other appropriate co RIGHTS. This applicatior	D in this application. If no munication will be mailed	ot included d in due course. THIS
 I. This communication is responsive to <u>AFCP of 2/9/2017</u>. A declaration(s)/affidavit(s) under 37 CFR 1.130(b) v 	vas/were filed on		
 An election was made by the applicant in response to a requirement and election have been incorporated into this 	estriction requirement set l	orth during the interview o	on; the restriction
 The allowed claim(s) is/are <u>1-20</u>. As a result of the allowed Highway program at a participating intellectual property of http://www.uspto.gov/patents/init_events/pph/index.jsp 	office for the corresponding	application. For more info	
4. Acknowledgment is made of a claim for foreign priority up	nder 35 U.S.C. § 119(a)-(d) or (f).	
Certified copies:			
a) 🔲 All b) 🗌 Some *c) 🗌 None of the:			
1. 🔲 Certified copies of the priority documents h	ave been received.		
2. 🔲 Certified copies of the priority documents h			
3. Copies of the certified copies of the priority	documents have been reco	eived in this national stage	e application from the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DAT noted below. Failure to timely comply will result in ABANDO THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.			h the requirements
5. 🔲 CORRECTED DRAWINGS (as "replacement sheets") m	nust be submitted.		
including changes required by the attached Examin Paper No./Mail Date	er's Amendment / Comme	nt or in the Office action o	F
Identifying indicia such as the application number (see 37 CF each sheet. Replacement sheet(s) should be labeled as such			t (not the back) of
6. DEPOSIT OF and/or INFORMATION about the deposit of attached Examiner's comment regarding REQUIREMENT			the
Attachment(s)			
1. Notice of References Cited (PTO-892)	5. 🔲 Examir	ner's Amendment/Comme	nt
2. X Information Disclosure Statements (PTO/SB/08),	6. 🔲 Examir	ner's Statement of Reasor	s for Allowance
 Paper No./Mail Date 3. Examiner's Comment Regarding Requirement for Deposed of Biological Material 	sit 7. 🛛 Other <u>i</u>	P <u>TO 2323</u> .	
4. ☐ Interview Summary (PTO-413), Paper No./Mail Date			
/OJIAKO NWUGO/ Primary Examiner, Art Unit 2685			
U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13) 20170227	Notice of Allowability	Parto	of Paper No./Mail Date

	Application Number	15/090,973
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	Apr 5, 2016
(Not for submission under 37 CFR 1.99)	First Named Inventor	Lawrence Kates
	Art Unit	2685
	Examiner Name	Ojiako K. Nwugo
	Attorney Docket Number	563800USCON11

			U.S. P.	ATENTS				
Examiner Initial*	Patent Number		Issue Date	Patent	ee or Applicant			
	US-9474023		Oct 18, 2016	Kate	s, Lawrence			
		U.S.	PATENT APPLIC	ATION F	PUBLICATIONS			
Examiner Initial* Publication Number Publication Date Patentee or Applicant								
US-20160267761 Sep 15, 2016 Kates, Lawrence								
	US-20160286	3490	Sep 29, 2016	Kates	, Lawrence			
		NON	-PATENT LITERA	TURE D	OCUMENTS			
Examiner Initials*					ticle (when appropriate), title o sue number(s), publisher, city			
	"Notice of All	owance	", Application Num	ber 15/1	79,350, 08/15/2016, 8	pages		
			EXAMINER SI	GNATUI	RE			
Examiner Signature /OJIAKO K NWUGO/ Date Considered 02/27/2017								
line throu		ot in conf	'		on is in conformance wit Include copy of this forn			

	Application No.	Applicant(s)								
AFCP 2.0	15/090,973	KATES, LAWRENCE								
Decision	Examiner	Art Unit								
Decision	OJIAKO NWUGO	2685								
This is in response to the After Final Consideration Pilo	ot request filed 09 February 2017.									
1. Improper Request – The AFCP 2.0 request is imp the request will be treated under pre-pilot procedur		d the after final amendment submitted with								
An AFCP 2.0 request form PTO/SB/434 (or equivalent document) was not submitted.										
A non-broadening amendmer	nt to at least one independent claim	was not submitted.								
A proper AFCP 2.0 request w	as submitted in response to the mos	st recent final rejection.								
Other:										
2. Proper Request										
A. After final amendment submitted with the The after final amendment cannot be		FCP 2.0. ithin the guidelines of the pilot program.								
☑ The after final amendment wi	ill be treated under pre-pilot procedu	ire.								
within the time authorized for the pilo consideration are:	search and/or completed additional of the update of the search and	consideration of the after final amendment ated search and/or completed additional								
1. All of the rejections in the issued herewith.	most recent final Office action are c	wercome and a Notice of Allowance is								
2. The after final amendment See attached interview sum		tions in the most recent final Office action.								
3. The after final amendment further details.	was reviewed, and it raises a new is	ssue(s). See attached interview summary for								
final Office action. A decis	ion on determining allowability cou	ome all of the rejections in the most recent ld not be made within the guidelines of the ding any newly discovered prior art.								
5 . Other:										
Examiner Note: Please attach an	interview summary when necessary	y as described above.								
U.S. Patent and Trademark Office										

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	15090973	KATES, LAWRENCE
	Examiner	Art Unit
	OJIAKO NWUGO	2685

