## **SCORE Placeholder Sheet for IFW Content**

Application Number: 16746028 Document Date: 01/17/2020

The presence of this form in the IFW record indicates that the following document type was received in electronic format on the date identified above. This content is stored in the SCORE database.

Since this was an electronic submission, there is no physical artifact folder, no artifact folder is recorded in PALM, and no paper documents or physical media exist. The TIFF images in the IFW record were created from the original documents that are stored in SCORE.

## Drawing

At the time of document entry (noted above):

- USPTO employees may access SCORE content via DAV or via the SCORE web page.
- External customers may access SCORE content via PAIR using the Supplemental Content tab.

Form Revision Date: March 1, 2019

PTO/AIA/15 (10-17)
Approved for use through 11/30/2020. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	UTILITY			Attorney Docke	t No.	066859/542422		
	PATENT APPLICAT	ION		First Named Inv	entor	JOHN	MALONEY	
	TRANSMITTAL	_		Title		STABLE, HIGHLY P	TURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND MET	
(Only)	for new nonprovisional applications under	- 37 CFR 1.53(b))		Priority Mail Exp Label No.	ress®			
See MPEI	APPLICATION ELEMENT P chapter 600 concerning utility patent ap			ADDRESS	то:		mmissioner for Patents P.O. Box 1450 xandria, VA 22313-1450	
	Transmittal Form /SB/17 or equivalent)			ACCON	/ΡΑΝ	YING AP	PLICATION PAPERS	
See 3 3. Appli App	licant asserts small entity status. 7 CFR 1.27  licant certifies micro entity status. So cant must attach form PTO/SB/15A or B o cification  [Total Pa of Market Pto SB (18)   Total Pa of Sb (18)   T	]]	10. Assignment Papers (cover sheet & document(s)) Name of Assignee  11. 37 CFR 3.73(c) Statement (when there is an assignee) 12. English Translation Document (if applicable) 13. Information Disclosure Statement (PTO/SB/08 or PTO-1449) Copies of citations attached 14. Preliminary Amendment 15. Return Receipt Postcard (MPEP § 503) (Should be specifically itemized) 16. Certified Copy of Priority Document(s) (if foreign priority is claimed) 17. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or equivalent.					
b. S i.	omputer Readable Form (CRF) pecification Sequence Listing on:  CD-ROM or CD-R (2 copies); or  Paper tatements verifying identity of above	e copies			Request	TOT PHONIZE	d Examination	
(2) F a	*Note: (1) Benefit claims under 37 CFR 1.78 and foreign priority claims under 1.55 must be included in an Application Data Sheet (ADS).  (2) For applications filed under 35 U.S.C. 111, the application must contain an ADS specifying the applicant if the applicant is an assignee, person to whom the inventor is under an obligation to assign, or person who otherwise shows sufficient proprietary interest in the matter. See 37 CFR 1.46(b).							
		19. CORRE	SPON	IDENCE ADDR	ESS			
✓ The ad	ldress associated with Customer Nur	mber: <u>00826</u>				OR	Correspondence address below	
Name								
Address								
City		State				Zip Code		
Country		Telephone				Email		
Signature	/brian I. skelton/				Date		January 17, 2020	
Name (Print/Type)	Brian L. Skelton					ration No. ney/Agent)	50893	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND** TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

					Attorney	Docke	-t Nu	mher	066859/5	542422		
Appli	catio	on Data SI	neet 37 CFR	1.76	Application							
Title of	f Inver	ntion STA	BLE, HIGHLY PL	JRE L-	CYSTEINE C	OMPO	SITIC	NS FOR	R INJECTI	ON AND	METHODS OF USE	
bibliogra This doo	The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.  This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.											
Secre	есу	Order 37	CFR 5.2:									
			pplication assoc filers only. Appl								Secrecy Order purs electronically.)	suant to
Inven	tor	nformat	ion:									
Invent		1								R	emove	
Legal I	Name											
Prefix	Give	en Name		N	Middle Name	е			Family	Name		Suffix
	Johr								Maloney			
			(Select One)		S Residency	$\overline{}$		US Res			e US Military Service	)
City	Salis	bury		State	e/Province	NC	(	Country	y of Resi	dence	US	
Mailing	Addr	ess of Inve	ntor:									
Addre	ss 1		c/o Exela Pha	arma S	ciences, LLC							
Addre	ss 2		1245 Blowing	Rock	Blvd							
City		Lenoir	1				Sta	te/Prov	ince	NC		
Postal	Code	)	28645			Cou	ıntry	i	US			
Invent	or	2								R	emove	
Legal I	Name											
Prefix	Give	en Name		ı	Middle Name	е			Family	Name		Suffix
	Arun	а							Koganti			
Resid	ence	Information	(Select One)	<b>⊙</b> ∪	S Residency	0	Non	US Res	sidency	O Activ	e US Military Service	)
City	Lend	ir		State	e/Province	NC		Countr	y of Resi	dence	US	
Mailin n	A -1 -1.		-4									
		ess of Inve	1									
Addre			c/o Exela Pha									
Addre	ss 2	Г	1245 Blowing	Rock	Blvd				_	T		
City		Lenoir						te/Prov		NC		
Postal	Code		28645			Cou	ıntry	i	US	50000000		
Invent		3								R	emove	
Legal I								т				Т
Prefix		en Name		N	Middle Name	<b>e</b>			Family	Name		Suffix
	Phar	nesh							Koneru			

Non US Residency

Residence Information (Select One) US Residency

Active US Military Service

Application Data Sheet 37 CFR 1.76		Attorney Docket Number		066859/542422			
Application Data Sile	et 37 CFK 1.76	Application Number					
Title of Invention STABL	.E, HIGHLY PURE L-C	YSTEINE COMPC	SITIONS FO	R INJECTION AND METHODS OF USE			
City Waxhaw	State/	Province NC	Countr	y of Residence US			
Mailing Address of Invent	or:						
Address 1	c/o Exela Pharma Sci	ences, LLC					
Address 2	1245 Blowing Rock Bl	vd					
City Lenoir			State/Prov	vince NC			
Postal Code	28645	Cou	ntry	US			
All Inventors Must Be Li generated within this form			ion blocks	may be Add			
Correspondence In	nformation:						
Enter either Customer Nu For further information s	-	the Correspond	ence Inforn	nation section below.			
An Address is being	provided for the co	rrespondence li	nformation	of this application.			
Customer Number	00826						
Email Address				Add Email Remove Email			
Application Inform	nation:						
Title of the Invention	STABLE, HIGHLY P	URE L-CYSTEINE	COMPOSITI	ONS FOR INJECTION AND METHODS OF USE			
Attorney Docket Number	066859/542422		Small Ent	tity Status Claimed			
Application Type	Nonprovisional						
Subject Matter	Utility						
Total Number of Drawing	Sheets (if any)	5	Suggeste	ed Figure for Publication (if any)			
Filing By Referenc	e:						
Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").  For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this							
reference to the previously filed a Application number of the prev filed application		te (YYYY-MM-DD)	ements of 37 C	Intellectual Property Authority or Country			
··							
Publication Information:  Request Early Publication (Fee required at time of Request 37 CFR 1.219)							
	, -			,			
25 LLC C 122(b) and				application not be published under d application has not and will not be the			
	on filed in another co			a application has not and will not be the ill international agreement, that requires			

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	066859/542422		
Application be	ita Officet 57 Of IC 1.70	Application Number			
Title of Invention	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE				

### **Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.							
Please Select One:	Customer Number	US Patent Practitioner	Limited Recognition (37 CFR 11.9)				
Customer Number	00826						

## **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the "Application Number" field blank.

Prior Application Status   Pending			Remove					
Application N	lumber	Conti	nuity Type	Prior Application Number		Filing or 371(c) Date (YYYY-MM-DD)		
		Continuation	of	16/665702		2019-10-28		
Prior Application	on Status	Patented		Remave		ve		
Application Number	Con	tinuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Patent Number		Issue Date (YYYY-MM-DD)	
16/665702	Continua	ation of	16/248460	2019-01-15	10478453 201		2019-11-19	
Additional Domo	ctic Bonof	it/National Sta	no Data may be as	porated within this fo	rm.		1	

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the **Add** button.

## Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>1</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

	Remove		
Application Number	Country <sup>1</sup>	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	066859/542422				
Application Ba	ita officer of of it 1.70	Application Number					
Title of Invention	STABLE, HIGHLY PURE L-C	YSTEINE COMPOSITIONS FO	R INJECTION AND METHODS OF USE				
Additional Foreign Priority Data may be generated within this form by selecting the Add button.							

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also
contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March
16, 2013, will be examined under the first inventor to file provisions of the AIA.

Application Da	ata Sheet 37 CFR 1.76	Attorney Docket Number	066859/542422		
Application be	ita oneet 57 of it 1.70	Application Number			
Title of Invention	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE				

## **Authorization or Opt-Out of Authorization to Permit Access:**

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant **must opt-out** of the authorization by checking the corresponding box A or B or both in subsection 2 below.

**NOTE**: This section of the Application Data Sheet is **ONLY** reviewed and processed with the **INITIAL** filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

- 1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)
- **A. Priority Document Exchange (PDX)** Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby **grants the USPTO authority** to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).
- **B.** Search Results from U.S. Application to EPO Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

- 2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)
- A. Applicant **DOES NOT** authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.
  - B. Applicant **DOES NOT** authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

**NOTE:** Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

Application Da	ata Sheet 37 CFR 1 76	Attorney Docket Number	066859/542422			
Application Data Sheet 37 CFR 1.76		Application Number				
Title of Invention	STABLE, HIGHLY PURE L-C	BLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE				

## **Applicant Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.						
Applicant	1					
The information 1.43; or the nation who otherwise applicant under the control of	n to be provi me and add shows sufficer 37 CFR 1.4 erest) together	ided in this s ress of the a cient propriet 46 (assignee	maining joint inventor or inven- ection is the name and addres- ssignee, person to whom the in- tary interest in the matter who in the person to whom the inventor or more joint inventors, then the	s of the legal representa nventor is under an oblig is the applicant under 37 is obligated to assign, o	itive who in gation to a CFR 1.46 r person v	s the applicant under 37 CFR ssign the invention, or person 5. If the applicant is an who otherwise shows sufficient
<ul><li>Assignee</li></ul>			Legal Representative ui	nder 35 U.S.C. 117	0	Joint Inventor
O Person to	whom the inv	entor is oblig	rated to assign.	Person who she	ows suffic	ient proprietary interest
If applicant is	the legal re	epresentati	ve, indicate the authority to	file the patent applicat	tion, the i	nventor is:
Name of the	Deceased	or Legally I	ncapacitated Inventor:		•	
If the Applic	ant is an O	rganization	check here.			
Organizatio	n Name	Exela Pha	rma Sciences, LLC			
Mailing Ad	dress Info	mation Fo	r Applicant:			
Address 1		1245	Blowing Rock Blvd			
Address 2						
City		Lenoir		State/Province	NC	
Country	US			Postal Code	28645	
Phone Num	ber			Fax Number		
Email Address						
Additional Applicant Data may be generated within this form by selecting the Add button.						

# **Assignee Information including Non-Applicant Assignee Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Application Data Sheet 37 CFR 1.76			Attorney Doc	ket Number	066859	066859/542422		
			Application N	pplication Number				
Title of Inven	ention STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE							
Assignee	1							
application publ	lication. An a in applicant.	assignee For an	formation, including e-applicant identified assignee-applicant,	d in the "Applica	nt Information	n" section w	ill appear on the	
If the Assign	ee or Non-	Applica	nt Assignee is an	Organization	check here.			
Prefix		Give	ven Name Middle Nan		е	Family Name		Suffix
Mailing Addr	ess Inform	nation F	or Assignee inc	luding Non-A	pplicant As	ssignee:		
Address 1								
Address 2								
City	_				State/Province			
Country			Postal Code					
Phone Number			Fax Number					
Email Address								
Additional As selecting the			plicant Assignee [	Data may be g	enerated wit	thin this for	m by	
Signature	):							
NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the <a href="INITIAL">INITIAL</a> filling of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).  This Application Data Sheet <a href="must">must</a> be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, <a href="must">all</a> joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of <a href="must">all</a> joint inventor-applicants.  See 37 CFR 1.4(d) for the manner of making signatures and certifications.								
Signature	/bryan I. sk	elton/				Date (	YYYY-MM-DD)	2020-01-17
First Name	Bryan L.		Last Name	Skelton		Regist	ation Number	50893
Additional Signature may be generated within this form by selecting the Add button.								
		_						

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	sta Shoot 37 CEP 1 76	Attorney Docket Number	066859/542422
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE		

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1 The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent CooperationTreaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Doc Code: TRACK1.REQ

**Document Description: TrackOne Request** 

# CERTIFICATION AND REQUEST FOR PRIORITIZED EXAMINATION UNDER 37 CFR 1.102(e) (Page 1 of 1)

First Named Inventor:	John Maloney	Nonprovisional Application Number (if known):	
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEIN	E COMPOSITIONS FOR INJECTION	I AND METHODS OF USE

# APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS PRIORITIZED EXAMINATION FOR THE ABOVE-IDENTIFIED APPLICATION.

- 1. The processing fee set forth in 37 CFR 1.17(i)(1) and the prioritized examination fee set forth in 37 CFR 1.17(c) have been filed with the request. The publication fee requirement is met because that fee, set forth in 37 CFR 1.18(d), is currently \$0. The basic filing fee, search fee, and examination fee are filed with the request or have been already been paid. I understand that any required excess claims fees or application size fee must be paid for the application.
- 2. I understand that the application may not contain, or be amended to contain, more than four independent claims, more than thirty total claims, or any multiple dependent claims, and that any request for an extension of time will cause an outstanding Track I request to be dismissed.
- 3. The applicable box is checked below:

### I. V Original Application (Track One) - Prioritized Examination under § 1.102(e)(1)

- i. (a) The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a).
   This certification and request is being filed with the utility application via EFS-Web.
  - (b) The application is an original nonprovisional plant application filed under 35 U.S.C. 111(a). This certification and request is being filed with the plant application in paper.
- ii. An executed inventor's oath or declaration under 37 CFR 1.63 or 37 CFR 1.64 for each inventor, <u>or</u> the application data sheet meeting the conditions specified in 37 CFR 1.53(f)(3)(i) is filed with the application.

### II. Request for Continued Examination - Prioritized Examination under § 1.102(e)(2)

- i. A request for continued examination has been filed with, or prior to, this form.
- ii. If the application is a utility application, this certification and request is being filed via EFS-Web.
- iii. The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a), or is a national stage entry under 35 U.S.C. 371.
- iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination.
- v. No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2).

Signature/bryan I. skelton/	Date 2020-01-17
Name (Print/Typed) Bryan L. Skelton	Practitioner 50893 Registration Number
Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for Submit multiple forms if more than one signature is required.*	or signature requirements and certifications.
*Total of forms are submitted.	

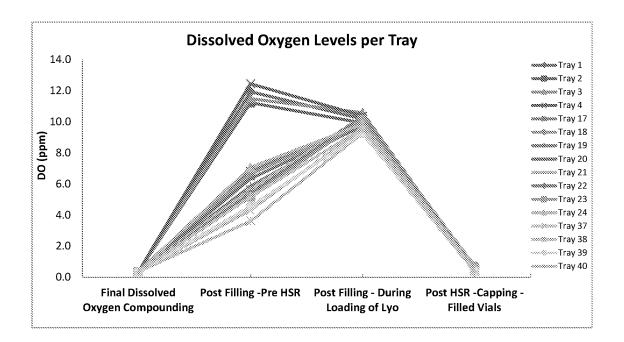
PTO/AIA/424 (04-14)

### Privacy Act Statement

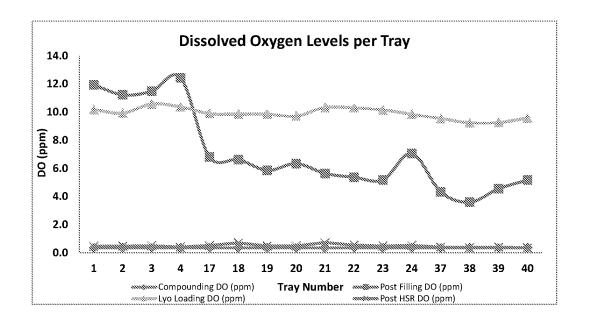
The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

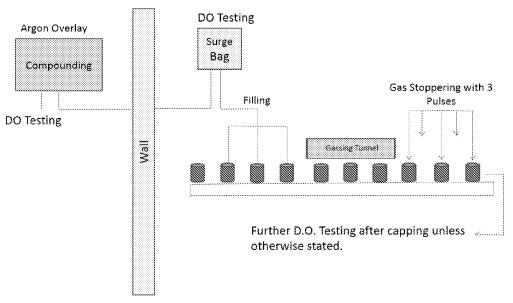


**FIG.** 1



**FIG.** 2

# L-Cysteine Setup for Protocol and DO Testing Points



**FIG.** 3

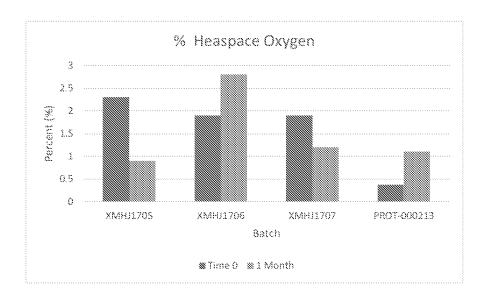


FIG. 4

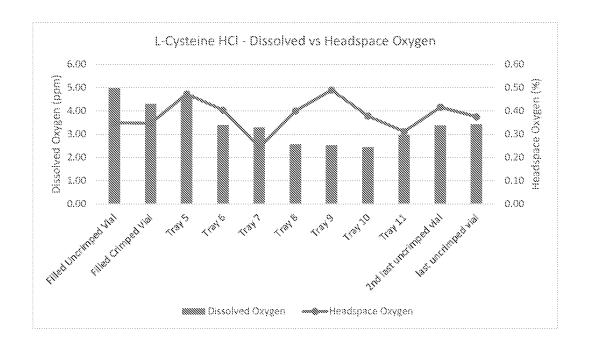


FIG. 5

Doc Code: PA..

Document Description: Power of Attorney

PTO/AIA/82A (07-13)
Approved for use through 01/31/2018. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FOR POWER OF ATTORNEY TO ONE OR MORE REGISTERED PRACTITIONERS

NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5, unless the application number and filing date are identified in the Power of Attorney by Applicant form. If neither form PTO/AIA/82A nor form PTO/AIA/82B identifies the application to which the Power of Attorney is directed, the Power of Attorney will not be recognized in the application.						
Application Numb	er	Filed Herewith				
Filing Date		Filed Herewith				
First Named Inventor		JOHN MALONEY				
Title		STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE				
Art Unit		TBD				
Examiner Name		TBD				
Attorney Docket Number		066859/542422				
SIGNATURE of Applicant or Patent Practitioner						
Signature	/bria	an I. ske	elton/	Date (Optional)		
Name	Brian L.	Skelton		Registration Number	50893	
Title (if Applicant is a juristic entity)	Patent P	Practitioner				
Applicant Name (if App	•	•,	EXELA PHAF			
NOTE: This form mus	•		CFR 1.33. See 37 CFR 1.4(d)	for signature requir	rements and certifications. If	
*Total of		forms are submitted				

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Doc Code: PA...

Document Description: Power of Attorney

PTO/AIA/828 (07-13) Approved for use through 01/31/2018, OMS 0651-0035 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

## POWER OF ATTORNEY BY APPLICANT

	y revoke all previ (es below.	ous powers of attorney given in I	the applicati	on identified in <u>eithe</u>	the attached transmittal letter or
***************************************	An	olication Number		Filing Date	
	L	Andaban anning		, mig vac	
:					
	(Note: T	he boxes above may be left blank if	information is	provided on form PT0	3/AIA/82A.)
					er as my/our attorney(s) or agent(s), and
		iness in the United States Patent ar imittal letter (form PTO/AIA/82A) or		ve: r	ewith for the application referenced in
	OR			00826	
					attorney(s) or agent(s), and to transact
		· United States Patent and Tradema tal letter (form PTO/AIA/82A) or ider			e patent application referenced in the n PTO/AIA/82C.)
	e recognize or c or the boxes ab		dress for th	e application ident	ified in the attached transmittal
	nu per upas kan kundrasansa musu un	ove to: clated with the above-mentioned Co	ustomer Numi	)er	
لستا	OR	· · · · · · · · · · · · · · · · · · ·		· · ·	
	The address asso	ciated with Customer Number:			
····	OR				
	Firm or Individual Name			÷	
Address	}				
City			State		Zip
Country					
Telepho	ne		Ema	<u>"</u>	
I am the	Applicant (if the A	pplicant is a juristic entity, list the Ap	oplicant name	in the box):	
Ехе	la Pharm	a Sciences, LLC			
m	Invantor or Toint I	mentry (title put tentined helical)		<u></u>	
m	Inventor or Joint Inventor (title not required below)  Legal Representative of a Deceased or Legally incapacitated Inventor (title not required below)				
7	Assignee or Person to Whom the Inventor is Under an Obligation to Assign (provide signer's title if applicant is a juristic entity)				
Ħ		rwise Shows Sufficient Proprietary I			
		oncurrently being filed with this docu	ument) (provid	te signer's title if applic	
		······································	E of Applicar	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	<del></del>
***************************************	<del>mmmmmmmmmmmmm</del>		o act on behal	of the applicant (e.g., v	where the applicant is a juristic entity).
Nan	ature	HANESH KONERU		I mass (Obsourar	<u>'                                    </u>
Title		×			
		イモミアクミルフ form must be signed by the applicant	in accordance	with 37 CFR 1.33. See	37 CFR 1.4 for signature requirements
and	certifications. If more	than one applicant, use multiple form	1S.		•
17 Tota	lof <sup>1</sup>	forms are submitted.			

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Officer, U.S. Department of Commerce, P.O. Box 1459, Alexandria, VA 22313-1459. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1459, Alexandria, VA 22313-1459.

If you need assistance in completing the form, cell 1-800-PTO-9199 and select option 2.

# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE
As the belo	w named inventor, I hereby declare that:
This declar is directed t	United States application or PCT international application number 16/248,460
The above-i	filed on January 15, 2019  dentified application was made or authorized to be made by me.
I believe tha	it I am the original inventor or an original joint inventor of a claimed invention in the application.
	mowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 prisonment of not more than five (5) years, or both.
	WARNING:
contribute to (other than a to support a petitioners/s USPTO. Pe application ( patent. Furl referenced I	policant is cautioned to avoid submitting personal information in documents filed in a patent application that may be identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO petition or an application. If this type of personal information is included in documents submitted to the USPTO, applicants should consider redacting such personal information from the documents before submitting them to the utitioner/applicant is advised that the record of a patent application is available to the public after publication of the funless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a thermore, the record from an abandoned application may also be available to the public if the application is a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms submitted for payment purposes are not retained in the application file and therefore are not publicly available.
LEGAL N	AME OF INVENTOR
Inventor: Signature	John Maloney Date (Optional): 1/21//5
Note: An app	lication data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have say filed. Use an additional PTO/AIA/01 form for each additional inventor.

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. This information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Approved for use through 11/30/2020. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN **APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention	METHODS OF USE				
As the belo	w named inventor, I hereby declare that:				
This declar	to:				
	United States application or PCT international application number 16/248,460  filed on January 15, 2019				
The above-i	dentified application was made or authorized to be made by me.				
I believe tha	It I am the original inventor or an original joint inventor of a claimed invention in the application.				
	knowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 aprisonment of not more than five (5) years, or both.				
	WARNING:				
contribute to (other than a to support a petitioners/a USPTO. Pe application ( patent. Furt referenced i	oplicant is cautioned to avoid submitting personal information in documents filed in a patent application that may be identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO petition or an application. If this type of personal information is included in documents submitted to the USPTO, applicants should consider redacting such personal information from the documents before submitting them to the stitioner/applicant is advised that the record of a patent application is available to the public after publication of the unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a hermore, the record from an abandoned application may also be available to the public if the application is n a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms ubmitted for payment purposes are not retained in the application file and therefore are not publicly available.				
LEGAL NA	AME OF INVENTOR				
Inventor: <u>f</u>	Aruna Koganti  Date (Optional): 0/2/2018				
	ication data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have sly filed. Use an additional PTO/AIA/01 form for each additional inventor.				

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE
As the belo	w named inventor, I hereby declare that:
This declar is directed t	The Statement Statement of
The above-	identified application was made or authorized to be made by me.
I believe the	at I am the original inventor or an original joint inventor of a claimed invention in the application.
I hereby act by fine or in	cnowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 aprisonment of not more than five (5) years, or both.
	WARNING:
contribute to (other than to support a petitioners/s USPTO. Po application patent. Fur	oplicant is cautioned to avoid submitting personal information in documents filed in a patent application that may be identify theft. Personal information such as social security numbers, bank account numbers, or credit card numbers a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO applicant or an application. If this type of personal information is included in documents submitted to the USPTO, applicants should consider redacting such personal information from the documents before submitting them to the efficient/applicant is advised that the record of a patent application is available to the public after publication of the (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a thermore, the record from an abandoned application may also be available to the public if the application is in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card, authorization forms submitted for payment purposes are not retained in the application file and therefore are not publicly available.
LEGAL N	AME OF INVENTOR
Inventor: Signature	Phanesh Koneru Date (Optional):
Note: An app	olication data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (end by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting this completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and relect option 2.

#### ABSTRACT

The subject matter described herein is directed to stable L-cysteine compositions for injection, comprising: L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof in an amount from about 10 mg/mL to about 100 mg/mL; Aluminum in an amount from about 1.0 parts per billion (ppb) to about 250 ppb; cystine in an amount from about 0.01 wt% to about 2 wt% relative to L-cysteine; pyruvic acid in an amount from about 0.01 wt% to about 2 wt% relative to L-cysteine; a pharmaceutically acceptable carrier, comprising water; headspace O<sub>2</sub> that is less than 1.0%; dissolved oxygen present in the carrier in an amount from about 0.01 parts per million (ppm) to about 1 ppm, wherein the composition is enclosed in a single-use container having a volume of from 10 mL to 100 mL. Also described are compositions for a total parenteral nutrition regimen and methods for their use.

#### WHAT IS CLAIMED:

1. A solution of L-cysteine comprising,

a pharmaceutically acceptable carrier,

about 50 mg/mL of L-cysteine hydrochloride monohydrate, or equivalent amount of a pharmaceutically acceptable L-cysteine or a salt or hydrate thereof,

less than about 150 ppb of aluminum for at least about 6 months from the time of manufacture of the solution, and

a pH from about 1.0 to about 2.5,

wherein the solution is suitable for use as an additive in a parenteral nutrition composition for administration to an individual.

- 2. The solution of claim 1, wherein the solution is safe for use as an additive in a parenteral nutrition composition for administration to a neonate or infant requiring parenteral nutrition.
- 3. The solution of claim 1, which comprises less than about 100 ppb of aluminum for at least about 6 months from the time of manufacture of the solution.
- 4. The solution of claim 1, which comprises less than about 50 ppb of aluminum for at least about 6 months from the time of manufacture of the solution.
- 5. The solution of claim 1, which comprises less than about 20 ppb of aluminum for at least about 6 months from the time of manufacture of the solution.
- 6. The solution of claim 1, which comprises less than about 10 ppb of aluminum for at least about 6 months from the time of manufacture of the solution.

- 7. The solution of claim 1, which comprises less than about 150 ppb of aluminum for at least about 12 months from the time of manufacture of the solution.
- 8. The solution of claim 7, further comprising a pharmaceutically acceptable amount of cystine for at least about 12 months from the time of manufacture of the solution.
- 9. The solution of claim 8, wherein the solution is stored in a silica-coated vial.
- 10. The solution of claim 1, which comprises less than about 100 ppb of aluminum for at least about 12 months from the time of manufacture of the solution.
- 11. The solution of claim 10, further comprising a pharmaceutically acceptable amount of cystine for at least about 12 months from the time of manufacture of the solution.
- 12. The solution of claim 11, wherein the solution is stored in a silica-coated vial.
- 13. The solution of claim 1, which comprises less than about 50 ppb of aluminum for at least about 12 months from the time of manufacture of the solution.
- 14. The solution of claim 1, further comprising a pharmaceutically acceptable amount of cystine for at least about 6 months from the time of manufacture of the solution.
- 15. The solution of claim 14, which has a dissolved oxygen content of less than 2 ppm.
- 16. The solution of claim 14, wherein the solution is stored in a coated vial.

- 17. The solution of claim 16, wherein the vial is a silica-coated vial.
- 18. The solution of claim 16, wherein the vial has a headspace, wherein the headspace comprises nitrogen, argon, or other inert gas.
- 19. The solution of claim 1, wherein the pharmaceutically acceptable carrier is water.
- 20. A solution of L-cysteine, comprising,

about 50 mg/mL of L-cysteine hydrochloride monohydrate,

water,

a pH between about 1.0 and about 2.5, and

wherein the solution is stored in a container that minimizes both oxygen penetration into the container and aluminum leaching into the solution, such that the solution comprises less than about 150 ppb of aluminum for at least about 6 months from the time of manufacture of the solution.

- 21. The solution of claim 20, wherein the solution is suitable to be admixed with a parenteral nutrition composition for administration to an individual.
- 22. The solution of claim 21, wherein said individual has liver disease.
- 23. The solution of claim 21, wherein said individual has impairment in the enzymatic conversion of cysteine.
- 24. The solution of claim 21, wherein said individual is a neonate or infant requiring parenteral nutrition.

- 25. The solution of claim 20, wherein the container is a coated vial.
- 26. The solution of claim 25, wherein the container is a silica-coated vial.
- 27. The solution of claim 20, further comprising a pharmaceutically acceptable amount of cystine for at least about 6 months from the time of manufacture of the solution.

# STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE

### CROSS-REFERENCE TO RELATED APPLICATION(S)

This application is a continuation of U.S. Application No. 16/248,460, filed January 15, 2019, which is incorporated herein in its entirety by reference.

#### TECHNICAL FIELD

The subject matter described herein relates generally to compositions for parenteral administration comprising L-cysteine that are stable and have desirable safety attributes for extended periods of time.

10 BACKGROUND

5

15

20

25

L-cysteine is a sulfur-containing amino acid that can be synthesized de novo from methionine and serine in adult humans. L-cysteine performs a variety of metabolic functions. For example, L-cysteine is involved in growth and protein synthesis and it is a precursor for glutathione, an important intracellular antioxidant.

L-cysteine is generally classified as a non-essential amino acid or "semi-essential" amino acid because it can be synthesized in small amounts by the human body. However, some adults can still benefit from L-cysteine supplementation. Further, L-cysteine has been classified as conditionally essential in some cases. For example, L-cysteine can be conditionally essential in preterm infants due to biochemical immaturity of the enzyme cystathionase that is involved in L-cysteine synthesis. Thus, there are a number of circumstances in which L-cysteine supplementation can be desirable.

The subject matter described herein addresses the shortcomings of the art by providing L-cysteine compositions that facilitate the desired supplementation but with an exceptional safety, purity and stability profile.

#### **BRIEF SUMMARY**

In certain aspects, the subject matter described herein is directed to a safe, stable L-cysteine composition for parenteral administration, comprising:

L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof in an amount from about 10 mg/mL to about 100 mg/mL;

Aluminum (Al) in an amount from about 1.0 part per billion (ppb) to about 250 ppb;

L-cystine in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine;

pyruvic acid in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine;

a pharmaceutically acceptable carrier, comprising water;

headspace  $O_2$  that is from about 0.5% to 4.0% from the time of manufacture to about 1 month from manufacture when stored at room temperature;

dissolved oxygen present in the carrier in an amount from about 0.1 parts per million (ppm) to about 5 ppm from the time of manufacture to about 1 month from manufacture when stored at room temperature,

wherein the composition is enclosed in a single-use container having a volume of from about 10 mL to about 100 mL.

In certain aspects, the subject matter described herein is directed to a safe, stable L-cysteine composition for parenteral administration, comprising:

L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof in an amount from about 10 mg/mL to about 100 mg/mL;

Aluminum (Al) in an amount from about 1.0 parts per billion (ppb) to about 250 ppb;

L-cystine in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine;

pyruvic acid in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine;

5

15

20

a pharmaceutically acceptable carrier, comprising water;

headspace  $O_2$  that is from about 0.5% to 4.0% from the time of manufacture to about 1 month from manufacture when stored at room temperature;

dissolved oxygen present in the carrier in an amount from about 0.1 parts per million (ppm) to about 5 ppm from the time of manufacture to about 1 month from manufacture when stored at room temperature,

optionally one or more metals selected from the group consisting of Lead from about 1.0 ppb to about 10 ppb, Nickel from about 5 ppb to about 40 ppb, Arsenic from about 0.1 ppb to 10 ppb, and Mercury from about 0.2 ppb to about 5.0 ppb;

wherein the composition is enclosed in a single-use container having a volume of from about 10 mL to about 100 mL.

In certain aspects, the subject matter described herein is directed to a safe, stable composition from about 100 mL to about 1000 mL for administration via a parenteral infusion within about 24 to about 48 hours of admixture, comprising a mixture of a composition of L-Cysteine described herein; and an amino acid composition that is essentially free of L-Cysteine comprising one or more amino acids selected from the group consisting of: leucine, isoleucine, lysine, valine, phenylalanine, histidine, threonine, methionine, tryptophan, alanine, arginine, glycine, proline, serine, and tyrosine.

In certain aspects, the subject matter described herein is directed to a method of reducing Aluminum administration from a total parenteral nutrition regimen comprising L-cysteine, the method comprising, mixing a composition comprising L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof comprising:

Aluminum in an amount from about 1.0 parts per billion (ppb) to about 250 ppb;

L-cystine in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine; and

pyruvic acid in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine;

with a composition comprising one or more amino acids selected from the group consisting of: leucine, isoleucine, lysine, valine, phenylalanine, histidine, threonine, methionine, tryptophan, alanine, arginine, glycine, proline, serine, and tyrosine; and

5

10

15

20

25

a pharmaceutically acceptable carrier, comprising water, to form a composition for infusion having a volume of about 100 mL to about 1000 mL, wherein the Aluminum provided in said parenteral nutrition regimen is from about 1-2 to about 4-5 micrograms/kg/day.

In certain aspects, the subject matter described herein is directed to methods of treating a subject having an adverse health condition that is responsive to L-cysteine administration, comprising:

diluting a stable L-cysteine composition as described herein with an intravenous fluid to prepare a diluted L-cysteine composition for infusion; and

infusing the diluted L-cysteine composition for infusion to a subject to provide a therapeutically effective dose of L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof to the subject in a therapeutically effective dosing regimen.

In certain aspects, the subject matter described herein are directed to methods of administering L-Cysteine together with a composition for parenteral nutrition, comprising:

diluting a stable L-cysteine composition for injection as described herein with a parenteral nutrition composition to form a mixture; and

parenterally administering the mixture to a subject in need thereof in a therapeutically and/or nutritionally effective dose. In one aspect, the subject is a preterm infant or newborn to about 1 month of age. Some of these subjects may weigh from about 0.5 kilos to about 2.0 kilos. In another aspect, the subject is a pediatric patient that is of about 1 month to six months of age. Some of these subjects may weigh from about 0.2 kilos to about 20 kilos. In another aspect, the subject is an adult requiring parenteral nutrition.

These and other aspects are more fully described herein.

### BRIEF DESCRIPTION OF THE FIGURES

Figure 1 depicts the overall trend of the results from the experiments that demonstrate the effectiveness of the Head Space Reduction (HSR) cycle in attaining reduced and consistent dissolved oxygen (DO) levels in the finished drug product. The results showed a trend with an increase in dissolved oxygen level from 0.36 parts per

5

10

15

20

million (ppm) recorded during compounding, to an average of 5.12 ppm measured after filling, a further increase to an average of 9.92 ppm while loading the Lyophilizer, and finally a reduction of dissolved oxygen to an average of 0.50 ppm after headspace reduction. This demonstrates the specific phase of manufacturing at which and to the specific level that oxygen needs to be controlled in the product.

Figure 2 depicts the overall trend of the results from the experiments that demonstrate the effectiveness of the Head Space Reduction (HSR) cycle in attaining reduced and consistent dissolved oxygen (DO) levels in the finished drug product. The results showed a trend with an increase in dissolved oxygen level from 0.36 parts per million (ppm) recorded during compounding, to an average of 5.12 ppm measured after filling, a further increase to an average of 9.92 ppm while loading the Lyophilizer, and finally a reduction of dissolved oxygen to an average of 0.50 ppm after headspace reduction.

Figure 3 depicts a process filler set up to fill and reduce head space oxygen.

Figure 4 shows data for the process of Example 4. The plot shows comparison of oxygen headspace control between the lyophilizer chamber headspace control method versus the high-speed filler vacuum stoppering system. The time zero oxygen headspace results for the batch PROT-000213 are shown in comparison to the previously manufactured lots. Results shown were measured at the time of manufacturing on samples of vials from the batches.

Figure 5 depicts the data measured for dissolved oxygen levels in the process of Example 4.

### **DETAILED DESCRIPTION**

The presently disclosed subject matter will now be described more fully hereinafter. However, many modifications and other embodiments of the presently disclosed subject matter set forth herein will come to mind to one skilled in the art to which the presently disclosed subject matter pertains having the benefit of the teachings presented in the

5

10

15

20

foregoing descriptions. Therefore, it is to be understood that the presently disclosed subject matter is not to be limited to the specific embodiments disclosed and that modifications and other embodiments are intended to be included within the scope of the appended claims. In other words, the subject matter described herein covers all alternatives, modifications, and equivalents that are within the ordinary skill in the art. In the event that one or more of the incorporated literature, patents, and similar materials differs from or contradicts this application, including but not limited to defined terms, term usage, described techniques, or the like, this application controls. Unless otherwise defined, all technical and scientific terms used herein are intended to have the same meaning as commonly understood by one of ordinary skill in this field. All publications, patent applications, patents, and other references mentioned herein are incorporated by reference in their entirety.

Advantageously, it has been found that the desirable attributes of L-cysteine compositions for infusion can be obtained without the characteristic impurity profile that is known in the art. Such impurity profile makes the product less safe to be used by patients, in particular, preterm and term infants and pediatric patients of 1 month to 1 year as well as critically ill adults. Specifically, the art formulations fail to address the issues related to the amounts of Aluminum and cystine, among other impurities, that can be routinely present and co-administered with L-cysteine. It has now been found that L-cysteine compositions for injection can be prepared using the methods described herein whereby the compositions unexpectedly comprise exceedingly low levels of Aluminum and other undesirable impurities, such as cystine, pyruvic acid, certain heavy metals and certain ions. As a result, the present compositions and methods of using said compositions are safer to the intended subject compared to the currently available compositions and methods. Further, the product is also rendered more stable by virtue of lower levels of cystine generated by the manufacturing processes described herein.

As described herein, without being bound to theory, it has been found that the problems of safety, purity and stability are results not simply or directly from the level of Aluminum, but are also intertwined with dissolved oxygen levels in the composition and

5

10

15

20

oxygen in the headspace as well as certain heavy metals and certain ions that may leach or be extracted out of the container closure.

An L-Cysteine for injection product was prepared with the aim to provide a product that would be acceptable for administration to infants, pediatric and adult patients. High quality Schott glass vials and stoppers were used. See Example 2. It was however found that glass containers contribute more significantly than expected to the Aluminum content of L-cysteine compositions stored therein to the point where the product did not meet the specifications for certain components. Products having such Aluminum levels would likely be deemed unsafe by the FDA. As such, efforts were focused on identifying the sources of Aluminum in the product and attempts to minimize it in the product. These efforts led to the unexpected discovery that simply removing a source of Aluminum by replacing glass with plastic did not result in a product having the desired properties.

Additional efforts to identify the root cause for the product failure led to the finding that the product likely failed because oxygen entered the plastic container and into the product at a rate higher than previously expected or predicted. For example, the plastic container product failed in some cases in less than 1-2 months. See Example 3. This finding was also unexpected. Increased oxygen levels in the product led to unacceptable levels of oxidation products, such as cystine, which precipitated and caused particulates in the product. Particulates are dangerous in injectable compositions and create a safety concern, in addition to the stability issue to the product.

However, the precipitation may have been exacerbated by reduction in Aluminum since Aluminum in solution may have a stabilizing effect. Consequently, removing Aluminum may have the unintended consequence of increased precipitation and product failure in the presence of even small amounts of oxygen in the container. This was unexpected.

Additionally, controlling heat in the process including during the compounding and/or sterilization activities, unexpectedly was found to be beneficial for preparing stable L-Cysteine compositions described herein. This was surprising because L-Cysteine has

5

10

15

20

been used in parenteral products as an excipient where the product is subjected to terminal sterilization which exposes the product to high temperatures such as 120 °C.

Some subjects that would be receiving L-Cysteine supplementation are, as discussed elsewhere herein, pre-term neonates or full-term infants that are underweight, or infants that may be full term and are not underweight but are still candidates for treatment, in many cases for longer term treatment. For example, some of these subjects may be treated with L-Cysteine for several days or several weeks, even several months. In these cases, it is imperative that the subjects are not exposed to potentially toxic or undesirable levels of some anions and heavy metals that may be present in drug products. Examples of such heavy metals include but not limited to Lead, Nickel, Arsenic and Mercury. Examples of anions that should be monitored include but not limited to iodide, and fluoride. Many of these are introduced into drug products through manufacturing processes, container closure systems, or the drug substance and the excipients. The levels of the heavy metals and anions may not be a concern with many drug products because the patient population exposed to the drug may be not as vulnerable as in the case of L-Cysteine, or the dosing of such drug products may be very limited, i.e., for one or a few doses. For the reasons noted above, it is imperative that L-Cysteine drug product, its administration, its manufacture, and its container closure system are carefully evaluated for the levels of heavy metals and selected anions. The state of the art is lacking in providing any specific guidance on the need for this evaluation, the specific heavy metals and anions on which to focus, and how to achieve control over the levels. The L-Cysteine compositions, methods of administration and manufacture, selection of container closure system and the excipients and the drug substance as described herein fill that need.

Thus, in summary, as described herein, reducing aluminum drastically to extremely low levels in the product, reducing oxygen to very low levels in the process and in the composition, and/or reducing or eliminating heat in the process, and in consideration of data showing selection of the appropriate container, stopper, drug substance, and excipients, individually or in combination(s), resulted in achieving a safe, stable composition of L-Cysteine injection that could be administered safely even to very delicate

5

10

15

20

pediatric subjects such as pre-term neonatal subjects that are as young as a day and may weigh as low as 0.5 kilos, for a few days to several weeks.

L-cysteine for injection is a marketed product used as a component of a nutritional supplement regimen referred to as total parenteral nutrition (TPN). The Aluminum content in known L-cysteine compositions for injection is higher than desired. Moreover, when the L-cysteine composition is combined with certain amino acids prior to administration, the amino acids contribute some amount of Aluminum, and Aluminum levels can further increase. TPN admixtures constitute several other components (in addition to amino acid mixtures) such as electrolytes (such as Potassium Phosphate, Calcium gluconate, and sodium acetate). These electrolytes may also contribute to high Aluminum levels in TPN admixtures (Smith et al., Am. J. Health Syst. Pharm., vol. 64, April, 1, 2007, pp. 730-739). This is of particular concern since administration of the L-cysteine is often to infants (some of them pre-term) for nutritional support. A focus of the subject matter described herein is in minimizing the Aluminum levels coming from L-Cysteine compositions so that when admixed with other ingredients of TPN admixtures, the overall Aluminum levels could be reduced while minimizing introduction of undesirable materials such as heavy metals, anions, and particulates. All of these components are present in amounts that are below levels determined to be safe.

L-cysteine (2-Amino-3-sulfhydrylpropanoic acid) is a sulfur-containing amino acid having a structure according to Formula I:

$$HS \longrightarrow OH$$
  $NH_2$   $(I)$ 

L-cysteine performs a variety of metabolic functions. For example, L-cysteine is a precursor for antioxidants, such as glutathione and taurine, that support oxidative defense and a healthy immune system. L-cysteine can also play a role in the synthesis of essential fatty acids and facilitate production of cell membranes and protective covers of nerve

5

10

15

20

endings. Additionally, L-cysteine can be an important precursor for many proteins, such as structural proteins in connective tissue. Thus, the depletion or absence of cystathionase activity in premature fetuses and newborns to synthesize L-cysteine de novo has led to the categorization of L-cysteine as a conditionally essential amino acid. Additionally, administration of L-cysteine can be valuable to treat a number of conditions in subjects, whether or not the subject is a premature infant or neonate.

Known pharmaceutical compositions that contain L-cysteine can typically contain undesirable levels of certain components. Cystine is an oxidation product of L-cysteine. Like L-cysteine, cystine can be synthesized in the liver. Further, both L-cysteine and cystine can be present as amino acid residues in proteins. However, because cystine is an oxidation product of L-cysteine, it is possible that the amount of cystine can increase over time. Thus, it may be desirable to maintain the amount of cystine within predetermined levels over time. For all practical purposes, cystine and L-Cystine are used interchangeably herein. Pyruvic acid is another undesirable compound that can be found in L-cysteine compositions known in the art. It is possible that the amount of pyruvic acid in these compositions can increase over time. Thus, it may be desirable to maintain the amount of pyruvic acid within predetermined levels over time.

Perhaps of most concern is the level of Aluminum in known L-cysteine compositions. Aluminum contamination and associated Aluminum toxicity can lead to a number of adverse conditions such as metabolic bone disease, neurodevelopmental delay, cholestasis, osteoporosis, growth failure, dementia, and the like. It is desirable to allow no more than 4-5 mcg/kg/day of Aluminum to avoid toxicity. It is preferable to keep the dose on the conservative side as much as possible, i.e., at 4 mcg/kg/day to avoid accidental overdosing in case Aluminum from some other reason (unanticipated or unknown source or due to human error) is introduced. Up to now, known L-cysteine compositions contain up to 5000 ppb Aluminum. Even levels of 900 ppb are known in currently available products. In stark contrast, described herein are compositions that provide a therapeutically effective amount of L-cysteine, while containing less than 250 ppb Aluminum, including, in certain embodiments, less than 200 ppb, or less than 175 ppb, or less than 150 ppb, or

5

10

15

20

less than 125 ppb, or less than 120 ppb, or less than 100 ppb, or less than 80 ppb, or less than 75 ppb, or less than 60 ppb, or less than 50 ppb, or less than 40 ppb, or less than 30 ppb, or less than 20 ppb, or less than 10 ppb, or less than 5 ppb, or less than 1.0 ppb. Thus, what has now been achieved is an unexpected and substantial reduction in Aluminum content of an L-Cysteine composition that permits exposure to less than or equal to 4-5 micrograms per kilogram per day (µg/kg/d) to avoid or minimize Aluminum toxicity while still providing therapeutically effective L-cysteine in a stable composition. In some aspects, the compositions described herein permit an Aluminum dose of as low as 0.6 micrograms/kg/d, improving significantly the safety of the L-Cysteine product and its administration.

High risk patient populations for Aluminum toxicity in the context of parenteral nutrition include the following: Renal Insufficiency and Infants: Renal elimination is a major source of Aluminum removal. Therefore, patients with renal compromise and infants with immature renal function are at risk of Aluminum accumulation. Pregnant women: The fetus is vulnerable to Aluminum contamination in parenteral nutrition since Aluminum may be transferred across the placenta. Elderly: Age is a well-known risk factor for renal impairment and thus results in a higher risk of Aluminum toxicity. Other studies suggest that Aluminum toxicity may be due to increased absorption of Aluminum due to a weakened GI protective barrier.

The compositions and methods described herein provide the means to support the nutritional needs of patients, including preterm infants or infants with low birth weight, but reduce the risks associated with Aluminum ingestion. Most preterm and low birth weight infants tend to require parenteral nutrition with amino acid supplementation during their hospital stay. However, as mentioned above, infants are a particularly high-risk population for Aluminum toxicity. To address such issues, in certain embodiments, the compositions comprise about 34.5 mg/mL of L-cysteine (measured as a base, i.e., not measured as HCl and monohydrate) and no more than 250 ppb, preferably about 120 ppb, or lower, of Aluminum. These compositions with no more than 120 ppb of Aluminum, and in certain embodiments, about 120 ppb, or 100 ppb, or 80 ppb, or 50 ppb, or 20 ppb, or 10

5

10

15

20

ppb or 5 ppb or 1.0 ppb, or any suitable subrange encompassing the specific values, in units of 5 ppb, permit great flexibility with respect to the amino acid supplementation for TPN preparations.

L-Cysteine injection is administered after being added to a parenteral nutrition composition such as an amino acid composition, or a sugar-source such as dextrose or a lipid source or a combination of the foregoing. It is preferred that L-Cysteine is added to the amino acid composition, which may be administered separately or in combination with other components of a parenteral nutrition regime such as sugars and lipids. For present purposes, the Aluminum content of the combined L-Cysteine and amino acid solution is of interest, and is monitored. L-Cysteine may be dosed at 15 mg per gram of amino acids or sometimes at a high concentration, i.e., 40 mg/gram of amino acids.

Commercially available amino acid product labeling for example indicates that 25 mcg/L of Aluminum is contributed from the product itself. The general recommended maximum dose is 4 g of amino acids/kg body weight. Generally amino acids solutions are available as 10% (10 g/100 mL) which would necessitate 40 mL volume to be administered for a 1 kg preterm neonatal patient. Based on this the amino acids solution is expected to contribute to about 1 mcg/kg/day. This leaves about 3 mcg/kg/day from other sources including L-cysteine. In some scenarios, there may be five or more other components including L-cysteine that can contribute to varying levels of Aluminum in TPN mixtures. For the sake of illustration, assume there are five contributors that contribute equally. The expected maximum Aluminum contribution that may come from L-Cysteine would be (3 mcg/kg/day)/5 = 0.6 mcg/kg/day. In light of Smith et al. (Am. J. Health Syst. Pharm., vol. 64, April, 1, 2007, pp. 730-739), significant contributors to Aluminum levels besides amino acids and L-Cysteine are Potassium Phosphate, Potassium Acetate, Sodium Acetate, and Calcium Gluconate. The reference indicates that contributions from all of these are high such that 100% of pediatric (including preterm and full-term infants) TPNs have >4 μg/kg/day (range 12 – 162 μg/kg/day) of Aluminum coming from various sources. Even after carefully selecting the products with the least Aluminum content components among those available for treatment, the TPNs have  $> 4 \mu g/kg/day$ . This finding for example

5

10

15

20

highlights the need to systematically reduce the amount of Aluminum in each product that will be incorporated into a TPN admixture. The current efforts are directed to providing L-Cysteine compositions that offer exceedingly low Aluminum levels.

One of the difficulties with establishing dosing levels of L-Cysteine with an eye to keep the Aluminum administration to below or at a certain amount is the lack of uniformity in the art as to how to categorize the subjects in terms of their age and weight. This imprecise terminology has been used often blurring the boundaries among the patient groups, making it difficult to assess which patient should receive what amount of L-Cysteine, and hence how much Aluminum would result. As such, the art does not suggest what the levels of Aluminum exposure should be, nor does it provide a solution that minimizes Aluminum exposure during a TPN regimen. Following Table 1 shows a streamlined approach to categorize the potential patient population and their proposed daily doses of L-Cysteine.

Table 1. Daily Dosage of L-Cysteine

5

10

15

Age	Protein <sup>a</sup> Requirement (g/kg/day) <sup>1</sup>	L-Cysteine Dosage (mg cysteine/g AA)	L-Cysteine Dosage (mg cysteine/kg/day)
Preterm and term infants less than 1 month of age	3 to 4	15	45 to 60
Pediatric patients 1 month to less than 1 year of age	2 to 3	15	30 to 45
Pediatric patients 1 year to 11 years of age	1 to 2	15	15 to 30
Pediatric patients 12 years to 17 years of age	0.8 to 1.5	5	4 to 7.5
Adults: Stable Patients	0.8 to 1	5	4 to 5
Adults: Critically Ill Patients	1.5 to 2	5	7.5 to 10

<sup>&</sup>lt;sup>a</sup> Protein is provided as amino acids. When infused intravenously, amino acids are metabolized and utilized as the building blocks of protein.

From the above Table, it should be noted that the most need for L-Cysteine is for the preterm infant. Therefore, to safely administer L-Cysteine compositions, the Aluminum level in the compositions must be substantially less than what is in commercially available products and those described in the art. There has been no specific guidance in the art

however of how low this Aluminum level should be, and how to achieve compositions with such low Aluminum levels. To the extent there may be some guidance, the levels proposed are considered higher than desirable.

L-Cysteine Injection as presented herein in some embodiments contains no more than 120 mcg/L (120 ppb) of aluminum (0.0035 mcg of aluminum/mg of cysteine). The maximum dosage of aluminum from L-Cysteine Injection is not more than 0.21 mcg/kg/day when preterm and term infants less than 1 month of age are administered the dosage of L-Cysteine injection (15 mg cysteine/g of amino acids and 4 g of amino acids/kg/day). If L-Cysteine is added to TPN containing amino acid and dextrose solutions (which each may contain up to 25 mcg/L of aluminum) as well as other additive drug products, the total amount of aluminum administered to the patient from the final admixture should be considered and maintained at no more than 5 mcg/kg/day.

However, with prolonged parenteral administration in patients with renal impairment, the aluminum contained in L-Cysteine Injections disclosed herein may reach toxic levels. Preterm infants are at a greater risk for aluminum toxicity because their kidneys are immature, and they require large amounts of calcium and phosphate solutions, which also contain aluminum. Prolonged administration herein may mean at least one week, or may be up to 2-4 weeks. In some aspects, the administration could continue for up to 24 weeks.

Patients with renal impairment, including preterm infants, who receive parenteral levels of aluminum at greater than 4 to 5 mcg/kg/day, accumulate aluminum at levels associated with central nervous system and bone toxicity. Tissue loading may occur at even lower rates of administration. Therefore, it is essential that aluminum levels in the L-Cysteine drug product are carefully controlled and kept at as low as possible. Such embodiments are disclosed herein.

