UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

L'ORÉAL USA, INC.,

Petitioner,

v.

LIQWD, INC.,

Patent Owner.

Case PGR2018-00025

Patent No. 9,668,954

PETITIONER'S UPDATED EXHIBIT LIST

Mail Stop PATENT BOARD Patent Trial & Appeal Board U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

DOCKET

A L A R M Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

PETITIONER'S UPDATED EXHIBIT LIST

| Exhibit No. | Description |
|-------------|--|
| 1001 | U.S. Patent No. 9,668,954 to Pressly ("the '954 patent") |
| 1002 | RESERVED |
| 1003 | German Patent Publication DE 1220969 |
| 1004 | Certified translation of German Patent Publication DE 1220969 ("Berkemer") |
| 1005 | Korean Patent Publication KR2006-0059564 |
| 1006 | Certified translation of Korean Patent Publication KR2006- 0059564 ("KR'564") |
| 1007 | U.S. Patent No. 6,358,502 to Tanabe ("Tanabe") |
| 1008 | U.S. Patent Publication No. 2013/0034515 to Stone ("Stone") |
| 1009 | U.S. Patent Publication No. 2012/0024309 to Pratt ("Pratt") |
| 1010 | U.S. Patent No. 7,044,986 to Ogawa ("Ogawa") |
| 1011 | Thomas Clausen et al., <i>Hair Preparations, in</i> ULLMAN'S ENCYCLOPEDIA OF INDUSTRIAL CHEMISTRY (July 15, 2006), http://onlinelibrary.wiley.com/doi/10.1002/14356007.a12_571.pub2 ("Clausen") |
| 1012 | Declaration of R. Randall Wickett, Ph.D. |
| 1013 | Curriculum Vitae of R. Randall Wickett, Ph.D. |
| 1014 | RESERVED |
| 1015 | Y. K. Kammath & C. Robbins, <i>Hair breakage by combing and brushing—A comment on</i> : T. A. Evans and K. Park, A statistical analysis of hair Breakage. II. Repeated Grooming Experiments, J. |

2

DOCKET ALARM Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

| Exhibit No. | Description |
|-------------|---|
| | Cosmet. Sci., 41, 439-456 (2010), 62 J. Cosmet. Sci. 579-585 (2011) |
| 1016 | RESERVED |
| 1017 | RR Wickett & J Jachowicz, Measuring Hair in Handbook of Cosmetic Science and Technology, 694-724 (Andre´ O. Barel et al. eds., 3d ed. 2009) |
| 1018 | RESERVED |
| 1019 | RESERVED |
| 1020 | Provisional Application 61/994,709 ("the '709 provisional") |
| 1021 | RESERVED |
| 1022 | RESERVED |
| 1023 | CTFA Cosmetic Ingredient Handbook (John A. Wenninger et al. eds., 2d ed. 1992) |
| 1024 | RESERVED |
| 1025 | Trefor A. Evans, <i>Fatigue testing of hair—A statistical approach to hair breakage</i> , 60 J. Cosmet. Sci. 599-616 (2009) |
| 1026 | RESERVED |
| 1027 | Daniel C. Harris, Quantitative Chemical Analysis (3d ed. 1991) |
| 1028 | Korean Patent Publication KR2003-0003970 ("KR '970") |
| 1029 | Certified Translation of Korean Patent Publication KR2003- 0003970 ("KR '970") |
| 1030 | As-filed specification of U.S. Patent Application No. 14/713,885 |

| Exhibit No. | Description |
|-------------|---|
| 1031 | As-filed specification of U.S. Patent Application No. 15/087,415 |
| 1032 | As-filed specification of U.S. Patent Application No. 15/290,593 |
| 1033 | As-filed specification of U.S. Patent Application No. 15/415,464 |
| 1034 | U.S. Patent No. 5,221,286 to Singleton ("Singleton") |
| 1035 | PCT Publication WO 2014/207097 to Wahler ("Wahler") |
| 1036 | CONFIDENTIAL Laboratory Notebook |
| 1037 | CONFIDENTIAL Declaration of Melanie Crim |
| 1038 | Transcript of Deposition of Thomas Dispenza |
| 1039 | Transcript of Deposition of Edward T. Borish, Ph.D |
| 1040 | RESERVED |
| 1041 | U.S. Patent No. 7,179,302 |
| 1042 | U.S. Patent No. 4,067,345 |
| 1043 | U.S. Patent No. 4,138,478 |
| 1044 | U.S. Patent Publication No. 2015/0034119 |
| 1045 | Table 3.4, pKa values of some amino acids - Biochemistry – NCBI Bookshelf |
| 1046 | C.R. Robbins, Chemical and Physical Behavior of Human Hair, DOI 10.1007/978-3-642-25611-0_2, # Springer-Verlag Berlin Heidelberg 2012 |
| 1047 | Transcript of September 27, 2018, Teleconference |

| Exhibit No. | Description |
|-------------|--|
| 1048 | Public version of Exhibit 1036 - Laboratory Notebook |
| 1049 | Transcript of December 6, 2018, Teleconference |
| 1050 | Transcript of December 13, 2018, Teleconference |
| 1051 | Transcript of January 4, 2019, Teleconference |
| 1052 | Olaplex Facebook Post- A Letter from Dean Christal |
| 1053 | HIGHLY CONFIDENTIAL Transcript of Deposition of Edward T. Borish, Ph.D |
| 1054 | CONFIDENTIAL Transcript of Deposition of Dean Christal |
| 1055 | Transcript of Deposition of Eric Pressly, Ph.D |
| 1056 | Affidavit of Jeffrey Jaime |
| 1057 | Affidavit of Jose Manuel M. Martinez |
| 1058 | Affidavit of Anthony Fuller |
| 1059 | MINTEL database entry- Be Your Mood Permanent Hair Colour |
| 1060 | MINTEL database entry- Catzy Hair Colourant |
| 1061 | HIGHLY CONFIDENTIAL- Laboratory Notebook |
| 1062 | HIGHLY CONFIDENTIAL- Reply Declaration of R. Randall Wickett, Ph.D. |
| 1063 | V. Signori & D.M. Lewis, <i>FTlR investigation of the damage produced on human hair by weathering and bleaching processes: implementation of different</i> |
| | sampling techniques and data processing, 19 Int'l J. Cosmetic Sci. 1, 1-13 (1997). |

5

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.