CPC	CPC						
Symbol				Туре	Version		
H04W	52	/ 0225		F	2013-01-01		
G08B	1	08		1	2013-01-01		
G08B	25	009		1	2013-01-01		
G08B	17	10		1	2013-01-01		
G06F	1	3209		1	2013-01-01		
G08B	25	10		1	2013-01-01		
G08B	17	00		1	2013-01-01		
G08B	25	001		1	2013-01-01		
Y02B	60	50		A	2013-01-01		
H04Q	9	02		1	2013-01-01		
G08B	25	007		1	2013-01-01		
G08B	21	/ 182		1	2013-01-01		
H04W	84	/ 18		1	2013-01-01		
G08B	21	14		1	2013-01-01		
G08B	13	04		1	2013-01-01		
H04Q	9	00		1	2013-01-01		

CPC Combination Sets								
Symbol	Туре	Set	Ranking	Version				

NONE	Total Claims Allowed:				
(Assistant Examiner)	(Date)	20			
/OJIAKO NWUGO/ Primary Examiner.Art Unit 2685	02/27/2017	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	6		
U.S. Patent and Trademark Office Part of Paper No. 2017022					

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	15090973	KATES, LAWRENCE
	Examiner	Art Unit
	OJIAKO NWUGO	2685

	US ORIGINAL CLASSIFICATION									INTERNATIONAL	CLA	SSI	FIC	ATION
	CLASS			SUBCLASS		CLAIMED				NON-CLAIMED				
340			870.39			G	0	8	С	19 / 04				
	CI	ROSS REFI	ERENCE(S)										
CLASS	SU	BCLASS (ONE	SUBCLAS	S PER BLO	CK)									
340	870.3													

NONE					
(Date)	20				
02/27/2017	O.G. Print Claim(s)	O.G. Print Figure			
(Date)	1	6			
	02/27/2017	(Date) 02/27/2017 O.G. Print Claim(s)			

U.S. Patent and Trademark Office

Part of Paper No. 20170227

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	15090973	KATES, LAWRENCE
	Examiner	Art Unit
	OJIAKO NWUGO	2685

	Claims re	numbere	ed in the s	ame orde	r as prese	ented by a	applicant		СР	A C] T.D.	۵] R.1.	47	
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
	1		17												
	2		18												
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NONE					
(Date)	20				
02/27/2017	O.G. Print Claim(s)	O.G. Print Figure			
(Date)	1	6			
	02/27/2017	(Date) 02/27/2017 O.G. Print Claim(s)			

U.S. Patent and Trademark Office

Part of Paper No. 20170227

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Lawrence Kates	APPLICATION NO.:	15/090,973			
EXAMINER:	Nwugo, Ojiako K.	CONFIRMATION NO.:	5338			
DATE FILED:	April 5, 2016	GROUP ART UNIT:	2685			
TITLE:	Wireless Sensor Unit Communication Triggering and Management					

RESPONSE TO FINAL OFFICE ACTION DATED NOVEMBER 10, 2016

5

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

10

This communication is responsive to the Final Office Action dated November 10, 2016, concerning the above-identified application, and is filed concurrently with form PTO/SB/434 to request consideration under After Final Consideration Pilot Program 2.0.

OK TO ENTER: /O.K.N/

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	15090973	KATES, LAWRENCE
	Examiner	Art Unit
	OJIAKO NWUGO	2685

CPC- SEARCHED							
Symbol	Date	Examiner					
G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001 G08B17/10 G08B25/10 with text	6/8/2016	O.N.					
G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001 G08B17/10 G08B25/10	11/2/2016	O.N.					
G08B1/08 G08B17/00 G08B25/009 G08B25/001 G08B17/10 G08B25/10	2/27/2017	O.N.					
G06F1/3209	2/27/2017	O.N.					

CPC COMBINATION SETS - SEARCHED					
Symbol	Date	Examiner			

US CLASSIFICATION SEARCHED								
Class	Class Subclass Date Examiner							
340	573.1,870.39 with text	6/8/2016	0.N.					
340	573.1,870.39	11/2/2016	O.N.					
340	573.1,870.39	2/27/2017	O.N.					

SEARCH NOTES					
Search Notes	Date	Examiner			
See attached search histtory	6/8/2016	O.N.			
G08B1/08 G06F1/3209 G08B17/00 G08B25/009 G08B25/001 G08B17/10	11/2/2016	O.N.			
G08B25/10 with text					
340/573.1,870.39 with text	11/2/2016	O.N.			
See attached search history	11/2/2016	0.N.			
G08B1/08 G08B17/00 G08B25/009 G08B25/001 G08B17/10 G08B25/10	2/27/2017	O.N.			
with text					
G06F1/3209 with text	2/27/2017	O.N.			
340/573.1,870.39 with text	2/27/2017	O.N.			
See attached search history, Inventor name search has been completed.	2/27/2017	0.N.			

U.S. Patent and Trademark Office

Part of Paper No.: 20170227

Sonos Ex. 1012, p. 231 Sonos v. Google IPR2021-00964

INTERFERENCE SEARCH					
US Class/	US Subclass / CPC Group	Date	Examiner		
CPC Symbol					
	Same as searched	2/27/2017	0.N.		