Looking more specifically at contribution of Aluminum by the prior products, data show that the Aluminum levels of 5,000 ppb or even the 900 ppb associated with these products are not desirable or acceptable. Tables 2-3 report the Aluminum contribution from the commercial product of prior art with 900 ppb or 5000 ppb Aluminum level based on

5

10

15

20

two scenarios: a) an L-Cysteine dosing regimen based on 15 mg/gram of amino acids; and b) an L-Cysteine dosing regimen based on 40 mg/gram of amino acids. The Tables also show the Aluminum contribution from an L-Cysteine product as described herein and having a level of 120 ppb.

Table 2. Aluminum Contribution (Based on a Cysteine Dose of 15 mg/g of Amino Acids) from an L-Cysteine Product with 900 ppb, 5,000 ppb, or 120 ppb of Aluminum

Age	L-Cysteine (15 mg/ g AA		Aluminum Contribution from 900 ppb product	Aluminum Contribution from 5,000 ppb product	Aluminum Contribution from 120 ppb product
	mg/kg/day	mL/kg/day	mcg/kg/day	mcg/kg/day	mcg/kg/day
Preterm and term infants less than 1 month	45 to 60	1.31 to 1.74	1.18 to 1.57	6.53 to 8.70	0.157 to 0.209
Pediatric patients 1 month to less than 1 yr	30 to 45	0.87 to 1.31	0.79 to 1.17	4.35 to 6.52	0.1 to 0.157
Pediatric patients 1 yr to 11 yrs	15 to 30	0.44 to 0.87	0.40 to 0.79	2.18 to 4.35	0.053 to 0.1
Pediatric patients 12 yrs to 17 yrs	4 to 7.5	0.18 to 0.22	0.11 to 0.20	0.58 to 1.09	0.022 to 0.026
Adults: Stable Patients	4 to 5	0.18 to 0.23	0.11 to 0.14	0.58 to 0.73	0.022 to 0.028
Adults: Critically ill patients	7 to 10	0.32 to 0.46	0.2 to 0.28	1.02 to 1.46	0.038 to 0.055

Table 3. Aluminum Contribution (Based on a Cysteine Dose of 40 mg/g of Amino Acids) from an L-Cysteine Product with 900 ppb, 5,000 ppb, or 120 ppb of Aluminum

Age	L-Cysteine D	ose at	Aluminum	Aluminum	Aluminum
	(40 mg/ g AA	A)	Contribution	Contribution	Contribution
			from 900 ppb product	from 5,000 ppb product	from 120 ppb product
	mg/kg/day	mL/kg/day	mcg/kg/day	mcg/kg/day	mcg/kg/day
Preterm and	120 to 160	3.48 to	3.13 to 4.17	17.39 to 23.19	0.42 to 0.56
term infants less		4.64			
than 1 month					

Pediatric	80 to 12	20	2.32	to	2.09 to 3.13	11.59 to 17.39	0.28 to 0.42
patients 1 month			3.48				
to less than 1 yr							
Pediatric	40 to 80	)	1.16	to	1.05 to 2.09	5.79 to 11.59	0.14 to 0.28
patients 1 yr to			2.32				
11 yrs							
Pediatric	10.66	to	0.31	to	0.28 to 0.53	1.56 to 2.94	0.04 to 0.07
patients 12 yrs	20		0.58				
to 17 yrs							
Adults: Stable	10.66	to	0.31	to	0.28 to 0.35	1.56 to 1.94	0.04 to 0.047
Patients	13.33		0.39				
Adults:	18.7	to	0.54	to	0.49 to 0.70	2.72 to 3.89	0.065 to 0.09
Critically ill	26.7		0.77				
patients							

If the preterm infants are given the high dose of L-cysteine (40 mg/gram of amino acids), this requires that a dose of 160 mg/kg (4.64 mL/kg) of L-Cysteine at a (base) concentration of 34.5 mg/mL be delivered. (See Table 3 above). The compositions described herein contribute about 0.0035 mcg Aluminum per each mg of L-cysteine, or 0.12 mcg of Aluminum per each mL at 120 ppb. Thus, a dose of 160 mg/kg (4.64 mL/kg) L-cysteine delivers only 0.56 mcg/kg Aluminum at 40 mg/g of AA dosing on the higher end, or 0.157 mcg/kg at 15 mg/g of AA dosing on the lower end. See Tables 2-3. In contrast, if art products were to be used, these patients would receive either 23 mcg/kg (for the product that contains 5,000 ppb of Aluminum), or 4.2 mcg/kg of aluminum (for the product that contains 900 ppb of Aluminum). Given that the total daily intake permissible for Aluminum is expected to be ideally less than 4-5 mcg/kg, the art products already exceed the entire daily Aluminum level and do not leave room for Aluminum contribution from other TPN components. Therefore, these known high Aluminum-containing products are likely to be deemed unsafe by the FDA and are neither desirable nor acceptable. In contrast, the L-Cysteine compositions presented herein provide Aluminum levels ranging from 10 ppb to about 250 ppb. Taking 20 ppb, 50 ppb, 120 ppb, and 150 ppb as illustrations, the Tables below estimate the amount of Aluminum delivered for each class of patients using 34.5 mg/mL L-Cysteine product when being dosed at 15 mg/g of Amino Acids.

20

5

10

Table 4. Aluminum Contribution (Based on a Cysteine Dose of 15 mg/g of Amino Acids) from an L-Cysteine Product (34.5 mg/mL) with 20 ppb, 50 ppb, 120 ppb or 150 ppb of Aluminum

Age	L-Cysteine Dose at 15mg/g AA	Aluminum Contribution from 20 ppb product	Aluminum Contribution from 50 ppb product	Aluminum Contribution from 120 ppb product	Aluminum Contribution from 150 ppb
	mg/kg/day	mcg/kg/day	mcg/kg/day	mcg/kg/day	mcg/kg/day
Preterm	45 to 60	0.026 to	0.065 to	0.157 to	0.195 to
and term		0.035	0.088	0.209	0.26
infants less					
than 1					
month	20 += 45	0.017.4-	0.042 +-	0.14- 0.157	0.12.5-
Pediatric	30 to 45	0.017 to	0.043 to	0.1 to 0.157	0.13 to
patients 1 month to		0.026	0.065		0.195
less than 1					
yr Pediatric	15 to 30	0.009 to	0.022 to	0.053 to	0.066 to
patients 1	15 10 50	0.017	0.022 to	0.033 10	0.125
yr to 11 yrs		0.017	0.011	0.11	0.123
Pediatric	4 to 7.5	0.004	0.009 to	0.022 to	0.027 to
patients 12	' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '	0.001	0.01	0.026	0.033
yrs to 17					
yrs					
Adults:	4 to 5	0.004	0.009 to	0.022 to	0,027 to
Stable			0.12	0.028	0.035
Patients					
Adults:	7 to 10	0.006 to	0.016 to	0.038 to	0.048 to
Critically		0.009	0.23	0.055	0.069
ill patients					

In some embodiments, parenteral L-Cysteine compositions provide about 35 mg/mL of L-Cysteine to deliver 45 to 60 mg/kg/day of L-Cysteine and from about 0.02 to about 0.3 mcg/kg/day of Aluminum. In some embodiments, parenteral L-Cysteine compositions provide about 35 mg/mL of L-Cysteine to deliver 30 to 45 mg/kg/day of L-Cysteine and from about 0.01 to about 0.25 mcg/kg/day of Aluminum. In some embodiments, parenteral L-Cysteine compositions provide about 35 mg/mL of L-Cysteine to deliver 15 to 30 mg/kg/day of L-Cysteine and from about 0.005 to about 0.15 mcg/kg/day of Aluminum.

5

In some embodiments, parenteral L-Cysteine compositions provide about 35 mg/mL of L-Cysteine to deliver 4 to 7.5 mg/kg/day of L-Cysteine and from about 0.003 to about 0.04 mcg/kg/day of Aluminum. In some embodiments, parenteral L-Cysteine compositions provide about 35 mg/mL of L-Cysteine to deliver 4 to 5 mg/kg/day of L-Cysteine and from about 0.003 to about 0.04 mcg/kg/day of Aluminum. In some embodiments, parenteral L-Cysteine compositions provide about 35 mg/mL of L-Cysteine to deliver 7 to 10 mg/kg/day of L-Cysteine and from about 0.004 to about 0.08 mcg/kg/day of Aluminum.

In some embodiments, a method of safe administration of L-Cysteine comprises administering to preterm and term infants of less than 1 month of age a parenteral L-Cysteine composition that delivers 45 to 60 mg/kg/day of L-Cysteine and from about 0.02 to about 0.3 mcg/kg/day of Aluminum, admixed with a parenteral nutrition composition. In some embodiments, a method of safe administration of L-Cysteine comprises administering to pediatric patients 1 month to less than 1 year of age a parenteral L-Cysteine composition that delivers 30 to 45 mg/kg/day of L-Cysteine and from about 0.01 to about 0.25 mcg/kg/day of Aluminum, admixed with a parenteral nutrition composition. In some embodiments, a method of safe administration of L-Cysteine comprises administering to pediatric patients 1 year to 11 years of age a parenteral L-Cysteine composition that delivers 15 to 30 mg/kg/day of L-Cysteine and from about 0.005 to about 0.15 mcg/kg/day of Aluminum, admixed with a parenteral nutrition composition.

In some embodiments, a method of safe administration of L-Cysteine comprises administering to pediatric patients 12 years to 17 years of age a parenteral L-Cysteine composition that delivers 4 to 7.5 mg/kg/day of L-Cysteine and from about 0.003 to about 0.04 mcg/kg/day of Aluminum, admixed with a parenteral nutrition composition. In some embodiments, a method of safe administration of L-Cysteine comprises administering to adult stable patients a parenteral L-Cysteine composition that delivers 4 to 5 mg/kg/day of L-Cysteine and from about 0.003 to about 0.04 mcg/kg/day of Aluminum, admixed with a parenteral nutrition composition. In some embodiments, a method of safe administration of L-Cysteine comprises administering to critically ill adult patients a parenteral L-

5

10

15

20

Cysteine composition that delivers 7 to 10 mg/kg/day of L-Cysteine and from about 0.004 to about 0.08 mcg/kg/day of Aluminum, admixed with a parenteral nutrition composition.

Further, taking 20 ppb, 50 ppb, 120 ppb, and 150 ppb as illustrations, the Tables below estimate the amount of Aluminum delivered for each class of patients using 34.5 mg/mL L-Cysteine product when being dosed at 40 mg/g of Amino Acids.

Table 5. Aluminum Contribution (Based on a Cysteine Dose of 40 mg/g of Amino Acids) from an L-Cysteine Product (34.5 mg/mL) with 20 ppb, 50 ppb, 120 ppb or 150 ppb of Aluminum

Age	L-Cysteine	Aluminum	Aluminum	Aluminum	Aluminum
	Dose at 40	Contribution	Contribution	Contribution	Contribution
	mg/g AA	from 20 ppb	from 50 ppb	from 120 ppb	from 150 ppb
		product	product	product	
	mg/kg/day	mcg/kg/day	mcg/kg/day	mcg/kg/day	mcg/kg/day
Preterm	120 to 160	0.07 to 0.09	0.175 to	0.42 to 0.56	0.525 to 0.7
and term			0.233		
infants less					
than 1					
month					
Pediatric	80 to 120	0.047 to	0.117 to	0.28 to 0.42	0.35 to
patients 1		0.07	0.175		0.525
month to					
less than 1					
yr					
Pediatric	40 to 80	0.023 to	0.058 to	0.14 to 0.28	0.175 to
patients 1		0.047	0.117		0.35
yr to 11 yrs					
Pediatric	10.66 to	0.007 to	0.017 to	0.04 to 0.07	0.05 to
patients 12	20	0.012	0.029		0.088
yrs to 17					
yrs					
Adults:	10.66 to	0.007 to	0.017 to	0.04 to	0.05 to
Stable	13.33	0.008	0.02	0.047	0.059
Patients					
Adults:	18.7 to	0.011 to	0.027 to	0.065 to	0.081 to
Critically	26.7	0.015	0.038	0.09	0.113
ill patients					

In some embodiments, parenteral L-Cysteine compositions provide about 35 mg/mL of L-Cysteine to deliver 120 to 160 mg/kg/day of L-Cysteine and from about 0.05 to about 0.8 mcg/kg/day of Aluminum. In some embodiments, parenteral L-Cysteine

10

compositions provide about 35 mg/mL of L-Cysteine to deliver 80 to 120 mg/kg/day of L-Cysteine and from about 0.03 to about 0.6 mcg/kg/day of Aluminum. In some embodiments, parenteral L-Cysteine compositions provide about 35 mg/mL of L-Cysteine to deliver 40 to 80 mg/kg/day of L-Cysteine and from about 0.01 to about 0.4 mcg/kg/day of Aluminum.

In some embodiments, parenteral L-Cysteine compositions provide about 35 mg/mL of L-Cysteine to deliver 10 to 20 mg/kg/day of L-Cysteine and from about 0.005 to about 0.1 mcg/kg/day of Aluminum. In some embodiments, parenteral L-Cysteine compositions provide about 35 mg/mL of L-Cysteine to deliver 10 to 15 mg/kg/day of L-Cysteine and from about 0.005 to about 0.06 mcg/kg/day of Aluminum. In some embodiments, parenteral L-Cysteine compositions provide about 35 mg/mL of L-Cysteine to deliver about 18 to 28 mg/kg/day of L-Cysteine and from about 0.01 to about 0.15 mcg/kg/day of Aluminum.

In some embodiments, a method of safe administration of L-Cysteine comprises administering to preterm and term infants of less than 1 month of age a parenteral L-Cysteine composition that delivers 120 to 160 mg/kg/day of L-Cysteine and from about 0.05 to about 0.8 mcg/kg/day of Aluminum, admixed with a parenteral nutrition composition. In some embodiments, a method of safe administration of L-Cysteine comprises administering to pediatric patients 1 month to less than 1 year of age a parenteral L-Cysteine composition that delivers 80 to 120 mg/kg/day of L-Cysteine and from about 0.03 to about 0.6 mcg/kg/day of Aluminum, admixed with a parenteral nutrition composition. In some embodiments, a method of safe administration of L-Cysteine comprises administering to pediatric patients 1 year to 11 years of age a parenteral L-Cysteine composition that delivers 40 to 80 mg/kg/day of L-Cysteine and from about 0.01 to about 0.4 mcg/kg/day of Aluminum, admixed with a parenteral nutrition composition.

In some embodiments, a method of safe administration of L-Cysteine comprises administering to pediatric patients 12 years to 17 years of age a parenteral L-Cysteine composition that delivers 10 to 20 mg/kg/day of L-Cysteine and from about 0.005 to about 0.1 mcg/kg/day of Aluminum, admixed with a parenteral nutrition composition. In some

5

10

15

20

embodiments, a method of safe administration of L-Cysteine comprises administering to adult stable patients a parenteral L-Cysteine composition that delivers 10 to 15 mg/kg/day of L-Cysteine and from about 0.005 to about 0.06 mcg/kg/day of Aluminum, admixed with a parenteral nutrition composition. In some embodiments, a method of safe administration of L-Cysteine comprises administering to critically ill adult patients a parenteral L-Cysteine composition that delivers 18 to 28 mg/kg/day of L-Cysteine and from about 0.01 to about 0.15 mcg/kg/day of Aluminum, admixed with a parenteral nutrition composition.

Accordingly, what is provided herein, among other things, are therapeutically and/or nutritionally effective amounts of L-cysteine with significantly minimized risk of Aluminum toxicity.

## I. Definitions

5

10

15

20

25

As used herein, the term "stable" refers to a composition that has the component profiles described herein, for example, Aluminum, L-Cystine, and pyruvic acid, at the levels described and for the amount of time identified. In other words, a stable composition will contain the specified levels of all components for sufficient period of time to enable the composition to be commercially manufactured, stored, shipped, and administered in a clinical setting. In general, products are considered stable if the period of time is three months, or three to six months, or three to 12 months, or three to 15 months, or three to 18 months or three to 24 months.

As used herein, the term "dissolved oxygen" refers to oxygen that is found in the aqueous carrier of the compositions. Distinguished from dissolved oxygen is the headspace oxygen. As used herein, the term "headspace oxygen" refers to the oxygen that is found in the headspace volume of the sealed container comprising the composition.

As used herein, the term "cystine precipitate" refers to undissolved L-cystine. The undissolved cystine may be visually detected as particulate matter in solution.

As used herein, "subject" refers to a mammal that may benefit from the administration of a composition described herein. In one aspect, the mammal may be a human.

The term "prophylaxis" or "prophylactic" refers to the continued absence of symptoms of the disease or condition that would be expected had the combination not been administered.

As used herein, the terms "formulation" and "composition" are used interchangeably and refer to a mixture of two or more compounds, elements, or molecules. In some aspects, the terms "formulation" and "composition" may be used to refer to a mixture of one or more active agents with a carrier or other excipients. Compositions can take nearly any physical state, including solid and/or liquid (i.e. solution). Furthermore, the term "dosage form" can include one or more formulation(s) or composition(s) provided in a form suitable for administration to a subject. As used herein, the term "compositions for injection" and the like, refers to a composition that is intended for injection, including dilution and admixing with other components prior to injection. Said injection may be administered as an intravenous injection, or as an intravenous infusion. When administered as infusions, the compositions may be administered through a peripheral vein in limited circumstances or more commonly through a central vein. One of skill in the art would have experience with such administrations.

As used herein, "effective amount" refers to an amount of an ingredient, such as L-cysteine, which, when included in a composition, is sufficient to achieve an intended compositional or physiological effect. Thus, a "therapeutically or nutritionally effective amount" refers to a non-toxic, but sufficient amount of an active agent, to achieve therapeutic or nutritional results in treating or preventing a condition for which the active agent is known to be effective or providing nutritional value to prevent effects of malnutrition. It is understood that various biological factors may affect the ability of a substance to perform its intended task. Therefore, an "effective amount" or a "therapeutically or nutritionally effective amount" may be dependent in some instances on such biological factors. Additionally, in some cases an "effective amount" or a "therapeutically or nutritionally effective amount" may not be achieved in a single dose. Rather, in some examples, an "effective amount" or a "therapeutically or nutritionally effective amount" can be achieved after administering a plurality of doses over a period

5

10

15

20

of time, such as in a pre-designated dosing regimen. Further, while the achievement of therapeutic/nutritional effects may be measured by a physician or other qualified medical personnel using evaluations known in the art, it is recognized that individual variation and response to treatments may make the achievement of therapeutic or nutritional effects a subjective decision. The determination of an effective amount is well within the ordinary skill in the art of pharmaceutical and nutritional sciences as well as medicine.

As used herein, the term "substantially" refers to the complete or nearly complete extent or degree of a component, or an action, characteristic, property, state, structure, item, or result. The exact allowable degree of deviation from absolute presence of such a component, or an action, characteristic, property, state, structure, item, or result may in some cases depend on the specific context. However, generally speaking, "substantially" will be so near as to have the same overall result as if absolute and total extent or degree were obtained. The use of "substantially" is equally applicable when used in a negative connotation to refer to the complete or near complete lack of a component, or an action, characteristic, property, state, structure, item, or result. For example, a composition that is "substantially free of" a component would either completely lack the component, or so nearly completely lack the component that the effect would be the same as if it completely lacked the component. In other words, a composition that is "substantially free of" an ingredient or element may still actually contain such component as long as there is no measurable effect thereof, for example, trace amounts. As used herein, "essentially free" means a component, or an action, characteristic, property, state, structure, item, or result is not present or is not detectable.

The terms "treat" and "treatment" refer to both therapeutic treatment and prophylactic or preventative measures, wherein the object is to prevent or slow down (lessen) an undesired physiological change, disorder or adverse health condition. For purposes of this disclosure, beneficial or desired clinical results include, but are not limited to, alleviation of symptoms, diminishment of extent of the condition, stabilized (*i.e.*, not worsening) state of the condition, delay or slowing of progression of the condition, amelioration or palliation of the condition, and absence of condition (whether partial or

5

10

15

20

total), whether detectable or undetectable. "Treatment" can also mean prolonging survival as compared to expected survival if not receiving treatment. Those in need of treatment include those already with the condition or disorder as well as those prone to have the condition or disorder or those in which the condition or disorder is to be prevented.

The term "pharmaceutically acceptable salts" denotes salts which are not biologically or otherwise undesirable. Pharmaceutically acceptable salts include both acid and base addition salts. The phrase "pharmaceutically acceptable" indicates that the substance or composition must be compatible chemically and/or toxicologically, with the other ingredients comprising a formulation, and/or the mammal being treated therewith. The phrase "pharmaceutically acceptable salt," as used herein, refers to pharmaceutically acceptable organic or inorganic salts of a molecule. A pharmaceutically acceptable salt may involve the inclusion of another molecule that acts as a counterion. The counterion may be any organic or inorganic moiety that stabilizes the charge on the parent compound. Furthermore, a pharmaceutically acceptable salt may have more than one charged atom in its structure. Hence, a pharmaceutically acceptable salt can have one or more charged atoms and/or one or more counterions. In the case of L-cysteine, the hydrochloride salt form is preferred.

The phrase "single-use container" refers to a sealed pharmaceutically prepared container holding a drug product in a sterile environment that is intended to be used in a single operation of transferring the entire contents or substantially entire contents, wherein the transfer operation spans no more than 10-12 hrs, but often less than 8 hrs, or even six hours. It should be recognized that the single-use container is generally preservative-free and that if multiple transfers are attempted, they should be completed in a short duration, i.e., less than about 8-10 hrs from the first breach of the sterile environment. In some aspects the single-use container may be used to administer all of its contents to one subject in need thereof. In some aspects the single-use container may be used to administer its contents to more than one subject in need thereof.

As used herein, the term "mixing" refers to admixing, contacting, blending, stirring or allowing to admix, mix, blend, stir and the like.

5

10

15

20

As used herein, the term "safe" refers generally to a property of the compositions and methods described herein relative to art method and compositions and/or to FDA regulatory determination of the compositions and methods as part of a therapeutically or nutritionally effective regimen. For example, with respect to known L-Cysteine compositions, an Aluminum level of greater than 300 ppb would be generally considered to render the L-Cysteine product unsafe. Other examples with respect to safety are described and discussed herein with respect to Aluminum, pyruvate, Cystine, heavy metals, anions, and particulates.

Additional definitions are provided herein where appropriate.

## 10 II. Compositions

5

In certain aspects, the subject matter described herein is directed to a safe, stable L-cysteine composition for parenteral administration, comprising:

L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof in an amount from about 10 mg/mL to about 100 mg/mL;

Aluminum (Al) in an amount from about 1.0 parts per billion (ppb) to about 250 ppb;

L-cystine in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine;

pyruvic acid in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine;

a pharmaceutically acceptable carrier, comprising water;

headspace  $O_2$  that is from about 0.5% to 4.0% from the time of manufacture to about 1 month from manufacture when stored at room temperature;

dissolved oxygen present in the carrier in an amount from about 0.1 parts per million (ppm) to about 5 ppm from the time of manufacture to about 1 month from manufacture when stored at room temperature;

wherein the composition is enclosed in a single-use container having a volume of from about 10 mL to about 100 mL.

In certain aspects, the subject matter described herein is directed to a safe, stable L-cysteine composition for parenteral administration, comprising:

L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof in an amount from about 10 mg/mL to about 100 mg/mL;

Aluminum (Al) in an amount from about 1.0 parts per billion (ppb) to about 250 ppb;

L-cystine in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine;

pyruvic acid in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine;

a pharmaceutically acceptable carrier, comprising water;

headspace  $O_2$  that is from about 0.5% to 4.0% from the time of manufacture to about 1 month from manufacture when stored at room temperature;

dissolved oxygen present in the carrier in an amount from about 0.1 parts per million (ppm) to about 5 ppm from the time of manufacture to about 1 month from manufacture when stored at room temperature;

optionally present can be one or more metals selected from the group consisting of Lead from about 1.0 ppb to about 10 ppb, Nickel from about 5 ppb to about 40 ppb, Arsenic from about 0.1 ppb to 10 ppb, and Mercury from about 0.2 ppb to about 5.0 ppb;

wherein the composition is enclosed in a single-use container having a volume of from about 10 mL to about 100 mL.

The Aluminum in a composition can be determined using any known analytical method, such as those required by FDA regulations, and can include atomic absorption and mass spectrometry. In certain embodiments, the Aluminum that is present in the compositions is present in an amount from about 1.0 ppb to about 250 ppb, or from about 1.0 ppb to about 180 ppb, or from about 1.0 ppb to about 170 ppb, or from about 1.0 ppb to about 160 ppb, or from about 1.0 ppb to about 150 ppb, or from about 1.0 ppb to about 130 ppb, or from about 1.0 ppb to about 100 ppb, or from about 1.0 ppb to about 50 ppb, or from about 1.0 ppb to about 20 ppb.

In some embodiments the L-Cysteine and Aluminum are at a ratio of from about 35 million:1 (i.e., about 35 million units of L-Cysteine to 1 unit of Aluminum). In some embodiments the L-Cysteine and Aluminum are at a ratio of about 4 million:1. In some

5

15

20

embodiments the L-Cysteine and Aluminum are at a ratio of about 1.8 million:1 (i.e., about 1.8 million units of L-Cysteine to 1 unit of Aluminum). In some embodiments the L-Cysteine and Aluminum are at a ratio of about 700,000:1 (i.e., about 700,000 units of L-Cysteine to 1 unit of Aluminum). In some embodiments the L-Cysteine and Aluminum are at a ratio of about 300,000:1 (i.e., about 300,000 units of L-Cysteine to 1 unit of Aluminum). In some embodiments the L-Cysteine and Aluminum are at a ratio of about 230,000:1 (i.e., about 230,000 units of L-Cysteine to 1 unit of Aluminum). In some embodiments the L-Cysteine and Aluminum are at a ratio of about 170,000:1 (i.e., about 170,000 units of L-Cysteine to 1 unit of Aluminum). In some embodiments the L-Cysteine and Aluminum are at a ratio of about 140,000:1 (i.e., about 140,000 units of L-Cysteine to 1 unit of Aluminum).

Thus, in some embodiments the L-Cysteine and Aluminum are at a ratio of from about 35 million:1 (i.e., about 35 million units of L-Cysteine to 1 unit of Aluminum) to about 1.8 million:1 (i.e., about 1.8 million units of L-Cysteine to 1 unit of Aluminum). In some embodiments the L-Cysteine and Aluminum are at a ratio of from about 4 million:1 (i.e., about 4 million units of L-Cysteine to 1 unit of Aluminum) to about 1.8 million:1 (i.e., about 1.8 million units of L-Cysteine to 1 unit of Aluminum). In some embodiments the L-Cysteine and Aluminum are at a ratio of from about 1.8 million:1 (i.e., about 1.8 million units of L-Cysteine to 1 unit of Aluminum) to about 700,000:1 (i.e., about 700,000 units of L-Cysteine to 1 unit of Aluminum). In some embodiments the L-Cysteine and Aluminum are at a ratio of from about 700,000:1 (i.e., about 700,000 units of L-Cysteine to 1 unit of Aluminum) to about 300,000:1 (i.e., about 300,000 units of L-Cysteine to 1 unit of Aluminum). In some embodiments the L-Cysteine and Aluminum are at a ratio of from about 300,000:1 (i.e., about 300,000 units of L-Cysteine to 1 unit of Aluminum) to about 230,000:1 (i.e., about 230,000 units of L-Cysteine to 1 unit of Aluminum). In some embodiments the L-Cysteine and Aluminum are at a ratio of from about 230,000:1 (i.e., about 230,000 units of L-Cysteine to 1 unit of Aluminum) to about 170,000:1 (i.e., about 170,000 units of L-Cysteine to 1 unit of Aluminum). Thus, in some embodiments the L-Cysteine and Aluminum are at a ratio of from about 170,000:1 (i.e., about 170,000 units of

27

5

10

15

20

L-Cysteine to 1 unit of Aluminum) to about 140,000:1 (i.e., about 140,000 units of L-Cysteine to 1 unit of Aluminum). All subranges and individual values with increments of 5,000 units are contemplated by the present disclosure. In some embodiments, the unit of measure is nanograms. For example, in some embodiments the L-Cysteine and Aluminum are at a ratio of from about 4 million:1 nanograms (i.e., about 4 million nanograms of L-Cysteine to 1 nanogram of Aluminum) to about 1.8 million:1 nanograms (i.e., about 1.8 million nanograms of L-Cysteine to 1 nanogram of Aluminum).

In certain embodiments, the compositions comprise Aluminum in trace amounts, for example 1.0 ppb, but not more than 250 ppb after storage at ambient temperature for a period of 12 months or less, where the Aluminum comprises, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from a cap of the container, from about 1.0 ppb to about 100 ppb of Aluminum from the L-cysteine, and from about 1.0 ppb to about 20 ppb of Aluminum from the water.

In certain embodiments, the compositions comprise Aluminum in an amount not more than 200 ppb after storage at ambient temperature for a period of 12 months, wherein the Aluminum comprises, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from a cap of the container, from about 1.0 ppb to about 100 ppb of Aluminum from the L-cysteine, and from about 1.0 ppb to about 20 ppb of Aluminum from the water. In certain embodiments, the compositions comprise Aluminum in an amount not more than 200 ppb after storage at ambient temperature for a period of 6 months, where the Aluminum comprises, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppm of Aluminum from a cap of the container, from about 1.0 ppb to about 100 ppm of Aluminum from the L-cysteine, and from about 1.0 ppb to about 20 ppb of Aluminum from the water. In certain embodiments, the compositions comprise Aluminum in an amount not more than 200 ppb after storage at ambient temperature for a period of 3 months, wherein the Aluminum comprises, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from the container.

5

10

15

20

cap of the container, from about 1.0 ppb to about 100 ppm of Aluminum from the L-cysteine, and from about 1.0 ppb to about 20 ppb of Aluminum from the water.

In certain embodiments, the dissolved oxygen is present in an amount from about 0.1 ppm to about 5.0 ppm, or from about 0.10 ppm to about 4.0 ppm, or from about 0.10 ppm to about 3.0 ppm, or from about 0.10 ppm to about 2.0 ppm, or from about 0.11 ppm to about 1.0 ppm. In particular, values of dissolved oxygen from about 0.4 ppm to about 3.8 ppm are preferred. For the sake of clarity and the ease of discussion and measurement, these values are taken for the L-Cysteine composition at the time of its manufacture (commonly known as "time zero" data point), or during and up to 1 month from time zero. Additional time points beyond the 1-month from time zero data point are expected to provide similar dissolved oxygen levels.

To achieve the above objective, as described herein, numerous aspects for possible oxygen introduction into the L-cysteine composition have been carefully studied and controlled. For example, in some cases, the dissolved oxygen content in the carrier can be reduced to at or below a predetermined level before the L-cysteine is added to the carrier. In some additional examples, reducing a level of dissolved oxygen in the carrier can include blanketing a container for housing the composition in an inert gas, such as nitrogen, argon, or the like, prior to introducing the carrier or composition into the container. In still other examples, reducing a level of dissolved oxygen in the carrier can include bubbling the carrier or composition with an inert gas, such as nitrogen, argon, or the like, at ambient or reduced atmospheric pressure prior to and/or during addition and mixing of L-cysteine. In some examples, reducing a level of dissolved oxygen in the carrier can be performed at an ambient or sub-ambient temperature. It is noted that the reduction of dissolved oxygen in the carrier to at or below a predetermined level can be accomplished without the addition of a supplementary antioxidant, but is not required in the methods and compositions described herein. Thus, the L-cysteine composition for injection is free of a supplementary antioxidant. As described elsewhere herein, attaining low and consistent dissolved oxygen was achieved using the protocol with sampling as set forth in Example 4.

5

10

15

20

The compositions have long-term stability. Thus, in certain embodiments, the amounts of the components described herein are amounts that are detected after the composition has been in storage. The compositions will have been stored at room temperature for less than a year, or for a year, or for more than a year. Such timelines include, for example, for about 15 months, for about 18 months, for about 24 months. Or, alternatively, the storage time could be for about 9 months, for about 7 months, for about 6 months, for about 5 months, for about 4 months, for about 3 months, for about 2 months, for about 1 month, or for about 3 weeks. Storage conditions are 25 °C / 60% RH unless otherwise indicated.

Useful concentrations of L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof in a compositions as described herein include an amount from about 20 mg/mL to about 80 mg/mL, from about 30 mg/mL to about 70 mg/mL, from about 40 mg/mL to about 60 mg/mL, from about 45 mg/mL to about 55 mg/mL, or an amount of about 50 mg/mL, or from about 35 mg/mL to about 50 mg/mL, or an amount of about 37.5 mg/mL. It is noted that the amounts of L-cysteine disclosed herein, whether as a total mass or as a concentration, are based on L-cysteine hydrochloride monohydrate or the free base, as specified. Thus, where other forms of L-cysteine are employed in the composition, the amount of the alternative form included in the composition can be calculated based on an equivalent amount of L-cysteine hydrochloride monohydrate rather than the amount of the alternative form employed.

Thus, the stable L-cysteine composition can comprise total amounts of L-cysteine from about 200 mg to about 4000 mg, or from about 300 mg to about 700 mg, or from about 300 mg to about 400 mg. For example, a 10 mL vial could be manufactured to contain about 350 mg of L-Cysteine. In certain embodiments, the L-cysteine composition can comprise a total amount of L-cysteine from about 1200 mg to about 2000 mg. For example, a 50 mL container could be manufactured to contain about 1800 mg of L-Cysteine. In another embodiment, the total composition of an L-Cysteine composition container may range from about 3000 mg to about 4000 mg. For example, a 100 mL container could be manufactured to contain about 3500 mg of total L-Cysteine.

5

10

15

20

It has been found that the container in which the compositions are held can affect the level of certain components. In certain embodiments, the L-cysteine composition can be enclosed in a single-use container. The container can have a variety of volumes. Typically, the container can have a volume of from about 10 ml to about 100 ml. In some examples, the container can have a volume of from about 10 ml to about 50 ml. In other examples, the container can have a volume of from about 50 ml to about 100 ml. In still other examples, the container can have a volume of about 10 ml or about 20 ml.

The container can be made of a variety of materials. Non-limiting materials can include glass, a plastic (e.g. polyethylene, polypropylene, polyvinyl chloride, polycarbonate, etc.), the like, or a combination thereof provided that it can both prevent oxygen penetration and minimize Aluminum, heavy metals and anions contamination to the composition. Up to now, there has been no guidance with regard to the compatibility of L-cysteine with coated glass vials or that any vials could be used to provide L-cysteine compositions having low levels of Aluminum.

Another confounding factor is the low pH of the L-Cysteine product, which is less than 3.0 in certain embodiments. This low pH can disrupt the plastic coating or silicon coating inside the glass container and Aluminum, heavy metals and anions could leach during the shelf life of the product, especially over prolonged storage of the product. Therefore, up to now, the success of the product was uncertain until the products described herein were manufactured and studied in real time for prolonged periods as described herein.

It should be recognized that vials which are impermeable with an internal coating, vials which are stored in a pouch that protects the product from atmospheric oxygen ingress, and relatively thick vials made of impermeable plastic or glass can be suitable for the L-Cysteine product disclosed herein.

Vials which are stored in a pouch can be prepared in a similar manner as described herein and then pouched in a plastic material that is then sealed. The pouch may be kept under vacuum or under an inert atmosphere. Methods for manufacturing such pouched products, and materials for such manufacture are known in the industry.

5

10

15

20

Relatively thick vials made of impermeable plastic are also prepared in a similar manner as described herein. Such vials may be composed of cyclic olefin materials of sufficient thickness to prevent oxygen ingress over a reasonably long period of time, for example 1 month, 2 months, 3 months, 6 months or 12 months. These vials are packaged and supplied as such. Alternatively, these vials can also be pouched as described above in plastic material that is kept under vacuum or under an inert gas atmosphere. It is expected that such pouching extends the shelf life of the product by at least 1 month, or 2 months, or 3 months, or 6 months or longer.

In certain embodiments, the vials are made of glass with an internal coating made of silicon dioxide, for example, Schott Type 1 Plus USP glass. The general thickness of the coating is presumed to be at least 100-200 nanometers thickness. It is believed that this level of thickness was sufficient to provide protection against pH disruption while also preventing the migration of Aluminum from the glass into the L-Cysteine product. Thus, in some specific examples, the container can be an internally coated glass container. In certain other embodiments the glass container is internally coated with silicon dioxide of about 100 to about 200 nanometers.

In certain embodiments the Aluminum contribution from the container may range from about 0.1 ppb to about 200 ppb. In certain other embodiments the Aluminum contribution may range from about 1 ppb to about 150 ppb, from about 1 ppb to about 120 ppb, from about 1 ppb to about 100 ppb, from about 1 ppb to about 80 ppb, from about 1 ppb to about 60 ppb, from about 1 ppb to about 50 ppb, from about 1 ppb to about 40 ppb, from about 1 ppb to about 30 ppb, from about 1 ppb to about 25 ppb, from about 1 ppb to about 20 ppb, from about 1 ppb to about 15 ppb, from about 1 ppb to about 10 ppb, from about 1 ppb to 5 ppb. In certain embodiments, the contribution could be in subranges within the above ranges, for example, from about 35 to 55 ppb, or from about 75 to about 140 ppb, in increments of 5 ppb. All such ranges and subranges are contemplated herein.

The containers are sealed with a suitable stopper made of rubber, elastomeric polymers, or combinations thereof. In certain embodiments the stoppers are coated with a special non-reactive inert coating that minimizes interaction with drug product. For

5

10

15

20

example, some stoppers are coated with Teflon to prevent drug-stopper interactions. One example of a specific coated stopper is supplied by West Pharma and is called V10-F597W Stopper, 20 mm Lyo, 4432/50 Gray, B2-TR Coating, Westar RS. This stopper is a cross-linked mixture of high- and low-molecular weight silicone oils that are cured through the application of UV rays and heat. Because it is a spray-on coating applied to molded closures before the trimming process, B2-Coating can be applied at various levels on the bottom and top of closures. These specific stoppers are preferred even though similar coating materials and methodology applied to other types of stoppers could also work. The stoppers are selected not only for their inertness vis-à-vis the drug product but also for their minimal contribution to Aluminum levels in the drug product.

In certain embodiments the Aluminum contribution from the stopper may range from about 0.1 ppb to about 100 ppb. In certain other embodiments the Aluminum contribution may range from about 1 ppb to about 90 ppb, from about 1 ppb to about 80 ppb, from about 1 ppb to about 70 ppb, from about 1 ppb to about 60 ppb, from about 1 ppb to about 50 ppb, from about 1 ppb to about 40 ppb, from about 1 ppb to about 30 ppb, from about 1 ppb to about 25 ppb, from about 1 ppb to about 20 ppb, from about 1 ppb to about 15 ppb, from about 1 ppb to about 10 ppb, from about 1 ppb to 5 ppb. In certain embodiments, the contribution could be in subranges within the above ranges, for example, from about 35 to 55 ppb, or from about 25 to about 75 ppb, in increments of 5 ppb. All such ranges and subranges are contemplated herein.

In addition to the container and stopper, the drug substance and excipients including water for injection may contribute Aluminum to the drug product. Thus, in one aspect the Aluminum contribution from the drug substance may range from about 0.1 ppb to about 70 ppb. In certain other embodiments the Aluminum contribution may range from about 1 ppb to about 60 ppb, from about 1 ppb to about 50 ppb, from about 1 ppb to about 40 ppb, from about 1 ppb to about 30 ppb, from about 1 ppb to about 25 ppb, from about 1 ppb to about 20 ppb, from about 1 ppb to about 1 ppb to about 1 ppb to about 10 ppb, from about 1 ppb to 5 ppb. In certain embodiments, the contribution could be in subranges within

5

10

15

20

the above ranges, for example, from about 35 to 55 ppb, or from about 75 to about 140 ppb, in increments of 5 ppb. All such ranges and subranges are contemplated herein.

The water for injection may contribute Aluminum from about 0.1 ppb to about 20 ppb. In certain embodiments the Aluminum contribution may range from about 1 ppb to about 1 ppb to about 12 ppb, from about 1 ppb to about 10 ppb, from about 1 ppb to about 8 ppb, from about 1 ppb to about 6 ppb, from about 1 ppb to about 5 ppb, from about 1 ppb to about 4 ppb, from about 1 ppb to about 3 ppb, from about 1 ppb to about 2 ppb, from about 1 ppb to about 1.5 ppb. In certain embodiments, the contribution could be in subranges within the above ranges, for example, from about 5 to 7.5 ppb, or from about 10.5 to about 15.0 ppb, in increments of 0.5 ppb. All such ranges and subranges are contemplated herein.

In summary, to lower the Aluminum level to even greater extent as described herein, the container, the stopper, the drug substance, the water for injection, and any other excipients can be chosen such that the Aluminum concentration in the drug product is from about 1.0 ppb to about 250 ppb, or as described in the other embodiments provided herein.

Advantageously, in certain embodiments, the compositions maintain cystine levels for extended periods, and/or are substantially free or essentially free of cystine precipitate. However, it is noted that where cystine is present in the L-cysteine composition it is not necessarily dissolved. For example, in some cases, it can be present in the composition as an undissolved particle.

Where the L-cysteine composition includes cystine, it can typically be present in relatively small amounts compared to L-cysteine. In certain embodiments, cystine is present in the composition in an amount not more than 2.0 wt% relative to L-cysteine after storage at ambient temperature for a period of 6 months. In certain embodiments, cystine is present in the composition in an amount not more than 1.0 wt% relative to L-cysteine after storage at ambient temperature for a period of 6 months. In certain embodiments, cystine is present in the composition in an amount not more than 0.5 wt% relative to L-cysteine after storage at ambient temperature for a period of 6 months. In certain embodiments, cystine is present in the composition in an amount not more than 0.4 wt%

5

10

15

20

relative to L-cysteine after storage at ambient temperature for a period of 6 months. In certain embodiments, cystine is present in the composition in an amount not more than 0.3 wt% relative to L-cysteine after storage at ambient temperature for a period of 6 months. In certain embodiments, cystine is present in the composition in an amount not more than 0.2 wt% relative to L-cysteine after storage at ambient temperature for a period of 6 months. In certain embodiments, cystine is present in the composition in an amount not more than 0.1 wt% relative to L-cysteine after storage at ambient temperature for a period of 6 months.

Further, in certain embodiments, cystine can be present in the L-cysteine composition, but in an amount not more than 2.0 wt% relative to L-cysteine after storage at ambient temperature for a period of 3 months. In certain embodiments, cystine can be present in the L-cysteine composition, but in an amount not more than 2.0 wt% relative to L-cysteine after storage at ambient temperature for a period of 3 months. In some embodiments, cystine can be present in the L-cysteine composition in an amount from about 0.001 wt% to about 2.0 wt% relative to the amount of L-cysteine present in the composition. In some embodiments, cystine can be present in the L-cysteine composition in an amount from about 0.005 wt% to about 0.5 wt% relative to the amount of L-cysteine present in the composition. In some embodiments, cystine can be present in the L-cysteine composition in an amount from about 0.05 wt% to about 1.0 wt% relative to the amount of L-cysteine present in the composition. In some embodiments, L-cystine can be present, but in an amount that is either not detectable or that is below a limit of quantitation using a standard testing procedure, such as a validated test method for detecting cystine.

Advantageously, in certain embodiments, the compositions maintain pyruvic acid levels for extended periods, and/or are substantially free or essentially free of pyruvic acid. When present, pyruvic acid is typically present in a relatively small amount compared to L-cysteine. In certain embodiments, pyruvic acid is present in the composition in an amount not more than 2.0 wt% relative to L-cysteine after storage at ambient temperature for a period of 6 months. In certain embodiments, pyruvic acid is present in the composition in an amount not more than 1.0 wt% relative to L-cysteine after storage at ambient

5

10

15

20

temperature for a period of 6 months. In certain embodiments, pyruvic acid is present in the composition in an amount not more than 0.5 wt% relative to L-cysteine after storage at ambient temperature for a period of 6 months. In certain embodiments, pyruvic acid is present in the composition in an amount not more than 0.4 wt% relative to L-cysteine after storage at ambient temperature for a period of 6 months. In certain embodiments, pyruvic acid is present in the composition in an amount not more than 0.3 wt% relative to L-cysteine after storage at ambient temperature for a period of 6 months. In certain embodiments, pyruvic acid is present in the composition in an amount not more than 0.2 wt% relative to L-cysteine after storage at ambient temperature for a period of 6 months.

In certain embodiments, pyruvic acid is present in the composition in an amount not more than 0.1 wt% relative to L-cysteine after storage at ambient temperature for a period of 6 months. In certain embodiments, pyruvic acid can be present in the L-cysteine composition, but in an amount not more than 2.0 wt% relative to L-cysteine after storage at ambient temperature for a period of 3 months. In certain embodiments, pyruvic acid can be present in the L-cysteine composition, but in an amount not more than 2.0 wt% relative to L-cysteine after storage at ambient temperature for a period of 6 months. In some embodiments, pyruvic acid can be present in the L-cysteine composition in an amount from about 0.001 wt% to about 2.0 wt% relative to the amount of L-cysteine present in the composition. In some embodiments, pyruvic acid can be present in the L-cysteine composition in an amount from about 0.005 wt% to about 0.5 wt% relative to the amount of L-cysteine present in the composition. In some embodiments, pyruvic acid can be present in the L-cysteine composition in an amount from about 0.05 wt% to about 1.0 wt% relative to the amount of L-cysteine present in the composition. In some embodiments, pyruvic acid can be present, but in an amount that is either not detectable or that is below a limit of quantitation using a standard testing procedure, such as a validated test method for detecting pyruvic acid.

As discussed above, to achieve safe method and compositions, it is beneficial to further understand which other components are present beyond Aluminum and pyruvic acid, and control their amounts as well. Because preterm infants could be exposed to L-

5

10

15

20

Cysteine for potentially longer periods, and their main source of L-Cysteine is through parenteral administration, careful consideration should be given to exposure to other potentially unsafe compounds that may leach out of the container or stopper in amounts greater than what are considered safe limits. Examples include certain volatile compounds, certain heavy elements, and certain anions.

Daily acceptable limits are known for these potentially unsafe compounds. However, because the L-Cysteine Injection is used to infuse into preterm and term infants and those elderly that are critically ill will compromised renal functions, and sometimes for periods that exceed more than a few days, just meeting the daily acceptable limits may not be sufficient. Every effort must be made to reduce the levels to as low as practicable. The L-Cysteine compositions presented herein provide in some embodiments about one-half of the daily acceptable limits; in some embodiments, about one-fourth of the daily acceptable limits; in some embodiments, about one-sixth of the daily acceptable limits.

The anions that are desirable to control are: Iodide and Fluoride. The acceptable limit for Iodide is about 20 ppm or less. The acceptable limit for Fluoride is about 30 ppm or less. The L-Cysteine compositions provided herein show Iodide concentrations of less 20 ppm and Fluoride concentrations of less than 30 ppm when measured at any time from the day of manufacture through its shelf-life of 6 months, or 12 months, or 18 months, or 24 months, when stored under Room Temperature Conditions. In some embodiments, the L-Cysteine compositions provide from about 1.0 ppm to 20 ppm of Iodide; in some embodiments, from about 1.0 ppm to about 15 ppm; in some embodiments, from about 1.0 ppm to about 5 ppm. In some embodiments, the L-Cysteine compositions provide from about 1.0 ppm to 20 ppm of Fluoride; in some embodiments, from about 1.0 ppm to about 15 ppm; in some embodiments, from about 1.0 ppm to about 5 ppm. Methods for evaluating the anions and the results are provided in Example 8.

5

10

15

20

As noted above, several elements may leach or be extracted out into the L-Cysteine drug product, thereby presenting a potential safety/toxicity concern to subjects that require L-Cysteine parenteral administration. Art-known methods may be used to evaluate the elemental levels. Inductively-coupled plasma mass spectral method is one such highly specific method. Example 9 provides the data generated by using ICP-MS technique. As shown in Example 9, there are over thirty elements that are generally known to present safety/toxicity concerns. The Table 22 provides the daily allowable limit for all of these elements, and the observed levels in the present L-Cysteine compositions. The daily allowable limit for some elements are relatively high, whereas for other elements they are relatively very low. For example, Molybdynum has the level of 14,500 ppb approximately, whereas Cadmium has about 19 ppb.

For purposes of monitoring the L-Cysteine compositions for the elements of concern, in one aspect, the levels of Mercury, Lead, Nickel and Arsenic are of significance. Therefore, in one aspect, the L-Cysteine compositions presented herein have further improved safety because they provide these elements at amounts far less than the daily allowable limits. Targeted daily allowable limits include 48 ppb for Lead, 29 ppb for Mercury, 194 ppb for Nickel, and 174 ppb for Arsenic.

The L-Cysteine compositions described herein provide from about 1 ppb to 10 ppb of Lead; in some embodiments, from about 1 ppb to about 8 ppb; in some embodiments, from about 1 ppb to about 7 ppb; or in some embodiments, from about 1 ppb to about 5 ppb. when measured at any time from the day of manufacture through its shelf-life of 6 months, or 12 months, or 18 months, or 24 months, when stored under Room Temperature Conditions.

With respect to Mercury, the L-Cysteine compositions described herein provide from about 0.1 ppb to 10 ppb of Mercury; in some embodiments, from about 0.1 ppb to about 8 ppb; in some embodiments, from about 0.1 ppb to about 7 ppb; or in some embodiments, from about 0.1 ppb to about 5 ppb. when measured at any time from the day of manufacture through its shelf-life of 6 months, or 12 months, or 18 months, or 24 months, when stored under Room Temperature Conditions.

5

10

15

20

With respect to Nickel, the L-Cysteine compositions described herein provide from about 1 ppb to 50 ppb of Nickel; in some embodiments, from about 1 ppb to about 40 ppb; in some embodiments, from about 1 ppb to about 30 ppb; or in some embodiments, from about 1 ppb to about 25 ppb; or in some embodiments from about 1 ppb to about 20 ppb, when measured at any time from the day of manufacture through its shelf-life of 6 months, or 12 months, or 18 months, or 24 months, when stored under Room Temperature Conditions.

With respect to Arsenic, the L-Cysteine compositions described herein provide from about 0.1 ppb to 60 ppb of Arsenic; in some embodiments, from about 0.1 ppb to about 50 ppb; in some embodiments, from about 0.1 ppb to about 40 ppb; in some embodiments, from about 0.1 ppb to about 25 ppb; or in some embodiments from about 0.1 ppb to about 20 ppb; in some embodiments, from about 0.1 ppb to about 20 ppb; in some embodiments, from about 0.1 ppb to about 10 ppb; or in some embodiments, from about 0.1 ppb to about 10 ppb; or in some embodiments, from about 0.1 ppb to about 5.0 ppb, when measured at any time from the day of manufacture through its shelf-life of 6 months, or 12 months, or 18 months, or 24 months, when stored under Room Temperature Conditions.

In some embodiments, the Arsenic, Mercury, Lead and other elements may be extracted from the container or from the stopper. In one specific embodiment, the extracted out amount of Arsenic, Mercury, Lead, and Nickel combined from the stopper is 100 ppb or less. In other embodiments, the extracted amount of Arsenic, Mercury, Lead, and Nickel combined from the stopper is from about 10 to about 50 or from about 10 to about 100 ppb.

It should be recognized that in some instances the amount of a specific element present in the L-Cysteine compositions described herein may be below the Limit of Quantitation (LOQ). In those instances, for purposes of this disclosure and claims made herein, the compositions may be considered to contain the lowest level described in the preceding paragraphs. For example, when Arsenic is determined to be below the LOQ, the Arsenic amount may be considered to be at 0.1 ppb. Therefore, all such instances where the compositions show amounts below the LOQ are within the contemplation of this disclosure.

5

10

15

20

In certain embodiments, the compositions further comprise within the container, headspace gas that includes oxygen in an amount of from about 0.5% v/v to about 5.0 % v/v, or from about 0.5% v/v to about 3.5% v/v, from about 0.5% v/v to about 3.0 % v/v, or from about 0.5% v/v to about 2.5% v/v, or from about 0.5% v/v to about 2.0 % v/v, or from about 0.5% v/v to about 1.5% v/v, or from about 0.5% v/v to about 1.0 % v/v, or from about 0.1% v/v to about 0.5% v/v, or from about 0.1% v/v to about 0.1% v/v, or from about 0.1% v/v to about 0.4% v/v, or from about 0.1% v/v to about 0.3% v/v, or from about 0.1% v/v to about 0.2% v/v. For the sake of clarity and the ease of discussion and measurement, these values are taken for the L-Cysteine composition at the time of its manufacture ("tine zero" data point), or during and up to 1 month from time zero. Additional time points beyond the 1-month from time zero data point may provide similar headspace oxygen levels.

Without wishing to be bound by theory, the dissolved oxygen levels and the head space oxygen levels within a sealed container of L-Cysteine compositions described herein may reach an equilibrium at some time point during its shelf-life. Such equilibrium may be maintained for a very short time, i.e., for a few seconds, or for a very long time, i.e., for several months. Such equilibrium may on occasion be disturbed by simple agitation. Therefore, it should be recognized that dissolved oxygen levels and headspace oxygen levels may fluctuate from one time point to another in terms of absolute numbers. However, the numbers are expected to stay within the ranges disclosed herein. Occasionally, one number (e.g., dissolved oxygen) may exceed or fall out of a certain range (e.g., from about 05 to about 3.0 PPM) at a 15-day time point, but may fall within that range at some other time point (e.g., 30 day time point, or later). Therefore, in some aspects, the ranges, subranges, and specific data points disclosed and discussed herein are valid and suitable for time points beyond the time zero and 1-month time points. In one aspect, the time points could be extended to 2-months, 3-months, 6-months, 9-months, 12-months, 15-months, 18-months, and 24 months.

In some aspects, the total amount of oxygen in the sealed container may be an appropriate measure to evaluate the stability of the L-Cysteine compositions herein. For

5

10

15

20

example, the total amount of oxygen within the container may be arrived at by adding up the amount of dissolved oxygen in the carrier and the amount of head space oxygen. These values can also be expressed independently in separate units (i.e., dissolved oxygen as ppm and head space oxygen as % v/v). An example would be an L-Cysteine composition that contains a dissolved oxygen level of from about 0.1 ppm to 4.0 ppm and a head space oxygen level of about 0.5% v/v to about 4.0% v/v.