	/OJIAKO NWUGO/ Primary Examiner.Art Unit 2685
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U.S. Patent and Trademark Office

Part of Paper No. : 20170227

Sonos Ex. 1012, p. 232 Sonos v. Google IPR2021-00964 Respectfully submitted,

Lawrence Kates

By their Representatives,

Date March 20, 2017

By /Matthew Johnson/ Matthew Johnson Reg. No. 72,299

	Application Number	15/090,973
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	Apr 5, 2016
(Not for submission under 37 CFR 1.99)	First Named Inventor	Lawrence Kates
	Art Unit	2685
	Examiner Name	Ojiako K. Nwugo
	Attorney Docket Number	563800USCON11

		U.S. P.	TENTS		
Examiner Initial*	Patent Number Issue Date Datentee or Applicant				
	US-4918690	Apr 17, 1990	Markkula, Jr, Armas C., et al.		
	US-5428964	Jul 4, 1995	Lobdell, Vincent G.		
	U.S	. PATENT APPLIC	ATION PUBLICATIONS		
Examiner Initial*	Publication Number Publication Date Patentee or Applicant				
	US-20020012323	Jan 31, 2002	Petite, Thomas D., et al.		
	US-20080059622	Mar 6, 2008	Hite, Thomas D., et al.		
	NON	I-PATENT LITERA	TURE DOCUMENTS		
Examiner		(in CAPITAL LETTERS), ti	le of the article (when appropriate), title of the item (boo		
	journal, serial, symposium, published.	catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country		
	published.		o, volume-issue number(s), publisher, city and/or country er 14/534,848, 01/26/2017, 10 pages		
Initials*	published. "Final Office Action"	, Application Numb			
	published. "Final Office Action"	, Application Numb	er 14/534,848, 01/26/2017, 10 pages		
	published. "Final Office Action"	, Application Numb	er 14/534,848, 01/26/2017, 10 pages er 15/161,880, 12/20/2016, 12 pages		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Electronic Patent Application Fee Transmittal					
Application Number:	15	15090973			
Filing Date:	05	05-Apr-2016			
Title of Invention:	WI	WIRELESS SENSOR UNIT COMMUNICATION TRIGGERING AND MANAGEMENT			
First Named Inventor/Applicant Name:	Lav	wrence Kates			
Filer:	Da	vid Anthony Moras	ch/Kenneth Linc	ler	
Attorney Docket Number:	56	3800USCON11			
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Miscellaneous:						
Submission- Information Disclosure Stmt	1806	1	180	180		
	Tot	al in USD) (\$)	180		

Electronic Acknowledgement Receipt				
EFS ID:	28678837			
Application Number:	15090973			
International Application Number:				
Confirmation Number:	5338			
Title of Invention:	WIRELESS SENSOR UNIT COMMUNICATION TRIGGERING AND MANAGEMENT			
First Named Inventor/Applicant Name:	Lawrence Kates			
Customer Number:	124746			
Filer:	David Anthony Morasch/Kenneth Linder			
Filer Authorized By:	David Anthony Morasch			
Attorney Docket Number:	563800USCON11			
Receipt Date:	20-MAR-2017			
Filing Date:	05-APR-2016			
Time Stamp:	15:14:40			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	yes		
Payment Type	CARD		
Payment was successfully received in RAM	\$180		
RAM confirmation Number	032117INTEFSW15161200		
Deposit Account			
Authorized User			
The Director of the USPTO is hereby authorized to c	harge indicated fees and credit any overpayment as follows:		

File Listing:						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
			179687			
1		563800USCON11_IDS.pdf	f1fab8fafc6cfc8deff4b622262399a7d86ae8 62	yes	3	
	Mult	ipart Description/PDF files in	.zip description			
	Document D	escription	Start	E	End	
	Transmitta	al Letter	1		1	
	Information Disclosure Stat	2	3			
Warnings:						
Information:		-	r			
			333943			
2	Non Patent Literature	14534848FOA012617.pdf	7d9386b77bd15f512e803f6db93fa108bd2 0bc41	no	10	
Warnings:			ļI			
Information:						
			440803			
3	Non Patent Literature	15161880FOA122016.pdf	d483369e5e49e67ee5c98b112efeb629fbd ab329	no	12	
Warnings:		4	1			
Information:						
			30741			
4	Fee Worksheet (SB06)	fee-info.pdf	60662d5eebf5db96d6c1d8ffc53f821b038b 3798	no	2	
Warnings:		-	Į			
Information:						

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

<u>S/N 15/090,973</u>

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:Lawrence KatesExaminer:Ojiako K. NwugoSerial No.:15/090,973Group Art Unit:2685Filed:April 5, 2016Docket:563800USCON11Title:Wireless Sensor Unit Communication Triggering and Management

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the referenced materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. § 1.97(e)(2), Applicant states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Pursuant to $37 \text{ C.F.R.} \S 1.97(c)(2)$, Applicants have included the fee of \$180.00 as set forth in $37 \text{ C.F.R.} \S 1.17(p)$. Please charge any additional fees or credit any overpayment to Deposit Account No. 50-4143.

Respectfully submitted,

Lawrence Kates

By their Representatives,

Date March 20, 2017

By <u>/Matthew Johnson/</u> Matthew Johnson Reg. No. 72,299

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(Not for submission under 37 CFR 1.99)	First Named Inventor	Lawrence Kates
	Art Unit	2685
	Examiner Name	Ojiako K. Nwugo
	Attorney Docket Number	563800USCON11

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	NON-PATENT LITERATURE DOCUMENTS							

Examiner Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

"Final Office Action", Application Number 14/534,848, 01/26/2017, 10 pages

"Final Office Action", Application Number 15/161,880, 12/20/2016, 12 pages

EXAMINER SIGNATURE

Examiner Signature	Examiner Signature /ojiako k NWUGO/		04/04/2017				
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	Filing Date	Apr 5, 2016
	First Named Inventor	Lawrence Kates
	Art Unit	2685
	Examiner Name	Ojiako K. Nwugo
	Attorney Docket Number	563800USCON11

	NON-PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
	"Final Office Action", Application Number 15/161,880, 03/20/2017, 13 pages							
	"Non-Final Office Action", Application Number 14/536,108, 05/04/2017, 17 pages							
		EXAMINER SIGNATUR	E					
Examiner	Examiner Signature Date Considered							
line throug	*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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Title of Invention:	WIRELESS SENSOR UNIT COMMUNICATION TRIGGERING AND MANAGEME					
First Named Inventor/Applicant Name:	Lawrence Kates					
Filer:	David Anthony Morasch/Kenneth Linder					
Attorney Docket Number:	563800USCON11					
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1 180		180
	Tot	al in USD	(\$)	180

Electronic Acl	knowledgement Receipt
EFS ID:	29469073
Application Number:	15090973
International Application Number:	
Confirmation Number:	5338
Title of Invention:	WIRELESS SENSOR UNIT COMMUNICATION TRIGGERING AND MANAGEMENT
First Named Inventor/Applicant Name:	Lawrence Kates
Customer Number:	124746
Filer:	David Anthony Morasch/Kenneth Linder
Filer Authorized By:	David Anthony Morasch
Attorney Docket Number:	563800USCON11
Receipt Date:	12-JUN-2017
Filing Date:	05-APR-2016
Time Stamp:	17:04:22
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$180
RAM confirmation Number	061317INTEFSW17052100
Deposit Account	
Authorized User	
The Director of the USPTO is hereby authorized to ch	narge indicated fees and credit any overpayment as follows:

File Listing:								
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.			
			178450					
1		563800USCON11_IDS.pdf	70fbea7af63aa3256b6e50d16aaf8ad8617f 5d14	yes	3			
	Multip	l part Description/PDF files in .	izip description					
	Document Description Start I							
	Transmittal	Letter	1		2			
	Information Disclosure State	3	3					
Warnings:								
Information:		1	1					
			605009		17			
2	Non Patent Literature	14536108NFOA050417.pdf	edc86536f1111a35df3f04a467c0843d28c6 ce34	no				
Warnings:		<u> </u>	<u> </u>					
Information:								
			449935					
3	Non Patent Literature	15161880FOA032017.pdf	359c7bd4ef790f1db22a3b0f9dd961b6a4cc 0f19	no	13			
Warnings:		<u> </u>	41					
Information:								
			30733					
4	Fee Worksheet (SB06)	fee-info.pdf	870f9c3e648099196772f2dc8f5493e85f7f0 dda	no	2			
Warnings:		<u> </u>	Į I		l			
Information:								

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<u>S/N 15/090,973</u>

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:Lawrence KatesExaminer:Ojiako K. NwugoSerial No.:15/090,973Group Art Unit:2685Filed:April 5, 2016Docket:563800USCON11Title:Wireless Sensor Unit Communication Triggering and Management

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Pursuant to $37 \text{ C.F.R.} \S 1.97(c)(2)$, Applicants have included the fee of \$180.00 as set forth in $37 \text{ C.F.R.} \S 1.17(p)$. Please charge any additional fees or credit any overpayment to Deposit Account No. 50-4143.

Respectfully submitted,

Lawrence Kates

By their Representatives,

Date June 12, 2017

/Patrick J. Walsh/

Patrick J. Walsh Reg. No. 66,837

By _

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10) Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		15090973	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Filing Date		2016-04-05	
	First Named Inventor Kates,		es, Lawrence	
	Art Unit		2685	
	Examiner Name	Nwug	go, Ojiako K.	
	Attorney Docket Numb	er	563800USCON11	

					U.S.I	PATENTS			Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D	ate	of cited Document		Releva	les,Columns,Lines where evant Passages or Releva ures Appear		
	1										
If you wish to add additional U.S. Patent citation information please click the Add button.											
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Examiner Cite Foreign Document Country Kind Initial* No Number ³ Code ² i Code ⁴				Publication Date	Name of Patentee Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		т			
	1										
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Examiner Initials*	Cite No	Include name of the a (book, magazine, jour publisher, city and/or o	nal, seria	al, symp	osium,	catalog, etc), c					T⁵

	Application Number		15090973		
	Filing Date		2016-04-05		
INFORMATION DISCLOSURE	First Named Inventor	Kates	s, Lawrence		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2685		
	Examiner Name	Nwug	Nwugo, Ojiako K.		
	Attorney Docket Number		563800USCON11		

1 'Non-Final Office Action", Application Number 14/534,848, 06/13/2017, 11 pages									
If you wis	h to a	dd add	ditional non-patent literature docu	ment citation information p	lease click the Add b	outton Add	_		
EXAMINER SIGNATURE									
Examiner Signature		ature			Date Considered				
			reference considered, whether or rmance and not considered. Incl						
Standard S ⁻ ⁴ Kind of do	T.3). ³ cument	For Japa by the a	O Patent Documents at <u>www.USPTO.GC</u> anese patent documents, the indication of appropriate symbols as indicated on the c n is attached.	f the year of the reign of the Emp	eror must precede the ser	ial number of the patent doc	ument.		