The amount of oxygen present in the headspace of the container can be controlled by filling the headspace with an inert gas, such as nitrogen or argon. Alternatively, the head space oxygen may be controlled by vacuum operation without using an inert gas. In another aspect, the head space oxygen may be controlled by a combination of vacuum operation and inert gas overlay. In one particular aspect, the head space oxygen is controlled by repeated pulses of vacuum and inert gas overlay in tandem such that the process may start first with vacuum operation followed by inert gas overlay followed by vacuum operation. The combination of vacuum operation and inert gas overlay (or inert gas overlay and vacuum operation) is considered one pulse when both steps are used together. A typical head space control operation may comprise from one to eight pulses. Typically, there could be two, three, four, or five pulses. Each pulse could last from about one tenth of one second to five seconds or from five to fifteen seconds when conducted by automated high-speed equipment custom designed for this specific purpose. In some embodiments, the pulse may last from about 0.1 to about 2.0 seconds. In some embodiments, the pulse may last from about 0.1 to about 1.0 seconds, or from about 0.1 to about 0.4 seconds. When done using manual methods, each pulse could take up to 30-60 seconds or longer. Such a manual process is described in more detail in Examples 1 and 4. Alternatively, a more automated process that was developed by the current inventors is described in Example 5.

In certain embodiments, the compositions are part of a total parenteral nutrition regimen. The L-cysteine compositions described herein can be admixed with amino acid solutions, such as crystalline amino acid injection, for example, commercially available TRAVASOL® and TRAVASOL E®.

5

10

15

20

In certain aspects, the subject matter described herein is directed to a safe, stable composition from about 100 mL to about 1000 mL for administration via a parenteral infusion within about 24 to about 48 hours of admixture, comprising a mixture of a composition of L-Cysteine described herein; and an amino acid composition that is essentially free of L-Cysteine comprising one or more amino acids selected from the group consisting of: leucine, isoleucine, lysine, valine, phenylalanine, histidine, threonine, methionine, tryptophan, alanine, arginine, glycine, proline, serine, and tyrosine.

In certain embodiments, the subject matter described herein is directed to a stable TPN composition for infusion, comprising:

L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof; Aluminum in an amount from about 10 parts per billion (ppb) to about 80 ppb; cystine in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine; pyruvic acid in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine;

one or more amino acids selected from the group consisting of: leucine, isoleucine, lysine, valine, phenylalanine, histidine, threonine, methionine, tryptophan, alanine, arginine, glycine, proline, serine, and tyrosine;

a pharmaceutically acceptable carrier, comprising water,

wherein, the amounts are from about 100 mL to about 1,000 mL and the total aluminum delivered by the said composition does not exceed about 4-5 mcg/kg/day. In certain embodiments, the amounts include 150 mL, 200 mL, 250 mL, 300 mL, 350 mL, 400 mL, 450 mL, 500 mL, 550 mL, 600 mL, 650 mL, 700 mL, 750 mL, 800 mL, 850 mL, 900 mL and 950 mL.

In certain embodiments, the stable composition for infusion comprises one or more amino acids selected from the group consisting of leucine, isoleucine, lysine, valine, phenylalanine, histidine, threonine, methionine, tryptophan, alanine, arginine, glycine, proline, serine, and tyrosine. In certain embodiments, the composition includes all of these. In certain embodiments, 500 mg of L-Cysteine is admixed with 12.5 gram of crystalline amino acid injection, such as that present in 250 mL of 5% crystalline amino acid injection.

5

10

15

20

In some aspects, 15 mg of L-Cysteine is admixed with one gram of amino acids. In some other aspect, 40 mg of L-Cysteine is admixed with one gram of amino acids. Depending on the needs of the subject, based on specific characteristics such as age, weight, and physiological factors such as renal function, the dose may be adjusted at or within these ranges of 15-40 mg of L-Cysteine per gram of amino acids. See, for example, Tables 1-2 above. Thus, the pharmaceutical compositions comprising L-Cysteine can be formulated, dosed and administered in a fashion, *i.e.*, amounts, concentrations, schedules, course, and vehicles, consistent with good medical practice. The "therapeutically and nutritionally effective amount" of the compound to be administered will be governed by such considerations.

In certain embodiments, the compositions are essentially free of supplementary antioxidant. As used herein, this refers to the absence of any substance that is added to the compositions specifically as an antioxidant. Naturally occurring antioxidants may still be present.

In certain embodiments, the compositions that comprise one or more of the above amino acids are essentially free of dextrose. However, in certain embodiments, the compositions that comprise one or more of the above amino acids further comprise a sugar.

In certain embodiments, the pH of the compositions is about 1.0 to about 2.5, or about 1.6 to about 2.0, or about 1.6, or about 1.7, or about 1.8, or about 1.9 or about 2.0. For administration, the pH is generally adjusted by admixing with other components to a pH of about 6.0 to about 8.0, but generally around 7.0. In certain embodiments, the compositions are essentially free of a buffer. In certain embodiments, the compositions further comprise a buffer.

In particular embodiments, the subject matter described herein is directed to a stable L-cysteine composition for injection that can be useful for treatment of a variety of conditions, such as those described above. In further detail, L-cysteine can be administered in a variety of forms, such as the free base, L-cysteine hydrochloride, a pharmaceutically acceptable salt thereof (e.g. sodium salt, calcium salt, etc.), a hydrate thereof, the like, or a

5

10

15

20

combination thereof. In some specific examples, L-cysteine can be included in the L-cysteine composition for injection as L-cysteine hydrochloride monohydrate.

In particular embodiments, the subject matter described herein is directed to a stable L-cysteine composition for injection, comprising:

about 34.5 mg/mL of L-cysteine free base, or a pharmaceutically acceptable salt thereof and/or hydrate thereof;

Aluminum in an amount of 130 ppb or below;

water:

5

10

15

20

25

wherein the composition is enclosed in a single-use container having a volume of from 10 mL to 100 mL, and is stable for 9 months or less.

In certain embodiments, the stable, safe L-cysteine composition can consist essentially of L-cysteine, water, Aluminum in an amount of less than 200 ppb, cystine in an amount from about 0.001 wt% to about 2 wt% relative to L-cysteine, pyruvic acid in an amount from about 0.001 wt% to about 2 wt% to L-cysteine, headspace oxygen that is less than 4.0% and dissolved oxygen from about 0.1 ppm to about 1 ppm. Other trace components or excipients do not materially affect the basic and novel characteristics of composition unless otherwise indicated, for example, undesirable anions and heavy metals.

The pharmaceutical composition (or formulation) for application may be packaged in a variety of ways depending upon the method used for administering the drug. Generally, an article for distribution includes a container having deposited therein the pharmaceutical formulation in an appropriate form. The container may also include a tamper-proof assemblage to prevent indiscreet access to the contents of the package. In addition, the container has deposited thereon a label that describes the contents of the container. The label may also include appropriate warnings, more specifically about the Aluminum content of the L-Cysteine composition. For example, the label may indicate that the Aluminum in the container may be at 100 ppb or 100 mcg/L. In another embodiment, the label may indicate that the Aluminum in the container may be described as not more than 120 ppb, or not more than 120 mcg/L, or NMT 120 ppb, or NMT 120 mcg/L. In addition to the

label, the labeling associated with the L-Cysteine product may have the same description of Aluminum.

It should be understood that, as is customary in the pharmaceutical arts, the phrases "NMT" or "not more than" represents the upper limit, but also is understood not to mean that the value is zero or even can be zero. For example, a statement that the Aluminum levels are NMT 120 ppb is not understood by the practitioners in the art that the Aluminum levels are at zero ppb in that particular vial bearing that label. Pharmacists and other health care professionals instead would interpret for purposes of calculating the Aluminum content of a TPN preparation using that specific L-Cysteine vial that the Aluminum levels are at 120 ppb so that, even if the actual amount is lower than the 120 ppb in the product, they err on the conservative side. This is the custom in the pharmaceutical industry developed and practiced to safeguard the health of patients. If indeed the label is intended to convey with certainty that the actual Aluminum level is zero ppb, the label then would state that fact or indicate that the product is free of Aluminum.

Similarly, any numerical value expressed as "less than" is intended to convey that the value is below that certain numerical value, including, as the case may be zero. For example, when it is stated herein that Aluminum levels are less than about 20 ppb, it is understood that in some embodiments the Aluminum can be, but not necessarily in all cases, anywhere from zero to about 19 ppb. It is also understood that this may, but not necessarily in all cases, encompass those situations where the levels are below quantitation limit, but the presence of Aluminum is detectable. In those cases where the Aluminum (or any other measured material generally) where the material is detectable but is below the level of quantitation, that numerical value can be considered for example as being about 1.0 (for Aluminum) or 0.001 (for Cystine or Pyruvic acid), or 1.0 ppb (for elements) or 1.0 ppm (for iodide or fluoride). Unless an actual analysis is made of the product and a specific number is determined, there is no certainty of the actual value. The US FDA does not require this precision in the labeling on a product-by-product or even batch-by-batch because that is impracticable in a commercial supply chain setting for drug products.

5

10

15

20

Thus, the phrases "NMT" or "not more than" or "less than" are terms of art in the pharmaceutical industry. Those in the industry do not assume these terms necessarily represent zero in all cases, even though that is a possibility. When calculating the Aluminum amounts for purposes of preparing parenteral nutrition products the artisan never assumes the Aluminum levels are zero in order to safeguard the patient health. Accordingly, this present disclosure and the claims derived therefrom are to be read and understood in light of this custom and practice in the art.

As mentioned above, the L-cysteine compositions for infusion may optionally be mixed with pharmaceutically acceptable excipients, also described in *Remington's Pharmaceutical Sciences* (1980) 16<sup>th</sup> edition, Osol, A. Ed., Mack Publishing Co., Easton, PA.

As noted above, the diluted L-cysteine composition for infusion can typically have a pH of from about 5.0 to about 8.0, or from about 6.0 to about 7.0. However, dilution and administration of the L-cysteine composition for infusion will typically be overseen by a licensed medical professional, who may recommend a pH outside of the ranges recited herein under certain circumstances. Additionally, the diluted L-cysteine composition for infusion can typically have a tonicity of from about 250 milliosmoles/liter (mOsmol/L) to about 1,000 mOsmol/L, or more, or of from about 350 mOsmol/L to about 475 mOsmol/L. However, dilution and administration of the L-cysteine composition for infusion will typically be overseen by a licensed medical professional, who may recommend a tonicity outside of the ranges recited herein under certain circumstances.

#### III. Methods

5

10

15

20

25

The subject matter described herein is directed to methods of treating a subject having an adverse health condition that is responsive to L-cysteine administration. The methods can include diluting the stable L-cysteine composition described herein with an intravenous fluid to prepare a diluted L-cysteine composition for infusion. The methods can further include parenterally administering the diluted L-cysteine composition to

provide a therapeutically effective dose of L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof to the subject in a therapeutically effective dosing regimen.

In certain embodiments, the subject matter described herein is directed to a method of reducing Aluminum administration from a total parenteral nutrition regimen comprising L-cysteine, the method comprising, mixing a composition comprising L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof comprising:

Aluminum in an amount from about 1.0 parts per billion (ppb) to about 250 ppb or from about 10 ppb to about 80 ppb;

L-cystine in an amount from about 0.001 wt% to about 2.0 wt% relative to L- 10 cysteine; and

pyruvic acid in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine;

with a composition comprising one or more amino acids selected from the group consisting of: leucine, isoleucine, lysine, valine, phenylalanine, histidine, threonine, methionine, tryptophan, alanine, arginine, glycine, proline, serine, and tyrosine; and

a pharmaceutically acceptable carrier, comprising water, to form a composition for infusion of about 100 mL to about 1000 mL,

wherein the Aluminum provided in said parenteral nutrition regimen is from about 1-2 to about 4-5 micrograms/kg/day.

In certain aspects, the compositions and methods described herein are directed to methods of administering L-Cysteine together with a composition for parenteral nutrition, comprising:

diluting a stable L-cysteine composition for injection as described herein with a parenteral nutrition composition to form a mixture; and

parenterally administering the mixture to a subject in need thereof in a therapeutically and/or nutritionally effective dose. In one aspect, the subject is a neonatal weighing less than 2 kilos. In another aspect, the subject is a pediatric patient that is from about 0.2 kilos to about 20 kilos. In another aspect, the subject is an adult requiring parenteral nutrition.

5

15

20

In certain embodiments, the subject matter described herein is directed to a method of reducing Aluminum administration from a parenteral nutrition regimen comprising L-cysteine, comprising:

administering to a subject a composition comprising L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof;

Aluminum in an amount from about 10.0 parts per billion (ppb) to about 250 ppb, or from about 10 ppb to about 80 ppb;

cystine in an amount from about 0.01 wt% to about 2.0 wt% relative to L-cysteine; pyruvic acid in an amount from about 0.01 wt% to about 2.0 wt% relative to L-cysteine;

one or more amino acids selected from the group consisting of: leucine, isoleucine, lysine, valine, phenylalanine, histidine, threonine, methionine, tryptophan, alanine, arginine, glycine, proline, serine, and tyrosine,

a pharmaceutically acceptable carrier, comprising water,

wherein, the amounts are about 100 mL to about 1,000 mL,

wherein the Aluminum administered to said subject is reduced compared to administration of a standard parenteral composition comprising L-cysteine and Aluminum at a range of from about 900 ppb to about 5,000 ppb.

In certain embodiments, the methods provide that the reduction in the amount of Aluminum administered is relative to the amount of Aluminum in a L-cysteine injection composition having more than 500 ppb Aluminum, or more than 250 ppb Aluminum. The relative reduction in Aluminum can be up to 90%, up to 80%, up to 70%, up to 60%, up to 50%, up to 40%, up to 30%, up to 20%, up to 10%, or up to 5%, as compared to the amount of Aluminum administered with a L-cysteine composition having more than 500 ppb Aluminum, or more than 250 ppb Aluminum. In certain embodiments, the reduction occurs over the span of a day, a week, a month or the duration of the TPN regimen.

In certain aspects, the subject matter described herein is directed to methods of treating a subject having an adverse health condition that is responsive to L-cysteine administration, comprising:

5

10

20

diluting a stable L-cysteine composition as described herein with an intravenous fluid to prepare a diluted L-cysteine composition for infusion; and

infusing the diluted L-cysteine composition for infusion to a subject to provide a therapeutically effective dose of L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof to the subject in a therapeutically effective dosing regimen.

In certain embodiments, the method of treating a subject having an adverse health condition that is responsive to L-cysteine administration further comprises, before the diluting step, admixing the stable L-cysteine composition with an amino acid solution, such as, crystalline amino acid injection. In this aspect, the methods comprise diluting with an intravenous fluid the stable L-cysteine composition admixed with an amino acid solution, wherein the fluid comprises dextrose.

In certain embodiments, the adverse health condition is the lack of a necessary enzyme in the trans-sulfuration pathway that converts methionine to L-cysteine. Other adverse health conditions include inadequate absorption resulting from short bowel syndrome; gastrointestinal fistula; bowel obstruction; prolonged bowel rest; severe malnutrition; significant weight loss and/or hypoproteinaemia when enteral therapy is not possible; other disease states or conditions in which oral or enteral feeding are not an option.

For most preterm infants, the administration should be considered as a short-term bridge to provide nutritional support until full enteral nutrition can be provided. Such instances include: Immediately after birth, to provide essential nutrition as enteral feeds are commenced and advanced, and/or during periods of acute gastrointestinal malfunction (eg, due to septic ileus or necrotizing enterocolitis).

In certain embodiments, the administering is a single daily dose, or multiple daily doses, or is administered in accordance with a TPN regimen, for example, the dosing can be over a day, several days, a week or several weeks, a month or several months.

In certain embodiments, the subject is an infant or pre-term infant from newborn until about 6 months of age. As presented in Tables 1 and 2 above, the subjects can be

5

10

15

20

from a pre-term infant to an adult that is in need of L-Cysteine supplementation. Thus, the subject can be a subject "in need of" the methods of described herein, for example, in need of the therapeutic effects or prophylactic benefits of the methods. In certain embodiments, the subject is a subject in need of a total parenteral nutrition (TPN) regimen.

In certain embodiments, the intravenous fluid is selected from the group consisting of isotonic saline, glucose solution, glucose saline, dextrose solution, crystalline amino acid solution, lipids, and combinations thereof.

In certain embodiments, the L-cysteine is L-cysteine hydrochloride monohydrate.

In certain embodiments, the diluted L-cysteine composition for infusion is typically administered via intravenous infusion. The selection of administration rate and site of infusion (i.e., via a peripheral or central vein) are within the ordinary skill in the art of medicine, pharmaceutical, nursing, and nutritional sciences.

The diluted L-cysteine composition for infusion can be administered until a therapeutically effective dose is achieved. In some examples, a therapeutically effective dose of L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof can be from about 0.01 mg to about 2.0 mg L-cysteine. Again, therapeutically effective doses can depend on whether the patient is a pediatric patient or an adult patient. For example, for preterm or term infants less than 1 month of age, the therapeutically effective dose is about 45 to 60 mcg/kg/day. For pediatric patients 1 month to less than 1 year of age, the therapeutically effective dose is 30 to 45 mcg/kg/day. For pediatric patients 1 year to 11 years of age, the therapeutically effective dose is about 15 to 30 mcg/kg/day. For pediatric patients 12 years to 17 years of age, the therapeutically effective dose is about 4 to 7.5 mcg/kg/day. For adults, i.e., stable patients, the therapeutically effective dose is 4 to 5 mcg/kg/day. For adults that are critically ill, the therapeutically effective dose is 7.5 to 10 mcg/kg/day.

The number of doses given daily can vary as desired or needed per the therapeutically effective dosing regimen. In some examples, the therapeutically effective dosing regimen can include daily administration of the diluted L-cysteine composition. In

5

10

15

20

other examples, the therapeutically effective dosing regimen can include twice-daily administration of the diluted L-cysteine composition. In some further examples, the therapeutically effective dosing regimen can provide less than or equal to 5  $\mu$ g/kg/d of Aluminum. In still further examples, the therapeutically effective dosing regimen can provide less than or equal to 4  $\mu$ g/kg/d of Aluminum, or less than or equal to 3  $\mu$ g/kg/d of Aluminum. In certain embodiments, the methods result in a daily dosage of Aluminum from the composition of from about 2  $\mu$ g/kg/d to not more than 5  $\mu$ g/kg/d.

The diluted L-cysteine composition for infusion can be administered for the treatment of a number of conditions. For example, L-cysteine can be administered to meet the intravenous amino acid nutritional requirements of individuals (e.g. infants) receiving total parenteral nutrition. As such, in some examples, the subject can be a subject in need of total parenteral nutrition (TPN). In some additional examples, L-cysteine can be administered for the treatment of osteoarthritis, rheumatoid arthritis, angina, chronic bronchitis, chronic obstructive pulmonary disease (COPD), influenza, acute respiratory distress syndrome (ARDS), diabetes (e.g. type 2 diabetes), the like, or a combination thereof.

In certain embodiments, the subject matter described herein is directed to methods of preparing a composition, comprising:

Stirring Water for Injection, USP (WFI) in a vessel at a temperature of NMT about 60°C;

Contacting the stirring WFI with Argon until the dissolved oxygen is NMT 1 ppm; Allowing the vessel to cool to a temperature of NMT 30°C;

Contacting under the Argon the WFI with L-Cysteine Hydrochloride, Monohydrate, USP (L-Cysteine) for NLT about 15 mins;

Continuing the mixing under Argon until the dissolved oxygen is NMT 1 ppm; Adjusting the pH to about 1.8 with concentrated Hydrochloric Acid, NF and/or 5.0

Mixing for a minimum of about 10 minutes;

Capping the vessel under Argon and allowing to stand;

N Sodium Hydroxide, NF;

5

10

15

20

Filling said mixed liquid into individual single use containers;

Reducing head space oxygen to about 5.0% to 0.5% and sealing said containers wherein the dissolved oxygen in the container is about 0.1 ppm to about 5 ppm.

The subject matter described herein includes, but is not limited to, the following specific embodiments:

1. A stable L-cysteine composition for parenteral administration, comprising:

L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof in an amount from about 10 mg/mL to about 100 mg/mL;

Aluminum (Al) in an amount from about 1.0 parts per billion (ppb) to about 250 ppb;

L-cystine in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine;

pyruvic acid in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine;

a pharmaceutically acceptable carrier, comprising water;

headspace  $O_2$  that is from about 0.5% to 4.0% from the time of manufacture to about 1 month from manufacture when stored at room temperature;

dissolved oxygen present in the carrier in an amount from about 0.1 parts per million (ppm) to about 5 ppm from the time of manufacture to about 1 month from manufacture when stored at room temperature,

wherein the composition is enclosed in a single-use container having a volume of from about 10 mL to about 100 mL.

- 2. The composition of embodiment 1, wherein the composition is essentially free of an antioxidant.
- 25 3. The composition of embodiment 1 or 2, wherein said Aluminum is present in an amount from about 1.0 ppb to about 200 ppb.
  - 4. The composition of embodiment 1, 2 or 3, wherein said Aluminum is present in an amount from about 1.0 ppb to about 180 ppb.

- 5. The composition of embodiment 1, 2, 3 or 4, wherein said Aluminum is present in an amount from about 1.0 ppb to about 170 ppb.
- 6. The composition of embodiment 1, 2, 3, or 5, wherein said Aluminum is present in an amount from about 1.0 ppb to about 160 ppb.
- 5 7. The composition of embodiment 1, 2, 3, 4, 5 or 6, wherein said Aluminum is present in an amount from about 1.0 ppb to about 150 ppb.
  - 8. The composition of embodiment 1, 2, 3, 4, 5, 6 or 7, wherein said Aluminum is present in an amount from about 1.0 ppb to about 130 ppb.
- 9. The composition of embodiment 1, 2, 3, 4, 5, 6, 7 or 8, wherein said Aluminum is present in an amount from about 1.0 ppb to about 100 ppb.
  - 10. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9 or 10, wherein said Aluminum is present in an amount from about 1.0 ppb to about 50 ppb.
  - 11. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 or 11, wherein said Aluminum is present in an amount from about 1.0 ppb to about 20 ppb or from about 1.0 ppb to about 10 ppb.
  - 12. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 or 11, further comprising one or more heavy metals selected from the group consisting of Lead (in an amount of from about 1 ppb to about 10 ppb), Nickel (in an amount of from about 5 ppb to about 40 ppb), Arsenic (in an amount of from about 0.1 ppb to about 10 ppb), and Mercury (in an amount of from about 0.2 ppb to about 5.0 ppb).
  - 13. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11 or 12, wherein said heavy metals are present in total in an amount from about 2.0 ppb to about 8.0 ppb.
  - 14. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12 or 13, further comprising iodide and fluoride, each present in an amount from about 0.1 ppm to about 20 ppm.
  - 15. The composition of embodiment 14, wherein said ions are present in total an amount from about 2.8 ppm to about 5.8 ppm.
  - 16. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14 or 15, wherein said dissolved oxygen is present in an amount from about 0.1 ppm to about 5 ppm.

15

20

- 17. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15 or 16, wherein said dissolved oxygen is present in an amount from about 0.1 ppm to about 3 ppm.
- 18. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16 or 17, wherein said dissolved oxygen is present in an amount from about 0.10 ppm to about
- 5 2.0 ppm.
  - 19. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17 or 18, wherein said dissolved oxygen is present in an amount from about 0.1 ppm to about 1.0 ppm.
  - 20. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16,
- 10 17, 18 or 19, wherein the composition has been stored at room temperature.
  - 21. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 or 20, wherein the storage is for 1 year or less.
  - 22. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20 or 21, wherein the storage is for about 9 months.
- The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21 or 22, wherein L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof is present in the composition in an amount from about 20 mg/mL to about 70 mg/mL.
  - 24. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16,
- 20 17, 18, 19, 20, 21, 22 or 23, wherein L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof is present in the composition in an amount of about 50 mg/mL.
  - 25. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23 or 24, wherein the container is an internally coated glass container.
- 25 26. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24 or 25, wherein said internally coated glass container is coated with SiO2.
  - 27. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25 or 26, essentially free of cystine precipitate.

- 28. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26 or 27, wherein said L-cysteine is present in an amount of about 37.5 mg/mL as free base, or a pharmaceutically acceptable salt thereof and/or hydrate thereof.
- The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, wherein the Aluminum is present in the composition in an amount not more than 200 ppb after storage at ambient temperature for a period of 3 months or less, said Aluminum comprising, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from the L-cysteine, and from about 1.0 ppb to about 20 ppb of Aluminum from the water.
  - 30. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, wherein the Aluminum is present in the composition in an amount not more than 200 ppb after storage at ambient temperature for a period of 6 months or less, said Aluminum comprising, from about 0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppm of Aluminum from the L-cysteine, and from about 0 ppb to about 20 ppb of Aluminum from the water.
- 31. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, wherein the Aluminum is present in the composition in an amount not more than 200 ppb after storage at ambient temperature for a period of 9 months or less, said Aluminum comprising, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum from stopper for the container, from about 1.0 ppb to about 100 ppm of Aluminum from the L-cysteine, and from about 1.0 ppb to about 20 ppb of Aluminum from the water.
  - 32. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, wherein the Aluminum is present in the composition in an amount not more than 200 ppb after storage at ambient temperature for a period of 12 months or less, said Aluminum comprising, from about 1.0 ppb to about 100 ppb of Aluminum from the container, from about 1.0 ppb to about 100 ppb of Aluminum

15

from stopper for the container, from about 1.0 ppb to about 100 ppm of Aluminum from the L-cysteine, and from about 1.0 ppb to about 20 ppb of Aluminum from the water.

- 33. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, wherein cystine is present in the composition in an amount not more than 2 wt% relative to L-cysteine after storage at ambient temperature for a period of 9 months or less.
- 34. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, wherein cystine is present in the composition in an amount not more than 1 wt% relative to L-cysteine after storage at ambient temperature for a period of 9 months or less.
- 35. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, wherein cystine is present in the composition in an amount not more than 0.5 wt% relative to L-cysteine after storage at ambient temperature for a period of 9 months or less.
- 15 36. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, wherein cystine is present in the composition in an amount of about 0.3 wt% relative to L-cysteine after storage at ambient temperature for a period of 9 about months.
  - 37. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16,
- 20 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, wherein pyruvic acid is present in the composition in an amount not more than 2 wt% relative to L-cysteine after storage at ambient temperature for a period of 9 months or less.
- 38. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, wherein pyruvic acid is present in the composition in an amount not more than 1 wt% relative to L-cysteine after storage at ambient temperature for a period of 9 months or less.
  - 39. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, wherein pyruvic acid is present in the composition in an amount not more than 0.5 wt% relative to L-cysteine after storage at ambient temperature for a period of 9 months or less.

30

5

- 40. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, wherein pyruvic acid is present in the composition in an amount not more than 0.3 wt% relative to L-cysteine after storage at ambient temperature for a period of 9 months or less.
- 5 41. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, wherein pyruvic acid is present in the composition in an amount not more than 0.2 wt% relative to L-cysteine after storage at ambient temperature for a period of 9 months or less.
  - 42. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16,
- 10 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, wherein pyruvic acid is present in the composition in an amount not more than 0.1 wt% relative to L-cysteine after storage at ambient temperature for a period of 9 months or less.
  - 43. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, further comprising in the vial, headspace oxygen in an amount of less than 1.0%.
  - 44. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, further comprising in the vial, headspace oxygen in an amount of less than 0.9%.
  - 45. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16,
- 20 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, further comprising in the vial, headspace oxygen in an amount of less than 0.8%.
  - 46. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, further comprising in the vial, headspace oxygen in an amount of less than 0.6%.
- 25 47. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, further comprising in the vial, headspace oxygen in an amount of less than 0.4%.
  - 48. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, further comprising in the vial, headspace
- 30 oxygen in an amount of less than 0.2%.

- 49. The composition of embodiment 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 or 28, wherein the pH of the composition is about 1.6 to about 2.0.
- 50. A stable composition from about 100 mL to about 1000 mL for administration via a parenteral infusion within about 24 to about 48 hours of admixture, comprising a mixture of a composition of L-Cysteine of embodiments 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48 or 49; and an amino acid composition that is essentially free of L-Cysteine comprising one or more amino acids selected from the group consisting of: leucine, isoleucine, lysine, valine, phenylalanine, histidine, threonine, methionine, tryptophan, alanine, arginine, glycine, proline, serine, and tyrosine.
  - 51. The stable composition for infusion of embodiment 50, wherein the composition of L-Cysteine is the composition of embodiment 1, 12 or 28.
  - 52. The stable composition for injection of embodiment 50 or 51, wherein the Aluminum is present in an amount from about 1.0 parts per billion (ppb) to about 100 ppb.
    - 53. The stable composition for injection of embodiment 50, 51 or 52, wherein the Aluminum is present in an amount from about 1.0 parts per billion (ppb) to about 50.0 ppb.
    - 54. The stable composition for injection of embodiment 50, 51, 52 or 53, wherein the Aluminum is present in an amount from about 1.0 parts per billion (ppb) to about 30.0 ppb.
- 20 55. The stable composition for injection of embodiment 50, 51, 52, 53 or 54, further comprising a sugar.
  - 56. The stable composition for injection of embodiment 50, 51, 52, 53, 54 or 55, wherein the sugar is dextrose.
- 57. A method of reducing Aluminum administration from a parenteral nutrition regimen comprising L-cysteine, comprising:
  - administering to a subject a composition of embodiment 50, 51, 52, 53, 54, 55 or 56,

wherein the Aluminum administered to said subject is reduced compared to administration of a standard parenteral composition comprising L-cysteine.

- 58. The method of embodiment 57, wherein the Aluminum is reduced by about 5%, or about 10%, or about 15%, or about 20%, or about 25%, or about 30%, or about 35%, or about 40%, or about 45%, or about 50%, or about 55%, or about 60%, or about 65%, or about 70%, or about 75%, or about 85%, or about 90%, or about 95%.
- 5 59. A method of reducing Aluminum administration from a total parenteral nutrition regimen comprising L-cysteine, the method comprising, mixing a composition comprising L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof comprising:

Aluminum in an amount from about 10 parts per billion (ppb) to about 80 ppb;

L-cystine in an amount from about 0.001 wt% to about 2.0 wt% relative to L-10 cysteine; and

pyruvic acid in an amount from about 0.001 wt% to about 2.0 wt% relative to L-cysteine;

with a composition comprising one or more amino acids selected from the group consisting of: leucine, isoleucine, lysine, valine, phenylalanine, histidine, threonine, methionine, tryptophan, alanine, arginine, glycine, proline, serine, and tyrosine; and

a pharmaceutically acceptable carrier, comprising water, to form a composition for infusion having a volume of about 100 mL to about 1000 mL, wherein the Aluminum provided in said parenteral nutrition regimen is from about 1-2 to about 4-5 micrograms/kg/day.

20 60. A method of treating a subject having an adverse health condition that is responsive to L-cysteine administration, comprising:

diluting a stable L-cysteine composition of embodiments 50, 51, 52, 53, 54, 55 or 56, with an intravenous fluid to prepare a diluted L-cysteine composition for infusion; and infusing the diluted L-cysteine composition for infusion to a subject to provide a therapeutically effective dose of L-cysteine or a pharmaceutically acceptable salt thereof

- therapeutically effective dose of L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof to the subject in a therapeutically effective dosing regimen.
  - 61. The method of embodiment 57, 58, 59, or 61, wherein said administering is from 30 minutes to about 24-48 hrs.
- 62. The method of embodiment 61, wherein the amount of Aluminum in the composition results in a daily dosage of Aluminum from about 1 mcg/kg/day to about 4

15

- mcg/kg/day, or about 2 mcg/kg/day to about 4 mcg/kg/day, or about 1 mcg/kg/day to about 5 mcg/kg/day, or about 2 mcg/kg/day to about 5 mcg/kg/day.
- 63. The method of embodiment 61 or 62, wherein the intravenous fluid is a member selected from the group consisting of: isotonic saline, glucose solution, glucose saline, dextrose solution, crystalline amino acid solution, and combinations thereof.
- 64. The method of embodiment 61, 62 or 63, wherein administering is performed via intravenous infusion.
- 65. The method of embodiment 61, 62, 63, or 64, wherein L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof is administered at a rate of from about 1 mL/min to about 10 ml/min.
- 66. The method of embodiment 61, 62, 63, 64 or 65, wherein the therapeutically effective dose of L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof is an amount from about 50 mg to about 1200 mg.
- 67. The method of embodiment 61, 62, 63, 64, 65 or 66, wherein the therapeutically effective dose of L-cysteine or a pharmaceutically acceptable salt thereof and/or hydrate thereof is an amount of about 100-500 mg.
  - 68. The method of embodiment 61, 62, 63, 64, 65, 66 or 67, wherein the L-cysteine is L-cysteine hydrochloride monohydrate.
- 69. The method of embodiment 61, 62, 63, 64, 65, 66, 67 or 68, wherein the subject is a subject in need of total parenteral nutrition (TPN).
  - 70. The method of embodiment 61, 62, 63, 64, 65, 66, 67, 68 or 69, wherein the subject is an infant having an age of 6 months or less.
  - 71. The method of embodiment 61, 62, 63, 64, 65, 66, 67, 68, 69, 70 or 71, wherein the therapeutically effective dosing regimen is a total parenteral nutrition (TPN) dosing regimen.
  - 72. A method of preparing the composition of any above embodiment, comprising stirring Water for Injection, USP (WFI) in a vessel at a temperature of NMT about 60°C;

Contacting the stirring WFI with Argon until the dissolved oxygen is NMT 1 ppm;

5

10

Allowing the vessel to cool to a temperature of NMT 30°C;

Contacting under the Argon the WFI with L-Cysteine Hydrochloride, Monohydrate, USP (L-Cysteine) for NTL about 15 mins;

Continuing the mixing under Argon until the dissolved oxygen is NMT 1 ppm;

Adjusting the pH to about 1.8 with concentrated Hydrochloric Acid, NF and/or 5.0 N Sodium Hydroxide, NF;

Mixing for a minimum of about 10 minutes;

Capping the vessel under Argon and allowing to stand; and

Performing Head Space oxygen Reduction after filling, wherein the dissolved oxygen is about 0.1 ppm to about 5 ppm.

With this in mind, the following examples are intended to illustrate, but not limit, various aspects of the compositions and methods described herein.

## Examples

Example 1

Compounding L-Cysteine Hydrochloride Injection, USP, 50 mg/mL, 10 mL Vial

Compounding was initiated with the addition of  $40 \pm 1.0$  kg of Water for Injection, USP (WFI) was added to the Xcellerex Mixing System via an addition funnel. A target water temperature of NMT  $60^{\circ}$ C was maintained throughout WFI addition using a heat exchanger. With continuous mixing at a speed of 126 rpm, Argon overlaying of the WFI began in the mixing bag and continued until the dissolved oxygen was NMT 1 ppm; then the mixing bag was allowed to cool to a temperature of NMT  $30^{\circ}$ C.

5

10

With continuous mixing and Argon overlaying, the L-Cysteine Hydrochloride, Monohydrate, USP (L-Cysteine) was added directly into the vortex of the mixing bag. The mixing continued for NLT 15 minutes or until complete dissolution was observed. The dissolved oxygen content was measured and recorded prior to the addition of L-Cysteine, immediately following the addition of L-Cysteine, and following the NLT 15-minute mixing period. With continuous mixing and Argon overlaying, the temperature, pH and dissolved oxygen of the solution was measured and recorded. Argon overlaying continues until the dissolved oxygen was NMT 1 ppm.

With continuous mixing and Argon overlaying, the solution's pH was adjusted to a target of 1.8 with concentrated Hydrochloric Acid, NF and/or 5.0 N Sodium Hydroxide, NF. Following pH adjustment, the solution was allowed to mix for a minimum of 10 minutes, and then the pH was verified and adjusted as needed with the Hydrochloric Acid, NF and/or N Sodium Hydroxide, NF. Then, the solution's weight, adjusted pH and dissolved oxygen was measured and recorded.

With continuous mixing and Argon overlaying, the solution was q.s.'d with the WFI to a final weight of 50.6 kg and allowed to mix for approximately 10 minutes. The final solution weight, solution temperature, solution pH, and dissolved oxygen was then measured and recorded. Following these steps, the mixing bag was fully inflated with Argon and capped, and the solution was transferred from the mixing bag to the solution holding bag.

## Example 2

## L-Cysteine Injection in High Quality Glass Vials

L-Cysteine injection was compounded as per Example 1. The bulk solution was filled then using high quality glass vials (10 mL) from Schott. These vials are known as Schott Type 1 USP glass. The glass was a standard glass of pharmaceutical quality but was uncoated. The product was put on stability and was monitored for impurities, particulates, and Aluminum. The product was quite stable for all the time points tested up to 12 months. There were no unacceptable particulate counts.

5

10

15

20

However, as the data show, the product resulted in an unacceptably high aluminum content. The data for aluminum levels are shown below.

Table 6. Aluminum Levels

		6 Months		
Lot #	Release	25°C/60% RH	40°C/75% RH	
ХМНН1609	212 ppb	569 ppb	1.306 ppb	
ХМНН1610	199 ppb	748 ppb	1,374 ppb	
ХМНН1611	230 ppb	726 ppb	1,044 ppb	

5 Example 3

# L-Cysteine Injection in Plastic Vials

L-Cysteine injection was compounded as per Example 1. The bulk solution was filled then using plastic vials obtained from Medicopak, Inc. These vials are made of cyclic olefin copolymer (COC). The product was put on stability and was monitored for impurities, particulates, and Aluminum. The product was not stable beyond 1 month at accelerated storage conditions and failed at room temperature conditions by the third month data point.

Table 7. Particulate levels

10

Lot Number/ Vial	Release	1 Month /	3 Month /
		40°C/75% RH*	25°C/60% RH*
XMHG1700/ 10 mL COC	Passing	Failed Visual,	Failed Visual,
vial		particulates	particulates
XMHG1701/ 10 mL COC	Passing	Failed Visual,	Failed Visual,
vial		particulates	particulates
XMHG1702/ 10 mL COC	Passing	Failed Visual,	Failed Visual,
vial		particulates	particulates

15 However, the product showed acceptable aluminum content. The data for aluminum levels are shown below.

Table 8. Aluminum Levels

Time Point	Lot XMHG 1700	Lot XMHG 1701	Lot XMHG 1702
Time Zero	<u>1 ppb</u>	2 ppb	<u>1 ppb</u>

Aluminum at additional time points was not measured because the product was abandoned due to unacceptably high particulate count.

5 Example 4

## Headspace Reduction and Argon Overlay

Data from Example 3 show that plastic vials do not provide the desired purity and stability of a L-cysteine composition for injection. This study was to evaluate the parameters to determine headspace oxygen reduction conditions. The product was manufactured as per Example 1. The drug product was overlaid with Argon until the dissolved oxygen levels were no more than (NMT) 1 part per million (PPM). Vials were filled and placed in the VirTis Benchmark Lyophilizer, OP4159, for Head Space reduction. In addition, empty vials were also placed into the lyo for Head Space reduction as part of the study.

Multiple points were monitored during the manufacturing process as part of the study including the following: 1) Compounding; 2) Pre-Filling; 3) Filling; 4) Post Filling; and 5) Head Space Reduction (HSR). The monitoring involved taking dissolved oxygen (DO) measurements on drug product for filled vials throughout the manufacturing process and performing Head Space Gas Analysis on drug product for both filled and empty vials post head space reduction. Additionally, fill hold samples representing the maximum exposure during the filling step were analyzed for the dissolved oxygen and Head Space Oxygen Analysis.

10

15

The sampling and testing that was performed per the study is shown in Table 9. Samples were collected throughout the manufacturing process to determine the impact of critical process parameters on its predetermined critical quality attribute.

Table 9: Sampling and Testing Methodology

Operation	Sample Location/Quantity	Testing Requirements	Acceptance Criteria
Compounding	The bulk solution was mixed under Argon overlaying. Measure and record final solution weight, solution		Dissolved Oxygen < 1 ppm
Filling	oxygen.  For Load A [Trays 1 – 4, 17 – 20] use forceps to remove four (4) filled vials from each tray as it is filled Fully seat the stoppers of the removed filled vials immediately after removal and then label vials appropriately	Dissolved Oxygen	Dissolved Oxygen =Report Value
Filling Hold	As Tray 1 is loaded into the Lyo, using forceps, carefully remove 20 vials from the appropriate locations. Do not fully stopper the vials. Mark the vials "Fill Hold"  Similarly, after Tray 21 has been completely filled and is being placed into the cart, use forceps to remove twenty (20) filled vials from the appropriate  As Tray 21 is being loaded into the Lyophilizer for Head Space Reduction, use forceps to remove two (2) of the vials marked "Fill Hold", fully seat the stoppers of the vials, and label appropriately.	Dissolved Oxygen	Dissolved Oxygen =Report Value
Lyo Loading	For Trays 1 – 4, 17 – 20, 21 – 24, and 37 – 40, use forceps to remove two (2) filled vials, as each tray is loaded into the Lyo, fully seat the stoppers of the vials, and label appropriately	Dissolved Oxygen	Dissolved Oxygen =Report Value

Capping	Following headspace reduction and immediately prior to loading each tray into the RAB for capping, use forceps to remove four (4) filled vials from each tray. Place a mark on each of the removed vials for identification purposes and place the marked vials back into the tray. Load the tray into the RAB for capping. Following the capping of each tray, remove the marked vials from the tray and label appropriately.	Dissolved Oxygen Head Space Gas Analysis	Dissolved Oxygen =Report Value  Head Space Gas Analysis =Report Value
Capping Fill Hold	Following headspace reduction and capping, remove the eighteen (18) vials marked "Fill Hold" from Tray 21 for testing.	Dissolved Oxygen Head Space Gas Analysis	Dissolved Oxygen =Report Value Head Space Gas Analysis =Report Value

The data collected are as follows: Dissolved oxygen; Comparison of dissolved oxygen levels per tray at various stages of the manufacturing process; Filled vials head space oxygen; Held vials dissolved oxygen (ppm) and head space oxygen content (%); Comparison of Post Head Space Dissolved Oxygen (ppm) and Head Space Oxygen Content (%).

Dissolved oxygen data at various stages of the manufacturing process are shown in Table 10. A two (2) hour delay in the DO testing for the Post Filling - Pre HSR samples (Tray 1 – 4; tested using gas calibration) exhibited an average value of 11.77 ppm, an increase of 6.65 ppm from the average of 5.12 ppm measured live time after filling using the liquid calibration. Furthermore, the samples tested live time but using the gas calibration (Tray 17 – 20) exhibited an average value of 6.41 ppm, an increase of 1.29 ppm from the average of 5.12 ppm measured after filling using the liquid calibration. Starting Tray 21 of the Post Filling – Pre HSR step, the calibration was corrected to a liquid calibration. Comparison of dissolved oxygen levels at various stages of the manufacturing process is provided in Figures 1 and 2.

5

10

Table 10. Dissolved Oxygen Levels.

Tray Number	Post Filling -Pre HSR (ppm)	Post Filling - During Loading of Lyo (ppm)	Post HSR -Capping - Filled Vials (ppm)
1	11.932	10.179	0.480
2	11.228	9.925	0.470
3	11.486	10.577	0.508
4	12.441	10.370	0.409
17	6.808	9.893	0.525
18	6.628	9.859	0.707
19	5.860	9.854	0.486
20	6.343	9.720	0.495
21	5.641	10.329	0.735
22	5.374	10.308	0.546
23	5.190	10.149	0.481
24	7.073	9.844	0.541
37	4.328	9.544	0.403
38	3.604	9.251	0.378
39	4.559	9.265	0.390
40	5.173	9.577	0.369
Average	5.117	9.915	0.495
STD	1.03	0.39	0.11
%RSD	20.1	3.9	21.3

Table 11. Filled Vials Head Space Oxygen.

Tray Number	Post HSR -Capping - Filled Vials (% Oxygen)	Post Capping - Empty Vials (% Oxygen)
1	1.147	0.981
2	1.399	1.116
3	1.551	0.980
4	0.950	1.139
17	1.382	1.156
18	1.766	1.236
19	1.154	1.224
20	1.265	1.180
21	1.844	1.221
22	1.365	1.169
23	0.890	1.295
24	1.148	1.114
37	0.880	1.300
38	0.871	1.151
39	0.850	1.097

40	0.889	1.042
Average	1.209	1.150
STD	0.32	0.10
%RSD	26.7	8.3

Table 12. Held vials dissolved oxygen (ppm) and head space oxygen content (%).

Held Vials- Tray 1 / Tray 21	Dissolved Oxygen Post Filling – Loading of Lyo (ppm)	Dissolved Oxygen Post HSR – Capping – Filled Vials (ppm)	Head Space Oxygen % Post HSR- Capping – Filled Vials (%)
Sample 1	10.685	0.578	1.563
Sample 2	10.467	0.588	1.390
Sample 3	-	0.565	1.522
Sample 4	-	0.550	1.447
Average	10.576	0.570	1.481
STD	0.15	0.02	0.08
%RSD	1.5	2.9	5.2

Table 13. Comparison of Post Head Space Dissolved Oxygen (ppm) and Head Space

5 Oxygen Content (%).

	Dissolved Oxygen Pre HSR (ppm)	Dissolved Oxygen Post HSR – (ppm)	Head Space Oxygen % Post HSR (%)
PROT-000055 Study Empty Vials Avg.	-	-	1.150
PROT-000055 Study Filled Vials Avg.	9.915	0.495	1.209
2018-RD-022 Study Empty Vials Avg.	-	-	0.49
2018-RD-022 Study Filled Vials Avg.	7.14	2.55	1.27
Lot XMHJ1705	-	0.637	2.28
Lot XMHJ1706	-	0.391	1.92
Lot XMHJ1707	-	1.585	1.94

The results from these experiments demonstrate the effectiveness of the Head Space Reduction (HSR) cycle in attaining reduced and consistent dissolved oxygen (DO) levels in the finished drug product. The results showed a trend with an increase in dissolved oxygen level from 0.36 parts per million (ppm) recorded during compounding, to an average of 5.12 ppm measured after filling, a further increase to an average of 9.92 ppm while loading the Lyophilizer, and finally a reduction of dissolved oxygen to an average of 0.50 ppm after headspace reduction. The overall trends are displayed in Figures 1 and 2. The plots and data also show that the average increase in dissolved oxygen levels from compounding to the filled vials was 4.76 ppm. Also, as the vials were stored in the transfer cart, an average dissolved oxygen increase of about 4.80 ppm was observed prior to being loaded in the Lyophilizer for head space reduction. The total average increase in dissolved oxygen levels from compounding to vials being loaded in the lyophilizer was 9.56 ppm. The average decrease in dissolved oxygen observed in vials post head space reduction was 9.42 ppm. In addition, the oxygen levels obtained across all trays analyzed pre and post HSR were consistent throughout the manufacturing process.

Head Space Gas Analysis was performed on both filled and empty vials taken from designated locations in selected trays. Percent (%) Oxygen results achieved across the trays showed a relatively uniform head space reduction process throughout the chamber. The average % Oxygen for the empty vials was found to be 1.15%, compared with 1.21% for the filled vials (Reference Table 11).

Dissolved Oxygen and Head Space Gas Analysis were also performed on the Held Vials from designated locations in Tray 1 as part of the stressed sample analysis over the course of the manufacturing process. The results showed a comparable trend to that observed for the regular samples across the study (Reference Table 12). Specifically, an increase in dissolved oxygen level from 0.36 ppm recorded during compounding, to 5.64 ppm measured after filling, a further increase to an average of 10.58 ppm while loading the Lyophilizer, and finally a reduction of dissolved oxygen to an average of 0.57 ppm after headspace reduction. The average % Oxygen for the filled held vials was found to be 1.48%, compared with 1.21% for the filled regular vials. This indicated that the HSR cycle was effective in achieving comparable DO and Headspace oxygen results irrespective of the maximum fill time exposure (approximately 7 hours; represented by the Fill Hold

5

10

15

20

Vials) and has no impact on the quality of the product. The use of the Lyophilizer, in the Head Space Reduction of L-Cysteine Hydrochloride Injection, USP (50 mg/mL) has been shown to be effective for the control of reduced and consistent oxygen levels, and is suitable for scale up for the existing process and equipment as the product meets all the critical quality attributes.

#### Example 5

Head space oxygen reduction was accomplished using an automated filling equipment that can handle high speed filling, in contrast to slow or low volume operation such as through a lyophilizer as described in Examples 1 and 4. The high-speed filler is capable of using vacuum and gas overlay in alternate pulses to reduce the head space oxygen. Each pulse is timed to be within 0.1 to 5 seconds such that typically 3-5 pulses are conducted in one head space oxygen reduction cycle. The pulse rate can be adjusted after multiple trials to provide optimal headspace reduction with optimal speed of the filler such that no product is lost through back suction or through spillage and average speeds of from about 20 vials/minute to about 200 vials per minute, depending on the number of fill heads used.

A 50 L batch was prepared utilizing the current compounding procedure described above in Example 1. The filler was set up to fill and reduce head space oxygen as per the process shown in Figure 3.

The total head space reduction cycle lasted about 25 seconds per operation. The vials were analyzed for head space oxygen at time zero and at 1-month time point. The data are shown below.

The evaluation of the filler's performance demonstrated that the headspace oxygen control was comparable to or better than the current process for L-Cysteine. Headspace oxygen values obtained ranged from 0.2% to 0.5% for all vials filled, including empty vials during start up. Vials tested after 1-month storage at ambient conditions also maintain headspace oxygen levels between 0.4 and 1.5%. The Tables below show a summary of the

5

10

15

20

results for the in process and 1-month stability testing. Also included below is a comparison of the in-process data obtained from previously manufactured lots of L-Cysteine utilizing the lyophilizer headspace reduction method. The data show the headspace oxygen values at Time Zero are lower with the high-speed filler than the lyophilizer process.

5 Table 14. Headspace Oxygen Levels at Time Zero for High-Speed Filler

PROT-000213 – Time Zero						
Tray 5 Tray Overall Overall Average						
Headspace O₂ (%)	0.473	0.378	0.243	0.490	0.372	

Table 15. Headspace Oxygen Levels at 1 Month for High-Speed Filler

PROT-000213 – 1 Month						
		Tray No. 5 Tray No. 10				
	Low	Low High Average			High	Average
Headspace O₂ (%)	0.412	1.518	0.995	0.98	1.454	1.262

Table 16. Comparison of Headspace Oxygen Levels between Lyophilizer and High-Speed Filler Operations

Batch (Process)	XMHJ1705 (Current Process)	XMHJ1706 (Current Process)	XMHJ1707 (Current Process)	PROT-000213 (High Speed Filler)
Average Low High	2.3 % Oxygen N/A N/A	1.9 % Oxygen N/A N/A	1.9 % Oxygen N/A N/A	0.4 % Oxygen 0.2% Oxygen 0.5% Oxygen
1 Month Room Temperature	0.9 % Oxygen	2.8 % Oxygen	1.2 % Oxygen	1.1 % Oxygen (0.4 % to 1.5 %)

N/A – Not Applicable

15 Figure 4 shows the comparison of oxygen headspace control between the lyophilizer chamber headspace control method versus the high-speed filler vacuum stoppering system. The lyophilizer chamber for headspace reduction was utilized for lots XMHJ1705, XMHJ1706, and XMHJ1707 of L-Cysteine Hydrochloride injection. The time

zero oxygen headspace results for the engineering batch PROT-000213 are shown in comparison to the previously manufactured lots. Results shown were measured at the time of manufacturing on samples of vials from the batches. Oxygen percentage was taken for the samples from PROT-000213 using the NeoFox Phase Fluorometer. Lots XMHJ1705, XMHJ1706, and XMHJ1707 used Argon Headspace Analysis, QCTM-000014.

In addition to the head space oxygen levels, dissolved oxygen levels were also measured. Data are shown in Figure 5.

The dissolved oxygen levels and head space oxygen levels were measured again at 1 month stability time point at room temperature conditions:

## 10 Table 17. Headspace and Dissolved Oxygen Data Comparison at 1 month

Study – 1 Month									
		Tray	No. 5	-	Γray No. 10	)			
	Sample 1	Sample 2	Sample 3	Sample 4	Sample 1	Sample 2	Sample 3		
Headspace O <sub>2</sub> (%)	0.576	0.412	1.518	1.475	0.98	1.454	1.352		
Dissolved O <sub>2</sub> (ppm)	0.545	0.706	2.328	2.042	2.173	2.372	2.149		

Example 6

Purity Profile and Long-Term Stability of L-Cysteine Composition for Injection

15

20

5

An L-cysteine composition for injection was manufactured as described in Example 1. The glass used was Schott Type 1 USP Plus glass, internally coated with silicon dioxide. The composition was subjected to stability testing to evaluate the stability of the composition over time. Table 18 shows various stability data collected for the L-cysteine composition for injection over a 9-month testing period. Samples of exhibit batches stored upright at room temperature for 9 months at 25 °C/ 60% RH. Note: two samples were tested for dissolved oxygen and head-space oxygen.

Table 18. Characterization of L-Cysteine Composition for Injection

Test	XMHJ1705	XMHJ1706	XMHJ1707
	Up	Up	Up
L-Cysteine HCl	100.4%	101.3%	101.2%
Related Compounds:			
L-Cystine	0.3%	0.3%	0.3%
Pyruvic Acid Total	0.1%	0.2%	0.1%
Specified RRT-1.98	0.2%	0.2%	0.2%
Individual	ND	ND	ND
Unspecified	0.5%	0.7%	0.6%
Total Impurities			
Dissolved Oxygen	(1) 0.12 ppm	(1) 0.13 ppm	(1) 0.14 ppm
	(2) 0.13 ppm	(2) 0.14 ppm	(2) 0.13 ppm
Head-Space Oxygen	$(1)\ 0.16\%$	(1) 0.53%	(1) 0.56%
	(2) 0.37%	(2) 0.89%	(2) 0.50%
Aluminum Content	3.2 ppb	2.9 ppb	5.6 ppb
Description	Clear colorless	Clear colorless	Clear colorless
	solution	solution	solution

Example 7

# 5 Effect of Dissolved Oxygen and Headspace Oxygen on L-cysteine and Cystine Levels

An L-cysteine composition for injection was manufactured as described in Example 1. However, samples of exhibit batches were tested without head-space reduction and argon overlay during compounding, then filled, stoppered and capped. Samples were tested within one week of manufacturing date. Data in Table 19 show the marked effects of lack of headspace and dissolved oxygen on component levels within one week. L-Cystine increased by about 0.4% - 0.7% within a week for samples with higher dissolved oxygen and head-space oxygen.