Sonos Ex. 1012, p. 252 Sonos v. Google IPR2021-00964

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		15090973		
	Filing Date		2016-04-05		
	First Named Inventor	Kates	, Lawrence		
	Art Unit		2685		
	Examiner Name	Nwug	o, Ojiako K.		
	Attorney Docket Numb	ər	563800USCON11		

	CERTIFICATION STATEMENT						
Plea	se see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):						
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OR							
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).						
×	See attached certification statement.						
×	The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.						
	A certification statement is not submitted herewith.						

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Matthew Johnson/	Date (YYYY-MM-DD)	2017-06-16
Name/Print	Matthew Johnson	Registration Number	72299

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

<u>S/N 15/090,973</u>

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Kates, Lawrence	Examiner:	Nwugo, Ojiako K.
Serial No.:	15/090,973	Group Art Unit:	2685
Filed:	April 5, 2016	Docket:	563800USCON11
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In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the referenced materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. § 1.97(e)(2), Applicant states that no item of information contained in the the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign aplication, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Pursuant to 37 C.F.R. § 1.97(c)(2), Applicants have included the fee of \$180.00 as set forth in 37 C.F.R. § 1.17(p).

Respectfully submitted,

Lawrence Kates

By their Representatives,

Date June 16, 2017

By /<u>Matthew Johnson</u>/ Matthew Johnson Reg. No. 72,299

Electronic Patent Application Fee Transmittal								
Application Number:	15	15090973						
Filing Date:	05-	05-Apr-2016						
Title of Invention:	WIRELESS SENSOR UNIT COMMUNICATION TRIGGERING AND MANAGEMEN							
First Named Inventor/Applicant Name:	Lawrence Kates							
Filer:	Michael K. Colby							
Attorney Docket Number:	56	3800USCON11						
Filed as Large Entity								
Filing Fees for Utility under 35 USC 111(a)								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:								

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD) (\$)	180

Electronic Acl	Electronic Acknowledgement Receipt						
EFS ID:	29526133						
Application Number:	15090973						
International Application Number:							
Confirmation Number:	5338						
Title of Invention:	WIRELESS SENSOR UNIT COMMUNICATION TRIGGERING AND MANAGEMENT						
First Named Inventor/Applicant Name:	Lawrence Kates						
Customer Number:	124746						
Filer:	Michael K. Colby						
Filer Authorized By:							
Attorney Docket Number:	563800USCON11						
Receipt Date:	16-JUN-2017						
Filing Date:	05-APR-2016						
Time Stamp:	16:48:04						
Application Type:	Utility under 35 USC 111(a)						

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$180
RAM confirmation Number	061917INTEFSW16520200
Deposit Account	
Authorized User	
The Director of the USPTO is hereby authorized to ch	narge indicated fees and credit any overpayment as follows:

File Listin	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			394312		
1	Other Reference-Patent/App/Search documents	14534848NFOA061317.pdf	NO 488297121f4292e3e64757e5fce2b0297530 9726		11
Warnings:					
Information	:				
		GP-5638-00-US-	611955		
I Information Disclosure Statement (IDS) I		CON11_SupplementallDS892. pdf	df889e85a069bda3ca27bef1e71779253e1 78049	no	4
Warnings:			•		
Information	1				
autoloading of you are citing l within the Imag	umber Citation or a U.S. Publication Numbe data into USPTO systems. You may remove J.S. References. If you chose not to include l ge File Wrapper (IFW) system. However, no Non Patent Literature will be manually revi	the form to add the required dat U.S. References, the image of the f data will be extracted from this fo	a in order to correct the l form will be processed an rm. Any additional data s	nformational d be made av	Message if ailable
			68099		
3	Transmittal Letter	GP-5638-00-US- CON11_SupplementalIDS.pdf	15b45e0c8e967020186ddec9a124dd9aba 41e475	no	2
Warnings:					
Information					
			30676		
4	Fee Worksheet (SB06)	fee-info.pdf	f69f662cc8a71f634b606f7f0b7bb580f36a1 9ae	no	2
Warnings:					
Information					
		Total Files Size (in bytes)	11	05042	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u>

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This appropriate. All further indicated unless correct maintenance fee notifica	ed below or directed off	or transmitting the ISSU ig the Patent, advance or ierwise in Block 1, by (a	JE FEE and PUBLICA rders and notification of a) specifying a new con	ATION FEE (if requ f maintenance fees respondence address	uired). F will be ; and/or	Blocks 1 through 5 she mailed to the current c (b) indicating a separ	ould be completed where correspondence address as ate "FEE ADDRESS" for
CURRENT CORRESPOND	ENCE ADDRESS (Note: Use Bl	ock 1 for any change of address)	p	fote: A certificate of ee(s) Transmittal. Th apers. Each addition ave its own certificat	al paper	, such as an assignmen	domestic mailings of the r any other accompanying t or formal drawing, must
124746 Wolfe-SBMC 116 W. Pacific A Suite 300	7590 03/16 Avenue	/2017	I S a tu	hereby certify that the	nis Feel	of Mailing or Transm s) Transmittal is being ficient postage for first ISSUE FEE address a 1) 273-2885, on the dat	deposited with the United class mail in an envelope bove, or being facsimile e indicated below.
Spokane, WA 9	9201		Ĺ		~~~~~		(Depositor's name)
L ·				Filed via EF	S wel	osite	(Signature)
					~~~~~		(Date)
					1		
APPLICATION NO.	FILING DATE		FIRST NAMED INVENT	JR		RNEY DOCKET NO.	CONFIRMATION NO.
15/090,973 TITLE OF INVENTION	04/05/2016 I: WIRELESS SENSOR	UNIT COMMUNICATIO	Lawrence Kates	D MANAGEMENT	56	3800USCON11	5338
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DU	E PREV. PAID ISSU	TE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	000000000000000000000000000000000000000	\$960	06/16/2017
EXAM	IINER	ART UNIT	CLASS-SUBCLASS				
NWUGO,	ОЛАКО К	2685	340-870390				
<ul> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> </ul>			2. For printing on the patent front page, list       1         (1) The names of up to 3 registered patent attorneys or agents OR, alternatively,       1         (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.       3				
*		A TO BE PRINTED ON T		-	*********		
PLEASE NOTE: Un recordation as set fort	less an assignee is ident h in 37 CFR 3.11. Comp		data will appear on the T a substitute for filing	patent. If an assign an assignment.			cument has been filed for
(A) NAME OF ASSI	UNEE		(B) RESIDENCE: (CI Mountain Via		COUNT	KI)	
Google Inc.			Mountain Vie	w, Camornia			
Please check the appropr	iate assignee category or	categories (will not be pr	inted on the patent) :	Individual 🖾 C	orporati	on or other private grou	ip entity Government
4a. The following fee(s) Sisue Fee Publication Fee (N Advance Order - #	are submitted: No small entity discount p # of Copies	permitted)	<ul> <li>D. Payment of Fee(s): (F</li> <li>A check is enclose</li> <li>Payment by credit</li> <li>The director is here overpayment, to Director</li> </ul>	1. card. EXTRACTOR	XXXX ge the r	shed. equired fee(s), any defi	
5. Change in Entity Sta	<b>tus</b> (from status indicated ng micro entity status. Se	· · · · · · · · · · · · · · · · · · ·	<u>NOTE:</u> Absent a valid fee payment in the mid	certification of Micr	o Entity l not be	Status (see forms PTO accepted at the risk of a	/SB/15A and 15B), issue
Applicant assertin	g small entity status. See	37 CFR 1.27		on was previously ur	ider mic	ro entity status, checkin	ng this box will be taken
🛛 Applicant changin	ng to regular undiscounte	l fee status.		box will be taken to l		*	ement to small or micro
NOTE: This form must b	be signed in accordance v	vith 37 CFR 1.31 and 1.33	3. See 37 CFR 1.4 for si	gnature requirements	and cer	tifications.	
Authorized Signature				DateJ	une 1	6, 2017	
Typed or printed nam	e Matthew J	ohnson		Registration	No	72,299	

Page 2 of 3

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Electronic Patent Application Fee Transmittal							
Application Number:	15(	15090973					
Filing Date:	05-	05-Apr-2016					
Title of Invention:	WIRELESS SENSOR UNIT COMMUNICATION TRIGGERING AND MANAGEME						
First Named Inventor/Applicant Name:	Lawrence Kates						
Filer:	Michael K. Colby/Todd Richards						
Attorney Docket Number:	563	3800USCON11					
Filed as Large Entity							
Filing Fees for Utility under 35 USC 111(a)							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
UTILITY APPL ISSUE FEE		1501	1	960	960		

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	) (\$)	960

Electronic Acknowledgement Receipt				
EFS ID:	29525882			
Application Number:	15090973			
International Application Number:				
Confirmation Number:	5338			
Title of Invention:	WIRELESS SENSOR UNIT COMMUNICATION TRIGGERING AND MANAGEMENT			
First Named Inventor/Applicant Name:	Lawrence Kates			
Customer Number:	124746			
Filer:	Michael K. Colby/Todd Richards			
Filer Authorized By:	Michael K. Colby			
Attorney Docket Number:	563800USCON11			
Receipt Date:	16-JUN-2017			
Filing Date:	05-APR-2016			
Time Stamp:	17:14:22			
Application Type:	Utility under 35 USC 111(a)			

## Payment information:

Submitted with Payment	yes				
Payment Type	CARD				
Payment was successfully received in RAM	\$960				
RAM confirmation Number	061917INTEFSW17173901				
Deposit Account					
Authorized User					
The Director of the USPTO is hereby authorized to ch	narge indicated fees and credit any overpayment as follows:				