Table 19. Effect of lack of Headspace and Dissolved Oxygen Control on Product Purity

Test	Prior to Head-Space Reduction Tray 1	Prior to Head-Space Reduction Tray 19	Prior to Head-Space Reduction Tray 23	Ave Values for after completed Batch
L-Cysteine HCl	99.9%	100.1%	100.0%	102.0%
L-Cystine	0.8%	0.5%	0.6%	0.1%
Head-Space	20.8%	20.3%	20.3%	1.2%
Oxygen				
Dissolved	8.3 ppm	8.6 ppm	8.6 ppm	0.50 ppm
Oxygen				

Example 8

# Evaluation of Anions in L-Cysteine Product

Inorganic anionic leachables were determined using validated potentiometric methods utilizing ion selective electrodes. Fluoride and Iodide were evaluated for this drug product. The leachables testing results are listed in Tables 20 and 21 below.

Table 20. Leachable Iodide Results for L-Cysteine HCl Injection [I<sup>-</sup>] (ppb)

(PPC)										
		XMHJ1705								
	:	25ºC/60% RI	1		40°C/75% RH					
Replicate	Upright	Horizontal	Inverted	Upright	Horizontal	Inverted				
1	28.1	27.4	27.1	25.2	24.9	24.7				
2	25.9	26.3	25.9	24.0	24.1	24.1				
3	28.1	25.3	25.3	24.0	22.3	21.6				
Average	27.4	26.3	26.1	24.4	23.7	23.5				
SD	1.3	1.0	0.9	0.7	1.3	1.6				
% RSD	4.7	3.9	3.6	2.7	5.6	7.0				
			XMH	J1706						
	,	25°C/60% RI	1		40°C/75% RF	ł				
Replicate	Upright	Horizontal	Inverted	Upright	Horizontal	Inverted				
1	81.7	80.3	82.8	80.3	82.0	81.8				
2	83.1	81.7	81.5	82.5	82.3	81.3				

3	81.7	81.7	81.8	78.1	81.9	82.8	
Average	82.2	81.2	82.0	80.3	82.1	82.0	
SD	0.8	0.8	0.7	2.2	0.2	0.7	
% RSD	0.9	1.0	0.9	2.7	0.2	0.9	
			XMH	J1707			
		25ºC/60% RI	ł	40°C/75% RH			
Replicate	Upright	Horizontal	Inverted	Upright	Horizontal	Inverted	
1	53.5	52.3	53.1	51.7	51.4	50.8	
2	52.5	54.0	53.7	51.8	52.0	53.5	
3	54.4	52.8	52.8	53.8	53.6	52.6	
Average	53.5	53.0	53.2	52.4	52.3	52.3	
SD	1.0	0.9	0.4	1.2	1.1	1.4	
% RSD	1.8	1.7	0.8	2.2	2.1	2.6	

Table 21. Leachable Iodide Results for L-Cysteine HCl Injection [I<sup>-</sup>] (ppb)

5

10

	XMHL	1702A	XMHL1702B		
	25 °C/60 %RH	40 °C/75 %RH	25 °C/60 %RH	40 °C/75 %RH	
	6 month	6 month	6 month	6 month	
Iodide (ppb)	29	24	24	19	

The leachable results for Fluoride indicate levels below 20 ppb and no observable trend in leachable amount over time or temperature dependence. The leachable results for Iodide indicate that levels were observed ranging from ~20-80 ppb. No noticeable trend in leachable amount including vial orientation or temperature dependence was observed.

## Example 9

## Elemental Leachables

Elemental leachables were evaluated using a validated inductively coupled plasma mass spectrometric (ICP-MS) method. ICP-MS method is described in detail in USP and other literature in the art. The results for the elemental leachables analysis are summarized in the Table below. The Table lists the Allowable Elemental Concentrations (AEC) for each identified element.

Table 22. Elemental Impurity Leachables Results for L-Cysteine HCl Injection [X] (ppb)

Element	AEC			IJ1705 60 %RH		40	MHJ170 °C/75 %	
	(ppb)			1	point (n	nonths)	1	
		1	3	6	9	1	3	6
Molybdenum	14537	< 0.5	2	1.75	0.6	< 0.5	2	0.91
Zinc	12598	14	2	13.84	23.4	11	38	<ql< td=""></ql<>
Iron	12598	25	21	50.52	19	16	60	5.73
Chromium	10660	2	<ql< td=""><td><ql< td=""><td>3.2</td><td>2</td><td>6</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>3.2</td><td>2</td><td>6</td><td><ql< td=""></ql<></td></ql<>	3.2	2	6	<ql< td=""></ql<>
Barium	6784	2	<ql< td=""><td><ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<>	< 0.5	2	<ql< td=""></ql<>
Tin	5815	1	2	3.38	1.2		3	0.88
Copper	2907	<0.5	<ql< td=""><td><ql< td=""><td>15.0</td><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>15.0</td><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<>	15.0	< 0.5	2	<ql< td=""></ql<>
Manganese	2423	1	<ql< td=""><td><ql< td=""><td>0.3</td><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>0.3</td><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<>	0.3	< 0.5	2	<ql< td=""></ql<>
Lithium	2423	<0.5	5	3.90	0.1	< 0.5	6	3.79
Gold	969	5	3	9.76	0.3	3	4	1.76
Antimony	872	1	1	0.88	0.1	1	2	0.60
Selenium	775	<0.5	<ql< td=""><td><ql< td=""><td>0.1</td><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>0.1</td><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<>	0.1	< 0.5	2	<ql< td=""></ql<>
Nickel	194	11	9	16.66	8.1	11	9	0.99
Arsenic	174	1	<ql< td=""><td><ql< td=""><td>0.2</td><td>1</td><td>2</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>0.2</td><td>1</td><td>2</td><td><ql< td=""></ql<></td></ql<>	0.2	1	2	<ql< td=""></ql<>
Aluminum	120	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Vanadium	97	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>4</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>4</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>4</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>4</td><td><ql< td=""></ql<></td></ql<>	< 0.5	4	<ql< td=""></ql<>
Silver	97	<0.5	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td>17</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td>17</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>17</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>17</td><td><ql< td=""></ql<></td></ql<>	17	<ql< td=""></ql<>
Ruthenium	97	<0.5	1	0.72	<ql< td=""><td>&lt; 0.5</td><td>2</td><td>0.74</td></ql<>	< 0.5	2	0.74
Rhodium	97	<0.5	4	4.31	<ql< td=""><td>&lt; 0.5</td><td>8</td><td>4.29</td></ql<>	< 0.5	8	4.29
Platinum	97	<0.5	< 0.5	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<>	< 0.5	1	<ql< td=""></ql<>
Palladium	97	<0.5	<ql< td=""><td><ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<>	< 0.5	1	<ql< td=""></ql<>
Osmium	97	<0.5	<ql< td=""><td><ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<>	< 0.5	1	<ql< td=""></ql<>
Iridium	97	<0.5	6	5.98	<ql< td=""><td>&lt; 0.5</td><td>7</td><td>5.92</td></ql<>	< 0.5	7	5.92
Thallium	78	< 0.5	4	3.59	<ql< td=""><td>&lt; 0.5</td><td>5</td><td>3.59</td></ql<>	< 0.5	5	3.59
Cobalt	48	< 0.5	<ql< td=""><td><ql< td=""><td>0.1</td><td>&lt; 0.5</td><td>&lt; 0.5</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>0.1</td><td>&lt; 0.5</td><td>&lt; 0.5</td><td><ql< td=""></ql<></td></ql<>	0.1	< 0.5	< 0.5	<ql< td=""></ql<>
Lead	48	2	5	6.77	1.5	2	6	3.33
Mercury	29	< 0.5	1	0.78	2	< 0.5	1	1.10
Cadmium	19	<0.5	1	1.31	<ql< td=""><td>&lt; 0.5</td><td>2</td><td>1.30</td></ql<>	< 0.5	2	1.30

Table 22. (cont.) Elemental Impurity Leachables Results for L-Cysteine HCl Injection [X] (ppb)

	AEC		XMHJ1705 25 <sup>o</sup> C/60 %RH				
Element	(ppb)	Time	point (m	nonths)			
		12 INV	12 HOR	12 UP			
Molybdenum	14537	0.4	0.4	0.5			
Zinc	12598	7	5	3			
Iron	12598	9	157	637			
Chromium	10660	1	2	3			
Barium	6784	0.4	0.4	0.4			
Tin	5815	1	1	1			
Copper	2907	0.5	0.8	0.6			
Manganese	2423	<ql< td=""><td>2</td><td>8</td></ql<>	2	8			
Lithium	2423	0.04	0.05	0.05			
Gold	969	0.4	<ql< td=""><td>1</td></ql<>	1			
Antimony	872	0.4	0.3	0.3			
Selenium	775	<ql< td=""><td>1</td><td><ql< td=""></ql<></td></ql<>	1	<ql< td=""></ql<>			
Nickel	194	14	14	15			
Arsenic	174	0.3	0.3	0.2			
Aluminum	120	(4) <ql< td=""><td>(19) <ql< td=""><td>(5) <ql< td=""></ql<></td></ql<></td></ql<>	(19) <ql< td=""><td>(5) <ql< td=""></ql<></td></ql<>	(5) <ql< td=""></ql<>			
Vanadium	97	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>			
Silver	97	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>			
Ruthenium	97	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>			
Rhodium	97	0.01	0.01	0.01			
Platinum	97	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>			
Palladium	97	0.06	0.06	0.1			
Osmium	97	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>			
Iridium	97	0.04	0.03	0.04			
Thallium	78	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>			
Cobalt	48	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>			
Lead	48	2	2	2			
Mercury	29	0.7	0.7	0.6			
Cadmium	19	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>			

Table 22. (cont.) Elemental Impurity Leachables Results for L-Cysteine HCl Injection [X] (ppb)

Element	AEC			IJ1706 60 %RH			XMHJ17 °C/75 %		
	(ppb)			Tin	ie point	(months)			
		1	3	6	9	1	3	6	
Molybdenum	14537	< 0.5	1	1.32	0.4	< 0.5	2	1.33	
Zinc	12598	10	8	8.23	23.9	10	36	4.25	
Iron	12598	9	30	34.02	7.9	10	41	45.60	
Chromium	10660	1	<ql< td=""><td><ql< td=""><td>1.9</td><td>2</td><td>5</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>1.9</td><td>2</td><td>5</td><td><ql< td=""></ql<></td></ql<>	1.9	2	5	<ql< td=""></ql<>	
Barium	6784	<0.5	<ql< td=""><td><ql< td=""><td><ql< td=""><td>1</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>1</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>1</td><td>1</td><td><ql< td=""></ql<></td></ql<>	1	1	<ql< td=""></ql<>	
Tin	5815	1	2	2.91	1.3	1	3	2.08	
Copper	2907	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>1</td><td><ql< td=""></ql<></td></ql<>	1	<ql< td=""></ql<>	
Manganese	2423	<0.5	<ql< td=""><td><ql< td=""><td>0.3</td><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>0.3</td><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<>	0.3	< 0.5	1	<ql< td=""></ql<>	
Lithium	2423	< 0.5	4	3.84	0.1	< 0.5	6	3.87	
Gold	969	2	3	4.38	0.2	2	4	3.99	
Antimony	872	1	1	0.81	<ql< td=""><td>1</td><td>2</td><td>0.91</td></ql<>	1	2	0.91	
Selenium	775	< 0.5	<ql< td=""><td><ql< td=""><td>0.6</td><td>1</td><td>3</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>0.6</td><td>1</td><td>3</td><td><ql< td=""></ql<></td></ql<>	0.6	1	3	<ql< td=""></ql<>	
Nickel	194	11	10	8.66	8.1	11	9	8.68	
Arsenic	174	< 0.5	<ql< td=""><td><ql< td=""><td>0.4</td><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>0.4</td><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<>	0.4	< 0.5	2	<ql< td=""></ql<>	
Aluminum	120	<ql< td=""><td><ql (2)</ql </td><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql (2)</ql 	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>	
Vanadium	97	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>4</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>4</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>4</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>4</td><td><ql< td=""></ql<></td></ql<>	< 0.5	4	<ql< td=""></ql<>	
Silver	97	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td>17</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td>17</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td>17</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>17</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>17</td><td><ql< td=""></ql<></td></ql<>	17	<ql< td=""></ql<>	
Ruthenium	97	<0.5	1	0.73	<ql< td=""><td>&lt; 0.5</td><td>2</td><td>0.73</td></ql<>	< 0.5	2	0.73	
Rhodium	97	<0.5	4	4.29	<ql< td=""><td>&lt; 0.5</td><td>8</td><td>4.28</td></ql<>	< 0.5	8	4.28	
Platinum	97	<0.5	< 0.5	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<>	< 0.5	1	<ql< td=""></ql<>	
Palladium	97	< 0.5	<ql< td=""><td><ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<>	< 0.5	1	<ql< td=""></ql<>	
Osmium	97	< 0.5	<ql< td=""><td><ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<>	< 0.5	1	<ql< td=""></ql<>	
Iridium	97	< 0.5	6	5.94	<ql< td=""><td>&lt; 0.5</td><td>7</td><td>5.94</td></ql<>	< 0.5	7	5.94	
Thallium	78	< 0.5	4	3.59	<ql< td=""><td>&lt; 0.5</td><td>5</td><td>3.59</td></ql<>	< 0.5	5	3.59	
Cobalt	48	< 0.5	< 0.5	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>&lt; 0.5</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>&lt; 0.5</td><td><ql< td=""></ql<></td></ql<>	< 0.5	< 0.5	<ql< td=""></ql<>	
Lead	48	2	6	5.53	2.0	2	6	5.53	
Mercury	29	< 0.5	1	1.11	1.5	< 0.5	1	1.01	
Cadmium	19	< 0.5	1	1.30	<ql< td=""><td>&lt; 0.5</td><td>2</td><td>1.30</td></ql<>	< 0.5	2	1.30	

Table 22. (cont.) Elemental Impurity Leachables Results for L-Cysteine HCl Injection [X] (ppb)

	AEC		MHJ17 °C/60 %	
Element	(ppb)	Time	onths)	
		12 INV	12 HOR	12 UP
Molybdenum	14537	0.4	0.4	0.4
Zinc	12598	3	6	8
Iron	12598	11	55	10
Chromium	10660	1	1	1
Barium	6784	0.4	0.6	0.4
Tin	5815	1	2	2
Copper	2907	1	0.2	<ql< td=""></ql<>
Manganese	2423	0.1	0.6	0.2
Lithium	2423	0.03	0.03	0.04
Gold	969	0.2	0.2	0.3
Antimony	872	0.6	0.5	0.5
Selenium	775	0.4	<ql< td=""><td>0.4</td></ql<>	0.4
Nickel	194	14	14	14
Arsenic	174	0.8	0.5	0.4
Aluminum	120	(5) <ql< td=""><td>(6) <ql< td=""><td>(1) <ql< td=""></ql<></td></ql<></td></ql<>	(6) <ql< td=""><td>(1) <ql< td=""></ql<></td></ql<>	(1) <ql< td=""></ql<>
Vanadium	97	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Silver	97	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Ruthenium	97	0.005	<ql< td=""><td>0.003</td></ql<>	0.003
Rhodium	97	0.007	0.005	0.008
Platinum	97	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Palladium	97	0.04	0.02	0.03
Osmium	97	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Iridium	97	0.03	0.03	0.03
Thallium	78	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Cobalt	48	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Lead	48	2	2	2
Mercury	29	0.7	0.7	0.7
Cadmium	19	<ql< td=""><td>0.004</td><td><ql< td=""></ql<></td></ql<>	0.004	<ql< td=""></ql<>

Table 22. (cont.) Elemental Impurity Leachables Results for L-Cysteine HCl Injection [X] (ppb)

Element	AEC			IJ1707 50 %RH	XMHJ1707 40 <sup>O</sup> C/75 %RH			
Ziement	(ppb)			Time <sub>J</sub>	point (m	onths)		
		1	3	6	9	1	3	6
Molybdenum	14537	< 0.5	1	1.22	0.4	< 0.5	2	1.21
Zinc	12598	10	4	4.28	22.7	11	38	3.91
Iron	12598	8	26	12.55	8.3	9	74	17.68
Chromium	10660	1	<ql< td=""><td><ql< td=""><td>2.2</td><td>1</td><td>6</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>2.2</td><td>1</td><td>6</td><td><ql< td=""></ql<></td></ql<>	2.2	1	6	<ql< td=""></ql<>
Barium	6784	< 0.5	< 0.5	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<>	< 0.5	1	<ql< td=""></ql<>
Tin	5815	1	2	2.13	3.2	1	3	2.22
Copper	2907	< 0.5	<ql< td=""><td><ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<>	< 0.5	2	<ql< td=""></ql<>
Manganese	2423	< 0.5	<ql< td=""><td><ql< td=""><td>0.1</td><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>0.1</td><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<>	0.1	< 0.5	1	<ql< td=""></ql<>
Lithium	2423	< 0.5	3.86	3.86	0.2	< 0.5	6	3.88
Gold	969	3	3	3.98	0.1	2	4	3.48
Antimony	872	1	1	1.01	<ql< td=""><td>1</td><td>2</td><td>1.06</td></ql<>	1	2	1.06
Selenium	775	< 0.5	<ql< td=""><td><ql< td=""><td>0.1</td><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>0.1</td><td>&lt; 0.5</td><td>2</td><td><ql< td=""></ql<></td></ql<>	0.1	< 0.5	2	<ql< td=""></ql<>
Nickel	194	11	8	7.71	7.4	10	8	7.82
Arsenic	174	1	<ql< td=""><td><ql< td=""><td>0.4</td><td>1</td><td>2</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>0.4</td><td>1</td><td>2</td><td><ql< td=""></ql<></td></ql<>	0.4	1	2	<ql< td=""></ql<>
Aluminum	120	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Vanadium	97	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>4</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>4</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>4</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>4</td><td><ql< td=""></ql<></td></ql<>	< 0.5	4	<ql< td=""></ql<>
Silver	97	< 0.5	<ql< td=""><td><ql< td=""><td><ql< td=""><td><ql< td=""><td>17</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td><ql< td=""><td>17</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>17</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>17</td><td><ql< td=""></ql<></td></ql<>	17	<ql< td=""></ql<>
Ruthenium	97	< 0.5	1	0.73	<ql< td=""><td>&lt; 0.5</td><td>2</td><td>0.73</td></ql<>	< 0.5	2	0.73
Rhodium	97	< 0.5	4	4.29	<ql< td=""><td>&lt; 0.5</td><td>8</td><td>4.28</td></ql<>	< 0.5	8	4.28
Platinum	97	< 0.5	< 0.5	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<>	< 0.5	1	<ql< td=""></ql<>
Palladium	97	< 0.5	<ql< td=""><td><ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<>	< 0.5	1	<ql< td=""></ql<>
Osmium	97	< 0.5	<ql< td=""><td><ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<>	< 0.5	1	<ql< td=""></ql<>
Iridium	97	< 0.5	6	5.95	<ql< td=""><td>&lt; 0.5</td><td>7</td><td>5.94</td></ql<>	< 0.5	7	5.94
Thallium	78	< 0.5	4	3.59	<ql< td=""><td>&lt; 0.5</td><td>5</td><td>3.56</td></ql<>	< 0.5	5	3.56
Cobalt	48	< 0.5	< 0.5	<ql< td=""><td><ql< td=""><td>&lt; 0.5</td><td>&lt; 0.5</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt; 0.5</td><td>&lt; 0.5</td><td><ql< td=""></ql<></td></ql<>	< 0.5	< 0.5	<ql< td=""></ql<>
Lead	48	2	6	5.51	1.9	2	6	5.55
Mercury	29	< 0.5	1	0.98	1.2	< 0.5	1	0.89
Cadmium	19	< 0.5	1.30	1.29	<ql< td=""><td>&lt;0.5</td><td>2</td><td>1.29</td></ql<>	<0.5	2	1.29

Table 22. (cont.) Elemental Impurity Leachables Results for L-Cysteine HCl Injection [X] (ppb)

	AEC		MHJ17 °C/60 %	
Element	(ppb)	Time	onths)	
		12 INV	12 HOR	12 UP
Molybdenum	14537	0.4	0.4	0.4
Zinc	12598	7	4	6
Iron	12598	8	71	13
Chromium	10660	1	1	1
Barium	6784	0.6	0.5	0.6
Tin	5815	1	1	1
Copper	2907	0.2	0.2	0.1
Manganese	2423	0.2	1	0.3
Lithium	2423	0.03	0.03	0.06
Gold	969	0.1	0.1	0.2
Antimony	872	0.6	0.6	0.6
Selenium	775	0.4	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Nickel	194	14	14	14
Arsenic	174	0.6	0.6	0.6
Aluminum	120	(5) <ql< td=""><td>(26) <ql< td=""><td>(39) <ql< td=""></ql<></td></ql<></td></ql<>	(26) <ql< td=""><td>(39) <ql< td=""></ql<></td></ql<>	(39) <ql< td=""></ql<>
Vanadium	97	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Silver	97	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Ruthenium	97	<ql< td=""><td>0.004</td><td>0.001</td></ql<>	0.004	0.001
Rhodium	97	0.005	0.005	0.006
Platinum	97	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Palladium	97	<ql< td=""><td>0.02</td><td>0.02</td></ql<>	0.02	0.02
Osmium	97	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Iridium	97	0.03	0.03	0.03
Thallium	78	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Cobalt	48	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Lead	48	2	2	2
Mercury	29	0.7	0.7	0.7
Cadmium	19	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>

Table 22. (cont.) Elemental Impurity Leachables Results for L-Cysteine HCl Injection [X] (ppb)

			11702A 60 %RH		
Element	AEC (ppb)	Time point (months)			
		9	9		
		INV	UP		
Molybdenum	14537	1	0.5		
Zinc	12598	17	17		
Iron	12598	5	59		
Chromium	10660	5	1		
Barium	6784	1	0.4		
Tin	5815	2	1		
Copper	2907	1	0.4		
Manganese	2423	2	1		
Lithium	2423	8	0.1		
Gold	969	7	1		
Antimony	872	<ql< td=""><td>0.3</td></ql<>	0.3		
Selenium	775	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>		
Nickel	194	11	15		
Arsenic	174	0.3	0.1		
Aluminum	120	(9) <ql< td=""><td>(5) <ql< td=""></ql<></td></ql<>	(5) <ql< td=""></ql<>		
Vanadium	97	3	<ql< td=""></ql<>		
Silver	97	2	<ql< td=""></ql<>		
Ruthenium	97	0.9	<ql< td=""></ql<>		
Rhodium	97	8	0.01		
Platinum	97	2	<ql< td=""></ql<>		
Palladium	97	1	0.1		
Osmium	97	0.8	<ql< td=""></ql<>		
Iridium	97	10	0.04		
Thallium	78	7	<ql< td=""></ql<>		
Cobalt	48	3	0.03		
Lead	48	8	2		
Mercury	29	1	0.6		
Cadmium	19	0.5	<ql< td=""></ql<>		

Table 22. (cont.) Elemental Impurity Leachables Results for L-Cysteine HCl Injection [X] (ppb)

Element	AEC			MHJ17 <sup>O</sup> C/60 %			XMHJ1702A 40 <sup>O</sup> C/75 %RH				
	(ppb)				7	Time poi	nt (mor	ths)			
		0	1	2	3	6	0	1	2	3	6
Molybdenum	14537	2	N/A	N/A	1.34	0.5	2	2	< 0.5	1	0.4
Zinc	12598	42	N/A	N/A	3.90	34.3	42	37	2	4	22.1
Iron	12598	284	N/A	N/A	15.31	7	284	27	<ql< td=""><td>35</td><td>11.2</td></ql<>	35	11.2
Chromium	10660	14	N/A	N/A	<ql< td=""><td>2.1</td><td>14</td><td>4</td><td>&lt; 0.5</td><td><ql< td=""><td>2.1</td></ql<></td></ql<>	2.1	14	4	< 0.5	<ql< td=""><td>2.1</td></ql<>	2.1
Barium	6784	2	N/A	N/A	<ql< td=""><td><ql< td=""><td>2</td><td>2</td><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td>2</td><td>2</td><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	2	2	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Tin	5815	3	N/A	N/A	1.82	3.8	3	3	2	2	1
Copper	2907	4	N/A	N/A	<ql< td=""><td>123.1</td><td>4</td><td>2</td><td><ql< td=""><td><ql< td=""><td>0.1</td></ql<></td></ql<></td></ql<>	123.1	4	2	<ql< td=""><td><ql< td=""><td>0.1</td></ql<></td></ql<>	<ql< td=""><td>0.1</td></ql<>	0.1
Manganese	2423	5	N/A	N/A	<ql< td=""><td>0.1</td><td>5</td><td>1</td><td>&lt; 0.5</td><td><ql< td=""><td>0.3</td></ql<></td></ql<>	0.1	5	1	< 0.5	<ql< td=""><td>0.3</td></ql<>	0.3
Lithium	2423	6	N/A	N/A	3.92	0.2	6	6	<ql< td=""><td>4</td><td>0.2</td></ql<>	4	0.2
Gold	969	7	N/A	N/A	3.45	0.1	7	4	5	4	0.1
Antimony	872	2	N/A	N/A	1.08	<ql< td=""><td>2</td><td>2</td><td>1</td><td>1</td><td><ql< td=""></ql<></td></ql<>	2	2	1	1	<ql< td=""></ql<>
Selenium	775	4	N/A	N/A	<ql< td=""><td>0.4</td><td>4</td><td>2</td><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	0.4	4	2	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Nickel	194	11	N/A	N/A	8.93	8	11	9	4	8	8.1
Arsenic	174	2	N/A	N/A	<ql< td=""><td>0.3</td><td>2</td><td>1</td><td><ql< td=""><td><ql< td=""><td>0.3</td></ql<></td></ql<></td></ql<>	0.3	2	1	<ql< td=""><td><ql< td=""><td>0.3</td></ql<></td></ql<>	<ql< td=""><td>0.3</td></ql<>	0.3
Aluminum	120	<0.5	N/A	N/A	<ql< td=""><td><ql< td=""><td><ql< td=""><td>(3) <ql< td=""><td>(8) <ql< td=""><td>(7) <ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>(3) <ql< td=""><td>(8) <ql< td=""><td>(7) <ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td>(3) <ql< td=""><td>(8) <ql< td=""><td>(7) <ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	(3) <ql< td=""><td>(8) <ql< td=""><td>(7) <ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	(8) <ql< td=""><td>(7) <ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	(7) <ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Vanadium	97	4	N/A	N/A	<ql< td=""><td><ql< td=""><td>4</td><td>3</td><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td>4</td><td>3</td><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	4	3	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Silver	97	17	N/A	N/A	<ql< td=""><td><ql< td=""><td>17</td><td>17</td><td>17</td><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td>17</td><td>17</td><td>17</td><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	17	17	17	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Ruthenium	97	2	N/A	N/A	0.76	<ql< td=""><td>2</td><td>2</td><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<>	2	2	< 0.5	1	<ql< td=""></ql<>
Rhodium	97	8	N/A	N/A	4.30	<ql< td=""><td>8</td><td>8</td><td>9</td><td>4</td><td><ql< td=""></ql<></td></ql<>	8	8	9	4	<ql< td=""></ql<>
Platinum	97	1	N/A	N/A	<ql< td=""><td>0.1</td><td>1</td><td>1</td><td>&lt; 0.5</td><td>&lt; 0.5</td><td>0.1</td></ql<>	0.1	1	1	< 0.5	< 0.5	0.1
Palladium	97	1	N/A	N/A	<ql< td=""><td><ql< td=""><td>1</td><td>1</td><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td>1</td><td>1</td><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	1	1	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Osmium	97	1	N/A	N/A	<ql< td=""><td><ql< td=""><td>1</td><td>1</td><td>1</td><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td>1</td><td>1</td><td>1</td><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	1	1	1	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Iridium	97	7	N/A	N/A	5.98	<ql< td=""><td>7</td><td>7</td><td>9</td><td>6</td><td><ql< td=""></ql<></td></ql<>	7	7	9	6	<ql< td=""></ql<>
Thallium	78	5	N/A	N/A	3.59	<ql< td=""><td>5</td><td>5</td><td>6</td><td>4</td><td><ql< td=""></ql<></td></ql<>	5	5	6	4	<ql< td=""></ql<>
Cobalt	48	< 0.5	N/A	N/A	<ql< td=""><td><ql< td=""><td>&lt;0.5</td><td>&lt; 0.5</td><td><ql< td=""><td>&lt;0.5</td><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td>&lt;0.5</td><td>&lt; 0.5</td><td><ql< td=""><td>&lt;0.5</td><td><ql< td=""></ql<></td></ql<></td></ql<>	<0.5	< 0.5	<ql< td=""><td>&lt;0.5</td><td><ql< td=""></ql<></td></ql<>	<0.5	<ql< td=""></ql<>
Lead	48	6	N/A	N/A	5.01	1.6	6	6	6	5	1.5
Mercury	29	2	N/A	N/A	0.81	1	2	1	1	1	1.1
Cadmium	19	2	N/A	N/A	1.37	<ql< td=""><td>2</td><td>2</td><td>1</td><td>1</td><td><ql< td=""></ql<></td></ql<>	2	2	1	1	<ql< td=""></ql<>

Table 22. (cont.) Elemental Impurity Leachables Results for L-Cysteine HCl Injection [X] (ppb)

- TI	AEC			/HJ170 C/60 %					1HJ170 C/75 %		
Element	(ppb)				Ti	me poir	t (mon	ths)			
		0	1	2	3	6	0	1	2	3	6
Molybdenum	14537	2	N/A	N/A	1	0.5	2	2	<ql< td=""><td>1</td><td>0.4</td></ql<>	1	0.4
Zinc	12598	38	N/A	N/A	71	23.5	38	39	<ql< td=""><td>7</td><td>23.1</td></ql<>	7	23.1
Iron	12598	166	N/A	N/A	31	7.9	166	35	<ql< td=""><td>16</td><td>12.3</td></ql<>	16	12.3
Chromium	10660	9	N/A	N/A	<ql< td=""><td>2.1</td><td>9</td><td>6</td><td><ql< td=""><td><ql< td=""><td>1.9</td></ql<></td></ql<></td></ql<>	2.1	9	6	<ql< td=""><td><ql< td=""><td>1.9</td></ql<></td></ql<>	<ql< td=""><td>1.9</td></ql<>	1.9
Barium	6784	1	N/A	N/A	<ql< td=""><td><ql< td=""><td>1</td><td>1</td><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td>1</td><td>1</td><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	1	1	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Tin	5815	3	N/A	N/A	21	1.5	3	4	3	3	1.3
Copper	2907	2	N/A	N/A	<ql< td=""><td><ql< td=""><td>2</td><td>2</td><td><ql< td=""><td><ql< td=""><td>0.3</td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td>2</td><td>2</td><td><ql< td=""><td><ql< td=""><td>0.3</td></ql<></td></ql<></td></ql<>	2	2	<ql< td=""><td><ql< td=""><td>0.3</td></ql<></td></ql<>	<ql< td=""><td>0.3</td></ql<>	0.3
Manganese	2423	3	N/A	N/A	<ql< td=""><td>0.1</td><td>3</td><td>1</td><td><ql< td=""><td><ql< td=""><td>0.3</td></ql<></td></ql<></td></ql<>	0.1	3	1	<ql< td=""><td><ql< td=""><td>0.3</td></ql<></td></ql<>	<ql< td=""><td>0.3</td></ql<>	0.3
Lithium	2423	6	N/A	N/A	4	0.2	6	6	<ql< td=""><td>4</td><td>0.2</td></ql<>	4	0.2
Gold	969	5	N/A	N/A	3	0.1	5	4	5	3	0.1
Antimony	872	2	N/A	N/A	1	<ql< td=""><td>2</td><td>2</td><td>1</td><td>1</td><td><ql< td=""></ql<></td></ql<>	2	2	1	1	<ql< td=""></ql<>
Selenium	775	3	N/A	N/A	<ql< td=""><td>0.1</td><td>3</td><td>2</td><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	0.1	3	2	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Nickel	194	10	N/A	N/A	9	8.2	10	8	4	8	8.2
Arsenic	174	2	N/A	N/A	<ql< td=""><td>0.3</td><td>2</td><td>2</td><td><ql< td=""><td><ql< td=""><td>0.1</td></ql<></td></ql<></td></ql<>	0.3	2	2	<ql< td=""><td><ql< td=""><td>0.1</td></ql<></td></ql<>	<ql< td=""><td>0.1</td></ql<>	0.1
Aluminum	120	<ql< td=""><td>N/A</td><td>N/A</td><td>(6) <ql< td=""><td><ql< td=""><td><ql< td=""><td>(10) <ql< td=""><td>(25) <ql< td=""><td>(6) <ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	N/A	N/A	(6) <ql< td=""><td><ql< td=""><td><ql< td=""><td>(10) <ql< td=""><td>(25) <ql< td=""><td>(6) <ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""><td>(10) <ql< td=""><td>(25) <ql< td=""><td>(6) <ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td>(10) <ql< td=""><td>(25) <ql< td=""><td>(6) <ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	(10) <ql< td=""><td>(25) <ql< td=""><td>(6) <ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	(25) <ql< td=""><td>(6) <ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	(6) <ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Vanadium	97	4	N/A	N/A	<ql< td=""><td><ql< td=""><td>4</td><td>4</td><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td>4</td><td>4</td><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	4	4	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Silver	97	17	N/A	N/A	<ql< td=""><td><ql< td=""><td>17</td><td>17</td><td>17</td><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td>17</td><td>17</td><td>17</td><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	17	17	17	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Ruthenium	97	2	N/A	N/A	1	<ql< td=""><td>2</td><td>2</td><td>&lt; 0.5</td><td>1</td><td><ql< td=""></ql<></td></ql<>	2	2	< 0.5	1	<ql< td=""></ql<>
Rhodium	97	8	N/A	N/A	4	<ql< td=""><td>8</td><td>8</td><td>9</td><td>4</td><td><ql< td=""></ql<></td></ql<>	8	8	9	4	<ql< td=""></ql<>
Platinum	97	1	N/A	N/A	< 0.5	0.1	1	1	< 0.5	< 0.5	0.1
Palladium	97	1	N/A	N/A	<ql< td=""><td><ql< td=""><td>1</td><td>1</td><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td>1</td><td>1</td><td><ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	1	1	<ql< td=""><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Osmium	97	1	N/A	N/A	<ql< td=""><td><ql< td=""><td>1</td><td>1</td><td>1</td><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<></td></ql<>	<ql< td=""><td>1</td><td>1</td><td>1</td><td><ql< td=""><td><ql< td=""></ql<></td></ql<></td></ql<>	1	1	1	<ql< td=""><td><ql< td=""></ql<></td></ql<>	<ql< td=""></ql<>
Iridium	97	7	N/A	N/A	6	<ql< td=""><td>7</td><td>7</td><td>9</td><td>6</td><td><ql< td=""></ql<></td></ql<>	7	7	9	6	<ql< td=""></ql<>
Thallium	78	5	N/A	N/A	4	<ql< td=""><td>5</td><td>5</td><td>6</td><td>4</td><td><ql< td=""></ql<></td></ql<>	5	5	6	4	<ql< td=""></ql<>
Cobalt	48	< 0.5	N/A	N/A	< 0.5	<ql< td=""><td>&lt; 0.5</td><td>&lt; 0.5</td><td><ql< td=""><td>&lt; 0.5</td><td><ql< td=""></ql<></td></ql<></td></ql<>	< 0.5	< 0.5	<ql< td=""><td>&lt; 0.5</td><td><ql< td=""></ql<></td></ql<>	< 0.5	<ql< td=""></ql<>
Lead	48	6	N/A	N/A	5	1.5	6	6	6	5	1.5
Mercury	29	2	N/A	N/A	1	0.5	2	1	1	1	0.4
Cadmium	19	2	N/A	N/A	1	<ql< td=""><td>2</td><td>2</td><td>1</td><td>1</td><td><ql< td=""></ql<></td></ql<>	2	2	1	1	<ql< td=""></ql<>

5

### Example 10

## Visual Inspection of Filled Vials

The L-Cysteine product that was manufactured by the two methods (i.e., lyophilzer chamber method and high-speed filler method) were inspected at after 1 month after production for visible signs of degradation in the form of visible particulate matter. In the presence of oxygen, two L-Cysteine residues will form a disulfide covalent bond forming L-Cystine. L-Cystine has a lower solubility (0.112 mg/mL) than L-Cysteine (50 mg/mL), in some cases the degradant can be visually observed.

Table 23. Comparison of Particulate Matter

5

10

15

20

25

	PROT-000213	XMHJ1705	XMHJ1706	XMHJ1707
Total Vials	1918	3473	3473	3497
White PM	36	120	31	32
Overall %	1.88%	3.46%	0.89%	0.92%

As the data show, no confirmed degradation was observed by either method indicating that the head space oxygen reduction and dissolved oxygen levels achieved herein are successful in producing L-Cysteine injection of desirable quality attributes.

All documents cited or referenced in the application cited documents, and all documents cited or referenced herein ("herein cited documents"), and all documents cited or referenced in herein cited documents, together with any manufacturer's instructions, descriptions, product specifications, and product sheets for any products mentioned herein or in any document incorporated by reference herein, are hereby incorporated herein by reference, and may be employed in the practice of the invention.

As used herein, "a," "an," or "the" can mean one or more than one. For example, "a" cell can mean a single cell or a multiplicity of cells.

Also as used herein, "and/or" refers to and encompasses any and all possible combinations of one or more of the associated listed items, as well as the lack of combinations when interpreted in the alternative ("or").

The term "consists essentially of" (and grammatical variants), as applied to the compositions of this invention, means the composition can contain additional components as long as the additional components do not materially alter the composition.

As used herein, the term "about" is used to provide flexibility to a numerical range endpoint by providing that a given value may be "a little above" or "a little below" the endpoint. Unless otherwise stated, use of the term "about" in accordance with a specific number or numerical range should also be understood to provide support for such

numerical terms or range without the term "about". For example, for the sake of convenience and brevity, a numerical range of "about 50 milligrams to about 80 milligrams" should also be understood to provide support for the range of "50 milligrams to 80 milligrams." Furthermore, it is to be understood that in this written description support for actual numerical values is provided even when the term "about" is used therewith. Furthermore, the term "about," as used herein when referring to a measurable value such as an amount of a compound or agent of this invention, dose, time, temperature, and the like, is meant to encompass variations of  $\pm 20\%$ ,  $\pm 10\%$ ,  $\pm 5\%$ ,  $\pm 1\%$ ,  $\pm 0.5\%$ , or even  $\pm 0.1\%$  of the specified amount. To be clear, the range encompassed by "about" will include all discrete values within that range, regardless of whether such discrete values are explicitly specified and/or prefaced by "about." Equivalents permissible for such discrete values as well as all ranges and subranges are within the scope of this disclosure.

Concentrations, amounts, and other numerical data may be expressed or presented herein in a range format. It is to be understood that such a range format is used merely for convenience and brevity and thus should be interpreted flexibly to include not only the numerical values explicitly recited as the limits of the range, but also to include all the individual numerical values or sub-ranges encompassed within that range as if each numerical value and sub-range is explicitly recited. As an illustration, a numerical range of "about 1 to about 5" should be interpreted to include not only the explicitly recited values of about 1 to about 5, but also include individual values and sub-ranges within the indicated range. Thus, included in this numerical range are individual values such as 2, 3, and 4 and sub-ranges such as from 1-3, from 2-4, and from 3-5, etc., as well as 1, 2, 3, 4, and 5, individually. This same principle applies to ranges reciting only one numerical value as a minimum or a maximum. Furthermore, such an interpretation should apply regardless of the breadth of the range or the characteristics being described.

Having thus described in detail preferred embodiments of the present invention, it is to be understood that the invention defined by the above paragraphs is not to be limited to particular details set forth in the above description as many apparent variations thereof are possible without departing from the spirit or scope of the present invention.

5

10

15

20

25

Electronic Patent A	<b>\</b> pp	olication Fee	Transmi	ttal	
Application Number:					
Filing Date:					
Title of Invention:		ABLE, HIGHLY PURE THODS OF USE	L-CYSTEINE CO	MPOSITIONS FOR	INJECTION AND
First Named Inventor/Applicant Name:	IOL	HN MALONEY			
Filer:	Bry	van Lee Skelton/Kar	en Trachtman		
Attorney Docket Number:	066	6859/542422			
Filed as Large Entity					
Filing Fees for Track I Prioritized Examination - Nonp	rovis	sional Applicatio	n under 35 U	SC 111(a)	
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
UTILITY APPLICATION FILING		1011	1	300	300
UTILITY SEARCH FEE		1111	1	660	660
UTILITY EXAMINATION FEE		1311	1	760	760
REQUEST FOR PRIORITIZED EXAMINATION		1817	1	4000	4000
Pages:					
Claims:					
CLAIMS IN EXCESS OF 20		1202	7	100	700
Miscellaneous-Filing:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
PUBL. FEE- EARLY, VOLUNTARY, OR NORMAL	1504	1	0	0
PROCESSING FEE, EXCEPT PROV. APPLS.	1830	1	140	140
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	6560

Electronic Ack	knowledgement Receipt
EFS ID:	38330009
Application Number:	16746028
International Application Number:	
Confirmation Number:	4075
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE
First Named Inventor/Applicant Name:	JOHN MALONEY
Customer Number:	826
Filer:	Bryan Lee Skelton/Karen Trachtman
Filer Authorized By:	Bryan Lee Skelton
Attorney Docket Number:	066859/542422
Receipt Date:	17-JAN-2020
Filing Date:	
Time Stamp:	14:59:57
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	\$6560
RAM confirmation Number	E20201GF00169067
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			74829		
1	Transmittal of New Application	2020-01-17_542422_Transmitt al.pdf	8b24f7b54763b29631326ed88397a9e7bfc cf4c4	no	2
Warnings:					
Information:					
			96583		
2	Application Data Sheet	2020-01-17_542422_ADS.pdf	93910a1f5322c75b31f835be7312dcc8c5de dca1	no	9
Warnings:			•		
Information:					
This is not an US	SPTO supplied ADS fillable form				
			36868		
3	TrackOne Request	2020-01-17_542422_Track_On e_Request.pdf	83211ea0c06883b42b9d97a6a759c5b9a48 c75ec	no	2
Warnings:					
Information:					
	Devices and this dead white live	2020 01 47 542422 5	301415		
4	Drawings-only black and white line drawings	2020-01-17_542422_Figures. pdf	45211be38be7afab77ce906639af33441d0 826be	no	5
Warnings:					
Information:					
			1037731		
5	Power of Attorney	2020-01-17_542422_POA.pdf	0465db431c501d16515abf4992473ef2a66 4f48f	no	2
Warnings:		•			
Information:					
			1787568		
6	Oath or Declaration filed	2020-01-17_542422_Declaratio ns.pdf	1da8ed7d5dd84c6c0384647d8a900d8774 86f5c9	no	3
Warnings:		1			

Information:					
			453355		
7		2020-01-17_542422_Applicatio n.pdf	03deeb523a690340181b83896186ef3bf04 39c9e	yes	91
	Multip	part Description/PDF files in .	zip description		
	Document De	scription	Start	E	nd
	Abstrac	:t	91		91
	Claims	Claims			
	Specificat	ion	1	;	36
Warnings:					
Information:					
8	Fee Worksheet (SB06)	fee-info.pdf	41762	no	2
	. ,	·	dc321e9336e995b4f30aae6d719e8e164c2 bc2a6		
Warnings:					
Information:					
· · · · · · · · · · · · · · · · · · ·	·	Total Files Size (in bytes):	383	30111	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

	PATE	NT APPLI		ON FEE DE		ION RECORE	)		tion or Docket Num 6,028	ber
	APPL	ICATION A	S FILE		umn 2)	SMALL	ENTITY	OR	OTHER SMALL I	
	FOR	NUMBE			R EXTRA	RATE(\$)	FEE(\$)	1	RATE(\$)	FEE(\$)
	IC FEE FR 1.16(a), (b), or (c))	N	/A	N	√A	N/A		1	N/A	300
SEA	RCH FEE FR 1.16(k), (i), or (m))	N	/A	N	√A	N/A		1	N/A	660
EXA	MINATION FEE FR 1.16(o), (p), or (q))	N	/A	T N	√A	N/A		1	N/A	760
TOT	AL CLAIMS FR 1.16(i))	27	minus	20= *	7			OR	x 100 =	700
INDE	EPENDENT CLAIN FR 1.16(h))	1S 2	minus	3 = *				1	x 460 =	0.00
APF FEE	PLICATION SIZE	sheets of p \$310 (\$15 50 sheets	oaper, th 5 for sm or fraction	and drawings e le application si all entity) for ea on thereof. See ' CFR 1.16(s).	ze fee due is ch additional					0.00
MUL	TIPLE DEPENDE	NT CLAIM PRE	SENT (3	7 CFR 1.16(j))						0.00
* If t	ne difference in col	umn 1 is less th	an zero,	enter "0" in colur	mn 2.	TOTAL		1	TOTAL	2420
AMENDMENT A	Total (37 CFR 1.16(i)) Independent (37 CFR 1.16(h))	(Column 1) CLAIMS REMAINING AFTER AMENDMENT	Minus Minus	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)   X	ADDITIONAL FEE(\$)	OR OR OR	RATE(\$)  x =  x =	ADDITIONAL FEE(\$)
A	Application Size Fee	e (37 CFR 1.16(s))						-		
	FIRST PRESENTA	(Column 1)	E DEPEN	(Column 2)	(Column 3)	TOTAL ADD'L FEE		OR OR	TOTAL ADD'L FEE	
NT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
NDMENT	Total (37 CFR 1.16(i))	*	Minus	**	=	x =		OR	x =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=	x =		OR	x =	
AME	Application Size Fee	e (37 CFR 1.16(s))						]		
	FIRST PRESENTA	TION OF MULTIPL	E DEPEN	DENT CLAIM (37 (	OFR 1.16(j))			OR		
						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
*	* If the entry in col * If the "Highest No * If the "Highest Numb The "Highest Numb	umber Previous mber Previously I	ly Paid F Paid For"	or" IN THIS SPA IN THIS SPACE i	CE is less than 2 s less than 3, ente	20, enter "20".	in column 1.		•	



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEFARIMENT OF COMM United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P. Dex 1450 Alexandria, Vigania 22313-1450 www.uspto.gov

FILING or GRP ART 371(c) DATE FIL FEE REC'D ATTY.DOCKET.NO TOT CLAIMS IND CLAIMS NUMBER UNIT 16/746,028 01/17/2020 1629 2420 066859/542422

826 ALSTON & BIRD LLP BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE, NC 28280-4000

**CONFIRMATION NO. 4075 FILING RECEIPT** 



Date Mailed: 02/07/2020

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a corrected Filing Receipt, including a properly marked-up ADS showing the changes with strike-through for deletions and underlining for additions. If you received a "Notice to File Missing Parts" or other Notice requiring a response for this application, please submit any request for correction to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections provided that the request is grantable.

Inventor(s)

JOHN MALONEY, Salisbury, NC; Aruna Koganti, Lenoir, NC: Phanesh Koneru, Waxhaw, NC;

Applicant(s)

Exela Pharma Sciences, LLC, Lenoir, NC;

Power of Attorney: The patent practitioners associated with Customer Number 00826

Domestic Priority data as claimed by applicant

This application is a CON of 16/665,702 10/28/2019 which is a CON of 16/248.460 01/15/2019 PAT 10478453

Foreign Applications for which priority is claimed (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

page 1 of 4

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 02/05/2020

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is **US 16/746,028** 

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes Early Publication Request: No

**Title** 

STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF

USE

**Preliminary Class** 

514

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific page 2 of 4

countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

### SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop

technology, manufacture products, deliver services, and grow your business, visit <a href="http://www.SelectUSA.gov">http://www.SelectUSA.gov</a> or call +1-202-482-6800.		
page 4 of 4	technology, manufacture products, deliver services, and grow your business +1-202-482-6800.	s, visit <u>http://www.SelectUSA.gov</u> or call
page 4 of 4		
	page 4 of 4	

# United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
16/746,028	01/17/2020	JOHN MALONEY	066859/542422	4075	
826 ALSTON & BI	7590 02/11/202 RD LLP	0	EXAM	IINER	
BANK OF AM	ERICA PLAZA RYON STREET, SUIT	°E 4000	PACKARD, E	BENJAMIN J	
	NC 28280-4000	E 4000	ART UNIT	PAPER NUMBER	
			1612		
			NOTIFICATION DATE	DELIVERY MODE	
			02/11/2020	FLECTRONIC	

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

usptomail@alston.com

	Decisio	n Granting Request for	<b>Application No.</b> 16/746,028	Applicant(s) MALONEY et a	l.
		ed Examination (Track I)	Examiner CHERYL P GIBSON BAYLOR	Art Unit OPET	AIA (FITF) Status Yes
1	THE DEC	QUEST FILED 17 January 2020 I	COMMITTE	•	·
١.					
	The abov A. B.	e-identified application has met t ☑ for an original nonprovisiona ☐ for an application undergoir	al application (Track I)		on
2.		ve-identified application will un special status throughout its enti			
	A.	filing a petition for extension	of time to extend the	time period for filing	ı a reply;
	B.	filing an <u>amendment to amend</u> independent claims, more that			
	C.	filing a request for continued	examination ;		
	D.	filing a notice of appeal;			
	E.	filing a request for suspension of	of action;		
	F.	mailing of a notice of allowance	);		
	G.	mailing of a final Office action;			
	H.	completion of examination as o	defined in 37 CFR 41.	102; or	
	I.	abandonment of the application	1.		
	•	e inquiries with regard to this dec 3213. In his/her absence, calls n			
		_ GIBSON BAYLOR/ I Specialist, OPET			

U.S. Patent and Trademark Office PTO-2298 (Rev. 02-2012)

Substitute	for form 1449B/PTO				Complete if Known
				Application Number	16/746,028
INFO	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020
STAT	EMENT BY A	PPLIC	ANT	First Named Inventor	John Maloney
				Art Unit	1612
+	(Use as many sheets as i	necessary)		Examiner Name	Benjamin J. Packard
Sheet	1	of	15	Attorney Docket Number	066859/542422

		U.S.	PATENT DOCU	MENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
	NO.	Number Kind Code <sup>2 (ff known)</sup>		7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	Figures Appear
	215	US 10,493,051 B1	12-03-2019	Sutterer et al.	
	218	US 10,543,186 B1	01-28-2020	Sutterer et al.	
[]	219	US 6,051,567	04-18-2000	Abrahamson et al.	
[]	209	US 6,992,218 B2	01-31-2006	Dietlin et al.	
[]	001	US 7,323,206 B1	01-29-2008	Driscoll et al.	
[]	002	US 9,220,700 B2	12-29-2015	Savarese et al.	
[]	217	US 2019-0233153 A1	08-01-2019	Hofstetter	
	216	US 2019-0247307 A1	08-15-2019	Hofstetter	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	003	"Guidelines for the Use of Parenteral and Enteral Nutrition in Adult and Pediatric Patients," ASPEN Board of Directors and the Clinical Guidelines Task Force, Journal of Parenteral and Enteral Nutrition, 26(1 Suppl.):1SA-138SA, (2002).	
	004	"ACETADOTE (acetylcysteine) injection, for intravenous use: Prescribing Information [package insert]," Cumberland Pharmaceuticals Inc., 12 pages, (2017).	
	224	"Aluminum in Large and Small Volume Parenterals Used in Total Parenteral Nutrition," Federal Register, 65(17):4103-4111, (2000).	
	229	"Aluminum in Large and Small Volume Parenterals Used in Total Parenteral Nutrition; Delay of Effective Date," Federal Register, 66(18):7864-7865, (2001).	
	005	"AMINOSYN [prescribing information and label]," Hospira, Inc., 19 pages, (2012).	
	231	"AMINOSYN [prescribing information and label]," Hospira, Inc., 28 pages, (2019).	
	006	"ASHP Guidelines on the Safe Use of Automated Compounding Devices for the Preparation of Parenteral Nutrition Admixtures," Automation and Information Technology–Guidelines, 63–67, (2000).	
	007	"Chapter 18: Preparation of Parenteral Nutrition," Aseptic Processing Manual, NHS Technical Specialist Education and Training Group, 24 pages, (2018).	
[	232	"Cysteine Hydrochloride [FDA package insert]," Hospira, Inc., 7 pages, (2007).	
	800	"Cysteine Hydrochloride Injection [Material Safety Data Sheet]," Hospira Inc., 6 pages, (2011).	

Examiner	Date	
Signature	Considered	

Substitut	e for form	1449B/PTO				Complete if Known			
Substitut	e ioi ioiiii	1449B/F10			Application Number	·			
INIEC		ATION DIS		NIDE	Filing Date	16/746,028			
		ATION DIS ENT BY A			First Named Inventor	January 17, 2020			
SIA	I E IVII	ENIDIA	PPLIC	ANI	Art Unit	John Maloney 1612			
	(Use as	many sheets as n	ecessary)		Examiner Name	Benjamin J. Packard			
Sheet	2	<u> </u>	of	15	Attorney Docket Number	066859/542422			
Oneet			OI .	10	,	000039/342422			
	009	[Retrieved fron	n the Inte	ernet Decemb	er 28, 2016: <url:< td=""><td>Hospira, Inc., 4 pages, (2004). cfm?archiveid=113819&gt;].</td><td></td></url:<>	Hospira, Inc., 4 pages, (2004). cfm?archiveid=113819>].			
	010	20 pages, (201 https://toxnet.r	16). [Reti ılm.nih.g	rieved from th ov/cgi-bin/sis/	e Internet June 27, 2017 search/a?dbs+hsdb:@te	erm+@DOCNO+2109>].			
	011	"Cysteine: Ped	liatric dru	ıg information	," Lexicomp, Inc., 4 pag	es, (1978).	Ţ		
	012	"Determination	That Cy	steine Hydro	chloride Injection, USP,	7.25%, Was Not Withdrawn From er, 75(107):31790-31791, (2010).			
	013	Gastric Mucos	a After F	l. pylori Eradi	psules) in Restoration of the Structure and Function of ication in Patients with Atrophic Gastritis. A randomized, DHIT HealthCare, 45 pages, (2016).				
	014				Nutrition in Neonatal and Physicians in Ireland, 4	1 Paediatric Units," Clinical 6 pages, (2016).			
	015	"L-Cysteine [p	roduct in	formation]," S	igma-Aldrich, Inc., 2 paç	ges, (2003).	1		
	016					ınd label]", Sandoz Inc., 6 pages,			
	017	"L-Cysteine Hy pages, (2009).	/drochlo	ide Injection,	USP [prescribing inform	ation]," American Regent, Inc., 2			
•••••	225	"L-Cysteine Hy	/drochlo	ride Injection,	n,solution [drug label information]", Sandoz Inc.,(2018),				
	211					]," Sigma-Aldrich, Inc., 1 page,			
	018	"PROSOL [pre (2014).	scribing	information a	nd label]," Baxter Health	care Corporation, 14 pages,			
	019	and Practice G 22(2):49-66, (1	Guideline 1998). [R	s for Parenter etrieved from		nal Advisory Group on Standards Parenteral and Enteral Nutrition, 2015: <url: 0249&gt;].</url: 			
	020			ets," Europea		ydrochloride monohydrate as a r Journal, 11(10):3437, 13 pages,			
	021	British Associa	ition of F	erinatal Medi	cine, 27 pages, (2016).	es - A Framework for Practice,"			
	022	"TRAVASOL [  (2017).	prescribi	ng informatior	n and label]," Baxter Hea	althcare Corporation, 19 pages,			
	023	"TROPHAMIN	E [presc	ribing informa	tion and label]," B. Brau	n Medical Inc., 21 pages, (2014).	<u> </u>		

Examiner	Date	
Signature	Considered	

"TROPHAMINE® (Amino Acid Injections) [package insert]," B. Braun Medical Inc., pp. 5-16,

ABDULRAZIK et al., "Formulation for Slow Release of Oral Radiation-Protection Drugs," Int. J. Nucl. Med. Biol., 11(1):53-54, (1984).

LEGAL02/39666198v1

024

Substitute	for form	1449B/PTO				Com	plete if Known	
					Application Number	16/74	16,028	
INFO	RM/	ATION DIS	CLOS	URE	Filing Date	Janu	ary 17, 2020	
STAT	ΓΕΜ	ENT BY A	PPLIC	ANT	First Named Inventor	John	Maloney	
					Art Unit	1612		
	·	many sheets as r	necessary)		Examiner Name	Benja	amin J. Packard	
Sheet	3		of	15	Attorney Docket Num	ber 0668	59/542422	
	026	Children on Lo Nutrition, 36(4	ong-Term ):448-453 s.lww.con	Parenteral N 3, (2003). [Re n/jpgn/Fulltex	lutrition," Journal of F trieved from the Inte	Pediatric Garnet June 6		1
	027	36(9):41-42, (2	2011). [R	etrieved from	drochloride 50 mg/m the Internet May 26, n/article/lcysteinehyd	2016:		
	028				nes for Compounding counding, 4th Ed.:1-1		s," The Art, Science, and	
	029	ALLWOOD et Nutrition, 14(9			Stability of Additives	in Parente	eral Nutrition Admixtures,"	
	030	in Pediatric Pa 40(8):1166-11	arenteral 69, (2016	Nutrition Solu 5, Epub. 2015	tions," Journal of Par	renteral an e Internet (	October 24, 2015: <url:< th=""><td></td></url:<>	
	031				ll Nutrition Safety Co - COPHS, Butler Uni			
	032	BAINES et al. Calcif Tissue I				Turnover,	and Low Bone Mass,"	
	033				tion and examination nmelweis University,		stems for the individual 116 pages, (2007).	
	034	The American	Journal ( 2017: <l< td=""><td>of Clinical Nu JRL: https://a</td><td></td><td>, (1983). [F</td><th>otal parenteral nutrition," Retrieved from the Internet</th><td></td></l<>	of Clinical Nu JRL: https://a		, (1983). [F	otal parenteral nutrition," Retrieved from the Internet	
	035	Lipid-Containi Nutrition, 10(4	ng Total I ):375-380	Parenteral Nu D, (1986). [Re		lournal of F rnet March		
	036	Solutions," Th the Internet Ju	e New Er ine 5, 20	ngland Journa 18: <url:< td=""><td></td><td>2):1557-15</td><th>g Intravenous-Feeding 61, (1997). [Retrieved from</th><td>)</td></url:<>		2):1557-15	g Intravenous-Feeding 61, (1997). [Retrieved from	)
	037	BISTRIAN, Br USA," Nestlé l	uce R., "E Nutr Inst	Brief History o Workshop Se	of Parenteral and Ent or Clin Perform Progra	eral Nutritio am, 12:127	on in the Hospital in the '-136, (2009).	
	038		teine in li				e-4-Carboxylic Acid as a and Enternal Nutrition,	
	039	BOHRER et a (2010).	I., "Alumi	num Loading	in Preterm Neonates	Revisited	, JPGN, 51(2):237-241,	
	220		uminum.	Part II: Amin	ss packing on the co o acids for parentera		n of pharmaceutical ' J. Trace Elem. Med. Biol.,	
niner ature		I' -				al nutrition,	' J. Trace Elem. Med. Biol.,	

Substitute	e for form	1449B/PTO				Complete if Known
					Application Number	16/746,028
INFC	)RM	ATION DIS	CL OS	SURF	Filing Date	January 17, 2020
		ENT BY AI			First Named Inventor	John Maloney
• ., .				,	Art Unit	1612
	(Use as	many sheets as n	ecessary)		Examiner Name	Benjamin J. Packard
Sheet	4		of	15	Attorney Docket Number	066859/542422
	230	BOHRER et al	., "Influe	nce of the gl	ass packing on the conta	mination of pharmaceutical
	<u> </u>	products by alu sterilisation," J	ıminum. Trace E	Part III: Inte Elem. Med. E	eraction container-chemic Biol., 17(2):107-115, (200	als during the heating for 3).
	040					nts responded positively and ine," Nutrition, 28(7-8):753-756,
	041		ounding			al Nutrition Ordering, Order of Parenteral and Enteral Nutrition,
	042	BRIGHAM et a Invest., 39(11):			ns of Cysteine and Cystii	ne in Human Blood Plasma," J Clir
	043	BROWN et al., Kidney Injury,"	"Potent The Ani	ial Aluminum nals of Pharr	n Exposure from Parenter nacotherapy, 42(10):1410	ral Nutrition in Patients with Acute 0-1415, (2008).
	044	BULBUL et al., Neonatology, 5			Nutritional support in pre	eterm infants," Pediatrics and
	045	Acid Formulation from the Intern	on," Jou et Febru	rnal of Paren ıary 10, 2015	teral and Enteral Nutrition	ures Containing a Pediatric Amino n, 16(1):64-68, (1992). [Retrieved 1600164>].
	046	CALKINS et al Double-Blinded Parenter Enter	d, Rando	mized Place	bo Controlled Pilot Study	tion on Erythrocyte Glutathione: a / in Critically III Neonates," JPEN J
	047	CARLSON et a Student and No Practitioners, 2	ovice Ne	onatal Nurse	eral and Enteral Nutrition: e Practitioner," National A	: A Resource Guide for the Association of Neonatal Nurse
	223	Complaint with Injunctions, Ex November 6, 2	ela Phar	st for Tempor ma Sciences	rary Restraining Order, Pi s, LLC v.Sandoz, Inc., No	reliminary and Permanent b. 1:19-cv-318, (W.D.N.C.,
	048				othyroidism Caused by E s, 161(4):760-762, (2012)	xcess Prenatal Maternal lodine ).
	049					ons in Pediatric Patients Receiving Enteral Nutrition, 39(5):578-585,
	050	Requirement a	s Methic	onine Does N		Total Sulphur Amino Acid Glutathione Synthesis in the 3):320-324, (2010).
	051				istry: Oxidation of L-Cysto hys. Chem. A., 108(26):5	eine and Its Metabolites by 576-5587, (2004).
	052					e parenteral nutrition admixtures SPEN guidelines," Nutrition, 49:41

Examiner	Date	
Signature	Considered	

[47, (2018).

DELANGE, F., "Optimal lodine Nutrition during Pregnancy, Lactation and the Neonatal Period," Int J Endocrinol Metab, 2(1):1-12, (2004).

LEGAL02/39666198v1

47, (2018).

Substitute for for	m 1449B/PTO			Complete if Known		
			Application Number	16/746,028		
INFORM	ATION DISCLOSU	JRE	Filing Date	January 17, 2020		
STATE	MENT BY APPLICA	ANT	First Named Inventor	-		
			Art Unit	1612		
(Use	as many sheets as necessary)		Examiner Name	Benjamin J. Packard		
Sheet 5	of	15	Attorney Docket Number	066859/542422		
·						
054	DELANGE, Francois, "loo Nucl Med, 29(Suppl. 2):S	dine deficier 3404-S416,	ncy in Europe and its cor (2002).	nsequences: an update," Eur J		
055	DELANGE, Francois, "loo and indicators of optimal	dine require iodine nutrit	ments during pregnancy ion," Public Health Nutri	r, lactation and the neonatal period tion: 10(12A):1571-1580, (2007).		
056		s, The Natio	nal Academies Press, 13	Fat, Fatty Acids, Cholesterol, 358 pages, (2002). [Retrieved o.edu/10490>].		
057		Molybdenu ). [Retrieved	m, Nickel, Silicon, Vanad I from the Internet Decer	Boron, Chromium, Copper, dium, and Zinc, National Academy mber 16, 2018: <url:< td=""><td></td></url:<>		
058		al of Nutritio	n, 137(2):331-338, (200	e, Is Lethal for Chicks but Not for 7). [Retrieved from the Internet 7/2/331/4664534>].		
059	DOMINGO et al., "Risks 1(4):479-487, (2000).	of aluminiun	n exposure during pregn	ancy," Contributions to Science,		
060	Drug Development and Ir	ndustrial Ph	armacy, 32(1):63-72, (20	almic Formulation of Cysteine," 006). [Retrieved from the Internet 0.1080/03639040500390934>].		
061	rats at biochemical and h (2016). [Retrieved from the	istological l he Internet l	evels," J Basic Clin Phys May 4, 2016: <url:< td=""><td>d protective role of L-cysteine in siol Pharmacol, 27(5):497-504, p-2015-0106/jbcpp-2015-</td><td></td></url:<>	d protective role of L-cysteine in siol Pharmacol, 27(5):497-504, p-2015-0106/jbcpp-2015-		
062	health outcomes during of Microscope, Proceedings Internet June 4, 2018: <l< td=""><th>childhood ar s of the Nutr JRL: https:/ uminium-ex iring-childho</th><td>nd adolescence," Sympo ition Society, 70(3):299- /www.cambridge.org/cor posure-from-parenteral- iod-and-</td><td>ition in preterm infants and later sium 2: Micronutrients under the 304, (2011). [Retrieved from the re/journals/proceedings-of-the-nutrition-in-preterm-infants-and-e-reader&gt;].</td><td></td></l<>	childhood ar s of the Nutr JRL: https:/ uminium-ex iring-childho	nd adolescence," Sympo ition Society, 70(3):299- /www.cambridge.org/cor posure-from-parenteral- iod-and-	ition in preterm infants and later sium 2: Micronutrients under the 304, (2011). [Retrieved from the re/journals/proceedings-of-the-nutrition-in-preterm-infants-and-e-reader>].		
063	FLORA et al., "Chelation 2788, (2010).	in Metal Int	oxication," Int. J. Enviror	n. Res. Public Health, 7(7):2745-		
064	FORTENBERRY et al., "I Nutrition in Neonatal Mor			Exposure through Parenteral pages, (2017).		
065	Failure Using Acetamino	phen-Cystei	ne Adducts," J. Med. To	en in Indeterminate Acute Liver xicol., 11(2):218-222, (2015).		
066	FÜRST et al., "Parentera Suppl., 472:283–293, (19	l nutrition by 967).	y a solution of crystalline	amino acids," Acta Med Scand		
067	FUSCH et al., "Neonatolo GMS German Medical So			enteral Nutrition, Chapter 13,"		
068	GHIRRI et al., "lodine Su	pplementati	on in the Newborn," Nut	rients, 6(1):382-390, (2014).		
······						

Examiner Signature

LEGAL02/39666198v1

Date Considered

						Modified PTO/SB/08 Fo
Substitut	te for form	1449B/PTO				Complete if Known
					Application Number	16/746,028
STATEMENT BY APPLICANT Firs				SURE	Filing Date	January 17, 2020
				CANT	First Named Inventor	John Maloney
(Use as many sheets as necessary)		Art Unit	1612			
		Examiner Name	Benjamin J. Packard			
Sheet	6		of	15	Attorney Docket Number	066859/542422
	069	GURA et al., "/ changed?," Nu				parenteral nutrition: Has anything
	070	GURA et al., "I nutrition," Curr	Recent o Opin Cl	levelopments in Nutr Metal	s in aluminium contamina o Care, 9(3):239-246, (26	ation of products used in parentera 006).
	222				contamination in parente e, 17(6):551-557, (2014)	eral products," Current Opinions in 
	071				y, and Administration of ctice, 24(5):616-625, (20	Parenteral Nutrition With New Lipic 009).
	072	HARDY et al., Nutrition, 12(S			ueous cysteine solutions	for TPN [Abstract]," Clinical
	073		9(9):560	6-5611, (198 <sub>-</sub>	<ol><li>(Retrieved from the Ir</li></ol>	idation," The Journal of Biological nternet February 6, 2017: <url:< td=""></url:<>
	074	81(1):41-50, (1	988). [R	Retrieved fron	Amino Acid Mixture in Lonternation to the Internet December //content/81/1/41>].	ow Birth Weight Infants," Pediatrics 8, 2017: <url:< td=""></url:<>
	075					psule Formulation in Prevention of AGA Abstracts, 146(5, Suppl 1):S-
	076				ntation results in normaliz home parenteral nutritio	zation of plasma taurine on," J Pediatr, 134(3):358-361,
	077				uminium in parenteral nu on, 67(3):230-238, (2013	utrition: a systematic review," i).
	078		rition Co			gers and Adults on Prolonged of Parenteral and Enteral Nutrition,
	214				From Pediatric Parentera ral Nutr, 32:242-246, (20	al Nutrition: Meeting the New FDA 08).
	079	HO et al., "Tre 57(5):365-370			oort in Preterm Infants," I	Pediatrics and Neonatology,
	080		of prosp	ective clinica		aminophen" acute liver failure: A ol Gastroenterol, 39(5):594-599,
	081	HULST, Jessie pages, (2014).	, "Princi	ples of feedi	ng the preterm infant," 36	6th ESPEN Congress, Geneva, 44
	082		e: Comp	oatibility Stud	ies Using Laser and Mic	utrition Solutions with and without ro-Flow Imaging Methodology,"
	083				n neonatal parenteral nu cine, 10(1):33-38, (2017)	trition: A 15 year experience,"

Examiner	Date	
Signature	Considered	

Substitute for form 1449B/PTO			Complete if Known			
					Application Number	16/746,028
INFO	DRM/	ATION DIS	CLOS	SURE	Filing Date	January 17, 2020
STA	TEM	ENT BY A	PPLIC	CANT	First Named Inventor	John Maloney
					Art Unit	1612
(Use as many sheets as necessary) Sheet 7 of 15					Examiner Name	Benjamin J. Packard
Sheet	et 7 of 15		Attorney Docket Number	066859/542422		
	084				n Neonatal Parenteral N NE, 9(9):e106825, (201	Jutrition: Compatibility Studies
	085		case of			fatal biliarv cirrhosis," Liver,
	086		Iyopathy	and Oxidativ	deficient Mice Require [ e Injury," The Journal o	Dietary Cysteine to Protect against of Biological Chemistry,
	087	JADHAV et al Parenter Ente				osis in Premature Infants," JPEN J
	210	JALILEHVANI Inorganic Che				-Cysteine in Aqueous Solution,"
	088	JANÁKY et al. 25(9/10):1397			ysteine Neurotoxicity," I	Neurochemical Research,
	089		s and m	itogen-activat	ed protein kinase signa	ath by activating endoplasmic lling in intestinal porcine epithelial
	090	JOHN et al., " Care, 40:312-			on usage trends in the l	United States,"Journal of Critical
	091	stability of the	active su	ıbstance in di		th the amino acid L-cysteine and wing gum formulation," Journal of
	092		cetaldeh	yde in the sal	liva during smoking," Jo	teine chewing gums for binding ournal of Pharmacy and
	093	with L-cysteine	e contain	ing chewing g	jum," (Academic Disser	ng saliva carcinogenic acetaldehyde rtation), Division of sinki, Finland, 60 pages, (2012).
	094	Nutrition-Asso Disease of Infa [Retrieved fror	ciated Al ants," Jou n the Inte s.lww.con	uminum Over urnal of Pedia ernet June 5, n/jpgn/Abstra	load: Evidence for a Ro tric Gastroenterology a 2018: <url:< td=""><td>nerapy in an Infant with Parenteral ole of Aluminum in the Bone nd Nutrition, 9(3):400-403, (1989).  cemia_Complicating_Deferoxamine</td></url:<>	nerapy in an Infant with Parenteral ole of Aluminum in the Bone nd Nutrition, 9(3):400-403, (1989).  cemia_Complicating_Deferoxamine
	095	KLEIN, Cather Nutrition, 132( 2017: <url:< td=""><td>6 Suppl <sup>2</sup></td><td>1):1395S-157</td><td>7S, (2002). [Retrieved :</td><td>nfant Formulas," The Journal of from the Internet December 6,</td></url:<>	6 Suppl <sup>2</sup>	1):1395S-157	7S, (2002). [Retrieved :	nfant Formulas," The Journal of from the Internet December 6,
	096	of the 7th WSI Chemistry, Ca	EAS Inter vtat, Cro	mational Con atia, 6 pages,	ference on Mathematic (2006).	lutrition for Children," Proceedings s & Computers in Biology &
	097				aediatric Parenteral Nut I2-S18, (2005).	rition: 3. Amino Acids," J. Pediatr.
	098	KOMURA et a	I., "Increa	ased Incidenc	e of Cholestasis during nal, 40(1):7-11, (1993).	Total Parenteral Nutrition in

						Modified PTO/SB/08 For	ш
Substitute for form 1449B/PTO					Complete if Known		
					Application Number	16/746,028	
INFO	RMA	ATION DIS	CLOS	SURE	Filing Date	January 17, 2020	
STATEMENT BY APPLICANT				ANT	First Named Inventor	John Maloney	
					Art Unit	1612	
(Use as many sheets as necessary)					Examiner Name	Benjamin J. Packard	
Sheet	8		of	15	Attorney Docket Number	066859/542422	
	099	KOO et al., "R nutrition durin			in parenteral al of Pediatrics, 109(5):87	77-883, (1986).	
	100	parenteral nut	rition," Th m the Inte	ne American ernet April 14	Journal of Clinical Nutriti	e in neonates who receive total on, 54(3):565-567, (1991). ademic.oup.com/ajcn/article-	
		in preterm infa	ants in foi 103478, 8	ır European pages, (201	countries," BMJ 3). [Retrieved from the Ir	guidelines for parenteral nutrition nternet June 6, 2018: <url:< td=""><td></td></url:<>	
	102	LARCHET et : Clinical Nutriti				Long-term Parenteral Nutrition,"	
	103	LEE et al., "AA Hepatology, 1				ıte Liver Failure: Update 2011,"	
		Position Pape	r on Acut	e Liver Failu	re 2011," Hepatology, 55	n for the Study of Liver Diseases (3):965-967, (2012).	
ľ	105	LEUNG et al.,	"Conseq	uences of ex	cess iodine," Nat Rev Er	ndocrinol., 10(3):136-142, (2014).	
	106	LEYDEN et al 45(4):611-614	., "Stabili , (1967).	zation of Solu [Retrieved fr		Derivatives," Can. J. Biochem., er 12, 2014: <url:< td=""><td></td></url:<>	
					icity of glucose–cysteine nemical Toxicology, 80:2	Maillard reaction products in 71-276, (2015).	
			reterm li	nfants in Mex	ntamination in Parenteral ico," Journal of Parenter	Nutrition Admixtures for Low- al and Enteral Nutrition,	
	108	Consequence Scand J Gasti	of Impai roenterol	red Homocys , 35(8):866-8	teine Transsulfuration at	in Patients with Liver Cirrhosis a the Level of γ-Cystathionase?," om the Internet October 25, 2014: 5200750023255>].	
	109	in Pediatric Pa (2015, Epub. 2	arenteral 2014). [R	Nutrition Soluetrieved from			
	110	Solutions," Joi the Internet A <sub>l</sub>	urnal of F pril 17, 20	Parenteral and 015: <url:< td=""><td></td><td>Two Specialty Amino Acid :63–66, (1996). [Retrieved from 02000163&gt;].</td><td></td></url:<>		Two Specialty Amino Acid :63–66, (1996). [Retrieved from 02000163>].	
	111	Journal of Clir	nical Nutr	ition, 37(2):18		nteral nutrition," The American ed from the Internet April 14, 2015:  88/4690722>].	
	112	MALLOY et al Clinical Nutriti				enteral Nutrition (TPN) [Abstract],"	

Examiner	Date	
Signature	Considered	

Substitute for form 1449B/PTO			Complete if Known		
			Application Number	16/746,028	
INFORM	ATION DISCLOS	SURE	Filing Date	January 17, 2020	
STATEM	IENT BY APPLIC	CANT	First Named Inventor	John Maloney	
			Art Unit	1612	
(Use a	s many sheets as necessary)		Examiner Name	Benjamin J. Packard	
Sheet 9	of	15	Attorney Docket Number	066859/542422	
	·				
113	MALLOY et al., "Cyste Pups," Pediatric Resea			al Nutrition: the Effect in Beagle	
114		Nitrogen Intak	es of 240 and 400 mg/kg	fants: Effects of Cysteine g/day," Journal of Pediatric	
115	57(3):455-456, (1993).	[Retrieved fro	abolic acidosis of low-bir om the Internet April 16, e-abstract/57/3/455/471		
116	Approach, 10e, McGra Internet December 5, 2	w Hill, Ed. Jos 2017: <url:< th=""><td>seph T. DiPiro et al., 38 ¡</td><td>cotherapy: A Pathophysiologic pages, (2016). [Retrieved from the d=1861&amp;sectionid=146076679&gt;].</td></url:<>	seph T. DiPiro et al., 38 ¡	cotherapy: A Pathophysiologic pages, (2016). [Retrieved from the d=1861&sectionid=146076679>].	
117	Journal, 109(4):10 pag	es, (2016). [R	etrieved from the Interne	nteral Nutrition," Irish Medical et June 6, 2018: <url: trition-further-food-for-thought/&gt;].</url: 	
118	the Adult Critically III P	atient: Society	of Critical Care Medicin	ent of Nutrition Support Therapy in e (SCCM) and American Society Parenteral and Enteral Nutrition,	
226		Exela Pharma		mporary Restraining Order and oz, Inc., No. 1:19-cv-318,	
119			nd Newborn Infant, Nutr I.V., 317 pages, (1971).	icia Symposium, Ed. J. H. P.	
120	MILLER et al., "Decrea Infants," Journal of Pec	ised Cysteine diatric Surgery	and Proline Synthesis ir , 30(7):953-958, (1995).	n Parenterally Fed, Premature	
121	MILLER, Sarah J., "Pa [Retrieved from the Intention in In	ernet Septemb	per 26, 2018: <url:< td=""><td>(HS10-HS20):31 pages, (2006).</td></url:<>	(HS10-HS20):31 pages, (2006).	
122	Nutrition, 28(6):S39-S7	70, (2004). [Re		ournal of Parenteral and Enteral t January 23, 2014: <url: 28006s39&gt;].</url: 	
123	MORENO et al., "Alum 83(1):25-29, (1994).	inium in the n	eonate related to parent	eral nutrition," Acta Paediatr,	
124	MORENO VILLARES ( Comparison to the pra	et al., "Current ctise 8 years a	use of parenteral nutriti igo," Nutr. Hosp., 20(1):	on in a pediatric hospital. 46-51, (2005).	
125			utrition: The Role of the ess, Brussels, 49 pages	Pharmacist in the Era of 3- (2005).	
126	[Abstract]," Nutr Clin P	ract., 32(6):79		Jutrition in the United States d from the Internet June 6, 2018: 3617718472>].	

Examiner Signature	Date Considered	
-----------------------	--------------------	--

						Modified PTO/SB/08 Fo	rm
Substitute for form 1449B/PTO					Complete if Known		
					Application Number	16/746,028	
INFORMATION DISCLOSURE					Filing Date	January 17, 2020	
STA	TEMI	ENT BY A	PPLIC	ANT	First Named Inventor	John Maloney	
(Use as many sheets as necessary)					Art Unit	1612	
	(Use as	many sheets as r	ecessary)		Examiner Name	Benjamin J. Packard	
Sheet	10	)	of	15	Attorney Docket Number	066859/542422	
	γ						.,
	127		3–2014,"	Pediatrics, 1	39(6):e20163239, (2017	). [Retrieved from the Internet tent/139/6/e20163239>].	
	128	on Mitochondr Infected Patie	ial Fuel ( nts," J Cli nber 12,	Oxidation, Ins in Endocrinol 2017: <url:< td=""><td>ulin Sensitivity, and Body</td><td>ine and Glycine Supplementation y Composition in Older HIV- (2014). [Retrieved from the om/jcem/article-</td><td></td></url:<>	ulin Sensitivity, and Body	ine and Glycine Supplementation y Composition in Older HIV- (2014). [Retrieved from the om/jcem/article-	
	129	containing dica Nutrition, 5(5):	arboxylic 459-466	amino acids (1986). [Reti			
	I 130					Hyperthyrotropinemia in Neonates	 T
	<u> </u>				ne Intake," Thyroid, 14(2		<u></u>
	221					from Various Glass Vials,"	Ţ
	<u> </u>	***************************************			in, 64:150-160, (2016).		<u></u>
	131	OLNEY et al., Cysteine," Nat	"Brain Da ure, 227	amage in Infa (5258):609-61	nt Mice following Oral In I1, (1970).	take of Glutamate, Aspartate or	<u> </u>
	132	O'NEAL et al., Am J Health S				parenteral nutrition formulations,"	
			anic calc	ium and inorg		teral nutrient admixtures .m J Health Syst Pharm,	
	134				erapy for Nausea and Vo ency Medicine, 39(3):330	miting in the Emergency )-336, (2010).	
	135				ion for premature infants 301, 6 pages, (2017).	practice aspects," Journal of	
	136	PATT et al., "C	Systeine	Protection ag	ainst X Irradiation," Scier	nce, 110(2852):213-214, (1949).	
	137	PAULIKOVA 6	t al., "loc	line toxicity in	ruminants," Vet. Med	Czech, 47(12):343-350, (2002).	
	138					parenteral nutrition admixtures," tabolism, 4(3):e117-e119, (2009).	
	233	PILANIYA et a Technol Res.,			ne impurity profile of pha	rmaceuticals," J Adv Pharm	
	139	PLOGSTED e Nutrition in Cli	t al., "Pai nical Pra	enteral Nutrit ctice, 30(4):5	ion L-Cysteine Product § 79-580, (2015).	Shortage Considerations,"	
	140				From Pediatric Parenterand Enteral Nutrition, 32(3	al Nutrition: Meeting the New FDA 3):242-246, (2008).	
	141	POOLE et al., Parenteral Nu	"Aluminu rition So	ım Exposure lution Produc	in Neonatal Patients Usi ts," Nutrients, 12(4):1566	ng the Least Contaminated 3-1574, (2012).	

Examiner	Date	
Signature	Considered	

PYATI et al., "Absorption of iodine in the neonate following topical use of povidone iodine," The Journal of Pediatrics, 91(5):825-828, (1977).

LEGAL02/39666198v1

142

					1	Modified PTO/SB/08 For	m	
Substitut	e for form	1449B/PTO				Complete if Known		
					Application Number	16/746,028		
INFO	DRM/	ATION DIS	CLOS	SURE	Filing Date	January 17, 2020		
STA	TEM	ENT BY A	PPLIC	CANT	First Named Inventor	John Maloney		
					Art Unit	1612		
	(Use as	s many sheets as i	necessary)	1	Examiner Name	Benjamin J. Packard		
Sheet	11		of	15	Attorney Docket Number	066859/542422		
	143	42:1087-1096	, (2012).	[Retrieved fro	in amino acids: a place om the Internet May 10, 2 ation/47567399>].	to call home," Amino Acids, 2016: <url:< td=""><td></td></url:<>		
	144	RASSIN, Davi Metabolism D				cids in Neonatal Nutrition," Protein		
	145	Remington's F Easton, PA, (1		eutical Scienc	es, 16th edition, Ed. A. 0	Osol, Mack Publishing Co.,		
	228	Reply in Supp v.Sandoz, Inc.	ort of Pla ., No. 1:1	aintiff's Motion 9-cv-318-MR	for Preliminary Injunctio , W.D.N.C., December 1	n, Exela Pharma Sciences, LLC 3, 2019.		
	227	Response in C LLC v.Sandoz	Oppositio	on to Plaintiff's 5. 1:19-cv-318	Motion for Preliminary II B-MR, (W.D.N.C., Decem	njunction, Exela Pharma Sciences, nber 6, 2019).		
	146	Infants," Pedia	atrics, 12	1(3):e561-e56	ements in Enterally Fed Very Low Birth Weight Preterm .567, (2008). [Retrieved from the Internet April 10, 2015: ations.org/content/121/3/e561.full.html>].			
	147	infants?," The	America	n Journal of C	Clinical Nutrition, 86(4):1	id in low-birth-weight preterm 120-1125, (2007). [Retrieved from n/ajcn/article/86/4/1120>].		
	148	Rotterdam, the	e Nether	lands, 176 pa	ges, (2008).	Thesis), Erasmus Universiteit		
	149	RIPPS et al., ' 2686, (2012).	'Review:	Taurine: A "v	ery essential" amino acio	d," Molecular Vision, 18:2673-		
	150	RUBALTELLI Nutrition Work				eeding the Sick Infant, Nestlé		
	151	Smoking," Car	ncer Epic	demiol Biomai		By Cysteine From Saliva During 9, (2006). [Retrieved from the ontent/15/1/146>].		
	152				etaldehyde 2from Saliva 361-364, (2002).	by a Slow-Release Buccal Tablet		
	153	47(2):81-88, (2	2009). [F	Retrieved from	ions associated with ace the Internet July 10, 20 <sup>,</sup> 10.1080/155636508026			
	154				f Sperm Granulomas in t y, 31(3):281-289, (2003)	the Epididymides of L-Cysteine-		
	155	Male Rats," Th	ne Journ	al of Toxicolog	gical Sciences, 28(2):95-			
	156	Toxicologic Pa	athology,	56(1-2):45-52	2, (2004).	lult rats," Experimental and		
	157	SCHANLER e	t al., "Pa		ion in premature infants,	" UptoDate, 23 pages, (2014).		
	158	Phosphate So Nutrition, 10(2	lubility ir ):203-20	Containment Hyperalimen 7, (1986). [Re	Using Cysteine HCl Acid	dification to Increase Calcium/ al of Parenteral and Enteral : April 2, 2015: <url:< td=""><td></td></url:<>		

Examiner	Date	
Signature	Considered	

Substitute	for form 1449B/PTO			Complete if Known		
				Application Number	16/746,028	
INFO	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020	
STATEMENT BY APPLICANT			ANT	First Named Inventor	John Maloney	
				Art Unit	1612	
(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard	
Sheet	12	of	15	Attorney Docket Number 066859/542422		

159	SCHULPIS et al., "L-Cysteine supplementation protects the erythrocyte glucose-6-phosphate dehydrogenase activity from reduction induced by forced training," Clinical Biochemistry, 39(10):1002-1006, (2006).	
160	SEARS, Margaret E., "Chelation: Harnessing and Enhancing Heavy Metal Detoxification—A Review," The Scientific World Journal, 2013(219840):13 pages, (2013).	
161	SEGAL et al., "Delineation of Cystine and Cysteine Transport Systems in Rat Kidney Cortex by Developmental Patterns," Proc Natl Acad Sci USA, 63(3):926-933, (1969).	
162	SHELTON et al., "Plasma Amino Acid Concentrations in 108 Children Receiving a Pediatric Amino Acid Formulation as Part of Parenteral Nutrition," J Pediatr Pharmacol Ther, 15(2):110- 118, (2010).	
163	SHEW et al., "Assessment of cysteine synthesis in very low–birth weight neonates using a [13C6]glucose tracer," Journal of Pediatric Surgery, 40(1):52-56, (2005).	
164	SHEW et al., "Improved Protein Metabolism in Neonates Receiving Parenteral Cysteine Supplementation," Pediatric Research, 45(290A), 3 pages, (1999). [Retrieved from the Internet April 18, 2018: <url: articles="" http:="" pr19991842="" www.nature.com="">].</url:>	
165	SHULMAN et al., "Parenteral Nutrition in Infants and Children," Journal of Pediatric Gastroenterology and Nutrition, 36(5):587-607, (2003).	
166	SHULMAN et al., "Reply to F Manz," Am J Clin Nutr, 57(3):456, (1993). [Retrieved from the Internet April 16, 2015: <url: 3="" 456="" 4715642="" 57="" academic.oup.com="" ajcn="" article-abstract="" https:="">].</url:>	
167	SIDHU et al., "L-Cysteine and Sodium Hydrosulphide Inhibit Spontaneous Contractility in Isolated Pregnant Rat Uterine Strips in vitro," Pharmacology & Toxicology, 88(4):198-203, (2001).	
168	SIMMER et al., "Standardised Parenteral Nutrition," Nutrients, 5(4):1058-1070, (2013).	
169	SINGER et al., "ESPEN Guidelines on Parenteral Nutrition: Intensive care," Clinical Nutrition, 28(4):387-400, (2009).	
170	SINGH et al., "Physical compatibility of neonatal total parenteral nutrition admixtures containing organic calcium and inorganic phosphate salts in a simulated infusion at 37°C," Pediatr Crit Care Med, 10(2):213–216, (2009).	
171	SMITH et al., "Effect of additive selection on calculated aluminum content of parenteral nutrient solutions," Am. J. Health Syst. Pharm., 64(7):730-739, (2007).	
172	SOGHIER et al., "Cysteine, cystine or N-acetylcysteine supplementation in parenterally fed neonates (Updates)," Cochraine Database of Systematic Reviews, 4(CD004869):13 pages, (2009). [Retrieved from the Internet April 14, 2015: <url: brionl_07="" brionl_07.html="" cochrane_data="" https:="" www.nichd.nih.gov="">].</url:>	
173	SOGHIER et al., "Cysteine, cystine or N-acetylcysteine supplementation in parenterally fed neonates," Cochraine Database of Systematic Reviews, 4(CD004869):40 pages, (2006).	
174	STAUN et al., "ESPEN Guidelines on Parenteral Nutrition: Home Parenteral Nutrition (HPN) in adult patients," Clinical Nutrition, 28(4):467-479, (2009).	
175	STAWNY et al., "Pharmaceutical Point of View on Parenteral Nutrition," Hindawi Publishing Corporation, 2013(415310), 9 pages, (2013).	

Examiner	Date	
Signature	Considered	

					1	Modified PTO/SB/08 Fo		
Substitut	te for form	1449B/PTO				Complete if Known		
					Application Number	16/746,028		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				SURE	Filing Date	January 17, 2020		
				CANT	First Named Inventor	John Maloney		
				Art Unit	1612			
	(Use as	s many sheets as	necessary	)	Examiner Name	Benjamin J. Packard		
Sheet	13	}	of	15	Attorney Docket Number	066859/542422		
	176	Metabolism,"	The Jour	nal of Nutritio		sights into Regulation of Cysteine 659S, (2006). [Retrieved from the		
	177	STORM et al Birth Weight	I., "Cystei Prematur	ne Supplemer	ntation Normalizes Plasn uiring Parenteral Nutritio	na Taurine Concentrations in Low n Support [Abstract]," Nutrition		
	178	Science, 169	(3940):74	I-76, (1970). [		Il Liver: Is Cystine Essential?," net December 5, 2017: <url: &gt;].</url: 		
	234	SULLIVAN e Biol. Chem.,			uvic Acid on the Estimati	on of Cystine and Cysteine," J		
	179	Bases," Ann.	N.Y. Aca	d. Sci., 1043:	845-864, (2005).	hanism for Deglycation of Schiff's		
	180	TE BRAAKE et al., "High-Dose Cysteine Administration Does Not Increase Synthesis of the Antioxidant Glutathione Preterm Infants," Pediatrics, 124(5):e978-e984, (2009). [Retrieved from the Internet May 29, 2015: <url: 124="" 5="" content="" e978.full.html="" http:="" pediatrics.aappublications.org="">].</url:>						
	181	TÉLESSY et al., "Kinetic stability of all-in-one parenteral nutrition admixtures in the presence of high dose Ca2+ additive under clinical application circumstances," Nutrition Journal, 11(32):5 pages, (2012).						
	182	THIBAULT, Note for Pediatric	<b>v</b> laxime, " Parentera	Possible Inco Il Nutrition," C	mpatibility between Amin JHP, 67(2):160-164, (20	o Acids and Copper in Solutions 14).		
	183			Recommended Inc., 4 pages		r Ingredient Mixing Sequence,"		
	184	Compend Co	ontin Educ 7, 2018: •	vet., VetFoli URL: http://\	o, 29(2):88-102, (2007).	itoring, and Complications," [Retrieved from the Internet n/parenteral-nutrition-formulation-		
	185	THOR et al., Biophysics, 1	"Metabol 92(2):40	ic Activation a 5-413, (1979).	ind Hepatotoxicity," Archi	ives of Biochemistry and		
	186	TRISSEL et al., "Use of Cysteine Hydrochloride Injection to Increase the Solubility of Calcium and Phosphates in FreAmine III-Containing Parenteral Nutrition Solutions," International Journal of Pharmaceutical Compounding, 7(1):71-77, (2003).						
	187	VAN GOUDOEVER et al., "Amino Acid Solutions for Premature Neonates During the First Week of Life: The Role of N-Acetyl-L-Cysteine and N-Acetyl-L-Tyrosine," Journal of Parenteral and Enteral Nutrition, 18(5):404-408, (1994). [Retrieved from the Internet October 28, 2014: <url: 18="" 404="" 5="" content="" http:="" pen.sagepub.com="">].</url:>						
	188	VENDEMIALE et al., "Effects of Oral S-Adenosyl-L-Methionine on Hepatic Glutathione in Patients with Liver Disease," Scand J Gastroenterol, 24(4):407-415, (1989). [Retrieved from the Internet September 7, 2013: <url: 00365528909093067="" 10.3109="" abs="" doi="" https:="" www.tandfonline.com="">].</url:>						
	189	VIÑA et al. "	L Cyctoin	o and alutathi	iono motabolism aro imp	aired in premature infants due to		

Examiner	Date	
Signature	Considered	

					Modified F 10/3B/08 Ful	ш			
Substitute for form 1449B/PTO					Complete if Known				
				Application Number	16/746,028				
INFORM	ATION DISC	LOSURE	<b>.</b>	Filing Date	January 17, 2020				
STATEM	ENT BY AP	PLICANT	Γ	First Named Inventor	John Maloney				
			Ī	Art Unit	1612				
(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard				
Sheet 14	4	of 15		Attorney Docket Number	066859/542422				
	·								
190	VINTON et al., "T Undergoing Long	Taurine Conc g-Term Total	entratio Parente	ons in Plasma, Blood Ce eral Nutrition," Pediatric	lls, and Urine of Children Research, 21(4):399-403, (1987).				
191	7(4):187-196, (19	992). [Retriev	ed from	ntravenous Fat Emulsion In the Internet March 18, If/10.1177/0115426592					
192		ediatric home			cal stability of all in one parenteral icentrations," Nutr Hosp.,				
193	novel cysteinesu	Ifinate decark	ooxylas		Use of D-cysteinesulfinate, a ine and pyruvate synthesis," The 3).				
212	WHITING et al., "Effect of Headspace Oxygen Concentration on Growth and Toxin Production by Proteolytic Strains of Clostridium botulinum," Journal of Food Protection, 55(1):23-27, (1992).								
194	WHYTE et al., "Safety and Effectiveness of Acetadote for Acetaminophen Toxicity," The Journal of Emergency Medicine, 39(5):607-611, (2010).								
195	WILHELM et al., 14(4):223-227, (2	WILHELM et al., "Aluminum balance in intensive care patients," J. Trace Elements Med. Biol., 14(4):223-227, (2001).							
196	WILLIAMS et al., "Supplemental lodide for Preterm Infants and Developmental Outcomes at 2 Years: An RCT," Pediatrics, 139(5):e20163703, 14 pages, (2017). [Retrieved from the Internet December 12, 2018: <url: 139="" 5="" content="" e20163703="" http:="" pediatrics.aappublications.org="">].</url:>								
197	WLODEK, Lidia, Biochimica Polor				rbonyl Compounds," Acta				
198	WOOLSEY, Patr Journal of Altern	icia B.E., "Cy ative and Cor	/steine, mpleme	Sulfite, and Glutamate entary Medicine, 14(9):1	Toxicity: A Cause of ALS?," The 159-1164, (2008).				
199	Measurement of	Cysteine Met	tabolisn		idase of Rat Liver. II. The ion of in vivo Activity of Cysteine 3).				
200	YAO et al., "Effect of glucose-cysteine adduct as a cysteine prodrug in rats," Amino Acids, 12(1):85-94, (1997).								
201	YAO et al., "Protective effect of glucose-cysteine adduct on the in situ perfused rat liver," Amino Acids, 12(1):33-40, (1997).								
202	YARANDI et al., "Amino acid composition in parenteral nutrition: what is the evidence?," Curr Opin Clin Nutr Metab Care, 14(1):75-82, (2011).								
203	YBARRA, Joseph V., "Calcium and Phosphate Solubility in Neonatal Parenteral Nutrient Solutions Containing TrophAmine," Nutrition in Clinical Practice, 25(4):353-356, (2010).								
204	YIN et al., "L-Cys 13, (2015).	steine metabo	olism ar	nd its nutritional implicati	ions," Mol. Nutr. Food Res., 0:1-				
205	ZERANGUE et al., "Interaction of L-cysteine with a human excitatory amino acid transporter,"  Journal of Physiology, 493(2):419-423, (1996).								

Examiner	Date	
Signature	Considered	

					Wilderica 1 1 G/BB/00 1 GIII	
Substitute f	or form 1449B/PTO			Complete if Known		
				Application Number	16/746,028	
INFO	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020	
STATEMENT BY APPLICANT				First Named Inventor	John Maloney	
				Art Unit	1612	
(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard	
Sheet	15	of	15	Attorney Docket Number	066859/542422	

20	6 ZHANG et al., "A Perspective on the Maillard Reaction and the Analysis of Protein Glycation by Mass Spectrometry: Probing the Pathogenesis of Chronic Disease," J Proteome Res., 8(2):754-769, (2009).
20	7 ZLOTKIN et al., "Cysteine supplementation to cysteine-free intravenous feeding regimens in newborn infants," The American Journal of Clinical Nutrition, 34(5):914-923, (1981). [Retrieved from the Internet April 14, 2015: <url: 34="" 4431066="" 5="" 914="" academic.oup.com="" ajcn="" article-abstract="" https:="">].</url:>
20	8 ZLOTKIN et al., "The Development of Cystathionase Activity During the First Year of Life," Pediatr. Res., 16(1):65-68, (1982).

Examiner	Date	
Signature	Considered	

Electronic Acknowledgement Receipt					
EFS ID:	38858930				
Application Number:	16746028				
International Application Number:					
Confirmation Number:	4075				
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE				
First Named Inventor/Applicant Name:	JOHN MALONEY				
Customer Number:	826				
Filer:	Bryan Lee Skelton/Laura Tremont				
Filer Authorized By:	Bryan Lee Skelton				
Attorney Docket Number:	066859/542422				
Receipt Date:	13-MAR-2020				
Filing Date:	17-JAN-2020				
Time Stamp:	14:30:42				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted with Payment			no							
File Listing:										
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
1	Transmittal Letter			109680	no	2				
		542	2422_IDS_Transmittal.pdf	25eSbdce2dc4c4778d217736e32416d7f0f 6f2cb						
Warnings:	-	•								

Information	:				
2	Information Disclosure Statement (IDS) Form (SB08)	542422_IDS_SB08.pdf	394585	no	15
			9463826dea205d6aa5eba74eb0d5ab227e 9201ab		
Warnings:					l
Information	:				
This is not an U	JSPTO supplied IDS fillable form				
3	Non Patent Literature	220-Bohrer_2001.pdf	819683	no	6
			c43eddd644317db97b684bd5e3ca734ee4 ca47f2		
Warnings:	-				L
Information	:				
4	Non Patent Literature	221-Ogawa_2016.pdf	3377460	no	36
			87b7726bf00c65cc22636c1d4c4391aa317f ddff		
Warnings:					
Information	:				
5	Non Patent Literature	222-Gura_2014.pdf	213847	no	7
			fce1a404f6e85a7948c87bf3570edeaef8311 cSc		
Warnings:					<u>I</u>
Information	:				
	Non Patent Literature	223-Complaint_11-06-19.pdf	11341930	no	29
6			b61149b987001ede85b6f9cc0036e8d30d6 19e02		
Warnings:	-				I.
Information	:				
	Non Patent Literature	224-FR6517_2000.pdf	603372	no	11
7			ba6466c3e615bfb6ee3ae09dcd0706b184a 342a9		
Warnings:	<del> </del>				
Information	:				
	Non Patent Literature	225-L- Cysteine_drug_label_2018.pdf	838169	no	7
8			d36fce0254c9d931537d086fd620af81da5e b486		
Warnings:	<del>'</del>				
Information	:				

9 Non Patent Literature 226-Memorandum_11- pdf  Warnings:	110   30
pdf	110   30
Warnings	4aff578f32716e3129503633a2b06006cd77 2d99
Warnings:	
Information:	
	11758835
10 Non Patent Literature 227-Response_12-06-1	19.pdf
Warnings:	
Information:	
	3484967
11 Non Patent Literature 228-Reply_12-13-2019	9.pdf no 31 e3ff0dc0dd8c7e69958738201212804c7cf2 a6a9
Warnings:	
Information:	
	139954
12 Non Patent Literature 229-FR6618_2001.p	pdf no 2
Warnings:	
The PDF file has been signed with a digital signature and the legal effect of the docu digital signature.	iment will be based on the contents of the file not the
Information:	
	1700935
13 Non Patent Literature 230-Bohrer_2003.p	odf no 9  8406f0413e85026f67ce0e89f733b55a8acd 2664
Warnings:	
Information:	
	1745835
14 Non Patent Literature 231-Aminosyn_2019	0.pdf no 28
Warnings:	
Information:	
222	166192
232- 15 Non Patent Literature Cysteine_Hydrochloride Package_Insert_2007	
Warnings:	
Information:	

			1035624		
16	Non Patent Literature	233-Pilaniya_2010.pdf	aaa003a9c1ef318003bcfb94ace9acdaf7ef7 dad	no	19
Warnings:					
Information:					
			381193		
17	Non Patent Literature	234-Sullivan_1937.pdf	0e7d3c9e38b28092410ed11b3d45c970f32 67274	no	8
Warnings:					
Information:					
		Total Files Size (in bytes):	50	653961	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: John Maloney et al. Confirmation No.: 4075 Appl. No.: 16/746,028 Group Art Unit: 1612

Filed: January 17, 2020 Examiner: Benjamin J. Packard For: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION

AND METHODS OF USE

Submitted via EFS-Web Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT CITATION UNDER 37 C.F.R. § 1.97

Attached is a list of documents on form PTO-SB08.

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

Copies of all listed documents (other than U.S. patents, U.S. patent application publications, or patents or publications otherwise determined cumulative) are attached, except those (if any) that were previously submitted to, or cited by, the Office during the prosecution of any application(s) upon which the present application directly relies for an earlier effective filing date under 35 U.S.C. § 120. It is noted that 37 C.F.R. § 1.98(d) establishes that copies of documents previously submitted to, or cited by, the Office during prosecution of said application(s) are not required to be furnished; however, copies of such documents will be furnished upon request.

In accordance with 37 C.F.R. § 1.98(d) the reference above to said application(s) includes those application(s) properly identified in the table below:

Application No.	Filing Date	Pub./Patent No.	Status
16/665,702	10-28-2019	10,583,155	Issued
16/248,460	01-15-2019	10,478,453	Issued

In re: John Maloney et al. Appl. No.: 16/746,028 Filed: January 17, 2020

Page 2

Respectfully submitted,

/bryan 1. skelton/

Bryan L. Skelton Registration No. 50,893

Customer No. 826 ALSTON & BIRD LLP Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Research Triangle Area Office (919) 862-2200 Fax Research Triangle Area Office (919) 862-2260

ELECTRONICALLY FILED USING THE EFS-WEB ELECTRONIC FILING SYSTEM OF THE UNITED STATES PATENT & TRADEMARK OFFICE ON March 13, 2020.

# United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
16/746,028	01/17/2020	JOHN MALONEY	066859/542422	4075	
826 ALSTON & B	7590 03/30/202 IRD LLP	EXAMINER			
BANK OF AM	MERICA PLAZA RYON STREET, SUIT	PACKARD, BENJAMIN J			
	C, NC 28280-4000	E 4000	ART UNIT	PAPER NUMBER	-
			1612		
			NOTIFICATION DATE	DELIVERY MODE	
			03/30/2020	ELECTRONIC	

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

usptomail@alston.com

	Application No.	Applicant(s)	
Office Action Summany	16/746,028	MALONEY et	
Office Action Summary	Examiner	Art Unit	AIA (FITF) Status
	BENJAMIN J PACKARD	1612	Yes
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondend	e address
A SHORTENED STATUTORY PERIOD FOR REPLY DATE OF THIS COMMUNICATION.	$\gamma$ IS SET TO EXPIRE $3$ MONTHS	S FROM THE	MAILING
<ul> <li>Extensions of time may be available under the provisions of 37 CFR 1.13 date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period w</li> <li>Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing adjustment. See 37 CFR 1.704(b).</li> </ul>	vill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	the mailing date of D (35 U.S.C. § 133	this communication.
Status			
1) Responsive to communication(s) filed on	<u> </u>		
☐ A declaration(s)/affidavit(s) under <b>37 CFR 1</b>	<b>.130(b)</b> was/were filed on		
2a) ☐ This action is <b>FINAL</b> . 2b) {	▼ This action is non-final.		
3) An election was made by the applicant in res on; the restriction requirement and election			
4) Since this application is in condition for allow closed in accordance with the practice under			
Disposition of Claims*			
5) ✓ Claim(s) 1-27 is/are pending in the app	lication.		
5a) Of the above claim(s) is/are withdr	awn from consideration.		
6) Claim(s) is/are allowed.			
7) ✓ Claim(s) 1-27 is/are rejected.			
8) Claim(s) is/are objected to.			
9) Claim(s) are subject to restriction a	nd/or election requirement		
* If any claims have been determined <u>allowable</u> , you may be eli	gible to benefit from the Patent Pros	ecution High	way program at a
participating intellectual property office for the corresponding ap			
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	an inquiry to <a href="mailto:PPHfeedback@uspto.">PPHfeedback@uspto.</a>	.gov.	
Application Papers			
10) ☐ The specification is objected to by the Exami	ner.		
11) The drawing(s) filed on is/are: a) a			er.
Applicant may not request that any objection to the di			OED 4 4047 IV
Replacement drawing sheet(s) including the correction	on is required if the drawing(s) is object	oted to. See 37	CFR 1.121(d).
Priority under 35 U.S.C. § 119 12) ☐ Acknowledgment is made of a claim for foreice Certified copies:	gn priority under 35 U.S.C. § 11	9(a)-(d) or (f	).
a) ☐ All b) ☐ Some** c) ☐ None of t	he:		
1. Certified copies of the priority docum			
2.☐ Certified copies of the priority document		plication No.	
Copies of the certified copies of the application from the International But a position from the Internation from the In	priority documents have been r	•	
** See the attached detailed Office action for a list of the certific			
Attackmont(a)			
Attachment(s)	2\	/DTO 419\	
1) Notice of References Cited (PTO-892)	3) Interview Summary Paper No(s)/Mail D		
<ol> <li>Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date 1500s (3/13/20)</li> </ol>	B/08b) 4) Other:	uio	

U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13)

Office Action Summary

Part of Paper No./Mail Date 20200323

#### **DETAILED ACTION**

# Notice of Pre-AIA or AIA Status

The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

# **Double Patenting**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on nonstatutory double patenting provided the reference application or patent either is shown to be commonly owned with the examined application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement. See MPEP § 717.02 for applications subject to examination under the first inventor to file provisions of the AIA as explained in MPEP § 2159. See MPEP §§ 706.02(I)(1) - 706.02(I)(3) for applications not subject to examination under the first inventor to file provisions of the AIA. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

Art Unit:1612

The USPTO Internet website contains terminal disclaimer forms which may be used. Please visit www.uspto.gov/patent/patents-forms. The filing date of the application in which the form is filed determines what form (e.g., PTO/SB/25, PTO/SB/26, PTO/AIA/25, or PTO/AIA/26) should be used. A web-based eTerminal Disclaimer may be filled out completely online using web-screens. An eTerminal Disclaimer that meets all requirements is auto-processed and approved immediately upon submission. For more information about eTerminal Disclaimers, refer to www.uspto.gov/patents/process/file/efs/guidance/eTD-info-I.jsp.