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Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
			192327		
1	lssue Fee Payment (PTO-85B)	563800USCON11_Issue_Fee_Tr ansmittal.pdf	ca94fb1e0031ff7c9c89246c29c76981be27 239d	no	1
Warnings:					
Information:					
			30702		
2	Fee Worksheet (SB06)	fee-info.pdf	36dcc1747d53adab60878734034d9dcc0fb 3eb43	no	2
Warnings:			<u> </u>		
Information:					
		Total Files Size (in bytes)	22	3029	
characterized Post Card, as <u>New Applicat</u> If a new appli 1.53(b)-(d) an Acknowledge <u>National Stag</u> If a timely sub	edgement Receipt evidences receipt by the applicant, and including pa described in MPEP 503. ions Under 35 U.S.C. 111 cation is being filed and the applica d MPEP 506), a Filing Receipt (37 C ement Receipt will establish the filing ge of an International Application u pomission to enter the national staged d other applicable requirements a l e submission under 35 U.S.C. 371 w ional Application Filed with the US	ige counts, where applicable. ation includes the necessary of FR 1.54) will be issued in due ng date of the application. <u>nder 35 U.S.C. 371</u> e of an international applicati Form PCT/DO/EO/903 indicati <i>i</i> ll be issued in addition to the	It serves as evidence components for a filin course and the date s on is compliant with ng acceptance of the	of receipt si g date (see hown on th the conditic application	imilar to a 37 CFR is ons of 35

15090973 - GAU: 2685

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10) Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		15090973	
	Filing Date		2016-04-05	
INFORMATION DISCLOSURE	First Named Inventor Kates,		s, Lawrence	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit	-	2685	
	Examiner Name	Nwugo, Ojiako K.		
	Attorney Docket Number		563800USCON11	

U.S.PATENTS Remove											
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Da	ate	of cited Document				Lines where jes or Relev	
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Examiner Initial*	Cite N	lo Publication Number	Kind Code ¹	Publication Name of Patentee or Applicant Releva				Releva		Lines where ges or Relev	
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Examiner Initial*		Foreign Document Number ³	Country Code²i	,	Kind Code⁴	Publication Date	Name of Patentee Applicant of cited Document	∍or ∣v	vhere Rel	or Relevant	Т5
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If you wis	If you wish to add additional Foreign Patent Document citation information please click the Add button Add										
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Examiner Initials* Cite No Cit						T5					

	Application Number		15090973	
	Filing Date		2016-04-05	
	First Named Inventor Kates, Lawrence		, Lawrence	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2685	
	Examiner Name	Nwug	jo, Ojiako K.	
	Attorney Docket Number		563800USCON11	

1 'Non-Final Office Action", Application Number 14/534,848, 06/13/2017, 11 pages							
If you wish to add	If you wish to add additional non-patent literature document citation information please click the Add button Add						
EXAMINER SIGNATURE							
Examiner Signatu	Examiner Signature /OJIAKO K NWUGO/ Date Considered 06/27/2017						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							
Standard ST.3). ³ For	JSPTO Patent Documents at <u>www.USPTO.GOV</u> or MPI Japanese patent documents, the indication of the year the appropriate symbols as indicated on the document slation is attached.	r of the reign of the Emperor must precede the seri	al number of the patent document.				

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		15090973
	Filing Date		2016-04-05
	First Named Inventor	First Named Inventor Kates, Lawrence	
	Art Unit		2685
	Examiner Name	Nwug	o, Oji <b>ako</b> K.
	Attorney Docket Number		563800USCON11

### CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

 $\times$  See attached certification statement.

 $\times$  The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Matthew Johnson/	Date (YYYY-MM-DD)	2017-06-16
Name/Print	Matthew Johnson	Registration Number	72299

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number	15/090,973
	Filing Date	Apr 5, 2016
	First Named Inventor	Lawrence Kates
	Art Unit	2685
	Examiner Name	Ojiako K. Nwugo
	Attorney Docket Number	563800USCON11

NON-PATENT LITERATURE DOCUMENTS							
Examiner Initials*							
	"Final Office Action", Application Number 15/161,880, 03/20/2017, 13 pages						
	"Non-Final Office Action", Application Number 14/536,108, 05/04/2017, 17 pages						
		EXAMINER SIGNA	TURE				
Examiner	Examiner Signature /OJIAKO K NWUGO/ Date Considered 06/27/2017						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number	15/090,973
	Filing Date	Apr 5, 2016
	First Named Inventor	Lawrence Kates
	Art Unit	2686
	Examiner Name	Unknown
	Attorney Docket Number	563800USCON11

	US-20080099568	May 1, 2008	Nicodem, et al.
	US-20080141754	Jun 19, 2008	Kates
	US-20080221737	Sep 11, 2008	Josephson, et al.
	US-20080228904	Sep 18, 2008	Crespo-Dubi, Daniel, et al.
	US-20080228904	Nov 13, 2008	Kates
	US-20080278310	Nov 13, 2008	Kates
	US-20080278315	Nov 13, 2008	Kates
	US-20080278342	Nov 13, 2008	Kates
		,	
	US-20080284590	Nov 20, 2008	Kates
	US-20080302172	Dec 11, 2008	Kates
	US-20080303654	Dec 11, 2008	Kates
	US-20090057427	Mar 5, 2009	Geadelmann, et al.
	US-20090143918	Jun 4, 2009	Amundson, et al.
	US-20090153336	Jun 18, 2009	Kates
	US-20090194601	Aug 6, 2009	Flohr
	US-20100058450	Mar 4, 2010	Fein, et al.
	US-20100156608	Jun 24, 2010	Bae, et al.
	US-20100163633	Jul 1, 2010	Barrett, et al.
	US-20100168924	Jul 1, 2010	Tessier, et al.
	US-20100199086	Aug 5, 2010	Kuang, et al.
	US-20100238036	Sep 23, 2010	Holcombe
	US-20110001812	Jan 6, 2011	Kang, et al.
	US-20110025501	Feb 3, 2011	Kates
	US-20110078675	Mar 31, 2011	Van Camp, et al.
	US-20110119747	May 19, 2011	Lambiase
	US-20110151837	Jun 23, 2011	WInbush
	US-20110282937	Nov 17, 2011	Deshpande, et al.
	US-20140203943	Jul 24, 2014	Kates
Change(s) applied	US-20140285336	<del>Aug 25, 2014</del>	Kates, Lawrence 09/2014
to documen <del>t,</del>	US-20140333423	Nov 13, 2014	Kates, Lawrence
	US-20140333434	Nov 13, 2014	Kates, Lawrence
/M.H.E./	US-20150061868	Mar 15, 2015	Kates, Lawrence
3/29/2017	US-20150061892	Mar 5, 2015	Kates, Lawrence
	US-20150070192	Mar 12, 2015	Kates, Lawrence
	US-20160029315	Jan 28, 2016	Kates, Lawrence
L			