Claims 1-27 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 30 of U.S. Patent No. 10,583,155. Although the claims at issue are not identical, they are not patentably distinct from each other because both are directed to L-cysteine solutions with reduced aluminum content which are suitable for parenteral nutrition compositions.

Claims 1-27 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-22 of U.S. Patent No. 10,478,453. Although the claims at issue are not identical, they are not patentably distinct from each other because both are directed to L-cysteine solutions with reduced aluminum content which are suitable for parenteral nutrition compositions.

Claims 1-27 are provisionally rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-27 of copending Application No. 16/773,641 (reference application).

Although the claims at issue are not identical, they are not patentably distinct from each other because the claims are directed to the same formulation within a single use vial.

This is a provisional nonstatutory double patenting rejection because the patentably indistinct claims have not in fact been patented.

#### Status of the Art

As noted in the parent case, the closest art is Sigma-Aldrich production information for L-Ocysteine hydrochloride monohydrate (05/06) in view of Whiting et al (Journal of Food Protection, Vol 55, No 1, Pgs 23-27,1992) which teach parenteral formulations and the need to reduce aluminum impurities. But Applicants presented evidence in the parent case showing the ability to remove the aluminum impurity is not a result of the teaching of the prior art or a matter of routine optimization. Instead, multiple processes were developed and used to create the instant formulation with the reduced impurity limits requested by the FDA and now claimed.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to BENJAMIN J PACKARD whose telephone number is (571)270-3440. The examiner can normally be reached on Mon and Wed-Fri (8am-6pm).

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Frederick Krass can be reached on (571)272-0580. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic

 $Business\ Center\ (EBC)\ at\ 866-217-9197\ (toll-free).\ If\ you\ would\ like\ assistance\ from\ a\ USPTO\ Customer$ 

Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR

CANADA) or 571-272-1000.

/BENJAMIN J PACKARD/ Primary Examiner, Art Unit 1612

Search						
Search Notes		16/746,028	MALONEY et al.	MALONEY et al.		
		Examiner	Art Unit			
		BENJAMIN J PACKARD	1612			
CPC - Searche	ed*					
Symbol			Date	Examiner		
CPC Combina	tion Sets - Sear	ched*				
Symbol			Date	Examiner		
US Classificat	ion - Searched*					
Class	Subclass		Date	Examiner		
Search Notes Search Notes			Data			
Palm inventor s	search					
East search	ouron		03/26/2020	<b>Examiner</b> BP		
⊏asi seaicii	- Caron					
		ycsteine, aluminum, contaminate	03/26/2020	ВР		
		ycsteine, aluminum, contaminate	03/26/2020 03/26/2020	BP BP		
STN- Caplus se	earch, terms: I-c	ycsteine, aluminum, contaminate	03/26/2020 03/26/2020	BP BP		
	earch, terms: I-c		03/26/2020 03/26/2020	BP BP		
STN- Caplus se	earch, terms: I-c		03/26/2020 03/26/2020 03/26/2020	BP BP BP		
STN- Caplus se	earch, terms: I-c		03/26/2020 03/26/2020 03/26/2020	BP BP BP		
STN- Caplus se	earch, terms: I-c		03/26/2020 03/26/2020 03/26/2020	BP BP BP		
STN- Caplus se	earch, terms: I-c		03/26/2020 03/26/2020 03/26/2020	BP BP BP		
STN- Caplus se	earch, terms: I-c		03/26/2020 03/26/2020 03/26/2020	BP BP BP		
STN- Caplus se	earch, terms: I-c		03/26/2020 03/26/2020 03/26/2020	BP BP BP		
STN- Caplus se	earch, terms: I-c		03/26/2020 03/26/2020 03/26/2020	BP BP BP		
STN- Caplus se	earch, terms: I-c		03/26/2020 03/26/2020 03/26/2020	BP BP BP		

Page 1 of 1

# **EAST Search History**

# **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	51594	cysteine aluminum parenteral	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	ON	2020/03/26 11:05
L2	71	cysteine aluminum parenteral	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	SAME	ON	2020/03/26 11:06
L3	6		US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	SAME	ON	2020/03/26 11:06
L4	1330		US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	ON	2020/03/26 11:06
L5			US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	ON	2020/03/26 11:06

# **EAST Search History (Interference)**

<This search history is empty>

3/26/2020 11:10:44 AM

C:\Users\bpackard\Documents\EAST\Workspaces\16746028.wsp

Substitute for form 1449B/PTO				Complete if Known		
				Application Number	16/746,028	
INFORMATION DISCLOSURE				Filing Date	January 17, 2020	
STATEMENT BY APPLICANT			ANT	First Named Inventor	John Maloney	
				Art Unit	1612	
(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard	
Sheet	1	of	15	Attorney Docket Number	066859/542422	

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant			
initials	No. <sup>1</sup>	Number Kind Code <sup>2 (f known)</sup>	WIWI-DD-1111	Applicant of office Booking it	Figures Appear			
	215	US 10,493,051 B1	12-03-2019	Sutterer et al.				
	218	US 10,543,186 B1	01-28-2020	Sutterer et al.				
	219	US 6,051,567	04-18-2000	Abrahamson et al.				
	209	US 6,992,218 B2	01-31-2006	Dietlin et al.				
	001	US 7,323,206 B1	01-29-2008	Driscoll et al.				
	002	US 9,220,700 B2	12-29-2015	Savarese et al.				
	217	US 2019-0233153 A1	08-01-2019	Hofstetter				
	216	US 2019-0247307 A1	08-15-2019	Hofstetter				

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	003	"Guidelines for the Use of Parenteral and Enteral Nutrition in Adult and Pediatric Patients," ASPEN Board of Directors and the Clinical Guidelines Task Force, Journal of Parenteral and Enteral Nutrition, 26(1 Suppl.):1SA-138SA, (2002).	
	004	"ACETADOTE (acetylcysteine) injection, for intravenous use: Prescribing Information [package insert]," Cumberland Pharmaceuticals Inc., 12 pages, (2017).	
	224	"Aluminum in Large and Small Volume Parenterals Used in Total Parenteral Nutrition," Federal Register, 65(17):4103-4111, (2000).	
	229	"Aluminum in Large and Small Volume Parenterals Used in Total Parenteral Nutrition; Delay of Effective Date," Federal Register, 66(18):7864-7865, (2001).	
	005	"AMINOSYN [prescribing information and label]," Hospira, Inc., 19 pages, (2012).	
	231	"AMINOSYN [prescribing information and label]," Hospira, Inc., 28 pages, (2019).	
		"ASHP Guidelines on the Safe Use of Automated Compounding Devices for the Preparation of Parenteral Nutrition Admixtures," Automation and Information Technology–Guidelines, 63–67, (2000).	
	007	"Chapter 18: Preparation of Parenteral Nutrition," Aseptic Processing Manual, NHS Technical Specialist Education and Training Group, 24 pages, (2018).	
	232	"Cysteine Hydrochloride [FDA package insert]," Hospira, Inc., 7 pages, (2007).	
	800	"Cysteine Hydrochloride Injection [Material Safety Data Sheet]," Hospira Inc., 6 pages, (2011).	

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered	03/26/2020
-----------------------	----------------------	--------------------	------------

Substitute for form 1449B/PTO				Complete if Known		
				Application Number	16/746,028	
INFORMATION DISCLOSURE				Filing Date	January 17, 2020	
STATEMENT BY APPLICANT			ANT	First Named Inventor	John Maloney	
				Art Unit	1612	
(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard	
Sheet	2	of	15	Attorney Docket Number	066859/542422	
•	•		•		·	

009	"Cysteine Hydrochloride Injection [prescribing information]," Hospira, Inc., 4 pages, (2004). [Retrieved from the Internet December 28, 2016: <url: https://dailymed.nlm.nih.gov/dailymed/archives/fdaDrugInfo.cfm?archiveid=113819&gt;].</url: 	
010	"Cysteine," TOXNET: Toxicology Data Network, National Library of Medicine HSDB Database, 20 pages, (2016). [Retrieved from the Internet June 27, 2017: <url: https://toxnet.nlm.nih.gov/cgi-bin/sis/search/a?dbs+hsdb:@term+@DOCNO+2109&gt;].</url: 	
011	"Cysteine: Pediatric drug information," Lexicomp, Inc., 4 pages, (1978).	
012	"Determination That Cysteine Hydrochloride Injection, USP, 7.25%, Was Not Withdrawn From Sale for Reasons of Safety or Effectiveness," Federal Register, 75(107):31790-31791, (2010).	
013	"Effect of L-Cysteine (Acetium® Capsules) in Restoration of the Structure and Function of Gastric Mucosa After H. pylori Eradication in Patients with Atrophic Gastritis. A randomized, controlled trial.," Study Protocol, BIOHIT HealthCare, 45 pages, (2016).	
014	"Guideline on the Use of Parenteral Nutrition in Neonatal and Paediatric Units," Clinical Practice Guideline, Royal College of Physicians in Ireland, 46 pages, (2016).	
015	"L-Cysteine [product information]," Sigma-Aldrich, Inc., 2 pages, (2003).	
016	"L-CYSTEINE HYDROCHLORIDE [prescribing information and label]", Sandoz Inc., 6 pages, (2010).	
017	"L-Cysteine Hydrochloride Injection, USP [prescribing information]," American Regent, Inc., 2 pages, (2009).	
225	"L-Cysteine Hydrochloride Injection,solution [drug label information]", Sandoz Inc.,(2018),	
211	"L-Cysteine Hydrochloride Monohydrate [product information]," Sigma-Aldrich, Inc., 1 page, (2006).	
018	"PROSOL [prescribing information and label]," Baxter Healthcare Corporation, 14 pages, (2014).	
019	"Safe Practices for Parenteral Nutrition Formulations," National Advisory Group on Standards and Practice Guidelines for Parenteral Nutrition, Journal of Parenteral and Enteral Nutrition, 22(2):49-66, (1998). [Retrieved from the Internet March 12, 2015: <url: https://onlinelibrary.wiley.com/doi/10.1177/014860719802200249&gt;].</url: 	
020	"Scientific Opinion on the safety and efficacy of L-cysteine hydrochloride monohydrate as a flavouring additive for pets," European Food Safety Authority Journal, 11(10):3437, 13 pages, (2013).	
021	"The Provision of Parenteral Nutrition within Neonatal Services - A Framework for Practice," British Association of Perinatal Medicine, 27 pages, (2016).	
022	"TRAVASOL [prescribing information and label]," Baxter Healthcare Corporation, 19 pages, (2017).	
023	"TROPHAMINE [prescribing information and label]," B. Braun Medical Inc., 21 pages, (2014).	
024	"TROPHAMINE® (Amino Acid Injections) [package insert]," B. Braun Medical Inc., pp. 5-16, (2003).	
	ABDULRAZIK et al., "Formulation for Slow Release of Oral Radiation-Protection Drugs," Int. J. Nucl. Med. Biol., 11(1):53-54, (1984).	

Examiner		Date	
Signature	/BENJAMIN J PACKARD/	Considered	03/26/2020

Substitute for form 1449B/PTO				Complete if Known		
				Application Number	16/74	46,028
INFOR	MATION DI	SCLOS	URE	Filing Date	Janu	ary 17, 2020
STATEMENT BY APPLICANT				First Named Inventor	John	Maloney
				Art Unit	1612	
(Us	e as many sheets as	necessary)		Examiner Name	Benja	amin J. Packard
Sheet	3	of	15	Attorney Docket Num	ber 0668	59/542422
				•	•	
02	Children on L Nutrition, 36(	ong-Term 4):448-453 Is.lww.con	ı Parenteral N 3, (2003). [Re n/jpgn/Fulltex	lutrition," Journal of I etrieved from the Inte	Pediatric G rnet June 6	
02	36(9):41-42,	(2011). [R	etrieved from	drochloride 50 mg/m the Internet May 26 n/article/lcysteinehyd	, 2016:	
T 02						s," The Art, Science, and
	Technology	of Pharma	ceutical Com	pounding, 4th Ed.:1-	18, (2012).	
02	29 ALLWOOD e Nutrition, 14(			Stability of Additives	s in Parento	eral Nutrition Admixtures,"
03	in Pediatric F 40(8):1166-1	Parenteral 169, (2016	Nutrition Solu 3, Epub. 2015	itions," Journal of Pa	renteral an e Internet (	October 24, 2015: <url:< td=""></url:<>
03	31 AYERS et al.	, "A.S.P.E	.N. Parentera	al Nutrition Safety Co – COPHS, Butler Un	nsensus R	ecommendations,"
03			sociation Bet 450-454, (20		e Turnover	, and Low Bone Mass,"
03				tion and examinatior nmelweis University		stems for the individual 116 pages, (2007).
03	The America	n Journal 1, 2017: <l< td=""><td>of Clinical Nu JRL: https://a</td><td></td><td>9, (1983). [F</td><td>otal parenteral nutrition," Retrieved from the Internet</td></l<>	of Clinical Nu JRL: https://a		9, (1983). [F	otal parenteral nutrition," Retrieved from the Internet
03	Lipid-Contair Nutrition, 10(	ing Total l 4):375-380	Parenteral Nu 0, (1986). [Re		Journal of F rnet March	
03	Solutions," T the Internet J	BISHOP et al., "Aluminum Neurotoxicity in Preterm Infants Receiving Intravenous-Feeding Solutions," The New England Journal of Medicine, 336(22):1557-1561, (1997). [Retrieved from the Internet June 5, 2018: <url: 10.1056="" doi="" full="" https:="" nejm199705293362203="" www.nejm.org="">].</url:>				
03		BISTRIAN, Bruce R., "Brief History of Parenteral and Enteral Nutrition in the Hospital in the USA," Nestlé Nutr Inst Workshop Ser Clin Perform Program, 12:127-136, (2009).				
03	Source of Cy	BJELTON et al., "Availability of Cysteine and of L-2-Oxo-Thiazolidine-4-Carboxylic Acid as a Source of Cysteine in Intravenous Nutrition," Journal of Parenteral and Enternal Nutrition, 14(2):177-182, (1990).				
03	BOHRER et (2010).	al., "Alumi	num Loading	in Preterm Neonate	s Revisited	, JPGN, 51(2):237-241,
22		aluminum.	Part II: Amin	ss packing on the co to acids for parenter		n of pharmaceutical " J. Trace Elem. Med. Biol.,
miner	PENJAMIN J	DACEAR	νη. /		Date Considered	03/26/2020

					1100mm 1 1 0 / 02 / 00 1 0 mm
Substit	ite for form 1449B/PTO				Complete if Known
				Application Number	16/746,028
INFORMATION DISCLOSURE				Filing Date	January 17, 2020
STATEMENT BY APPLICANT			ANT	First Named Inventor	John Maloney
				Art Unit	1612
(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard
Sheet	4	of	15	Attorney Docket Number	066859/542422

	BOHRER et al., "Influence of the glass packing on the contamination of pharmaceutical products by aluminum. Part III: Interaction container-chemicals during the heating for sterilisation," J. Trace Elem. Med. Biol., 17(2):107-115, (2003).
	BORGES-SANTOS et al., "Plasma glutathione of HIV+ patients responded positively and differently to dietary supplementation with cysteine or glutamine," Nutrition, 28(7-8):753-756, (2012).
041	BOULLATA et al., "A.S.P.E.N. Clinical Guidelines: Parenteral Nutrition Ordering, Order Review, Compounding, Labeling, and Dispensing," Journal of Parenteral and Enteral Nutrition, 38(3):334-377, (2014).
042	BRIGHAM et al., "The Concentrations of Cysteine and Cystine in Human Blood Plasma," J Clin Invest., 39(11):1633-1638, (1960).
043	BROWN et al., "Potential Aluminum Exposure from Parenteral Nutrition in Patients with Acute Kidney Injury," The Annals of Pharmacotherapy, 42(10):1410-1415, (2008).
044	BULBUL et al., "Letter to the Editor: Nutritional support in preterm infants," Pediatrics and Neonatology, 58(6):562, (2017).
	BULLOCK et al., "Emulsion Stability in Total Nutrient Admixtures Containing a Pediatric Amino Acid Formulation," Journal of Parenteral and Enteral Nutrition, 16(1):64-68, (1992). [Retrieved from the Internet February 10, 2015: <url: 014860719201600164="" 10.1177="" doi="" https:="" onlinelibrary.wiley.com="" pdf="">].</url:>
046	CALKINS et al., "Effect of High-Dose Cysteine Supplementation on Erythrocyte Glutathione: a Double-Blinded, Randomized Placebo Controlled Pilot Study in Critically III Neonates," JPEN J Parenter Enteral Nutr., 40(2):226-234, (2016).
047	CARLSON et al., "Neonatal Parenteral and Enteral Nutrition: A Resource Guide for the Student and Novice Neonatal Nurse Practitioner," National Association of Neonatal Nurse Practitioners, 23 pages, (2010).
223	Complaint with Request for Temporary Restraining Order, Preliminary and Permanent Injunctions, Exela Pharma Sciences, LLC v.Sandoz, Inc., No. 1:19-cv-318, (W.D.N.C., November 6, 2019).
048	CONNELLY et al., "Congenital Hypothyroidism Caused by Excess Prenatal Maternal Iodine Ingestion," The Journal of Pediatrics, 161(4):760-762, (2012).
049	COURTNEY-MARTIN et al., "Plasma Aluminum Concentrations in Pediatric Patients Receiving Long-Term Parenteral Nutrition," Journal of Parenteral and Enteral Nutrition, 39(5):578-585, (2014).
	COURTNEY-MARTIN et al., "The Addition of Cysteine to the Total Sulphur Amino Acid Requirement as Methionine Does Not Increase Erythrocytes Glutathione Synthesis in the Parenterally Fed Human Neonate," Pediatric Research, 67(3):320-324, (2010).
	DARKWA et al., "Antioxidant Chemistry: Oxidation of L-Cysteine and Its Metabolites by Chlorite and Chlorine Dioxide," J. Phys. Chem. A., 108(26):5576-5587, (2004).
	DE CLOET et al., "Physicochemical stable standard all-in-one parenteral nutrition admixtures for infants and children in accordance with the ESPGHAN/ESPEN guidelines," Nutrition, 49:41-47, (2018).
	DELANGE, F., "Optimal lodine Nutrition during Pregnancy, Lactation and the Neonatal Period," Int J Endocrinol Metab, 2(1):1-12, (2004).

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered 03/26	
-----------------------	----------------------	--------------------------	--

Substitute for form 1449B/PTO					Complete if Known
				Application Number	16/746,028
INFORMATION DISCLOSURE				Filing Date	January 17, 2020
STATEMENT BY APPLICANT			ANT	First Named Inventor	John Maloney
				Art Unit	1612
	(Use as many sheets as	necessary)		Examiner Name	Benjamin J. Packard
Sheet	5	of	15	Attorney Docket Number	066859/542422

054	DELANGE, Francois, "lodine deficiency in Europe and its consequences: an update," Eur J Nucl Med, 29(Suppl. 2):S404-S416, (2002).	
055	DELANGE, Francois, "lodine requirements during pregnancy, lactation and the neonatal period and indicators of optimal iodine nutrition," Public Health Nutrition: 10(12A):1571-1580, (2007).	
056	Dietary Reference Intakes for Energy, Carbohydrate, Fiber, Fat, Fatty Acids, Cholesterol, Protein, and Amino Acids, The National Academies Press, 1358 pages, (2002). [Retrieved from the Internet December 12, 2017: <url: 10490="" http:="" www.nap.edu="">].</url:>	
057	Dietary Reference Intakes for Vitamin A, Vitamin K, Arsenic, Boron, Chromium, Copper, lodine, Iron, Manganese, Molybdenum, Nickel, Silicon, Vanadium, and Zinc, National Academy Press, 800 pages, (2000). [Retrieved from the Internet December 16, 2018: <url: 10026.html="" catalog="" http:="" www.nap.edu="">].</url:>	
058	DILGER et al., "Excess Dietary L-Cysteine, but Not L-Cystine, Is Lethal for Chicks but Not for Rats or Pigs," The Journal of Nutrition, 137(2):331-338, (2007). [Retrieved from the Internet June 28, 2017: <url:https: 137="" 2="" 331="" 4664534="" academic.oup.com="" article="" jn="">].</url:https:>	
059	DOMINGO et al., "Risks of aluminium exposure during pregnancy," Contributions to Science, 1(4):479-487, (2000).	
060	DUMORTIER et al., "Development of a Thermogelling Ophthalmic Formulation of Cysteine," Drug Development and Industrial Pharmacy, 32(1):63-72, (2006). [Retrieved from the Internet May 12, 2015: <url: 03639040500390934="" 10.1080="" doi="" full="" https:="" www.tandfonline.com="">].</url:>	
061	EL-SHENAWY et al., "Nephrotoxicity of sodium valproate and protective role of L-cysteine in rats at biochemical and histological levels," J Basic Clin Physiol Pharmacol, 27(5):497-504, (2016). [Retrieved from the Internet May 4, 2016: <url: https:="" j="" jbcpp-2015-0106="" jbcpp-2015-0106.xml="" jbcpp.2016.27.issue-5="" view="" www.degruyter.com="">].</url:>	
062	FEWTRELL et al., "Aluminium exposure from parenteral nutrition in preterm infants and later health outcomes during childhood and adolescence," Symposium 2: Micronutrients under the Microscope, Proceedings of the Nutrition Society, 70(3):299-304, (2011). [Retrieved from the Internet June 4, 2018: <url: aluminium-exposure-from-parenteral-nutrition-in-preterm-infants-and-later-health-outcomes-during-childhood-and-adolescence="" article="" core="" core-reader="" f5d0a6109616e8c9d7f8c2c707213860="" https:="" journals="" proceedings-of-the-nutrition-society="" www.cambridge.org="">].</url:>	
063	FLORA et al., "Chelation in Metal Intoxication," Int. J. Environ. Res. Public Health, 7(7):2745- 2788, (2010).	
064	FORTENBERRY et al., "Evaluating Differences in Aluminum Exposure through Parenteral Nutrition in Neonatal Morbidities," Nutrients, 9(11):E1249, 6 pages, (2017).	
065	FREY et al., "Confirming the Causative Role of Acetaminophen in Indeterminate Acute Liver Failure Using Acetaminophen-Cysteine Adducts," J. Med. Toxicol., 11(2):218-222, (2015).	
066	FÜRST et al., "Parenteral nutrition by a solution of crystalline amino acids," Acta Med Scand Suppl., 472:283–293, (1967).	
067	FUSCH et al., "Neonatology/Paediatrics – Guidelines on Parenteral Nutrition, Chapter 13," GMS German Medical Science, 7(Doc15):23 pages, (2009).	
068	GHIRRI et al., "lodine Supplementation in the Newborn," Nutrients, 6(1):382-390, (2014).	]

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered	03/26/2020

Substitute for form 1449B/PTO					Complete if Known
				Application Number	16/746,028
				Filing Date	January 17, 2020
STATEMENT BY APPLICANT			ANT	First Named Inventor	John Maloney
				Art Unit	1612
(	(Use as many sheets as necessary)			Examiner Name	Benjamin J. Packard
Sheet	6	of	15	Attorney Docket Number	066859/542422

069	GURA et al., "Aluminum contamination in products used in parenteral nutrition: Has anything changed?," Nutrition, 26(6):585-594, (2010).
070	GURA et al., "Recent developments in aluminium contamination of products used in parenteral nutrition," Curr Opin Clin Nutr Metab Care, 9(3):239-246, (2006).
222	GURA, KATHLEEN M., "Aluminum contamination in parenteral products," Current Opinions in Clinical Nutrition and Metabolic Care, 17(6):551-557, (2014).
071	HARDY et al., "Formulation, Stability, and Administration of Parenteral Nutrition With New Lipid Emulsions," Nutrition in Clinical Practice, 24(5):616-625, (2009).
072	HARDY et al., "P.83: Stability of aqueous cysteine solutions for TPN [Abstract]," Clinical Nutrition, 12(Suppl 2):61, (1993).
073	HARMAN et al., "Free Radical Metabolites of L-Cysteine Oxidation," The Journal of Biological Chemistry, 259(9):5606-5611, (1984). [Retrieved from the Internet February 6, 2017: <url: 259="" 5606.full.pdf="" 9="" content="" http:="" www.jbc.org="">].</url:>
074	HEIRD et al., "Pediatric Parenteral Amino Acid Mixture in Low Birth Weight Infants," Pediatrics, 81(1):41-50, (1988). [Retrieved from the Internet December 8, 2017: <url: 1="" 41="" 81="" content="" http:="" pediatrics.aappublications.org="">].</url:>
075	HELLSTRÖM et al., "Sa1863. L-Cysteine Slow-Release Capsule Formulation in Prevention of Gastric Carcinogenesis Associated With Atrophic Gastritis," AGA Abstracts, 146(5, Suppl 1):S-315, (2014).
076	HELMS et al., "Cysteine supplementation results in normalization of plasma taurine concentrations in children receiving home parenteral nutrition," J Pediatr, 134(3):358-361, (1999).
077	HERNÁNDEZ-SÁNCHEZ et al., "Aluminium in parenteral nutrition: a systematic review," European Journal of Clinical Nutrition, 67(3):230-238, (2013).
078	HEYMAN et al., "Aluminum Does Not Accumulate in Teenagers and Adults on Prolonged Parenteral Nutrition Containing Free Amino Acids," Journal of Parenteral and Enteral Nutrition, 10(1):86-87, (1986).
214	HINTZ et al., "Aluminum Exposure From Pediatric Parenteral Nutrition: Meeting the New FDA Regulation," JPEN J Parenter Enteral Nutr, 32:242-246, (2008).
079	HO et al., "Trend of Nutritional Support in Preterm Infants," Pediatrics and Neonatology, 57(5):365-370, (2016).
080	HU et al., "Efficacy and safety of acetylcysteine in "non-acetaminophen" acute liver failure: A meta-analysis of prospective clinical trials," Clin Res Hepatol Gastroenterol, 39(5):594-599, (2015).
081	HULST, Jessie, "Principles of feeding the preterm infant," 36th ESPEN Congress, Geneva, 44 pages, (2014).
082	HUSTON et al., "Calcium Chloride in Neonatal Parenteral Nutrition Solutions with and without Added Cysteine: Compatibility Studies Using Laser and Micro-Flow Imaging Methodology," PLoS ONE, 10(8):e0136894, (2015).
083	HUSTON et al., "Calcium chloride in neonatal parenteral nutrition: A 15 year experience," Journal of Neonatal-Perinatal Medicine, 10(1):33-38, (2017).

Examiner Signature	/BENJAMIN J PACKARD/	Date

					Modified PTO/SB/08 Form	n	
Subst	tute for form	1449B/PTO	Complete if Known				
			Application Number	16/74	16/746,028		
INF	ORM	ATION DISCLOSURE	Filing Date	Janua	January 17, 2020		
ST	<b>ATEM</b>	ENT BY APPLICANT	First Named Invento	or John	Maloney		
			Art Unit	1612			
	(Use as	s many sheets as necessary)	Examiner Name	Benja	min J. Packard		
Sheet	7	of 15	Attorney Docket Nu	mber 0668	59/542422		
<u> </u>	084	HUSTON et al., "Calcium Chloride ir Using Laser Methodology," PLoS Of	i Neonatal Parente NE, 9(9):e106825, (	ral Nutrition: (2014).	Compatibility Studies		
	085	ISHII et al., "A case of drug-induced 13(4):227-231, (1993).	ductopenia resultin	ig in fatal bilia	arv cirrhosis," Liver,		
	086	ISHII et al., "Cystathionine γ-Lyase-α Acute Lethal Myopathy and Oxidativ 285(34):26358-26368, (2010).					
	087	JADHAV et al., "Parenteral Amino A Parenter Enteral Nutr., 31(4):278-28		Acidosis in Pr	remature Infants," JPEN J		
	210	JALILEHVAND et al., "Lead(II) Com Inorganic Chemistry, 54:2160-2170,	plex Formulation wi (2015).	ith L-Cystein	e in Aqueous Solution,"		
	088	JANÁKY et al., "Mechanisms of L-C 25(9/10):1397-1405 (2000).	ysteine Neurotoxici	ty," Neuroche	emical Research,		
	089	JI et al., "Excessive L-cysteine induc reticulum stress and mitogen-activat cells," Amino Acids, 48(1):149-156,	ed protein kinase s				
	090	JOHN et al., "Total parenteral nutrition Care, 40:312-313, (2017).	on usage trends in t	the United St	ates,"Journal of Critical		
	091	KARTAL et al., "Compatibility of che stability of the active substance in di Pharmacy and Pharmacology, 60(9)	rectly compressed	chewing gum			
	092	KARTAL et al., "Formulation and in- carcinogenic acetaldehyde in the sa Pharmacology, 59(10):1353-1358, (	liva during smoking				
	093	KARTAL-HODZIC, Alma, "Formulati with L-cysteine containing chewing of Biopharmaceutics and Pharmacokin	jum," (Academic Di	issertation), [	Division of		
	Biopharmaceutics and Pharmacokinetics, University of Helsinki, Finland, 60 pages, (2012).  O94 KLEIN et al., "Hypocalcemia Complicating Deferoxamine Therapy in an Infant with Parenteral Nutrition-Associated Aluminum Overload: Evidence for a Role of Aluminum in the Bone Disease of Infants," Journal of Pediatric Gastroenterology and Nutrition, 9(3):400-403, (1989). [Retrieved from the Internet June 5, 2018: <url: 10000="" 1989="" _therapy_in.24.aspx="" abstract="" https:="" hypocalcemia_complicating_deferoxamine="" journals.lww.com="" jpgn="">].</url:>						
	095	· · · · · · · · · · · · · · · · · · ·					
	096 KOLARIC et al., "Solutions Preparing for Total Parenteral Nutrition for Children," Proceedings of the 7th WSEAS International Conference on Mathematics & Computers in Biology & Chemistry, Cavtat, Croatia, 6 pages, (2006).						
	097	KOLETZKO et al., "Guidelines on Pa Gastroenterol. Nutr., 41(Suppl. 2):S΄		Nutrition: 3.	Amino Acids," J. Pediatr.		
	098	KOMURA et al., "Increased Incidenc Children," The Kurume Medical Jour			arenteral Nutrition in		
Examiner Signature	/BI	enjamin j packard/		Date Considered	03/26/2020		

							Modified PTO/SB/08 For	rm
ĺ	Substitute f	for form	1449B/PTO				Complete if Known	
ı						Application Number	16/746,028	
ı	INFORMATION DISCLOSURE			URE	Filing Date	January 17, 2020		
ı			ENT BY A			First Named Inventor	John Maloney	
ı						Art Unit	1612	
ı	(	(Use as	many sheets as r	necessary)		Examiner Name	Benjamin J. Packard	
Ì	Sheet	8		of	15	Attorney Docket Number	066859/542422	
•								
		099	KOO et al., "R nutrition during			in parenteral I of Pediatrics, 109(5):87	7-883, (1986).	
		100	LAINE et al., "Cysteine usage increases the need for acetate in neonates who receive total parenteral nutrition," The American Journal of Clinical Nutrition, 54(3):565-567, (1991). [Retrieved from the Internet April 14, 2015: <url: 3="" 4694399="" 54="" 565="" academic.oup.com="" ajcn="" article-abstract="" https:="">].</url:>					
		101	LAPILLONNE et al., "Quality of newborn care: adherence to guidelines for parenteral nutrition in preterm infants in four European countries," BMJ Open, 3(9):E003478, 8 pages, (2013). [Retrieved from the Internet June 6, 2018: <url: 3="" 9="" bmjopen.bmj.com="" content="" e003478="" https:="">].</url:>					
		102	LARCHET et al., "Aluminium Loading in Children Receiving Long-term Parenteral Nutrition," Clinical Nutrition, 9(2):79-83, (1990).					
		103	LEE et al., "AA Hepatology, 1	ASLD Pos -22 and 0	sition Paper: <sup>*</sup> Corrections, (2	The Management of Acu 2011).	te Liver Failure: Update 2011,"	
		104	LEE et al., "Int Position Pape	troductior r on Acut	n to the Revis e Liver Failur	ed American Associatior e 2011," Hepatology, 55	n for the Study of Liver Diseases (3):965-967, (2012).	
ĺ		105	LEUNG et al.,	"Conseq	uences of ex	cess iodine," Nat Rev En	ndocrinol., 10(3):136-142, (2014).	
ĺ			LEYDEN et al., "Stabilization of Solutions of Cysteine and its Derivatives," Can. J. Biochem., 45(4):611-614, (1967). [Retrieved from the Internet November 12, 2014: <url: 10.1139="" doi="" https:="" o67-071="" pdf="" www.nrcresearchpress.com="">].</url:>					
		107	LI et al., "Acut Sprague-Daw	e and sul ley rats,"	Food and Ch	emical Toxicology, 80:27	Maillard reaction products in 71-276, (2015).	
		213 LIMA-ROGEL et al., "Aluminum Contamination in Parenteral Nutrition Admixtures for Low-Birth-Weight Preterm Infants in Mexico," Journal of Parenteral and Enteral Nutrition, 40(7):1014-1020, (2016).						
		108	Consequence Scand J Gastr	of Impair oenterol,	red Homocyst 35(8):866-87	teine Transsulfuration at	in Patients with Liver Cirrhosis a the Level of γ-Cystathionase?," m the Internet October 25, 2014: 5200750023255>].	

<u> </u>	Clinical Nutrition, 1(Suppl.):49, (1982).		, ,,	 l
Examiner		Date	22/25/222	

MACKAY et al., "Physical Compatibility of Sodium Glycerophosphate and Calcium Gluconate in Pediatric Parenteral Nutrition Solutions," JPEN J Parenter Enteral Nutr, 39(6):725-728,

MACKAY et al., "The Solubility of Calcium and Phosphate in Two Specialty Amino Acid Solutions," Journal of Parenteral and Enteral Nutrition, 20(1):63–66, (1996). [Retrieved from

MALLOY et al., "Cyst(e)ine measurements during total parenteral nutrition," The American Journal of Clinical Nutrition, 37(2):188-191, (1983). [Retrieved from the Internet April 14, 2015:

MALLOY et al., "Cysteine Supplementation During Total Parenteral Nutrition (TPN) [Abstract],"

Considered

(2015, Epub. 2014). [Retrieved from the Internet April 6, 2014: <URL: http://pen.sagepub.com/content/early/2014/03/31/0148607114528982>]

https://onlinelibrary.wiley.com/doi/epdf/10.1177/014860719602000163>].

<URL: https://academic.oup.com/ajcn/article-abstract/37/2/188/4690722>]

the Internet April 17, 2015: <URL:

/BENJAMIN J PACKARD/

LEGAL02/39666198v1

Signature

109

110

111

03/26/2020

Substitute	for form 1449B/PTO				Complete if Known
				Application Number	16/746,028
INFORMATION DISCLOSURE				Filing Date	January 17, 2020
STATEMENT BY APPLICANT			ANT	First Named Inventor	John Maloney
				Art Unit	1612
	(Use as many sheets as r	necessary)		Examiner Name	Benjamin J. Packard
Sheet	9	of	15	Attorney Docket Number	066859/542422
	440 14011014-4-1	"O	Cla	antation of Total Descrites	al Nichaldiana, than Effect in December

······		
113	MALLOY et al., "Cysteine Supplementation of Total Parenteral Nutrition: the Effect in Beagle Pups," Pediatric Research, 18(8):747-751, (1984).	
114	MALLOY et al., "Total Parenteral Nutrition in Sick Preterm Infants: Effects of Cysteine Supplementation with Nitrogen Intakes of 240 and 400 mg/kg/day," Journal of Pediatric Gastroenterology and Nutrition, 3(2):239-244, (1984).	
115	MANZ, Friedrich, "L-Cysteine in metabolic acidosis of low-birth-weight infants," Am J Clin Nutr, 57(3):455-456, (1993). [Retrieved from the Internet April 16, 2015: <url: 3="" 455="" 4715721="" 57="" academic.oup.com="" ajcn="" article-abstract="" https:="">].</url:>	
116	MATTOX et al., "Chapter 142: Parenteral Nutrition," Pharmacotherapy: A Pathophysiologic Approach, 10e, McGraw Hill, Ed. Joseph T. DiPiro et al., 38 pages, (2016). [Retrieved from the Internet December 5, 2017: <url: https://accesspharmacy.mhmedical.com/content.aspx?bookid=1861&amp;sectionid=146076679&gt;].</url: 	
117	MCCARTHY et al., "Standardised versus Individualized Parenteral Nutrition," Irish Medical Journal, 109(4):10 pages, (2016). [Retrieved from the Internet June 6, 2018: <url: http:="" imj.ie="" standardised-versus-individualised-parenteral-nutrition-further-food-for-thought=""></url:> ].	
118	MCCLAVE et al., "Guidelines for the Provision and Assessment of Nutrition Support Therapy in the Adult Critically III Patient: Society of Critical Care Medicine (SCCM) and American Society for Parenteral and Enteral Nutrition (A.S.P.E.N.)," Journal of Parenteral and Enteral Nutrition, 40(2):159-211, (2016).	
226	Memorandum in Support of Plaintiff's Motion for Ex Parte Temporary Restraining Order and Preliminary Injunction, Exela Pharma Sciences, LLC v.Sandoz, Inc., No. 1:19-cv-318, (W.D.N.C., November 6, 2019).	
119	Metabolic Processes in the Foetus and Newborn Infant, Nutricia Symposium, Ed. J. H. P. Jonxis et al., H. E. Stenfert Kroese N.V., 317 pages, (1971).	
120	MILLER et al., "Decreased Cysteine and Proline Synthesis in Parenterally Fed, Premature Infants," Journal of Pediatric Surgery, 30(7):953-958, (1995).	
121	MILLER, Sarah J., "Parenteral Nutrition," U.S. Pharmacist, 7(HS10-HS20):31 pages, (2006). [Retrieved from the Internet September 26, 2018: <url: article="" https:="" parenteral-nutrition="" www.uspharmacist.com="">].</url:>	
122	MIRTALLO et al., "Safe Practices for Parenteral Nutrition," Journal of Parenteral and Enteral Nutrition, 28(6):S39-S70, (2004). [Retrieved from the Internet January 23, 2014: <url: 0148607104028006s39="" 10.1177="" abs="" doi="" https:="" journals.sagepub.com="">].</url:>	
123	MORENO et al., "Aluminium in the neonate related to parenteral nutrition," Acta Paediatr, 83(1):25-29, (1994).	
124	MORENO VILLARES et al., "Current use of parenteral nutrition in a pediatric hospital. Comparison to the practise 8 years ago," Nutr. Hosp., 20(1):46-51, (2005).	
125	MÜHLEBACH, Stefan, "Parenteral Nutrition: The Role of the Pharmacist in the Era of 3- chamber Bags," 27th ESPEN Congress, Brussels, 49 pages, (2005).	
126	MUNDI et al., "Prevalence of Home Parenteral and Enteral Nutrition in the United States [Abstract]," Nutr Clin Pract., 32(6):799-805, (2017). [Retrieved from the Internet June 6, 2018: <url: 0884533617718472="" 10.1177="" doi="" http:="" journals.sagepub.com="" pdf="">].</url:>	

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered	03/26/2020

Substitute for form 1449B/PTO					Complete if Known		
					Application Number	16/746,028	
	INFO	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020	
STATEMENT BY APPLICANT					First Named Inventor	John Maloney	
					Art Unit	1612	
(Use as many sheets as necessary)					Examiner Name	Benjamin J. Packard	
	Sheet	10	of	15	Attorney Docket Number	066859/542422	

127	MURPHY et al., "Annual Summary of Vital Statistics: 2013–2014," Pediatrics, 139(6):e20163239, (2017). [Retrieved from the Internet June 6, 2018: <url: 139="" 6="" content="" e20163239="" http:="" pediatrics.aapublications.org="">].</url:>	
128	NGUYEN et al., "Effect of Increasing Glutathione With Cysteine and Glycine Supplementation on Mitochondrial Fuel Oxidation, Insulin Sensitivity, and Body Composition in Older HIV-Infected Patients," J Clin Endocrinol Metab,, 99(1):169-177, (2014). [Retrieved from the Internet December 12, 2017: <url: 1="" 169="" 2836223="" 99="" academic.oup.com="" article-abstract="" https:="" jcem="">].</url:>	
129	NIERMEYER et al., "Optimized calcium/phosphorus solubility in a parenteral nutrition solution containing dicarboxylic amino acids and cysteine," Journal of the American College of Nutrition, 5(5):459-466, (1986). [Retrieved from the Internet April 21, 2015: <url: 07315724.1986.10720149="" 10.1080="" doi="" https:="" pdf="" www.tandfonline.com="">].</url:>	
130	NISHIYAMA et al., "Transient Hypothyroidism or Persistent Hyperthyrotropinemia in Neonates Born to Mothers with Excessive Iodine Intake," Thyroid, 14(2):1077-1083, (2004).	
221	OGAWA et al., "Comparisons of Aluminum and Silica Elution from Various Glass Vials," Chemical and Pharmaceutical Bulletin, 64:150-160, (2016).	
131	OLNEY et al., "Brain Damage in Infant Mice following Oral Intake of Glutamate, Aspartate or Cysteine," Nature, 227(5258):609-611, (1970).	
132	O'NEAL et al., "Compliance with safe practices for preparing parenteral nutrition formulations," Am J Health Syst Pharm, 59(3):264-269, (2002).	
133	PARIKH et al., "Physical compatibility of neonatal total parenteral nutrient admixtures containing organic calcium and inorganic phosphate salts," Am J Health Syst Pharm, 62(11):1177-1183, (2005).	
134	PATANWALA et al., "Antiemetic Therapy for Nausea and Vomiting in the Emergency Department," The Journal of Emergency Medicine, 39(3):330-336, (2010).	
135	PATEL et al., "Total parenteral nutrition for premature infants: practice aspects," Journal of Nature and Science (JNSCI), 3(1):e301, 6 pages, (2017).	
136	PATT et al., "Cysteine Protection against X Irradiation," Science, 110(2852):213-214, (1949).	
137	PAULIKOVA et al., "Iodine toxicity in ruminants," Vet. Med Czech, 47(12):343-350, (2002).	
138	PERTKIEWICZ et al., "Basics in clinical nutrition: Stability of parenteral nutrition admixtures," e-SPEN, the European e-Journal of Clinical Nutrition and Metabolism, 4(3):e117-e119, (2009).	
233	PILANIYA et al., "Recent trends in the impurity profile of pharmaceuticals," J Adv Pharm Technol Res., 1(3):302-310, (2010).	
139	PLOGSTED et al., "Parenteral Nutrition L-Cysteine Product Shortage Considerations," Nutrition in Clinical Practice, 30(4):579-580, (2015).	
140	POOLE et al., "Aluminum Exposure From Pediatric Parenteral Nutrition: Meeting the New FDA Regulation," Journal of Parenteral and Enteral Nutrition, 32(3):242-246, (2008).	
141	POOLE et al., "Aluminum Exposure in Neonatal Patients Using the Least Contaminated Parenteral Nutrition Solution Products," Nutrients, 12(4):1566-1574, (2012).	
142	PYATI et al., "Absorption of iodine in the neonate following topical use of povidone iodine," The Journal of Pediatrics, 91(5):825-828, (1977).	

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered 03/26/2020

						Modified PTO/SB/08 For	rm
Substitute	e for form	1449B/PTO				Complete if Known	
				Application Number	16/746,028		
INFC	RMA	ATION DIS	CLOS	SURE	Filing Date	January 17, 2020	
STA	TEM	ENT BY A	PPLIC	CANT	First Named Inventor	John Maloney	
					Art Unit	1612	
	(Use as	many sheets as i	necessary)		Examiner Name	Benjamin J. Packard	
Sheet	11		of	15	Attorney Docket Number	066859/542422	
	143	42:1087-1096	, (2012).	[Retrieved fro	in amino acids: a place om the Internet May 10, 2 ation/47567399>].	to call home," Amino Acids, 2016: <url:< th=""><th></th></url:<>	
	144	RASSIN, Dav Metabolism D	id Keith, uring Infa	"Essential and ancy, 33:183-1	l Non-essential Amino A 195, (1994).	cids in Neonatal Nutrition," Protein	
	145	Remington's F Easton, PA, (		eutical Scienc	es, 16th edition, Ed. A. C	Osol, Mack Publishing Co.,	
	228	Reply in Support of Plaintiff's Motion for Preliminary Injunction, Exela Pharma Sciences, LLC v.Sandoz, Inc., No. 1:19-cv-318-MR, W.D.N.C., December 13, 2019.					
	227	Response in Opposition to Plaintiff's Motion for Preliminary Injunction, Exela Pharma Sciences, LLC v.Sandoz, Inc., No. 1:19-cv-318-MR, (W.D.N.C., December 6, 2019).					
	146	Infants," Pedia	atrics, 12	1(3):e561-e56	nents in Enterally Fed Ve 37, (2008). [Retrieved fro ons.org/content/121/3/es	ery Low Birth Weight Preterm om the Internet April 10, 2015: 561.full.html>].	
	147	infants?," The	America	n Journal of C	Clinical Nutrition, 86(4):1	id in low-birth-weight preterm 120-1125, (2007). [Retrieved from n/ajcn/article/86/4/1120>].	
	148	RIEDIJK, M.A Rotterdam, th	., "Neona e Nether	atal Sulfur Am lands, 176 pag	ino Acid Metabolism," <i>(</i> ges, (2008).	Thesis), Erasmus Universiteit	
	149	RIPPS et al., ' 2686, (2012).	'Review:	Taurine: A "ve	ery essential" amino acio	d," Molecular Vision, 18:2673-	
	150						
	151 SALASPURO et al., "Eliminating Carcinogenic Acetaldehyde By Cysteine From Saliva During Smoking," Cancer Epidemiol Biomarkers Prev, 15(1):146-149, (2006). [Retrieved from the Internet May 26, 2016: <url: 1="" 146="" 15="" cebp.aacrjournals.org="" content="" http:="">].</url:>						
	152				etaldehyde 2from Saliva 361-364, (2002).	by a Slow-Release Buccal Tablet	
	153			tetrieved from	ons associated with ace the Internet July 10, 20	etylcysteine," Clinical Toxicology, 14: <url:< td=""><td></td></url:<>	

Nutrition, 10(2):203-207, (1986). [Retrieved from the Internet April 2, 2015: <url: 0148607186010002203="" 10.1177="" doi="" https:="" onlinelibrary.wiley.com="">].</url:>					
Examiner Signature	/BENJAMIN J PACKARD	Da /	ate onsidered	03/26/2020	

https://www.tandfonline.com/doi/full/10.1080/15563650802665587>].

Male Rats," The Journal of Toxicological Sciences, 28(2):95-107, (2003).

Treated Rats," Toxicologic Pathology, 31(3):281-289, (2003).

Toxicologic Pathology, 56(1-2):45-52, (2004).

/BENJAMIN J PACKARD/

SAWAMOTO et al., "Development of Sperm Granulomas in the Epididymides of L-Cysteine-

SAWAMOTO et al., "Four-Week Intravenous Repeated Dose Toxicity Study of L-Cysteine in

SAWAMOTO et al., "L-Cysteine-induced brain damage in adult rats," Experimental and

SCHANLER et al., "Parenteral nutrition in premature infants," UptoDate, 23 pages, (2014).

SCHMIDT et al., "Cost Containment Using Cysteine HCI Acidification to Increase Calcium/ Phosphate Solubility in Hyperalimentation Solutions," Journal of Parenteral and Enteral

LEGAL02/39666198v1

Signature

155

156

157 158

Substitute	for form 1449B/PTO			Complete if Known		
				Application Number	16/746,028	
INFO	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020	
STATEMENT BY APPLICANT				First Named Inventor	John Maloney	
				Art Unit	1612	
(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard	
Sheet	12	of	15	Attorney Docket Number	066859/542422	

159	SCHULPIS et al., "L-Cysteine supplementation protects the erythrocyte glucose-6-phosphate dehydrogenase activity from reduction induced by forced training," Clinical Biochemistry, 39(10):1002-1006, (2006).
160	SEARS, Margaret E., "Chelation: Harnessing and Enhancing Heavy Metal Detoxification—A Review," The Scientific World Journal, 2013(219840):13 pages, (2013).
161	SEGAL et al., "Delineation of Cystine and Cysteine Transport Systems in Rat Kidney Cortex by Developmental Patterns," Proc Natl Acad Sci USA, 63(3):926-933, (1969).
162	SHELTON et al., "Plasma Amino Acid Concentrations in 108 Children Receiving a Pediatric Amino Acid Formulation as Part of Parenteral Nutrition," J Pediatr Pharmacol Ther, 15(2):110- 118, (2010).
163	SHEW et al., "Assessment of cysteine synthesis in very low–birth weight neonates using a [13C6]glucose tracer," Journal of Pediatric Surgery, 40(1):52-56, (2005).
164	SHEW et al., "Improved Protein Metabolism in Neonates Receiving Parenteral Cysteine Supplementation," Pediatric Research, 45(290A), 3 pages, (1999). [Retrieved from the Internet April 18, 2018: <url: articles="" http:="" pr19991842="" www.nature.com="">].</url:>
165	SHULMAN et al., "Parenteral Nutrition in Infants and Children," Journal of Pediatric Gastroenterology and Nutrition, 36(5):587-607, (2003).
166	SHULMAN et al., "Reply to F Manz," Am J Clin Nutr, 57(3):456, (1993). [Retrieved from the Internet April 16, 2015: <url: 3="" 456="" 4715642="" 57="" academic.oup.com="" ajcn="" article-abstract="" https:="">].</url:>
167	SIDHU et al., "L-Cysteine and Sodium Hydrosulphide Inhibit Spontaneous Contractility in Isolated Pregnant Rat Uterine Strips in vitro," Pharmacology & Toxicology, 88(4):198-203, (2001).
168	SIMMER et al., "Standardised Parenteral Nutrition," Nutrients, 5(4):1058-1070, (2013).
169	SINGER et al., "ESPEN Guidelines on Parenteral Nutrition: Intensive care," Clinical Nutrition, 28(4):387-400, (2009).
170	SINGH et al., "Physical compatibility of neonatal total parenteral nutrition admixtures containing organic calcium and inorganic phosphate salts in a simulated infusion at 37°C," Pediatr Crit Care Med, 10(2):213–216, (2009).
171	SMITH et al., "Effect of additive selection on calculated aluminum content of parenteral nutrient solutions," Am. J. Health Syst. Pharm., 64(7):730-739, (2007).
172	SOGHIER et al., "Cysteine, cystine or N-acetylcysteine supplementation in parenterally fed neonates (Updates)," Cochraine Database of Systematic Reviews, 4(CD004869):13 pages, (2009). [Retrieved from the Internet April 14, 2015: <url: brionl_07="" brionl_07.html="" cochrane_data="" https:="" www.nichd.nih.gov="">].</url:>
173	SOGHIER et al., "Cysteine, cystine or N-acetylcysteine supplementation in parenterally fed neonates," Cochraine Database of Systematic Reviews, 4(CD004869):40 pages, (2006).
174	STAUN et al., "ESPEN Guidelines on Parenteral Nutrition: Home Parenteral Nutrition (HPN) in adult patients," Clinical Nutrition, 28(4):467-479, (2009).
175	STAWNY et al., "Pharmaceutical Point of View on Parenteral Nutrition," Hindawi Publishing Corporation, 2013(415310), 9 pages, (2013).

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered	03/26/2020
-----------------------	----------------------	--------------------	------------

Substitute	Substitute for form 1449B/PTO				Complete if Known
				Application Number	16/746,028
INFO	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020
STAT	STATEMENT BY APPLICANT			First Named Inventor	John Maloney
				Art Unit	1612
	(Use as many sheets as necessary)			Examiner Name	Benjamin J. Packard
Sheet	13	of	15	Attorney Docket Number	066859/542422

176	STIPANUK et al., "Mammalian Cysteine Metabolism: New Insights into Regulation of Cysteine Metabolism," The Journal of Nutrition, 136(6 Suppl):1652S-1659S, (2006). [Retrieved from the Internet February 7, 2017: <url: http:="" jn.nutrition.org="">].</url:>	
177	STORM et al., "Cysteine Supplementation Normalizes Plasma Taurine Concentrations in Low Birth Weight Premature Infants Requiring Parenteral Nutrition Support [Abstract]," Nutrition Week 2003 Abstracts,27(1):S4-S5, (2003).	
178	STURMAN et al., "Absence of Cystathionase in Human Fetal Liver: Is Cystine Essential?," Science, 169(3940):74-76, (1970). [Retrieved from the Internet December 5, 2017: <url: 169="" 3940="" 74="" content="" https:="" science.sciencemag.org="" tab-pdf="">].</url:>	
234	SULLIVAN et al., "The Effect of Pyruvic Acid on the Estimation of Cystine and Cysteine," J Biol. Chem., 122:11-17, (1937).	
179	SZWERGOLD et al., "Transglycation—A Potential New Mechanism for Deglycation of Schiff's Bases," Ann. N.Y. Acad. Sci., 1043:845-864, (2005).	
180	TE BRAAKE et al., "High-Dose Cysteine Administration Does Not Increase Synthesis of the Antioxidant Glutathione Preterm Infants," Pediatrics, 124(5):e978-e984, (2009). [Retrieved from the Internet May 29, 2015: <url: 124="" 5="" content="" e978.full.html="" http:="" pediatrics.aappublications.org="">].</url:>	
181	TÉLESSY et al., "Kinetic stability of all-in-one parenteral nutrition admixtures in the presence of high dose Ca2+ additive under clinical application circumstances," Nutrition Journal, 11(32):5 pages, (2012).	
182	THIBAULT, Maxime, "Possible Incompatibility between Amino Acids and Copper in Solutions for Pediatric Parenteral Nutrition," CJHP, 67(2):160-164, (2014).	
183	THOMAS, David L., "Recommended Pinnacle® Compounder Ingredient Mixing Sequence," LDT Health Solictions, Inc., 4 pages, (2012).	
184	THOMOVSKY et al., "Parenteral Nutrition: Formulation, Monitoring, and Complications," Compend Contin Educ Vet., VetFolio, 29(2):88-102, (2007). [Retrieved from the Internet September 27, 2018: <url: http:="" monitoring-and-complications="" nutrition="" parenteral-nutrition-formulation-="" www.vetfolio.com="">].</url:>	
185	THOR et al., "Metabolic Activation and Hepatotoxicity," Archives of Biochemistry and Biophysics, 192(2):405-413, (1979).	
186	TRISSEL et al., "Use of Cysteine Hydrochloride Injection to Increase the Solubility of Calcium and Phosphates in FreAmine III-Containing Parenteral Nutrition Solutions," International Journal of Pharmaceutical Compounding, 7(1):71-77, (2003).	
187	VAN GOUDOEVER et al., "Amino Acid Solutions for Premature Neonates During the First Week of Life: The Role of N-Acetyl-L-Cysteine and N-Acetyl-L-Tyrosine," Journal of Parenteral and Enteral Nutrition, 18(5):404-408, (1994). [Retrieved from the Internet October 28, 2014: <url: 18="" 404="" 5="" content="" http:="" pen.sagepub.com="">].</url:>	
188	VENDEMIALE et al., "Effects of Oral S-Adenosyl-L-Methionine on Hepatic Glutathione in Patients with Liver Disease," Scand J Gastroenterol, 24(4):407-415, (1989). [Retrieved from the Internet September 7, 2013: <url: 00365528909093067="" 10.3109="" abs="" doi="" https:="" www.tandfonline.com="">].</url:>	
189	VIÑA et al., "L-Cysteine and glutathione metabolism are impaired in premature infants due to cystathionase deficiency," Am J. Clin Nutr, 61(5):1067-1069, (1995).	