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /O.K.N/

	Application Number	15/090,973
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	Apr 5, 2016
(Not for submission under 37 CFR 1.99)	First Named Inventor	Lawrence Kates
	Art Unit	2686
	Examiner Name	Unknown
	Attorney Docket Number	563800USCON11

	US-4916432	Apr 10, 1990	Tice, et al.
	US-4939504	Jul 3, 1990	Miller
	US-4951029	Aug 21, 1990	Severson
	US-4977527	Dec 11, 1990	Shaw, et al.
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /O.K.N/

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## TRANSMITTAL FOR POWER OF ATTORNEY TO ONE OR MORE REGISTERED PRACTITIONERS

NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5, unless the application number and filing date are identified in the Power of Attorney by Applicant form. If neither form PTO/AIA/82A nor form PTO/AIA/82B identifies the application to which the Power of Attorney is directed, the Power of Attorney will not be recognized in the application.

Application Number	15/090,973			
Filing Date	April 5, 2016			
First Named Inventor	Lawrence Kates			
Title	Wireless Sensor Unit Communication Triggering and Management			
Art Unit	2685			
Examiner Name	Ojiako K. Nwugo			
Attorney Docket Number	563800USCON11			
SIGNATURE of A	pplicant or Patent Practitioner			
^{Signature} /Mat	hew Johnson/	Date (Optional)	July 10, 2017	
Name Matthew	Aatthew Johnson		72299	
Title (if Applicant is a juristic entity)	y of Record			
Applicant Name (if Applicant is a juristic entity)       Google Inc.         NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and components and compon			rements and certifications. If	

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### Doc Code: PA.. Document Description: Power of Attorney

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application of	or is cor	ncurrently being filed with this d	locument) (provid	te signer's ti	tle if applicant i	s a juristic	c entity)
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Name	Alle	an Lo					****
Title	De	puty General Counsel & Ass	istant Secretar	of Google	Inc.		
		rm must be signed by the application one applicant, use multiple f		with 37 CFR	1.33. See 37 C	FR 1.4 for	r signature requirements
Total of		orms are submitted.					
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Electronic Acknowledgement Receipt				
EFS ID:	29734530			
Application Number:	15090973			
International Application Number:				
Confirmation Number:	5338			
Title of Invention:	WIRELESS SENSOR UNIT COMMUNICATION TRIGGERING AND MANAGEMENT			
First Named Inventor/Applicant Name:	Lawrence Kates			
Customer Number:	124746			
Filer:	Michael K. Colby/Todd Richards			
Filer Authorized By:	Michael K. Colby			
Attorney Docket Number:	563800USCON11			
Receipt Date:	10-JUL-2017			
Filing Date:	05-APR-2016			
Time Stamp:	15:49:54			
Application Type:	Utility under 35 USC 111(a)			

## Payment information:

Submitted with Payment no			no			
File Listing:						
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Power of Attorney	G	P-5638-00-US-CON11_POA. pdf	304f4a57cd176b60cbfb08369a8e6b6bcefe 9976	no	2
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u>

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED ST	ates Patent and Tradema	UNITED STA United State Address: COMMI P.O. Box	ia, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
15/090,973	04/05/2016	Lawrence Kates	563800USCON11
124746 Wolfe-SBMC 116 W. Pacific Avenue Suite 300 Spokane, WA 99201			CONFIRMATION NO. 5338 DF ATTORNEY NOTICE

Date Mailed: 07/12/2017

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 07/10/2017.

• The Power of Attorney to you in this application has been revoked by the applicant. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/kxaysana/

UNITED ST	ates Patent and Tradema	UNITED STA United State: Address: COMMI P.O. Box	a, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
15/090,973	04/05/2016	Lawrence Kates	563800USCON11
			<b>CONFIRMATION NO. 5338</b>
149118		POA ACC	EPTANCE LETTER
Colby Nipper / Google 291 East Shore Drive Suite 200 Eagle, ID 83616			OC000000092708722*

Date Mailed: 07/12/2017

#### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 07/10/2017.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/kxaysana/

page 1 of 1



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandia, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/090,973	08/01/2017	9723559	563800USCON11	5338
149118 759	90 07/12/2017			
Colby Ninner / Co.	vala			

Colby Nipper / Google 291 East Shore Drive Suite 200 Eagle, ID 83616

#### **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

#### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Lawrence Kates, Corona Del Mar, CA; Google Inc., Mountain View, CA;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

IR103 (Rev. 10/09)