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered	03/26/2020
-----------------------	----------------------	--------------------	------------

Substitute for form 1449B/PTO				Complete if Known	
				Application Number	16/746,028
INFORMATION DISCLOSURE				Filing Date	January 17, 2020
STATEMENT BY APPLICANT			ANT	First Named Inventor	John Maloney
				Art Unit	1612
(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard
Sheet	14	of	15	Attorney Docket Number	066859/542422

190	VINTON et al., "Taurine Concentrations in Plasma, Blood Cells, and Urine of Children Undergoing Long-Term Total Parenteral Nutrition," Pediatric Research, 21(4):399-403, (1987).
191	WARSHAWSKY, Kathleen Young, "Intravenous Fat Emulsions in Clinical Practice," NCP, 7(4):187-196, (1992). [Retrieved from the Internet March 18, 2015: <url: 0115426592007004187x="" 10.1177="" doi="" epdf="" https:="" onlinelibrary.wiley.com="">].</url:>
192	WATROBSKA-SWIETLIKOWSKA et al., "Evaluation of physical stability of all in one parenteral admixtures for pediatric home care with high electrolytes concentrations," Nutr Hosp., 31(1):236-243, (2015).
193	WEINSTEIN et al., "In Vivo Studies of Cysteine Metabolism: Use of D-cysteinesulfinate, a novel cysteinesulfinate decarboxylase inhibitor, to probe taurine and pyruvate synthesis," The Journal of Biological Chemistry, 263(32):16568-16579, (1988).
212	WHITING et al., "Effect of Headspace Oxygen Concentration on Growth and Toxin Production by Proteolytic Strains of Clostridium botulinum," Journal of Food Protection, 55(1):23-27, (1992).
194	WHYTE et al., "Safety and Effectiveness of Acetadote for Acetaminophen Toxicity," The Journal of Emergency Medicine, 39(5):607-611, (2010).
195	WILHELM et al., "Aluminum balance in intensive care patients," J. Trace Elements Med. Biol., 14(4):223-227, (2001).
196	WILLIAMS et al., "Supplemental lodide for Preterm Infants and Developmental Outcomes at 2 Years: An RCT," Pediatrics, 139(5):e20163703, 14 pages, (2017). [Retrieved from the Internet December 12, 2018: <url: 139="" 5="" content="" e20163703="" http:="" pediatrics.aappublications.org="">].</url:>
197	WLODEK, Lidia, "The Reaction of Sulfhydryl Groups with Carbonyl Compounds," Acta Biochimica Polonica, 35(4):307-317, (1988).
198	WOOLSEY, Patricia B.E., "Cysteine, Sulfite, and Glutamate Toxicity: A Cause of ALS?," The Journal of Alternative and Complementary Medicine, 14(9):1159-1164, (2008).
199	YAMAGUCHI et al., "Induction and Activation of Cysteine Oxidase of Rat Liver. II. The Measurement of Cysteine Metabolism in vivo and the Activation of in vivo Activity of Cysteine Oxidase," Biochimica et Biophysica Acta, 297(1):48-59, (1973).
200	YAO et al., "Effect of glucose-cysteine adduct as a cysteine prodrug in rats," Amino Acids, 12(1):85-94, (1997).
201	YAO et al., "Protective effect of glucose-cysteine adduct on the in situ perfused rat liver," Amino Acids, 12(1):33-40, (1997).
202	YARANDI et al., "Amino acid composition in parenteral nutrition: what is the evidence?," Curr Opin Clin Nutr Metab Care, 14(1):75-82, (2011).
203	YBARRA, Joseph V., "Calcium and Phosphate Solubility in Neonatal Parenteral Nutrient Solutions Containing TrophAmine," Nutrition in Clinical Practice, 25(4):353-356, (2010).
204	YIN et al., "L-Cysteine metabolism and its nutritional implications," Mol. Nutr. Food Res., 0:1- 13, (2015).
205	ZERANGUE et al., "Interaction of L-cysteine with a human excitatory amino acid transporter,"  Journal of Physiology, 493(2):419-423, (1996).

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered	03/26/2020

						Wiodifica 1 TO/5D/00 TOTAL
	Substitute for	or form 1449B/PTO				Complete if Known
					Application Number	16/746,028
	INFO	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020
	STAT	<b>EMENT BY A</b>	PPLIC	ANT	First Named Inventor	John Maloney
ı					Art Unit	1612
	(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard
	Sheet	15	of	15	Attorney Docket Number	066859/542422

	ZHANG et al., "A Perspective on the Maillard Reaction and the Analysis of Protein Glycation by Mass Spectrometry: Probing the Pathogenesis of Chronic Disease," J Proteome Res., 8(2):754-769, (2009).	
	ZLOTKIN et al., "Cysteine supplementation to cysteine-free intravenous feeding regimens in newborn infants," The American Journal of Clinical Nutrition, 34(5):914-923, (1981). [Retrieved from the Internet April 14, 2015: <url: 34="" 4431066="" 5="" 914="" academic.oup.com="" ajcn="" article-abstract="" https:="">].</url:>	
	ZLOTKIN et al., "The Development of Cystathionase Activity During the First Year of Life," Pediatr. Res., 16(1):65-68, (1982).	

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered	03/26/2020
-----------------------	----------------------	--------------------	------------

Appl. No.: 16/746,028 Amdt. dated April 8, 2020

Reply to Office Action of March 30, 2020

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 16/746,028 Confirmation No.: 4075

Applicant(s): Exela Pharma Sciences, LLC

Filed: January 17, 2020

Art Unit: 1612

Examiner: Packard, Benjamin J.

Title: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND

METHODS OF USE

Docket No.: 066859/542422

Customer No.: 00826

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# RESPONSE TO OFFICE ACTION UNDER 37 C.F.R. § 1.111

In response to the Office Action dated March 30, 2020 ("Office Action"), and concurrent with the filing of a Terminal Disclaimer pursuant to 37 C.F.R. § 1.321, Applicant submits:

Remarks beginning on page 2 of this paper.

Electronic Acknowledgement Receipt				
EFS ID:	39097597			
Application Number:	16746028			
International Application Number:				
Confirmation Number:	4075			
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE			
First Named Inventor/Applicant Name:	JOHN MALONEY			
Customer Number:	826			
Filer:	Bryan Lee Skelton/Karen Trachtman			
Filer Authorized By:	Bryan Lee Skelton			
Attorney Docket Number:	066859/542422			
Receipt Date:	08-APR-2020			
Filing Date:	17-JAN-2020			
Time Stamp:	13:33:34			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with Payment	no

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		2020-04-08_542422_Response _Non-Final_OA.pdf	8a469ff70bc6c099e55dd556c2dc27e8ceda b8cd	yes	3

	Multipart Description/PDF files in .zip description				
	Document Description	Start	End		
	Applicant Arguments/Remarks Made in an Amendment	2	3		
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1		
Warnings:					
Information:					
	Total Files Size (in bytes): 111273				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Appl. No.: 16/746,028

: 16/746,028

Amdt. dated April 8, 2020

Reply to Office Action of March 30, 2020

# I. Status of Claims

Claims 1-27 remain unchanged and pending in this application.

# **II.** Nonstatutory Double Patenting Rejections Are Overcome

The Office Action rejects claims 1-27 on the ground of nonstatutory double patenting for allegedly being unpatentable over claim 30 in U.S. Patent No. 10,583,155. Without acquiescing to any reasoning set forth in the Office Action and solely to further this case to allowance, Applicant submits herewith a terminal disclaimer referencing U.S. Patent No. 10,583,155. Thus, this rejection of claims 1-27 in the instant application is moot.

The Office Action rejects claims 1-27 on the ground of nonstatutory double patenting for allegedly being unpatentable over claims 1-22 in U.S. Patent No. 10,478,453. Without acquiescing to any reasoning set forth in the Office Action and solely to further this case to allowance, Applicant submits herewith a terminal disclaimer referencing U.S. Patent No. 10,478,453. Thus, this rejection of claims 1-27 in the instant application is moot.

The Office Action rejects claims 1-27 on the ground of nonstatutory double patenting for allegedly being unpatentable over claim 1-27 in co-pending U.S. Application No. 16/773,641. Without acquiescing to any reasoning set forth in the Office Action and solely to further this case to allowance, Applicant submits herewith a terminal disclaimer referencing U.S. Application No. 16/773,641. Thus, this rejection of claims 1-27 in the instant application is moot.

2

Appl. No.: 16/746,028

o.: 16/746,028

Amdt. dated April 8, 2020 Reply to Office Action of March 30, 2020

CONCLUSION

Having addressed all the issues set forth in the Office Action, Applicant believes that the present application is now in condition for allowance and courteously solicits a Notice of Allowability. Should there be any issue that impedes the allowance of any claim, the Examiner is

invited to telephone Applicant's undersigned representative so that the issue may be resolved

expeditiously.

Applicant does not believe that any extensions of time or fees for net addition of claims

are required beyond those that may otherwise be provided for in documents accompanying this

paper. However, in the event that additional extensions of time or fees are necessary to allow

consideration of this paper, Applicant hereby petitions such extensions of time under 37 C.F.R.

§ 1.136(a) and authorizes any required fees (including any fees for net addition of claims) to be

charged to Deposit Account No. 16-0605.

Respectfully submitted,

/bryan 1. skelton/

Bryan L. Skelton

Registration No. 50,893

Customer No. 826 ALSTON & BIRD LLP

Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Raleigh Office (919) 862-2200 Fax Raleigh Office (919) 862-2260

ELECTRONICALLY FILED USING THE EFS-WEB ELECTRONIC FILING SYSTEM OF THE UNITED STATES PATENT & TRADEMARK OFFICE ON APRIL 8, 2020.

3

Doc Code: DIST.E.FILE Document Description: Electronic Terminal Disclaimer - Filed		PTO/SB/25 PTO/SB/26 U.S. Patent and Trademark Office Department of Commerce			
Electronic Petition Request	TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING REJECTION OVER A PENDING "REFERENCE" APPLICATION AND TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT				
Application Number	16746028				
Filing Date	e 17-Jan-2020				
First Named Inventor	JOHN MALONEY				
Attorney Docket Number 066859/542422					
Title of Invention	STABLE, HIGHLY PURE L-CYSTI USE	EINE COMPOSITIONS FOR INJECTION AND METHODS OF			
Filing of terminal disclaimer does Office Action  This electronic Terminal Disclaim	·	ponse under 37 CFR 1.111 to outstanding esearch Agreement.			
Owner		Percent Interest			
Exela Pharma Sciences, LLC		100 %			

The owner(s) of percent interest listed above in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of any patent granted on pending reference Application Number(s)

#### 16773641 filed on 01/27/2020

as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the reference application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term of any patent granted on said reference application, "as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application," in the event that any such patent granted on the pending reference application: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant.

The owner(s) with percent interest listed above in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of prior patent number(s)

10583155			
10478453			
granted on the instant application sh	esently shortened by any terminal disclaimer. The owner hereby agrees that any patent so nall be enforceable only for and during such period that it and the prior patent are commonly y patent granted on the instant application and is binding upon the grantee, its successors		
application that would extend to the	owner does not disclaim the terminal part of the term of any patent granted on the instant expiration date of the full statutory term of the prior patent, "as the term of said prior patent al disclaimer," in the event that said prior patent later: ance fee;		
- is found invalid by a court of compe	terminally disclaimed under 37 CFR 1.321;		
	o the expiration of its full statutory term as presently shortened by any terminal disclaimer.		
Terminal disclaimer fee under :	37 CFR 1.20(d) is included with Electronic Terminal Disclaimer request.		
	CFR 1.4(d)(4), that the terminal disclaimer fee under 37 CFR 1.20(d) aimer has already been paid in the above-identified application.		
Applicants claims the following fee s	tatus:		
Small Entity			
Micro Entity			
Regular Undiscounted			
belief are believed to be true; and fur the like so made are punishable by fi	made herein of my own knowledge are true and that all statements made on information and rther that these statements were made with the knowledge that willful false statements and ne or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and y jeopardize the validity of the application or any patent issued thereon.		
THIS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES		
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:		
An attorney or agent registered this application	to practice before the Patent and Trademark Office who is of record in		
Registration Number50893	<u> </u>		
A sole inventor			
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors as evidenced by the power of attorney in the application			
A joint inventor; all of whom are signing this request			
Signature	/bryan I. skelton/		
Name	Bryan L. Skelton		

\*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

Electronic Patent Application Fee Transmittal					
Application Number:	16746028				
Filing Date:	17-Jan-2020				
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE				
First Named Inventor/Applicant Name:	JOHN MALONEY				
Filer:	Bryan Lee Skelton/Karen Trachtman				
Attorney Docket Number:	066859/542422				
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
STATUTORY OR TERMINAL DISCLAIMER		1814	1	160	160
Pages:		'			
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	160

Doc Code: DISQ.E.FILE Document Description: Electronic Terminal Disclaimer – Approved
Application No.: 16746028
Filing Date: 17-Jan-2020
Applicant/Patent under Reexamination: MALONEY
Electronic Terminal Disclaimer filed on April 8, 2020
This patent is subject to a terminal disclaimer
DISAPPROVED
Approved/Disapproved by: Electronic Terminal Disclaimer automatically approved by EFS-Web
U.S. Patent and Trademark Office

Electronic Acknowledgement Receipt					
EFS ID:	39097825				
Application Number:	16746028				
International Application Number:					
Confirmation Number:	4075				
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE				
First Named Inventor/Applicant Name:	JOHN MALONEY				
Customer Number:	826				
Filer:	Bryan Lee Skelton/Karen Trachtman				
Filer Authorized By:	Bryan Lee Skelton				
Attorney Docket Number:	066859/542422				
Receipt Date:	08-APR-2020				
Filing Date:	17-JAN-2020				
Time Stamp:	13:49:10				
Application Type:	Utility under 35 USC 111(a)				

## **Payment information:**

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	\$160
RAM confirmation Number	E202048D49084874
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing	 j:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
1	Terminal Disclaimer-Filed (Electronic)	e Terminal-Disclaimer.pdf	36274 7dbf3d77056682fae52e03c464ad7103053 7401d	no	3
Warnings:					
Information:					
			30524		
2	Fee Worksheet (SB06)	fee-info.pdf	8645d8b2b869437d89931bb9abb06fb3e0 167a37	no	2
Warnings:					
Information:					
		Total Files Size (in bytes)	6	6798	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Modified PTO/SB/08 Form

Substitute for form 1449B/PTO				Complete if Known		
				Application Number	16/746,028	
INFORMATION DISCLOSURE				Filing Date	January 17, 2020	
STAT	STATEMENT BY APPLICANT		First Named Inventor	John Maloney		
				Art Unit	1612	
(Use as many sheets as necessary)		Examiner Name	Benjamin J. Packard			
Sheet	1	of	1	Attorney Docket Number	066859/542422	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
		Declaration of John Geissler, Exhibit 1, Response in Opposition to Plaintiff's Motion for Preliminary Injunction, Exela Pharma Sciences, LLC v.Sandoz, Inc., No. 1:19-cv-318, (W.D.N.C., December 6, 2019).			

Examiner	Date	
Signature	Considered	

Electronic Acknowledgement Receipt					
EFS ID:	39189729				
Application Number:	16746028				
International Application Number:					
Confirmation Number:	4075				
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE				
First Named Inventor/Applicant Name:	JOHN MALONEY				
Customer Number:	826				
Filer:	Bryan Lee Skelton/Laura Tremont				
Filer Authorized By:	Bryan Lee Skelton				
Attorney Docket Number:	066859/542422				
Receipt Date:	17-APR-2020				
Filing Date:	17-JAN-2020				
Time Stamp:	12:57:13				
Application Type:	Utility under 35 USC 111(a)				

## **Payment information:**

Submitted wi	bmitted with Payment no						
File Listing:							
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Transmittal Letter	542422_IDS_Transmittal.pdf	138270 138270 857533cc0fa2b21de31a68d05805a1f26408 <i>c7f</i> 8	no	1		
Warnings:	•						

Information:						
			160689			
2	Information Disclosure Statement (IDS) Form (SB08)	542422_IDS_SB08.pdf	094a989d26b3df989741f6c85526ec3090fa 1177	no	1	
Warnings:						
Information:						
This is not an U	SPTO supplied IDS fillable form					
		235-	11773346			
3	Non Patent Literature	Declaration_of_John_Geissler. pdf	a67540046560cc46bc60cb397161350d9b4 05938	no	53	
Warnings:						
Information:						
		Total Files Size (in bytes)	120	072305		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: John Maloney et al. Confirmation No.: 4075 Appl. No.: 16/746,028 Group Art Unit: 1612

Filed: January 17, 2020 Examiner: Benjamin J. Packard For: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION

AND METHODS OF USE

Submitted via EFS-Web Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT CITATION UNDER 37 C.F.R. § 1.97

Attached is a list of documents on form PTO-SB08.

Submitted herewith is the Declaration of John Geissler and its Exhibits A-F, submitted in concurrent litigation, *Exela Pharma Sciences, LLC v. Sandoz, Inc.*, No. 1:19-cv-318, (W.D.N.C., November 6, 2019), which was referred to in Applicant's previous Information Disclosure Statement. Applicant points the Examiner's attention to the batch data on page 45 of 53.

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

Respectfully submitted,

/bryan 1. skelton/

Bryan L. Skelton Registration No. 50,893

Customer No. 826
ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000
Tel Research Triangle Area Office (919) 862-2200
Fax Research Triangle Area Office (919) 862-2260

ELECTRONICALLY FILED USING THE EFS-WEB ELECTRONIC FILING SYSTEM OF THE UNITED STATES PATENT & TRADEMARK OFFICE ON April 17, 2020.

LEGAL02/39734173v1

Modified PTO/SB/08 Form

					Mounted 1 10/5B/00 101m	
Substitute f	Substitute for form 1449B/PTO			Complete if Known		
				Application Number	16/746,028	
INFORMATION DISCLOSURE			URE	Filing Date	January 17, 2020	
STAT	STATEMENT BY APPLICANT		First Named Inventor	John Maloney		
				Art Unit	1612	
(	(Use as many sheets as necessary)		Examiner Name	Benjamin J. Packard		
Sheet	1	of	1	Attorney Docket Number	066859/542422	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		"Total Parenteral Nutrition (TPN) - Administration in Adult Ward Areas and Intensive Care of St. George Hospital Only," St. George/Sutherland Hospitals and Health Services, NSW Government Health South Eastern Sydney Local Health Network, 10 pages, (2013). [Retrieved from the Internet May 11, 2020: <url: 0006="" 306438="" _data="" adult="" al="" assets="" clin089.pdf="" https:="" icu="" nutrition="" pdf_file="" sgshhs="" stgeorgetotal_parenter="" wards="" www.aci.health.nsw.gov.au="">].</url:>	
	239	CLEMENS et al., "Twice Daily Dosing of Dabigatran for Stroke Prevention in Atrial Fibrillation:  A Pharmacokinetic Justification," Curr Med Res Opin, 28(2):195-201, (2012).	
		FRIEDMANN et al., "Reactions of Pyruvic Acid with Thiolacetic Acid and Cysteine," Biochem J, 30(10):1886-1891, (1936).	
		NICOLET, BEN H., "Biochemistry by Analogy: the Sulfur of Cystine,"Journal of the Washington Academy of Sciences, 28(3):84-93, (1938).	
		PATEL et al., "Stability Considerations for Biopharmaceuticals: Overview of Protein and Peptide Degradation Pathways," BioProcess International, 23 pages, (2011). [Retrieved from the Internet May 11, 2020: <url: biopharmaceutical-product-stability-considerations-part-1="" bioprocessintl.com="" formulation="" https:="" manufacturing=""></url:> ].	

Examiner	Date	
Signature	Considered	

Electronic Patent Application Fee Transmittal					
Application Number:	16	746028			
Filing Date:	17-	Jan-2020			
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE				
First Named Inventor/Applicant Name:	JOHN MALONEY				
Filer:	Bry	an Lee Skelton/Lau	ra Tremont		
Attorney Docket Number:	066859/542422				
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:			·		
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
SUBMISSION- INFORMATION DISCLOSURE STMT	1806	1	240	240
	Total in USD (\$)			240

Electronic Acknowledgement Receipt				
EFS ID:	39432493			
Application Number:	16746028			
International Application Number:				
Confirmation Number:	4075			
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE			
First Named Inventor/Applicant Name:	JOHN MALONEY			
Customer Number:	826			
Filer:	Bryan Lee Skelton/Laura Tremont			
Filer Authorized By:	Bryan Lee Skelton			
Attorney Docket Number:	066859/542422			
Receipt Date:	13-MAY-2020			
Filing Date:	17-JAN-2020			
Time Stamp:	16:05:14			
Application Type:	Utility under 35 USC 111(a)			

## **Payment information:**

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	\$240
RAM confirmation Number	E20205CG05374046
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Transmittal Letter						
Document Number   Document Description   File Name   File Size (Bytes)/ Message Digest (Irfappl. 105576   105						
Number   Octoment Description   File Name   Message Digest   Part /.zip   (if appl. 105976   105976	File Listin					
Transmittal Letter		Document Description	File Name			Pages (if appl.)
Manifernation   Manifernatio				105976		
Information	1	Transmittal Letter	542422_IDS_Transmittal.pdf		no	1
Information Disclosure Statement (IDS)   542422_IDS_SB08.pdf   164393	Warnings:	· ·		'		
Page	Information:					
Marnings:				164393		
This is not an USPTO supplied IDS fillable form  3 Non Patent Literature 236-Patel_2011.pdf 7776498 77	2		542422_IDS_SB08.pdf		no	1
This is not an USPTO supplied IDS fillable form  3 Non Patent Literature 236-Patel_2011.pdf 2776498 no 23  Warnings:  Information:  4 Non Patent Literature 237-Nicolet_1938.pdf 4520971 no 10  Warnings:  Information:  5 Non Patent Literature 238-Friedmann_1936.pdf 11455724617701996347764964704742694377 no 6  Warnings:  Information:  5 Non Patent Literature 238-Friedmann_1936.pdf 114557246177019963477647964704742694377 no 6  Warnings:  Information:  238-Friedmann_1936.pdf 14257246177019963477647964704742694377 no 6  Warnings:  Information:  238-Friedmann_1936.pdf 14257246177019963477647964704742694377 no 6  Warnings:  Information:	Warnings:			'		
3   Non Patent Literature   236-Patel_2011.pdf   7776498   77764	Information:					
Non Patent Literature   236-Patel_2011.pdf   2724586463861346253925386463586 3c2ce   no   238	This is not an U	SPTO supplied IDS fillable form				
### Warnings:   Information:				7776498		23
Information:	3	Non Patent Literature	236-Patel_2011.pdf		no	
A	Warnings:			-		
Non Patent Literature   237-Nicolet_1938.pdf	Information:					
Marnings:   Information:				4520971		
Information:	4	Non Patent Literature	237-Nicolet_1938.pdf	daf1214b130b0b714d040f409c42bf9eb37f 8f06	no	10
Non Patent Literature   238-Friedmann_1936.pdf   761965	Warnings:	-		'		
5         Non Patent Literature         238-Friedmann_1936.pdf	Information:					
Marnings:				761965		
	5	Non Patent Literature	238-Friedmann_1936.pdf		no	6
6 Non Patent Literature 239-Clemens_2012.pdf 422711 no 8	Warnings:	-		'		
6 Non Patent Literature 239-Clemens_2012.pdf no 8  34c17ec36105b7a85bf2d1ae7c3a8f9e99bf a07d	Information:					
34c17ec36105b7a85sb12d1ae7c3a8f9e99bf a07d				422711		
Warnings:	6	Non Patent Literature	239-Clemens_2012.pdf	34c17ec3610Sb7a85bf2d1ae7c3a8f9e99bf a07d	no	8
	Warnings:					

Information:								
			4810554					
7	Non Patent Literature	240-StGeorgeHospital_2013. pdf	d7245a2be8a0d5c2ff3c633e1f6ebab30439 057b	no	10			
Warnings:								
Information:								
			30448		2			
8	Fee Worksheet (SB06)	fee-info.pdf	929cc4c8635929a0a5db87c945b77d52562 e9d6e	no				
Warnings:								
Information:								
		Total Files Size (in bytes)	189	593516				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: John Maloney et al. Confirmation No.: 4075 Appl. No.: 16/746,028 Group Art Unit: 1612

Filed: January 17, 2020 Examiner: Benjamin J. Packard For: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION

AND METHODS OF USE

Submitted via EFS-Web Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(c), before Final Office Action or Allowance, whichever is earlier.

Attached is a list of documents on form PTO-SB08.

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

The fee specified in 37 C.F.R. § 1.17(p) is being paid at the time of e-filing. The Commissioner is authorized to charge any additional fee (including this fee if inadvertently omitted), or credit any refund, to our Deposit Account No. 16-0605.

Respectfully submitted,

/bryan 1. skelton/

Bryan L. Skelton Registration No. 50,893

Customer No. 826
ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000
Tel Research Triangle Area Office (919) 862-2200
Fax Research Triangle Area Office (919) 862-2260
ELECTRONICALLY FILED USING THE EFS-WEB ELECTRONIC FILING SYSTEM OF THE UNITED STATES PATENT & TRADEMARK OFFICE ON May 13, 2020.

LEGAL02/39789676v1

#### UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

#### NOTICE OF ALLOWANCE AND FEE(S) DUE

826 7590 05/14/2020 ALSTON & BIRD LLP BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE, NC 28280-4000 EXAMINER

PACKARD, BENJAMIN J

ART UNIT PAPER NUMBER

1612

DATE MAILED: 05/14/2020

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/746,028	01/17/2020	JOHN MALONEY	066859/542422	4075

TITLE OF INVENTION: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1000	\$0.00	\$0.00	\$1000	08/14/2020

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

Page 1 of 3

#### PART B - FEE(S) TRANSMITTAL

Complete and send	this form, together	with applicable fee(s	), by mail or fax, or	via EFS-Web.			
By mail, send to:	Mail Stop ISSUE Commissioner for P.O. Box 1450 Alexandria, Virgi	Patents				By fax, send to	o: (571)-273-2885
further correspondence i	ncluding the Patent, adva	ance orders and notificatio	E and PUBLICATION FE n of maintenance fees will dence address; and/or (b)	be mailed to the cu indicating a separat	rrent corr e "FEE A	espondence address a DDRESS" for mainte	s indicated unless correcte
		lock 1 for any change of address)	Fee par	e(s) Transmittal. Theres. Each additionate its own certificate	is certific al paper, e of maili	cate cannot be used for such as an assignmenting or transmission.	or any other accompanying to r formal drawing, mus
ALSTON & B BANK OF AMI	IRD LLP	4/2020 HTE 4000	Sta ado	ereby certify that the tes Postal Service values to the Mail	nis Fee(s) with suffi Stop ISS	icient postage for first UE FEE address abo	deposited with the United class mail in an envelope ve, or being transmitted to 3-2885, on the date below
CHARLOTTE,		711E 4000			Ť		(Typed or printed name
							(Signature
			L				(Date
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTOR	NEY DOCKET NO.	CONFIRMATION NO.
16/746,028	01/17/2020	•	JOHN MALONEY		06	66859/542422	4075
TITLE OF INVENTION	I: STABLE, HIGHLY P	URE L-CYSTEINE COM	IPOSITIONS FOR INJEC	TION AND METH	IODS OF	USE	
	T	T					DATE DUE
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	<u> </u>	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1000	\$0.00	\$0.00		\$1000	08/14/2020
EXAM	MINER	ART UNIT	CLASS-SUBCLASS				
PACKARD,	BENJAMIN J	1612	424-621000	_			
1. Change of correspond CFR 1.363).	ence address or indication	on of "Fee Address" (37	2. For printing on the (1) The names of up to	o 3 registered pater		eys	
Change of corresp Address form PTO/S	ondence address (or Cha B/122) attached.	ange of Correspondence	or agents OR, alternatively,  (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is				
"Fee Address" ind SB/47; Rev 03-09 or Number is required.	lication (or "Fee Address more recent) attached. U	" Indication form PTO/ se of a Customer	listed, no name will be	e printed.	по пате	3	
			THE PATENT (print or ty	1 /			
PLEASE NOTE: Unl recorded, or filed for	ess an assignee is identif recordation, as set forth	ied below, no assignee dat in 37 CFR 3.11 and 37 CF	ta will appear on the paten FR 3.81(a). Completion of	t. If an assignee is i f this form is NOT a	dentified a substitu	below, the document te for filing an assign	must have been previously ment.
(A) NAME OF ASSI			(B) RESIDENCE: (CIT				
Please check the appropri	riate assignee category o	r categories (will not be p	rinted on the patent): 🖵 1	ndividual	oration or	other private group e	ntity Government
4a. Fees submitted:		olication Fee (if required)			nation of	other private group e	naty - Government
4b. Method of Payment:	Tissue i ce	` '		" of copies			
Flactronic Paymer	(Please first reapply any	v previously paid fee show	n abovej				
- Electronic I aynic.			Non-electronic payment by	y credit card (Attac	h form P	ГО-2038)	
	nt via EFS-Web	Enclosed check		•		,	
	nt via EFS-Web	Enclosed check	Non-electronic payment b	•		,	
	nt via EFS-Web reby authorized to charg	Enclosed check e the required fee(s), any	Non-electronic payment b deficiency, or credit any c	verpayment to Dep	osit Acco	ount No.	(0)(17)
5. Change in Entity Sta	nt via EFS-Web reby authorized to charge tus (from status indicating micro entity status. So	Enclosed check e the required fee(s), any ed above) ee 37 CFR 1.29	Non-electronic payment b deficiency, or credit any c  NOTE: Absent a valid c fee payment in the micro	ertification of Micro entity amount will	osit Acco	ount NoStatus (see forms PTO ccepted at the risk of	/SB/15A and 15B), issue application abandonment.
5. Change in Entity Sta	nt via EFS-Web reby authorized to charg	Enclosed check e the required fee(s), any ed above) ee 37 CFR 1.29	Non-electronic payment b deficiency, or credit any control of the	ertification of Micro entity amount will n was previously un ss of entitlement to	osit Acco	Status (see forms PTO ccepted at the risk of o o entity status, checking tity status.	application abandonment.  ng this box will be taken
5. Change in Entity Sta Applicant certifying Applicant assertin	nt via EFS-Web reby authorized to charge tus (from status indicating micro entity status. So	Enclosed check e the required fee(s), any ed above) ee 37 CFR 1.29 e 37 CFR 1.27	Non-electronic payment be deficiency, or credit any control of the deficiency. NOTE: Absent a valid control of the payment in the microl of the application of the deficiency.	ertification of Micro entity amount will on the previously un swapeviously un	osit Acco	Status (see forms PTO ccepted at the risk of o o entity status, checking tity status.	application abandonment.  ng this box will be taken
5. Change in Entity Sta Applicant certifyin Applicant assertin Applicant changin	nt via EFS-Web reby authorized to charge tus (from status indicating micro entity status. Seg small entity status. Seg to regular undiscounter	Enclosed check  e the required fee(s), any  ed above)  ee 37 CFR 1.29  e 37 CFR 1.27  ed fee status.	Non-electronic payment b deficiency, or credit any control of the	ertification of Micro entity amount will n was previously un ss of entitlement to bx will be taken to b	o Entity S not be a der micro micro en oe a notifi	Status (see forms PTO ccepted at the risk of o entity status, checking status, checking status.	application abandonment.  ng this box will be taken

Page 2 of 3 OMB 0651-0033

Registration No. \_

Typed or printed name \_

#### United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/746,028	01/17/2020	JOHN MALONEY	066859/542422	4075
826 75	90 05/14/2020		EXAM	IINER
ALSTON & BIR	D LLP	PACKARD, BENJAMIN J		
BANK OF AMER	ICA PLAZA			
	ON STREET, SUITE 4	.000	ART UNIT	PAPER NUMBER
CHARLOTTE, NO	28280-4000		1612	
			DATE MAILED: 05/14/202	0

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(	
Notice of Allowability	16/746,028  Examiner  BENJAMIN J PACKA	MALONEY   Art Unit   RD   1612	AIA (FITF) Status Yes
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) of NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIC of the Office or upon petition by the applicant. See 37 CFR 1.313 and the Office of	OR REMAINS) CLOSE or other appropriate cou GHTS. This application	ED in this application. If no maile maile	ot included d in due course. <b>THIS</b>
<ul> <li>1. ✓ This communication is responsive to 4/8/2020.</li> <li>☐ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/</li> </ul>	were filed on		
2. An election was made by the applicant in response to a rest restriction requirement and election have been incorporated		forth during the interview	on; the
3. The allowed claim(s) is/are 1-27. As a result of the allowed Highway program at a participating intellectual property offic http://www.uspto.gov/patents/init_events/pph/index.jsp	ce for the correspondin	g application. For more in	formation, please see
4. Acknowledgment is made of a claim for foreign priority unde	r 35 U.S.C. § 119(a)-(d	l) or (f).	
Certified copies:			
a) $\square$ All b) $\square$ Some *c) $\square$ None of the:			
<ol> <li>Certified copies of the priority documents have</li> <li>Certified copies of the priority documents have</li> </ol>		ication No	
<ol> <li>Copies of the certified copies of the priority do- International Bureau (PCT Rule 17.2(a)).</li> </ol>	cuments have been red	eived in this national stag	ge application from the
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.			vith the requirements
5. CORRECTED DRAWINGS (as "replacement sheets") must	be submitted.		
including changes required by the attached Examiner's Paper No./Mail Date		nt or in the Office action o	f
Identifying indicia such as the application number (see 37 CFR 1. sheet. Replacement sheet(s) should be labeled as such in the hea			nt (not the back) of each
6. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT F			
Attachment(s)			
1. Notice of References Cited (PTO-892)	5. 🗌 Exam	iner's Amendment/Comm	ent
2. Information Disclosure Statements (PTO/SB/08),	6. 🗹 Exam	iner's Statement of Reaso	ons for Allowance
Paper No./Mail Date 1pg (4/17/20).  3. Examiner's Comment Regarding Requirement for Deposit of Biological Material	7. 🗌 Other	·	
4. Interview Summary (PTO-413), Paper No./Mail Date.			
/BENJAMIN J PACKARD/ Primary Examiner, Art Unit 1612			
	1		

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20200427

#### **Reasons for Allowance**

The following is an examiner's statement of reasons for allowance:

Applicants have presented an affidavit where at pg 48, Avara Ref 1308191546, it discloses cysteine HCL formulations having aluminum content of <375 ppb using Inspection method NPAA-382. While the Declaration of John Geissler, dated Dec 6, 2019, provides evidence of a lower aluminum content than previously found the in the prior art, it is still double the instantly claimed range where the instant claims require less than about 150 ppb aluminum. Further, the references suggest the 5,000 ppb content is not a problem, therefore there would not be motivation based on the declaration to reduce the aluminum content further than what appears to be already purified.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to BENJAMIN J PACKARD whose telephone number is (571)270-3440. The examiner can normally be reached on Mon and Wed-Fri (8am-6pm).

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Frederick Krass can be reached on (571)272-0580. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available

Art Unit:1612

through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR

/BENJAMIN J PACKARD/ Primary Examiner, Art Unit 1612

CANADA) or 571-272-1000.

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	16/746,028	MALONEY et al.
	Examiner	Art Unit
	BENJAMIN J PACKARD	1612

CPC - Sea	rched*		
Symbol		Date	Examiner
A61K 33/0	6	04/27/2020	BP
		•	•
CPC Com	bination Sets - Searched*		
Symbol		Date	Examiner
		•	
US Classif	fication - Searched*		
01	Cubalaga	Date	Examiner
Class	Subclass	Date	Lyaninei

 $<sup>^{\</sup>star}$  See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes				
Search Notes	Date	Examiner		
Palm inventor search	03/26/2020	ВР		
East search	03/26/2020	ВР		
STN- Caplus search, terms: I-cycsteine, aluminum, contaminate	03/26/2020	ВР		
STN plus and East searches updated	04/27/2020	ВР		

Interference Search						
US Class/CPC Symbol	US Subclass/CPC Group Date Examiner					
A61K	33/06	04/27/2020	ВР			

/BENJAMIN J PACKARD/ Primary Examiner, Art Unit 1612	

U.S. Patent and Trademark Office

Part of Paper No.: 20200427

Page 1 of 1

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	16/746,028	MALONEY et al.
	Examiner	Art Unit
	BENJAMIN J PACKARD	1612

CPC					
Symbol			Туре	Version	
A61K	/ 33	/ 0	3	F	2013-01-01
A61K	/ 31	/ 1	91	l l	2013-01-01
A23L	/ 33	/ 1	5	I	2016-08-01
A61K	/ 31	/ 1	98	I	2013-01-01
A61K	/ 31	/ 0	95	I	2013-01-01
A61K	/ 33	/ 2	3	I	2013-01-01
A61K	/ 33	/ 0	)	I	2013-01-01
A61K	/ 31	/ 4	)1	I	2013-01-01
A61K	/ 31	/ 4	05	I	2013-01-01
A61K	/ 33	/ 2	11	I	2019-01-01
A61K	47	/ 0:	2	I	2013-01-01
A61K	/ 31	/ 4	172	1	2013-01-01
A23L	/ 33	1	75	I	2016-08-01
A61K	/ 9	/ 0	)29	1	2013-01-01
A61K	/ 33	/ 3	5	I	2013-01-01
A61J	/ 1	/ 1	112	A	2013-01-01
A23V	/ 2002	/ 0	)	Α	2013-01-01

CPC Combination Sets				
Symbol	Туре	Set	Ranking	Version

NONE		Total Claim	s Allowed:
(Assistant Examiner)	(Date)	27	
/BENJAMIN J PACKARD/ Primary Examiner, Art Unit 1612	27 April 2020	O.G. Print Claim(s) O.G. Print Figu	
(Primary Examiner)	(Date)	1	1

U.S. Patent and Trademark Office

Part of Paper No.: 20200427

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	16/746,028	MALONEY et al.
	Examiner	Art Unit
	BENJAMIN J PACKARD	1612

INTERNATIONAL CL	ASSIFICATION					
CLAIMED	•	•	•	•		·
A61K		/ 33		/ 06	3	
NON-CLAIMED					<u> </u>	
US ORIGINAL CLASS	SIFICATION					
	CLASS			SUBCL	ASS	
	20(0)				_	
CROSS REFERENCE	:5(5)					
CLASS		S	UBCLASS (ONE SU	BCLASS PER BLOC	CK)	

NONE	Total Claim	s Allowed:	
(Assistant Examiner)	(Date)	27	7
/BENJAMIN J PACKARD/ Primary Examiner, Art Unit 1612	27 April 2020	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1

U.S. Patent and Trademark Office

Part of Paper No.: 20200427

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	16/746,028	MALONEY et al.
	Examiner	Art Unit
	BENJAMIN J PACKARD	1612

<b>V</b>	☑ Claims renumbered in the same order as presented by applicant ☐ CPA ☑ T.D. ☐ R.1.47														
CLAIM	S														
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
	ļ														
		<del> </del>													+
															+

NONE	Total Claims	s Allowed:	
(Assistant Examiner)	(Date)	27	7
/BENJAMIN J PACKARD/ Primary Examiner, Art Unit 1612	27 April 2020	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1

U.S. Patent and Trademark Office

Part of Paper No.: 20200427

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	16/746,028	MALONEY et al.
	Examiner	Art Unit
	BENJAMIN J PACKARD	1612

1	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected

					CLAIMS						
✓ Clair	ms renumbe	red in the sam	ne order as	presented	by applican	t	□ СРА	<b>☑</b> T.[	D. 🗌	☐ R.1.47	
CL	AIM					DATE					
Final	Original	04/27/2020									
	1	=									
	2	=									
	3	=									
	4	=									
	5	=									
	6	=									
	7										
	8	= +									
	9	= +									
	10	= +									
	11	=									
	12	=									
	13	=									
	14	=									
	15	=									
	16 17	=									
	18	+ = +									
	19	+ = +									
	20	+ = +									
	21	<del>                                     </del>									
	22	<del>                                     </del>									
	23	<del>                                     </del>									
	24	<del>                                     </del>									
	25	<del>                                     </del>									
	26	<del>                                     </del>									
	27	= +									

U.S. Patent and Trademark Office Part of Paper No.: 20200427

## **Bibliographic Data**

Application No: 16/746,028

Foreign Priority claimed: O Yes No

35 USC 119 (a-d) conditions met: Yes No Met After Allowance

Verified and Acknowledged: /BENJAMIN J PACKARD/ Examiner's Signature Initials

Title: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE

FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
01/17/2020	424	1612	066859/542422
RULE			

#### **APPLICANTS**

Exela Pharma Sciences, LLC, Lenoir, NC,

#### **INVENTORS**

JOHN MALONEY Salisbury, NC, UNITED STATES

Aruna Koganti Lenoir, NC, UNITED STATES

Phanesh Koneru Waxhaw, NC, UNITED STATES

#### **CONTINUING DATA**

This application is a CON of 16665702 10/28/2019 PAT 10583155

16665702 is a CON of 16248460 01/15/2019 PAT 10478453

#### FOREIGN APPLICATIONS

#### IF REQUIRED, FOREIGN LICENSE GRANTED\*\*

02/05/2020

#### STATE OR COUNTRY

**UNITED STATES** 

#### **ADDRESS**

ALSTON & BIRD LLP BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000 CHARLOTTE, NC 28280-4000 UNITED STATES

#### FILING FEE RECEIVED

\$6,560

### **EAST Search History**

### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	17810	A61K33/06.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	- 1	2020/04/27 07:52
L3	1	I1 and cysteine and aluminum	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	ON	2020/04/27 07:52

### **EAST Search History (Interference)**

Ref #	Hits	Search Query	1	Default Operator	Plurals	Time Stamp
L2	1490	A61K33/06.cpc.	USPAT	AND	- 6	2020/04/27 07:52
L4		l2 and (cysteine and aluminum).clm.	USPAT	AND	- 3	2020/04/27 07:52

4/27/2020 7:53:09 AM C:\Users\bpackard\Documents\EAST\Workspaces\16746028-2.wsp

Modified PTO/SB/08 Form

Substitute f	or form 1449B/PTO			Complete if Known			
				Application Number	16/746,028		
INFO	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020		
STAT	EMENT BY A	<b>PPLIC</b>	ANT	First Named Inventor	John Maloney		
				Art Unit	1612		
(	(Use as many sheets as necessary)			Examiner Name	Benjamin J. Packard		
Sheet	1	of	1	Attorney Docket Number	066859/542422		

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>				
		Declaration of John Geissler, Exhibit 1, Response in Opposition to Plaintiff's Motion for Preliminary Injunction, Exela Pharma Sciences, LLC v.Sandoz, Inc., No. 1:19-cv-318, (W.D.N.C., December 6, 2019).					

Examiner		Date	0.4.65.466.00
Signature	/BENJAMIN J PACKARD/	Considered	04/27/2020

Doc code: RCEX Doc description: Request for Continued Examination (RCE)

PTO/SB/30EFS (02-18)
Request for Continued Examination (RCE)
Approved for use through 11/30/2020. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	REQU	JEST FOI		DEXAMINATION OF STREET OF	N(RCE)TRANSMITTAL ·Web)	-	
Application Number	16/746,028	Filing Date	2020-01-17	Docket Number (if applicable)	066859/542422	Art Unit	1612
First Named Inventor	John Maloney			Examiner Name	Benjamin J. Packard		
Request for Co	ontinued Examina	tion (RCE) p	ractice under 37 CI		above-identified application.  ply to any utility or plant applica  VWW.USPTO.GOV	ation filed	prior to June 8,
		SU	JBMISSION REQ	UIRED UNDER 37	CFR 1.114		
in which they v	vere filed unless a	applicant inst		applicant does not wis	nents enclosed with the RCE wil sh to have any previously filed u		
Previously submission	submitted. If a fir n even if this box	nal Office act is not checke	ion is outstanding, and	any amendments file	d after the final Office action ma	ay be con	sidered as a
☐ Cor	nsider the argume	nts in the Ap	peal Brief or Reply	Brief previously filed	on		
☐ Oth	er 						
Am	endment/Reply						
⊠ Info	rmation Disclosur	e Statement	(IDS)				
Affi	davit(s)/ Declarati	on(s)					
Oth	ner 						
			MIS	CELLANEOUS			
				requested under 37 ( er 37 CFR 1.17(i) red	CFR 1.103(c) for a period of mo quired)	onths _	
Other							
				FEES			
	ctor is hereby auth			FR 1.114 when the R ment of fees, or cred	CE is filed. t any overpayments, to		
	S	SIGNATURI	OF APPLICAN	T, ATTORNEY, OR	AGENT REQUIRED		
I' '	Practitioner Signa Int Signature	ature					

Doc code: RCEX

Doc description: Request for Continued Examination (RCE)

Approved for use through 11/30/2020. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Signature of Registered U.S. Patent Practitioner								
Signature /bryan I. skelton/ Date (YYYY-MM-DD) 2020-05-28									
Name	Bryan L. Skelton	Registration Number	50893						

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: John Maloney et al. Confirmation No.: 4075 Appl. No.: 16/746,028 Group Art Unit: 1612

Filed: January 17, 2020 Examiner: Benjamin J. Packard For: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION

AND METHODS OF USE

Submitted via EFS-Web Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT CITATION UNDER 37 C.F.R. § 1.97

Attached is a list of documents on form PTO-SB08.

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

Respectfully submitted,

/bryan 1. skelton/

Bryan L. Skelton Registration No. 50,893

Customer No. 826 ALSTON & BIRD LLP Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Research Triangle Area Office (919) 862-2200

Fax Research Triangle Area Office (919) 862-2260

ELECTRONICALLY FILED USING THE EFS-WEB ELECTRONIC FILING SYSTEM OF THE UNITED STATES PATENT & TRADEMARK OFFICE ON May 28,2020.

LEGAL02/39818215v1

Modified PTO/SB/08 Form

Substitute for form 1449B/PTO					Complete if Known
				Application Number	16/746,028
INFO	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020
STATEMENT BY APPLICANT			ANT	First Named Inventor	John Maloney
				Art Unit	1612
(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard
Sheet	1	of	4	Attorney Docket Number	066859/542422

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	292	US 6,382,442 B1	05-07-2002	Thibault et al.				
		US 8,415,337 B1		Krishna				

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	293	"Aluminum in large and small volume parenterals used in total parenteral nutrition," Food and Drug Administration, 21 C.F.R. § 201.323, 89-90, (2003).	
	272	"American Regent Announces the Launch and Availability of Selenious Acid Injection, USP," Press Release, American Regent, Inc., 6 pages, (2019).	
	251	"Cysteine," DrugBank, 23 pages, Exhibit 1016, Petition for Post Grant Review of U.S. Patent No. 10,478,453, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00064, (PTAB May 19, 2020).	
	298	"ELCYS (Cysteine Hydrochloride)," NDA 210660, Orange Book: Approved Drug Products with Therapeutic Equivalence Evaluations, 3 pages, (2019).	
	274	"Neonatal Parenteral Nutrition," Intensive Care Nursery House Staff Manual, UCSF Children's Hospital, pp. 136-142, (2004-2006).	
	280	"Aluminum in Large and Small Volume Parenterals Used in Total Parenteral Nutrition," Federal Register, 63(2):176-185, (1998).	
	246	"AMINOSYN [label information]", Hospira, Inc., 11 pages, Exhibit 1009, Petition for Post Grant Review of U.S. Patent No. 10,478,453, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00064, (PTAB May 19, 2020).	
	278	"Aminosyn Sulfite Free [drug information]," RX List, 15 pages, Exhibit 1052, Petition for Post Grant Review of U.S. Patent No. 10,478,453, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00064, (PTAB May 19, 2020).	
	253	"Guidance for Industry: Q8(R2) Pharmaceutical Development," U.S. Dept. of Health and Human Services, FDA, CDER, CBER, 29 pages, (2009).	
	244	"L-CYSTEINE HYDROCHLORIDE - cysteine hydrochloride injection, solution [label information]", Sandoz Inc., 11 pages, Exhibit 1005, Petition for Post Grant Review of U.S. Patent No. 10,478,453, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00064, (PTAB May 19, 2020).	
	248	"Q3D Elemental Impurities: Guidance for Industry," U.S. Dept. of Health and Human Services, FDA, CDER, CBER, 85 pages, (2015).	
	271	"Selenious Acid Injection [prescribing information]," American Regent, Inc., 8 pages, (2019).	
	273	"Zinc sulfate injection [prescribing information]," American Regent, Inc., 9 pages, (2019).	

Examiner	Date	
Signature	Considered	

LEGAL02/39818195v1

						Modified PTO/SB/08 For	m	
Substitute for form 1449B/PTO						Complete if Known		
					Application Number	16/746,028		
INFORMATION DISCLOSURE				URE	Filing Date	January 17, 2020		
STATEMENT BY APPLICANT					First Named Inventor	John Maloney		
					Art Unit	1612		
	(Use as	s many sheets as r	ecessary)		Examiner Name	Benjamin J. Packard		
Sheet	2		of	4	Attorney Docket Number	066859/542422		
243 Affadavit of Christopher Butler, Exhib 10,478,453, Eton Pharmaceuticals, I (PTAB May 19, 2020).					Inc. v. Exela Pharma Sci	t Grant Review of U.S. Patent No.		
	249	(PTAB May 19 AKERS, MICH	), 2020). IAEL J., '	'Parenteral P	reparations," Remington	ences, LLC, PGR2020-00064,  : The Science and Practice of Williams & Wilkins, pp. 802 and		
<u> </u>	<u> </u>	808-813, (200		1. David D. 11				
	257				nemical Degradation of C hysica Acta, 184:345-35	Cystine in Aqueous Solution in the 7, (1969).		
	263							
	289	BLOCK et al., "Methionine, Cysteine, Cystine, and Taurine Interrelationships in Human Plasma," The American Journal of Clinical Nutrition, 22(1):33-37, (1969).						
	304	BUTLER et al., "Removal of Dissolved Oxygen from Water: A Comparison of Fou Common Techniques," Talanta, 41(2):211–215, (1994).						
	255		CAIRNS, DONALD, "Stability of Drugs and Medicines," Essentials of Pharmaceutical Chemistry, 4th ed., London: Pharmaceutical Press, pp. 217-238, (2012).					
	275	Blood Amino A	Acid Leve	els in Prematu	erent Doses of Amino Acid Supplementation on Growth and ature Neonates Admitted to the Neonatal Intensive Care Unit: Pediatrics, 120(6):1286-1296, (2007).			
	270	CONNAUGHT Parker, 3 page			D, "Argon or Nitrogen. Which is Best for Your Application?,"			
	262 Copyright Registration Number for Alpsalan Yaman, "Engineering Considerations in Sterile Powder Processes," Sterile Pharmaceutical Products: Process Engineering Applications, Ed. Kenneth E. Avis, Buffalo Grove: Interpharm Press, Inc., (1995).							
	300	Copyright Reg Information, L			rug Facts & Comparisor	ns, St. Louis: Clinical Drug		
	242 Declaration of Barrett Rabinow, Exhibit 1003, Petition for Post Grant Review of U.S. Patent No. 10,478,453, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00064, (PTAB May 19, 2020).							
	303		ton Phari			Grant Review of U.S. Patent No. ences, LLC, PGR2020-00064,		
	256		478,453	Eton Pharma	aceuticals, Inc. v. Exela l	n for Post Grant Review of U.S. Pharma Sciences, LLC,		
	299 Drug Facts & Comparisons, "Dietary Reference Intakes of Vitamins and Minerals" and "Intravenous Nutitional Therapy," St. Louis: Clinical Drug Information, LLC, pp. 3-4 and 13: 155, (2015).							

Examiner	Date	
Signature	Considered	

LEGAL02/39818195v1

Modified PTO/SB/08 Form

Substitute	e for form	1 <b>44</b> 9B/PTO				Complete if Known	
					Application Number	16/746,028	
INFC	RMA	ATION DIS	CLOS	URE	Filing Date	January 17, 2020	
STA	TEM	ENT BY A	PPLIC	ANT	First Named Inventor	John Maloney	
					Art Unit	1612	
	(Use as	many sheets as r	ecessary)		Examiner Name	Benjamin J. Packard	
Sheet	3	3 of 4			Attorney Docket Number	066859/542422	
	302	Exela Pharma filed March 16	Science, 2020), r 478,453,	s, LLC v. Etor etrieved from Eton Pharma	n Pharmaceuticals, Inc., Exhibit 1077, Petition fo aceuticals, Inc. v. Exela F	es to Complaint, (May 6, 2020), No. 1:20-cv-00365-MN, (D. Del., or Post Grant Review of U.S. Pharma Sciences, LLC,	
		Water and Sea 5:68-86, (1909	a-Water, )).	and of Atmos	pheric Carbonic Acid in	Vitrogen and Oxygen in Distilled Sea-Water," Trans. Farad. Soc.,	
	254	General Advic Pharma Scien				alth and Human Services to Exela	
	285	and Related A	UZMAN BARRON, E.S., "Thiol Groups of Biological Importance," Advances in Enzymology and Related Areas of Molecular Biology, Vol. 11, Ed. F. F. Nord, New York: InterScience ablishes, Inc., pp. 201-266, (1951).				
	284		ANAKI and KAMIDE, "Manometric Study of the Copper-Catalyzed Oxidation of Cysteine ," hem. Pharm. Bull., 19(5):1006-1010, (1971).				
	279		tassium	Acetate 40 m	eq/20 ml Injection Partic	tisk of Potential Aluminum Toxicity ularly n Neonatal Patients and	
	294		Danoflox			ning an Oxygen-Sensitive Drug: A elopment and Technology,	
	250				Nutrition Solution— Sou trition, 10(6):591-595, (1	rces and Possible Alternatives," 986).	
	282				of the Reaction of Cystonaceutical Sciences, 94(2	eine and Hydrogen Peroxide in 2):304-316, (2005).	
	260					ze Oxidation of Compounded ounding, 3(6):493-495, (1999).	
	295		curate ar	nd Sensitive A		s Fluids Through the Development Journal of Parenteral Science &	
	281					ines on pediatric parenteral rition, 37:2360-2365, (2018).	
	283	Non-Clinical R Research, 25			No. 210906Orig1s000, C	enter for Drug Evaluation and	
	287					rious Conditions, and on a New , VIII(2):441-457, (1927).	
	<u></u>	Cystinosis," Bi	ochem J	., 83:248-256	(1962).	and L-Cystine in vitro by Liver in	
	288	PERI, PRASA Products (OIN	D, "Quali DPs) in t	ty by Design he USA," ONI	(QbD) Approaches for O DQA,OPS, CDER, DD E	rally Inhaled and Nasal Drug urope, 31 pages, (2007).	
	241				S. Patent No. 10,478,453 020-00064, (PTAB May	3, Eton Pharmaceuticals, Inc. v. 19, 2020).	

Examiner Signature

LEGAL02/39818195v1

Date Considered

Substitut	e for form	1449B/PTO				Complete if Known
Substitut	e ioi ioiiii	114490/F10			Application Number	16/746,028
INIE		ATION DIS		NIDE	Filing Date	•
		ATION DIS		January 17, 2020		
51A		ENT BY A	PPLIC	ANI	Art Unit	John Maloney
	(llea ae	s many sheets as n	ocossarv)			1612
	<u> </u>	many sneets us n			Examiner Name	Benjamin J. Packard
Sheet	4		of	4	Attorney Docket Number	066859/542422
	<u> </u>	Content," J. Pe	ediatr. Pl I ROSEI armacy,	narmacol. The MAN, "Plastic	er., 16(2):92-97, (2011). Packaging Materials," R	oducts: Measured Versus Labeled Emington: The Science and : Lippincott Williams & Wilkins, pp.
	296	RABINOW et a	al., "Alun		nteral Products: Analysis Il Science & Technology,	s, Reduction, and Implications for 43(3):132–139, (1989).
	268	REICHERT et pages, (2013).	al., "Met	al Residue: ⊢	low Much is Too Much?"	Pharma Manufacturing, 12
	258	ROKUSHIKA e of Radiation Re	et al., "R esearch	adiolysis of C 7(2):47-57, (	ystine in Aqueous Solutio 1966).	on by Gamma Irradiation," Journal
	286					lure to Retain Nitrogen During ology, 81:1025-1035, (1981).
	266	SCHURINGA e Research Jour				Vool with Sodium Bisulfite," Textile
	291	Standard Meth Public Health A				e, 2nd ed., Boston: American
	265		ation Gu			New Drug Products," ICH Quality: ken: John Wiley & Sons, Inc., pp.
	269	TRIBBLE et al. loads," Am J C	, "Hypeı lin Nutr,	cysteinemia a 50:1401-140	and delayed sulfur excre 6, (1989).	tion in cirrhotics after oral cysteine
	301	USP 23/NF 18 1637, 1650-16				ne National Formulary, pp. 1635-
	259				Pharmaceuticals to Oxid echnology, 7(1):1-32, (20	
	297	WHIPPLE and	WHIPP	LE, "Solubility	of Oxygen in Sea Wate	r," J. Am. Chem. Soc., 33:362–

Examiner	Date	
Signature	Considered	

YAMAN, ALPASLAN, "Engineering Considerations in Sterile Powder Processes," Sterile

ZHU and WANG, "Formulation of protein- and peptide-based parenteral products,"

Pharmaceutical Dosage Forms: Parenteral Medications, Volume 1: Formulation and

Grove: Interpharm Press, Inc., pp. 269-304, (1995).

Pharmaceutical Products: Process Engineering Applications, Ed. Kenneth E. Avis, Buffalo

YU et al., "Understanding Pharmaceutical Quality by Design," The AAPS Journal, 16(4):771-

Packaging, 3rd ed., Eds. Sandeep Nema and John D. Ludwig, New York: Informa Healtchare,

365, (1911).

783 (2014).

pp. 222-253, (2010).

261

264

Electronic Patent /	<b>\p</b> p	lication Fee	Transmi	ttal	
Application Number:	16	746028			
Filing Date:	17-	Jan-2020			
Title of Invention:		ABLE, HIGHLY PURE THODS OF USE	L-CYSTEINE CO	MPOSITIONS FOR	INJECTION AND
First Named Inventor/Applicant Name:	JOI	HN MALONEY			
Filer:	Bry	an Lee Skelton/Lau	ra Tremont		
Attorney Docket Number:	066	5859/542422			
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
RCE- 1ST REQUEST	1801	1	1300	1300
	Total in USD (\$)		1300	

Electronic Acknowledgement Receipt				
EFS ID:	39568178			
Application Number:	16746028			
International Application Number:				
Confirmation Number:	4075			
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE			
First Named Inventor/Applicant Name:	JOHN MALONEY			
Customer Number:	826			
Filer:	Bryan Lee Skelton/Laura Tremont			
Filer Authorized By:	Bryan Lee Skelton			
Attorney Docket Number:	066859/542422			
Receipt Date:	28-MAY-2020			
Filing Date:	17-JAN-2020			
Time Stamp:	18:54:53			
Application Type:	Utility under 35 USC 111(a)			

## **Payment information:**

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	\$1300
RAM confirmation Number	E20205RI55401187
Deposit Account	160605
Authorized User	Laura Tremont

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.16 (National application filing, search, and examination fees)

37 CFR 1.17 (Patent application and reexamination processing fees)

37 CFR 1.19 (Document supply fees)37 CFR 1.20 (Post Issuance fees)37 CFR 1.21 (Miscellaneous fees and charges)

### **File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			1365925		
1	Request for Continued Examination (RCE)	542422_RCE.pdf	de3d2a32101f617289c84fe95870ba1420d b0ee4	no no no no no	3
Warnings:	-				
Information:					
			105468		
2	Transmittal Letter	542422_IDS_Transmittal.pdf	011bb93dd1076aeaa5e741dd62d2fff49af3 5ea1	no	1
Warnings:					
Information:					
			260631		
3	Information Disclosure Statement (IDS) Form (SB08)	542422_IDS_SB08.pdf	faf2697a688c4903b16ef54bec89a9135039 882e	no	4
Warnings:	-				
Information:					
This is not an U	SPTO supplied IDS fillable form				
			2907597		
4	Non Patent Literature	241-Petition_Eton_05-19-2020. pdf	09554cb8564714b12d38e238a82feb5b221 b8996	no	89
Warnings:	-				
Information:					
		242-	8725520		
5	Non Patent Literature	Rabinow_Declaration_Ex1003.  pdf	0e7d14db67c72e5539cc4e1a55a004f74ae 287bd	no	124
Warnings:	+				
Information:					
			7960175		
6	Non Patent Literature	243-Butler_Affadavit_Ex1004. pdf	90a54c0b1c72bddc0725a6e00cf94829313 a0784	no	14
Warnings:	-				

Information:					
			3074390		
7	Non Patent Literature	244- Sandoz_Label_2009_Ex1005. pdf	aa9ff3e55007d565321b35bf97649a35b9ba c467	no	11
Warnings:					
Information:					
			705402		
8	Non Patent Literature	245-Poole_2011_Ex_1007.pdf	432f6c50301456f3f877aaa68246d6a3f10de ae0	no	6
Warnings:					
Information:					
		246-	3482550		
9	Non Patent Literature	Aminosyn_Hospira_Label_Ex10 09.pdf	33149a1042851a6e709adb4cd56b5157e79 899a8	no	11
Warnings:		•			
Information:					
			8192982		13
10	Non Patent Literature	247-Butler_Affadavit_Ex1010. pdf	738fac689e4b4d91b78cbff120f37d631a51 433c	no	
Warnings:		•			
Information:					
			747749		
11	Non Patent Literature	248-Q3D_2015_Ex_1013.pdf	07797a2c7a1cef703e90c1ad9311ea5c425f e87a	no	85
Warnings:			'		
Information:					
			8383098		
12	Non Patent Literature	249-Akers_2006_Ex1014.pdf	901d1b1737792738faabc8f88b579892024 bf732	no	11
Warnings:		•			
Information:					
			1054842		
13	Non Patent Literature	250-Koo_1986_Ex1015.pdf	d1ad2d847c113417e46bae02e88affe9758c 38c5	no	5
Warnings:		<u>'</u>			
Information:					

A						
Marrings:				6014318		
Non Patent Literature	14	Non Patent Literature			no	23
13   Non Patent Literature   Rabinow_Bernington_2006_Ex   13191469   no   15	Warnings:		•	'		
15	Information:					
Non Patent Literature			252	13191469		
Information:	15	Non Patent Literature	Rabinow_Remington_2006_Ex	b99fdafe1c79613d7c0e411918f53e4ee4bd	9 no 18f53e4ee4bd no 472a9e4be3f0 no 4242c00603cf no 1f2ce61f2d12	15
Non Patent Literature	Warnings:		•	'		
Non Patent Literature	Information:					
Marnings:				726464		
Table   Non Patent Literature   254-08-04-17_Letter_to_Exela   247253   3   3   3   3   3   3   3   3   3	16	Non Patent Literature	253-Q8R2_2009_Ex1018.pdf		no	29
Non Patent Literature	Warnings:		·	'		
Non Patent Literature	Information:					
Non Patent Literature				247253		
Non Patent Literature   255-Cairns_2012_Ex1021.pdf   13703585	17	Non Patent Literature		b535274de8c8b999b0a83b8d242c00603cf 783b3		3
18	Warnings:		-			
Non Patent Literature   255-Cairns_2012_Ex1021.pdf   08923409268as6fe54c31515172ce6172d12   no   33	Information:					
Warnings:				13703585		
Non Patent Literature	18	Non Patent Literature	255-Cairns_2012_Ex1021.pdf	08923d09268aa6fe54c815151f2ce61f2d12f 531	no	33
19 Non Patent Literature 256- Johnson_Declaration_Ex1022. pdf 6e7ab70a0df898c7be57ecf4891149478661 no 131  Warnings:  Information:  20 Non Patent Literature 257-Asquith_1969_Ex1024.pdf 77ad089c62fb9800c5f824ab4e3ca0311bl Aeec No. 313  Warnings:	Warnings:					
19   Non Patent Literature   256-   Johnson_Declaration_Ex1022.   respectively 1.49478661   no   131	Information:					
19			256	11232099		
Non Patent Literature   257-Asquith_1969_Ex1024.pdf   1392267   no   13	19	Non Patent Literature	Johnson_Declaration_Ex1022.		no	131
20 Non Patent Literature 257-Asquith_1969_Ex1024.pdf	Warnings:		•	'		
20 Non Patent Literature 257-Asquith_1969_Ex1024.pdf no 13  Warnings:	Information:					
\$77ad089c62fb9800c5f824ab4e3ca0311b1 4eec  Warnings:				1392267		
	20	Non Patent Literature	257-Asquith_1969_Ex1024.pdf	577ad089c62fb9800c5f824ab4e3ca0311b1	no	13
Information:	Warnings:		•			
	Information:					

			536825		
21	Non Patent Literature	258-Rokushika_1966_Ex1025. pdf	55cd0a939ec7a13c37af52019c2068a6f4ea e956	no	11
Warnings:					
Information:					
			954426		
22	Non Patent Literature	259-Waterman_2002_Ex1027. pdf	8cee7df7786d304424d1ffc83b81715b69b4 097a	no	32
Warnings:		+	'		
Information:					
			13477540		
23	Non Patent Literature	260-Maget_1999_Ex1028.pdf	d4bfa22b863dbac59de969dc8f07bbd7582 31bd8	no	3
Warnings:		•			
Information:					
		261-	14573992	no	
24	Non Patent Literature	Yaman_1995_Ex1029_Part1. pdf	55a96d18b5554a0c0a240d470c8ad6c26444 27878		24
Warnings:		-			
Information:					
		261-	14923555		
25	Non Patent Literature	Yaman_1995_Ex1029_Part2. pdf	9c2ac4a05d44b1f3d4a909cd9893c604077 35feb	no	24
Warnings:		•			
Information:					
		262-	583278		
26	Non Patent Literature	Copyright_Yaman_1995_Ex103 0.pdf	30b03c84969195c9c394440cf33016cb083f 0b8d	no	1
Warnings:		-			I
Information:					
			17885593		
27	Non Patent Literature	263-Avallone_1991-Ex1032.pdf	6c547c1eb3c173844ac52ee5af78b6ff5443 5b68	no	35
Warnings:		+			I
Information:					

Warnings:				16418818		
	28	Non Patent Literature	264-Zhu_2010_Ex1033.pdf	783faa1381dafd3eca623fc61816415d4ce1 43c4	no	44
Non Patent Literature   265-Teasdale_2018_Ex1034.pdf   7016040	Warnings:		-	•		
29	Information:					
Warnings:				7016040		
Information:	29	Non Patent Literature	265-Teasdale_2018_Ex1034.pdf	4a99e009fa4b9e1bba1b523ac8271aab025	no	43
30   Non Patent Literature   266-Schuringa_1951_Ex1036.   3722290   no   5	Warnings:		•	'		
Non Patent Literature	Information:					
Warnings:				3722290		
Non Patent Literature	30	Non Patent Literature	pdf ed938f8b			5
1398136   1398	Warnings:		•	•		
Non Patent Literature   267-Yu_2014_Ex1037.pdf	Information:					
Marnings:				1398136		
Information:	31	31 Non Patent Literature	267-Yu_2014_Ex1037.pdf	f521e0fdfeb0bef96d35140b437d31100857 41a0	no	13
Non Patent Literature   268-Reichert_2013_Ex1039.pdf	Warnings:		•			
32 Non Patent Literature 268-Reichert_2013_Ex1039.pdf	Information:					
### Warnings:    Information:				4453628		
Information:	32	Non Patent Literature	268-Reichert_2013_Ex1039.pdf	443a17e0041d7b4e33d1db1e37d2d66754	no	12
1644824	Warnings:		•	'		
33 Non Patent Literature 269-Tribble_1989_Ex1040.pdf 4d7699cb0137cf16537ddde6a5fe9e4d3d2 no 6  Warnings: Information:  34 Non Patent Literature Connaughton_2016_Ex1041. pdf 637895 no 3  Warnings:	Information:					
Warnings:				1644824		
Non Patent Literature	33	Non Patent Literature	269-Tribble_1989_Ex1040.pdf	4d7699cb0137cf16537ddde6a5fe9e4d3d2 1ff84	no	6
34 Non Patent Literature Connaughton_2016_Ex1041. pdf 637895 no 3  Warnings:	Warnings:		1			
34 Non Patent Literature Connaughton_2016_Ex1041. pdf no 3  Warnings:	Information:					
Non Patent Literature Connaughton_2016_Ex1041. pdf a0791521fbbe99963fda3dcf95ed78683891 no 3 mo 4 mo 4 mo 5 mo 6874  Warnings:			270-	637895		
	34	Non Patent Literature	Connaughton_2016_Ex1041.	30791521fbbe99963fda3dcf95ed78683891 e874	no	3
Information:	Warnings:		1			
	Information:					

		271-	9964806		
35	Non Patent Literature	Selenious_Acid_Injection_2019 _Ex1042.pdf	bf2e80aeae03af57f1ffed59d92049da7b90a ada	no	8
Warnings:					
Information:					
			677722		
36	Non Patent Literature	272- Press_Release_2019_Ex1043. pdf	cbc32cbd2b65a94ccecdb5c5646fa8f9509d 55ab	no	6
Warnings:		·			
Information:					
		273-	3766051		
37	Non Patent Literature	Zinc_Sulfate_Injection_2019_E x1044.pdf	9a2d74d87817af62a901ff3c0b21a2e7a5d5 6f3d	no 9	
Warnings:		· · · · · · · · · · · · · · · · · · ·			
Information:					
	Non Patent Literature	274- UCSF_Staff_Manual_2004-2006 _Ex1045.pdf	1749672	no	
38			d2cc4bb31b0358186454c821d0ad331fa36 81d46		7
Warnings:					
Information:					
			1091159		
39	Non Patent Literature	275-Clark_2007_Ex1046.pdf	43b350a63e959d1c576f112905b06ac07b0 c3cd8	no	13
Warnings:					
Information:					
			4339523		
40	Non Patent Literature	277-Patrick_1962_Ex1050.pdf	e70d421d9c8715f5476d777b82cf1ff23650f b35	no	9
Warnings:		-			
Information:					
		278-	876748	no	
41	Non Patent Literature	Aminosyn_Sulfite_Free_2019_ Ex1052.pdf	fb9d0a30e711e727ef4882060d96ccff7e3d 39a0		15
Warnings:		•			
Information:					

		270.HCD Latter 2017 Ev1052	504169			
42	Non Patent Literature	279-HCP_Letter_2017_Ex1053. pdf	b497ee5a66884ceaecefa25a9abf48728c40 10da	no	3	
Warnings:		,				
Information:						
			15565558			
43	J 3= - '		87029152a5a4a79819a03e48fc4814db21c 3e877	no	10	
Warnings:						
Information:						
			5376268			
44	Non Patent Literature	281-Mihatsch_2018_Ex1055. pdf	aa48dd50e1d50f6cf76256ceab67b22e04b 861a3	no	6	
Warnings:		•				
Information:						
	Non Patent Literature	282-Luo_2005_Ex1056.pdf	958586	no		
45			5f378cd9e0f5e21157308cbedb34a0ad0e7 4b996		13	
Warnings:		•				
Information:						
			6021812			
46	Non Patent Literature	283-CDER_2017_Ex1057.pdf	b1cb8c4274f408f348de7531a2aef2e06508 1a42	no	25	
Warnings:		<del>'</del>				
Information:						
			2131676			
47	Non Patent Literature	284-Hanaki_1971_Ex1058.pdf	05ba0892b4fbdb35515ef333e52f5446c38 d6354	no	5	
Warnings:		1				
Information:						
		285-	5705194	no		
48	Non Patent Literature	Guzman_Barron_1951_Ex1059. pdf	373437ae07211dc7aec40a2e26433b59855 36043		74	
Warnings:						
Information:						
•						

			1504357		
49	Non Patent Literature	286-Rudman_1981_Ex1060.pdf	074320dbbbb4b8115c0dc4c0b31c4c3fbe3 1bc3e	no	11
Warnings:		-!			
Information:					
			7567229		
50	Non Patent Literature	287-Okabe_1927_Ex1061.pdf	7dc4399ddb9aedd79d4623c943e0613da8 107ae5	no	17
Warnings:		-			
Information:					
			505712		
51	Non Patent Literature	288-Peri_2007_Ex1062.pdf	b41f222e315138c52ceec7881a81be0b2ed 5e351	no	31
Warnings:		-			
Information:					
52	Non Patent Literature	289-Block_1969_Ex1063.pdf	1098990	no	
			6eb114dd64e5115b80289f3d2b66b7e0e8 93a48c		5
Warnings:		•			
Information:					
			1228507		
53	Non Patent Literature	290-Fox_1909_Ex1065.pdf	af29db5e434a58a241b4cb4b8bcf1a0d2bd 339f1	no	19
Warnings:		•			
Information:					
			6722701		
54	Non Patent Literature	291-APHA_1915_Ex1066.pdf	53924971b0ac3397694d5b5e1b1a800f29c 5026c	no	11
Warnings:		1			1
Information:					
			2267290		
55	Non Patent Literature	293-CFR201323_Ex1068.pdf	ba151a58e5db975d3721d8908f3c74e4d39 ac40a	no	2
Warnings:		•	•		
Information:					

		_			
			639461		
56	Non Patent Literature	294-Kasraian_1999_Ex1069.pdf		no	6
			23ca1b2c45931948fc76ceafb3167a527ad5 a7f4		
Warnings:					
Information:					
			2384716		
57	Non Patent Literature	295-McHalsky_1987_Ex1070. pdf		no	9
		pui	38c138160767073c1623c3e9f41824dbb91 7188d		
Warnings:					
Information:					
			3621351		
58	Non Patent Literature	296-Rabinow_1989_Ex1071.pdf		no	8
		9b3bae2ddd7c7e186b49411099c42 0b5358	9b3bae2ddd7c7e186b49411099c427615a 0b5358		
Warnings:					
Information:					
			869289		
59	Non Patent Literature	297-Whipple_1911_Ex1072.pdf		no	4
			ae2878c2a51b952ec781257dd9abd2da49 d8a9ca		
Warnings:					
Information:					
			617176		
60	Non Patent Literature	298- ELCYS_Orange_Book_Ex1073.		no	3
		pdf	02eac3056890ee0ff01293a1b7b424ef3529 9d11		
Warnings:		1			
Information:					
			30478		
61	Fee Worksheet (SB06)	fee-info.pdf		no	2
			1e30e817cd9f31263f2364f049999e930f26 8535		
Warnings:		1			
Information:					
		Total Files Size (in bytes)	279	486825	
		· · · · · · · · · · · · · · · · · · ·	1		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Electronic Acknowledgement Receipt			
EFS ID:	39568378		
Application Number:	16746028		
International Application Number:			
Confirmation Number:	4075		
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE		
First Named Inventor/Applicant Name:	JOHN MALONEY		
Customer Number:	826		
Filer:	Bryan Lee Skelton/Laura Tremont		
Filer Authorized By:	Bryan Lee Skelton		
Attorney Docket Number:	066859/542422		
Receipt Date:	28-MAY-2020		
Filing Date:	17-JAN-2020		
Time Stamp:	19:17:13		
Application Type:	Utility under 35 USC 111(a)		

## **Payment information:**

Warnings:

Submitted wi	th Payment	no							
File Listing:									
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
1		299-	14563024						
	Non Patent Literature	Drug_Facts_and_Comparisons _2015_Ex1074.pdf	c04dd892f385c20ffba41f60545660fcceb6d ba9	no	23				

Information:					
		300-	586752		
2	Non Patent Literature	Copyright_Reg_Drug_Facts_Ex 1075.pdf	0593e95dc0274d60a656393fb0f7cdcb1b9 866b9	no	1
Warnings:					
Information:					
			13834838		
3	Non Patent Literature	301-USP32- NF18_1994_Ex1076.pdf	2fd8cb532fb3c0801fbed0e46fe87ab4d03a 87d1	no	15
Warnings:					
Information:					
		302- Answer_to_Complaint_2020_E x1077.pdf	1899293	no	
4	Non Patent Literature		8e44a08ff1c0bb0aa76b8093d3638d1f43a1 1770		24
Warnings:			'		
Information:					
			13865876		
5	Non Patent Literature	303- Ingles_Declaration_Ex1078.pdf	6f5fe23d5931fdecd978a2fc5df5c536d5ec4 cca	no	58
Warnings:					
Information:					
			3349305		
6	Non Patent Literature	304-Butler_1994_Ex1082.pdf	c90fd832c1db3ffadc89d8d13df429f5574a6 851	no	5
Warnings:		-			l
Information:					
		Total Files Size (in bytes)	480	99088	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Modified PTO/SB/08 Form

Substitute fo	or form 1449B/PTO				Complete if Known
				Application Number	16/746,028
INFORMATION DISCLOSURE				Filing Date	January 17, 2020
STATEMENT BY APPLICANT			ANT	First Named Inventor	John Maloney
				Art Unit	1612
(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard
Sheet	1	of	2	Attorney Docket Number	066859/542422

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
	305	Petition for Post Grant Review of U.S. Patent No. 10,583,155, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00068, (PTAB June 8, 2020).				
	306	VAN GOUDOEVER et al., "ESPGHAN/ESPEN/ESPR/CSPEN guidelines on pediatric parenteral nutrion: Amino acids," Clinical Nutrition, 37:2315-2323, (2018).				
	307	Healthcare Professional Letter from Baxter Healthcare Corporation, "Temporary importation of intravenous drug products to address drug shortages," 8 pages, (2017), retrieved from Exhibit 1087, Petition for Post Grant Review of U.S. Patent No. 10,583,155, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00068, (PTAB June 8, 2020).				
	308	ZIEGLER, EKHARD E., "Parenteral Nutrition," lowa Neonatology Handbook: Feeding, (2006).	[			
	309	WORTHINGTON et al., "When is Parenteral Nutrition Appropriate?," Journal of Parenteral and Enteral Nutrition, 41(3):324-377, (2017).				
	310	GASSER et al., "Parenteral Nutrition: Macronutrient Composition and Requirements," Support Line, 27(6):6-12, (2005).				
	311	Citizen Petition, Lachman Consultant Services, Inc., 12 pages, (2018), retrieved from Exhibit 1092, Petition for Post Grant Review of U.S. Patent No. 10,583,155, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00068, (PTAB June 8, 2020).				
	312	Declaration of Madan Chilakuri, (2020), Exhibit 1093, Petition for Post Grant Review of U.S. Patent No. 10,583,155, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00068, (PTAB June 8, 2020).				
	313	Excerpt from "Parenteral Formulations [Chapter 30]", Bentley's Textbook of Pharmaceutics: An Adaptation, Eds. Sanjay K. Jain et al., pp. 410-415, (2012).				
	314	AHOLA et al., "N-Acetylcysteine does not Prevent Bronchopulmonary Dysplasia in Immature Infants: A Randomized Controlled Trial," J Pediatr, 143:713-719, (2003).				
	315	"Guidance for Industry: E11 Clinical Investigation of Medicinal Products in the Pediatric Population," U.S. Dept. of Health and Human Services, FDA, CDER, CBER, 17 pages, (2000).				
	316	USP XXI, The United States Pharmacopeia, Twenty-First Revision,The U.S. Pharmacopeial Convention, Inc., pp. 19-20, 268-269, and 1375, (1985).				
	317	BOULLATA, JOSEPH I., "Nutrients and Associated Substances," Remington: The Science and Practice of Pharmacy, 21 Ed., Ed. David B. Troy, Philadelphia: Lippincott Williams & Wilkins, pp. 1688-1693, (2005).				
	318	YESIL et al., "Evaluation of the Children with Acute Acetaminophen Overdose and Intravenous N-Acetylcysteine Treatment," Pak J Med Sci., 34(3):590-594, (2018).				
	319	LEE et al., "Intravenous N-Acetylcysteine Improves Transplant Free Survival in Early Stage Non-Acetaminophen Acute Liver Failure," Gastroenterology, 137(3):856-864, (2009).				
	320	Declaration of Judy K. He, (2020), Exhibit 1105, Petition for Post Grant Review of U.S. Patent No. 10,583,155, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00068, (PTAB June 8, 2020).				

Examiner	Date	
Signature	Considered	

LEGAL02/39845197v1

Modified PTO/SB/08 Form

Substitute f	or form 1449B/PTO			Complete if Known		
				Application Number	16/746,028	
INFORMATION DISCLOSURE				Filing Date	January 17, 2020	
STATEMENT BY APPLICANT			ANT	First Named Inventor	John Maloney	
				Art Unit	1612	
(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard	
Sheet	2	of	2	Attorney Docket Number	066859/542422	

321 Declaration of Barrett Rabinow, (2020), Exhibit 1003, Petition for Post Grant Review of U.S.	
Patent No. 10,583,155, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC,	
PGR2020-00068. (PTAB June 8, 2020).	
1 1 St 2020-0000, (1 1/AD buile 0, 2020).	I

Examiner	Date	
Signature	Considered	

Electronic Acknowledgement Receipt			
EFS ID:	39699154		
Application Number:	16746028		
International Application Number:			
Confirmation Number:	4075		
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE		
First Named Inventor/Applicant Name:	JOHN MALONEY		
Customer Number:	826		
Filer:	Bryan Lee Skelton/Laura Tremont		
Filer Authorized By:	Bryan Lee Skelton		
Attorney Docket Number:	066859/542422		
Receipt Date:	11-JUN-2020		
Filing Date:	17-JAN-2020		
Time Stamp:	21:34:57		
Application Type:	Utility under 35 USC 111(a)		

## **Payment information:**

Submitted with Payment no						
File Listing:						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
			105059			
1	Transmittal Letter	542422_IDS_Transmittal.pdf	05f55c4554c379456ac3e748c9707410a0f2 e70b	no	1	
Warnings:			•			

Information	;				
			173542		
2	Information Disclosure Statement (IDS) Form (SB08)	542422_IDS_SB08.pdf	92affb276cfc7ae8fae0442ba615fd2058b18 1f2	no	2
Warnings:	-				
Information					
This is not an U	ISPTO supplied IDS fillable form				
			3933960		
3	Non Patent Literature	305-PTAB-PGR2020-00068-1. pdf	ff527e3ead006bb760da7a636dd8d489b71 a4d62	no	92
Warnings:					
Information	}				
		306-	1132361		
4	Non Patent Literature	van_Goudoever_2018_Ex1086. pdf	de3464426e40b5a74125797a8d758b1486 65d392	no	9
Warnings:					
Information					
		207	1789429		
5	Non Patent Literature	307- Baxter_Healthcare_2017_Ex10 87.pdf	25b262b071df9123e784d20008c236e7e38 63855	no	8
Warnings:			'		
Information					
			3457483		
6	Non Patent Literature	308-Ziegler_2006_Ex1088.pdf	2f2b3fe6ef65abf79e05fe6cde0e724e8bf34 56c	no	13
Warnings:	-				
Information					
			1978331		
7	Non Patent Literature	309- Worthington_2017_Ex1089.pdf	80d8c0400babff807c628bc2eb984883165 4eba7	no	54
Warnings:	<del> </del>				
Information					
			694476		
8	Non Patent Literature	310-Gasser_2005_Ex1090.pdf	176de21441cd03b30d55cf8dc75e691b22d efe1f	no	7
Warnings:	<del> </del>				
Information	:				

		1			
		311-	418949		
9	Non Patent Literature	Citizen_Petiton_2018_Ex1092. pdf	9c100f64b9699266b33ee2c26acc23f3d8c8 47ba	no	12
Warnings:		+		•	
Information:					
		312-	14194534		
10	Non Patent Literature	Chilakuri_Declaration_Ex1093_ Part1.pdf	15e874729dc7931134d53f17f48e4f91b904 110b	no	40
Warnings:		•			
Information:					
		312-	17784112		
11	Non Patent Literature	Chilakuri_Declaration_Ex1093_ Part2.pdf	f9da7e5709e6e3fea7a77634be6fbfb9469ef c88	no	42
Warnings:		•			
Information:					
			8741480		
12	Non Patent Literature	313-Bentleys_2012_Ex1094.pdf	3dd53d689697c9644faf10c32d06c508744 9b406	no	13
Warnings:					
Information:					
			788240		
13	Non Patent Literature	314-Ahola_2003_Ex1096.pdf	88dbc63676c9d44f24f61518e744e901f5d7 55ff	no	7
Warnings:		•		'	
Information:					
		315-	6074238		
14	Non Patent Literature	Guidance_For_Industry_E11_2 000_Ex1098.pdf	f9eecb3264f8f080beccd2bfba5374d5c33b bf2e	no	17
Warnings:		-			
Information:					
		316-	6543938		
15	Non Patent Literature	Pharmacopeia_1985_Ex1099. pdf	c536adb27ebe4aac6ca874ff961bb569f14a 1bce	no	9
Warnings:		-+			
Information:					
l					

		Total Files Size (in bytes):	100	268169	
Information:					
Warnings:					
20	Non Patent Literature	321- Rabinow_Declaration_Ex1003. pdf	9554750 f7d0c938ec5b103b70447a43d9149ba0069 17630	no	154
Information:					
Warnings:					
19	Non Patent Literature	320-He_Declaration_Ex1105. pdf	66e30cb6cb462b0fa3be63824642010d20b 41580	no	4
			893590		
Information:					
Warnings:					
18	Non Patent Literature 319-Lee_2009_Ex1103.pdf	c8ecc2c0e0dfbff626a72833da3b01df43e7a 983	no	18	
			12533665		
Information:					_
Warnings:					
17	Non Patent Literature	318-Yesil_2018_Ex1102.pdf	21137c47304bbcd4b65c43d2aee3ca271da f05cd	no	5
			1991293		
Information:					
Warnings:					
16	Non Patent Literature	317-Boullata_2006_Ex1100.pdf	65fefdec12b48ed0e2e1add98a2997be1a7 82a38	no	10
			7484739		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: John Maloney et al. Confirmation No.: 4075 Appl. No.: 16/746,028 Group Art Unit: 1612

Filed: January 17, 2020 Examiner: Benjamin J. Packard For: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION

AND METHODS OF USE

Submitted via EFS-Web Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT CITATION UNDER 37 C.F.R. § 1.97

Attached is a list of documents on form PTO-SB08.

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

Respectfully submitted,

/bryan 1. skelton/

Bryan L. Skelton Registration No. 50,893

Customer No. 826
ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000
Tel Research Triangle Area Office (919) 862-2200
Fax Research Triangle Area Office (919) 862-2260

ELECTRONICALLY FILED USING THE EFS-WEB ELECTRONIC FILING SYSTEM OF THE UNITED STATES PATENT & TRADEMARK OFFICE ON June 11, 2020.

LEGAL02/39845228v1

#### United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
16/746,028	01/17/2020	JOHN MALONEY	066859/542422	4075	
826 ALSTON & BI	7590 07/23/202 RD LLP	0	EXAM	INER	-
BANK OF AM	ERICA PLAZA RYON STREET		PACKARD, E	BENJAMIN J	
SUITE 4000	KTON STREET		ART UNIT	PAPER NUMBER	
CHARLOTTE,	NC 28280-4000		1612		
			NOTIFICATION DATE	DELIVERY MODE	_
			07/23/2020	EI ECTRONIC	

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

usptomail@alston.com

	Application No. 16/746,028	Applicant(s) MALONEY et	t al.		
Office Action Summary	Examiner BENJAMIN J PACKARD	Art Unit 1612	AIA (FITF) Status Yes		
The MAILING DATE of this communication app	ears on the cover sheet with the c	orrespondenc	ce address		
Period for Reply	V IO OET TO EVDIDE 2 MONTH	C EDOM THE	- MAILINIO		
A SHORTENED STATUTORY PERIOD FOR REPLY DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.1: date of this communication.  - If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed after SIX ( the mailing date of ED (35 U.S.C. § 133	6) MONTHS from the mailing f this communication.		
Status					
<ul> <li>1)  Responsive to communication(s) filed on 5/2</li> <li>□ A declaration(s)/affidavit(s) under 37 CFR</li> </ul>					
2a) ☐ This action is <b>FINAL</b> . 2b)	▼ This action is non-final.				
3) An election was made by the applicant in reson; the restriction requirement and ele					
4) Since this application is in condition for allow closed in accordance with the practice under	ance except for formal matters	, prosecution	as to the merits is		
Disposition of Claims*					
5) 🗹 Claim(s) 1-29 is/are pending in the app	lication.				
5a) Of the above claim(s) is/are withdr	awn from consideration.				
6) Claim(s) is/are allowed.					
7) 🗹 Claim(s) <u>1-29</u> is/are rejected.					
8) Claim(s) is/are objected to.					
9) Claim(s) are subject to restriction a * If any claims have been determined allowable, you may be eliparticipating intellectual property office for the corresponding as <a href="http://www.uspto.gov/patents/init_events/pph/index.jsp">http://www.uspto.gov/patents/init_events/pph/index.jsp</a> or send	igible to benefit from the <b>Patent Pro</b> splication. For more information, plea	ase see	<b>way</b> program at a		
Application Papers					
10) ☐ The specification is objected to by the Exami	ner.				
11) The drawing(s) filed on is/are: a) a	accepted or b)  objected to by	$\prime$ the Examine	er.		
Applicant may not request that any objection to the d	rawing(s) be held in abeyance. See 3	7 CFR 1.85(a).			
Replacement drawing sheet(s) including the correction	on is required if the drawing(s) is obje	cted to. See 37	CFR 1.121(d).		
Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign Certified copies:	gn priority under 35 U.S.C. § 1	19(a)-(d) or (f	·).		
a)□ All b)□ Some** c)□ None of t	he:				
1. Certified copies of the priority docur	nents have been received.				
2. Certified copies of the priority docur		oplication No			
<ol> <li>Copies of the certified copies of the application from the International But</li> </ol>		received in th	nis National Stage		
** See the attached detailed Office action for a list of the certified copies not received.					
Attachment(s)					
1) V Notice of References Cited (PTO-892)	3) 🔲 Interview Summary	y (PTO-413)			
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date 1pg (5/13/20), 4pgs (5/28/20), 2pgs (6/11/20)	4)     ()iner	)ate			

U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13)

Office Action Summary

Part of Paper No./Mail Date 20200720

Art Unit: 1612

#### **DETAILED ACTION**

#### Notice of Pre-AIA or AIA Status

The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 05/28/2020 has been entered.

Applicants' arguments, filed 05/28/2020, have been fully considered. Rejections and/or objections not reiterated from previous office actions are hereby withdrawn. The following rejections and/or objections are either reiterated or newly applied. They constitute the complete set presently being applied to the instant application.

#### Claim Rejections - 35 USC § 103

In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

The following is a quotation of 35 U.S.C. 103 which forms the basis for all obviousness rejections set forth in this Office action:

A patent for a claimed invention may not be obtained, notwithstanding that the claimed invention is not identically disclosed as set forth in section 102, if the differences between the claimed invention and the prior art are such that the claimed invention as a whole would have been obvious before the effective filing date of the claimed invention to a person having ordinary skill in the art to which the claimed invention pertains. Patentability shall not be negated by the manner in which the invention was made.

Page 2

Art Unit: 1612

Page 3

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103 are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims the examiner presumes that the subject matter of the various claims was commonly owned as of the effective filing date of the claimed invention(s) absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and effective filing dates of each claim that was not commonly owned as of the effective filing date of the later invention in order for the examiner to consider the applicability of 35 U.S.C. 102(b)(2)(C) for any potential 35 U.S.C. 102(a)(2) prior art against the later invention.

Claims 1-27 is/are rejected under 35 U.S.C. 103 as being unpatentable over Sandoz Label (2010) in view of Hernandez -Sanchez (*Aluminum in Parenteral Nutrition: A Systematic Review*, 67 Eur J Clinical Nutrition 230 (2013)), and Bohrer (*Influences of the Glass Packing on the Contamination of Pharmaecutical Products in Aluminum Part II: Amino Acids for Parenteral Nutrion*, 15 J Trace Elements Med & Bioloby 103 (2001), Nakayama et al (US 4,385,086), Asquith et al (Biochimica et Biophysica Acta, 345-357, 1696), and Waterman (*Stabilization of Pharmaceuticals to Oxidative Degradation*, 7 Pharmaceutical Dev. & Tech. 1 (2002)).

The Sandoz Label discloses L-Cysteine Hydrochloride injections, 50mg/mL, available in single-dose vials. Sandoz label notes the product contains water and air replaced with Nitrogen, with a pH 1.0-

Art Unit: 1612

2.5. The label further states the product contains no more than 5,000 mcg/L (5,000 ppb) of aluminum.

Sandoz Label further discloses a warning about aluminum which suggest premature neonates should not receive levels of more than 4mcg to 5 mcg/kg/day accumulate aluminum levels.

Sandoz Label does not teach methods to remove aluminum contaminant.

Hernandez-Sanchez teaches manufacturers of parenteral compositions should limit the aluminum content in formulations to limit patients' exposure and to prevent cases of Al toxicity, especially in infants (pg 236 Discussion). Various steps to reduce aluminum content are discussed, but it is noted that few manufacturers have put the procedures into use (pg 237, Low-Al product options).

Hernandez-Sanchez does not teach the instantly claimed methods to remove aluminum contaminant by modifying the glass container.

Bohrer teaches it was known that cysteine, cystine, and aspartic acid release aluminum from standard glass containers when stored for a long period (pg 107, Conclusion).

Bohrer does not teach the application of L-cysteine formulations.

Nakayama et al teaches a method to prevent leaching of contaminants from the surface of glass by applying a coating of silicate (see for example claim 1 and Example 1).

Bohrer does not teach the application of L-cysteine formulations.

Asquith et al teaches cysteine was known to degrade in the presence of air (pg 347).

Waterman teaches preventing oxidative degradation by applying a nitrogen headspace to liquids (pg 27).

Based on the teachings of Sandoz Label, the skilled artisan would recognize that aluminum was a known contaminant of L-Cysteine parenteral formulations and that the aluminum content should be minimized. Hernandez-Sanchez provides motivation to develop lower aluminum content formulations and provides teachings on how to achieve the desired results. The skilled artisan would recognize the teaching of Bohrer as another cause of contamination levels and would solve the problem by using

Page 4

Art Unit: 1612

treated glass as taught by Nakayama et al. Asquith et al provides motivation to correct the oxygen head space problem, with the solution provided by Waterman.

Thus, it appears the contamination content problem was known to be caused by various elements of the overall process of developing and storing the product, and each step had known means to reduce the contamination. While such steps had not been applied in the industry, the skilled artisan would have recognized the solutions based on the art and would simply have to implement them into the overall process as outlined above.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to BENJAMIN J PACKARD whose telephone number is (571)270-3440. The examiner can normally be reached on Mon and Wed-Fri (8am-6pm).

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Frederick Krass can be reached on (571)272-0580. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see https://ppair-my.uspto.gov/pair/PrivatePair. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

Page 5

Application/Control Number: 16/746,028 Page 6

Art Unit: 1612

USPTO Customer Service Representative or access to the automated information system, call 800-786-

9199 (IN USA OR CANADA) or 571-272-1000.

/BENJAMIN J PACKARD/ Primary Examiner, Art Unit 1612

		Matter of Befores	- 00 - d		Application/ 16/746,028	Control No.	Applicant(s)/Pa Reexamination MALONEY et a	
		Notice of Reference	s Cited		Examiner BENJAMIN	J PACKARD	Art Unit 1612	Page 1 of 1
				U.S. P	L ATENT DOCUM	MENTS		1
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY		Nam		CPC Classification	US Classification
*	Α	US-4385086-A	05-1983	Nakayar	ma; Muneo		C09D4/00	427/387
	В							
	С							
	D							
	Е							
	F							
	G							
	Н							
	I							
	J							
	K							
	L							
	М							
	FOREIGN PATENT DOCUMENTS							
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	С	ountry	Name		CPC Classification
	N							
	0							
	Р							
	Q							
	R							
	S							
	Т							
		Inole	ıda as applicab		ATENT DOCUI	MENTS lisher, Edition or Volur	mo Portinont Pogos\	
*		IIICI	de as applicab	ne. Autrior,	Tille Dale, Fub	iisher, Edition or Volui	ne, rennem rages)	
	U							
	٧							
	w							
	Х							

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20200720

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	16/746,028	MALONEY et al.
	Examiner	Art Unit
	BENJAMIN J PACKARD	1612

CPC - Searched*				
Symbol	Date	Examiner		
A61K 33/06	04/27/2020	BP		
A61K 33/06	07/20/2020	ВР		

CPC Combination Sets - Searched*				
Symbol	Date	Examiner		

US Classification - Searched*			
Class	Subclass	Date	Examiner

 $<sup>^{\</sup>star}$  See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes			
Search Notes	Date	Examiner	
Palm inventor search	03/26/2020	ВР	
East search	03/26/2020	ВР	
STN- Caplus search, terms: I-cycsteine, aluminum, contaminate	03/26/2020	ВР	
STN plus and East searches updated	04/27/2020	ВР	
Reviewed PGR2020-00064	07/20/2020	ВР	
Reviewed PGR2020-00068	07/20/2020	ВР	
East search updated	07/20/2020	ВР	
Google scholar search	07/20/2020	ВР	

/BENJAMIN J PACKARD/ Primary Examiner, Art Unit 1612	

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	16/746,028	MALONEY et al.
	Examiner	Art Unit
	BENJAMIN J PACKARD	1612

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner
A61K	33/06	04/27/2020	ВР
A61K	33/06	07/20/2020	ВР

/BENJAMIN J PACKARD/ Primary Examiner, Art Unit 1612	
,	

U.S. Patent and Trademark Office Page 2 of 2

#### **EAST Search History**

#### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	26		US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND		2020/07/20 08:13
S2	5		US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND		2020/07/20 08:13

#### **EAST Search History (Interference)**

<This search history is empty>

7/20/2020 8:20:13 AM

C:\Users\bpackard\Documents\EAST\Workspaces\16746028-3.wsp

# Infrared reflectance spectra of semi-transparent SiO2 rich films on **silicate glasses**: influence of the substrate and film thickness

F Geotti-Bianchini, M Preo, M Guglielmi... - Journal of non-crystalline ..., 2003 - Elsevier

... In the region where the **silicate** network absorbs strongly, the optical constants of the **silica** and ... are likely to have optical constants intermediate between those of soda lime and **silica** and to ... above by increasing the **coating** thickness until the curve of the **coated glass** was similar ...

☆ 59 Cited by 21 Related articles All 9 versions

# Alginate-magnesium **aluminum silicate** films: Effect of plasticizers on film properties, drug permeation and drug release from **coated** tablets

T Pongjanyakul, S Puttipipatkhachom - International journal of ..., 2007 - Elsevier

... from the films, placed on open 5 ml **glass** vials containing 3.5 g **silica** gel beads ... were consisted of ACT 10%, Avicel ® PH102 30%, Flowlac ® 100 58.8%, colloidal **silicon** dioxide 0.2 ... to describe that plasticizers could penetrate between the chains of SA and the **silicate** layers of ...

☆ 99 Cited by 70 Related articles All 7 versions

#### Leaching behaviour of silicon nitride materials in sulphuric acid containing KF

J Schilm, M Herrmann, G Michael - Journal of the European Ceramic ..., 2004 - Elsevier

... The Oxygen content in the inner layer is a strong hint for residual hydrated **silica** structures ... 9). On the one hand they are able to form [SiF 6 ] 2- complexes with **silicon** which results in a further dissolution of the Si ... This corrosion behaviour is also known from **silicate glasses**.15, 16 ...

☆ ワワ Cited by 19 Related articles All 5 versions

#### Bioactivity of sol-gel bioactive glass coated alumina implants

M Hamadouche, A Meunier... - ... Research: An Official ..., 2000 - Wiley Online Library

... evolution of osteoid tissue percentage, for single and double layer 58S- coated implants, showed a ... Melt-derived silicate glasses (Bioglass) and silica-free glasses have been tested in this ... of aluminum could inhibit mineralization through a sur- face potential of silica change from ...

☆ 50 Cited by 57 Related articles All 5 versions

## **Leaching** and mechanical properties of cabal **glasses** developed as matrices for immobilization high-level wastes

FM Ezz-Eldin - Nuclear Instruments and Methods in Physics Research ..., 2001 - Elsevier

... Structural holes will have considerably larger volume than in **silicate glasses**, and hence large cations could be ... expected to be in the near-neutral regime as well as near saturation with **silica** ... 23] to concentrate in the gel layer while other elements, including **silicon** and boron ...

☆ 💯 Cited by 41 Related articles All 7 versions

#### A new process for silica coating

H Nagayama, H Honda... - Journal of the ..., 1988 - iopscience.iop.org

... elements detected in over- all depth equivalent to the thickness of deposited layer were only **silicon** [Si] and ... compar- ing the effect of preventing sodium migration from the **glass** surface among soda lime **silicate glass** and the ... 4. The LPD **silica** film has a great alkali barrier effect ...

☆ ワワ Cited by 369 Related articles All 6 versions

#### A glass/silicon composite intracortical electrode array

KE Jones, PK Campbell, RA Normann - Annals of biomedical engineering, 1992 - Springer

... The polyimide **coat**- ing appears as a thin ring around the **silicon** needle ... locations were near the tips of the needles, under the area that had been **coated** with platinum ... thermomigration fabrication method required the use of a semiconduct- ing material such as **silicon** to produce ...

☆ ସସ Cited by 415 Related articles All 8 versions

# Corrosion inhibition of aluminum and aluminum alloys by soluble chromates, chromate coatings, and chromate-free coatings

MW Kendig, RG Buchheit - Corrosion, 2003 - corrosionjournal.org

... (2018) Ce(III) corrosion inhibitor release from **silica** and boehmite ... (2017) Correlation between mechanical properties and corrosion behavior of an Al 6061 alloy **coated** by 5% CH 3 ... (2016) Microbial reduction of Cr(VI) in the presence of chromate conversion **coating** constituents ...

🖈 💯 Cited by 434 Related articles All 8 versions 👀

fused silica

#### Silica-rich porous substrates with reduced tendencies for breaking or cracking

WP Marshall, JJ Hammel, HW Barch... - US Patent ..., 1990 - Google Patents

... The **silica** concentrations of the **leaching** solutions were determined by taking one milliliter samples of ... ASTM method D 2343, "Standard Test Method for Tensile Properties of **Glass** Fiber Strands ...

2) fibers were not impregnated as per the standard; the only fiber **coating** was the ...  $\mathcal{P}$   $\mathcal{P}$  Cited by 44 Related articles All 2 versions  $\mathcal{P}$ 

Effects of polishing, etching, cleaving, and water leaching on the UV laser damage of

JM Yoshiyama, FY Genin, A Salleo...-... Induced Damage in ..., 1998 - spiedigitaliibrary.org ... AA Tesar, NJ Brown, JR Taylor, CJ Stolz, "Subsurface polishing damage of fused silica: nature and effect on laser damage of coated surfaces", in Laser Induced Damage in Optical Materials ... BC Bunker, "Molecular mechanisms for corrosion of silica and silicate glasses", J. of ...

☆ 99 Cited by 66 Related articles All 5 versions >>>

Sı	ubstitute fo	or form 1449B/PTO			Complete if Known		
					Application Number	16/746,028	
INFORMATION DISCLOSURE				URE	Filing Date	January 17, 2020	
STATEMENT BY APPLICANT			ANT	First Named Inventor	John Maloney		
					Art Unit	1612	
(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard		
Sh	eet	1	of	2	Attorney Docket Number	066859/542422	

	NON PATENT LITERATURE DOCUMENTS								
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>						
	305	Petition for Post Grant Review of U.S. Patent No. 10,583,155, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00068, (PTAB June 8, 2020).							
	306	VAN GOUDOEVER et al., "ESPGHAN/ESPEN/ESPR/CSPEN guidelines on pediatric parenteral nutrion: Amino acids," Clinical Nutrition, 37:2315-2323, (2018).							
	307	Healthcare Professional Letter from Baxter Healthcare Corporation, "Temporary importation of intravenous drug products to address drug shortages," 8 pages, (2017), retrieved from Exhibit 1087, Petition for Post Grant Review of U.S. Patent No. 10,583,155, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00068, (PTAB June 8, 2020).							
	308	ZIEGLER, EKHARD E., "Parenteral Nutrition," Iowa Neonatology Handbook: Feeding, (2006).							
	309	WORTHINGTON et al., "When is Parenteral Nutrition Appropriate?," Journal of Parenteral and Enteral Nutrition, 41(3):324-377, (2017).							
	310	GASSER et al., "Parenteral Nutrition: Macronutrient Composition and Requirements," Support Line, 27(6):6-12, (2005).							
	311	Citizen Petition, Lachman Consultant Services, Inc., 12 pages, (2018), retrieved from Exhibit 1092, Petition for Post Grant Review of U.S. Patent No. 10,583,155, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00068, (PTAB June 8, 2020).							
	312	Declaration of Madan Chilakuri, (2020), Exhibit 1093, Petition for Post Grant Review of U.S. Patent No. 10,583,155, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00068, (PTAB June 8, 2020).							
	313	Excerpt from "Parenteral Formulations [Chapter 30]", Bentley's Textbook of Pharmaceutics: An Adaptation, Eds. Sanjay K. Jain et al., pp. 410-415, (2012).							
	314	AHOLA et al., "N-Acetylcysteine does not Prevent Bronchopulmonary Dysplasia in Immature Infants: A Randomized Controlled Trial," J Pediatr, 143:713-719, (2003).							
	315	"Guidance for Industry: E11 Clinical Investigation of Medicinal Products in the Pediatric Population," U.S. Dept. of Health and Human Services, FDA, CDER, CBER, 17 pages, (2000).							
	316	USP XXI, The United States Pharmacopeia, Twenty-First Revision,The U.S. Pharmacopeial Convention, Inc., pp. 19-20, 268-269, and 1375, (1985).							
	317	BOULLATA, JOSEPH I., "Nutrients and Associated Substances," Remington: The Science and Practice of Pharmacy, 21 Ed., Ed. David B. Troy, Philadelphia: Lippincott Williams & Wilkins, pp. 1688-1693, (2005).							
	318	YESIL et al., "Evaluation of the Children with Acute Acetaminophen Overdose and Intravenous N-Acetylcysteine Treatment," Pak J Med Sci., 34(3):590-594, (2018).							
	319	LEE et al., "Intravenous N-Acetylcysteine Improves Transplant Free Survival in Early Stage Non-Acetaminophen Acute Liver Failure," Gastroenterology, 137(3):856-864, (2009).							
	320	Declaration of Judy K. He, (2020), Exhibit 1105, Petition for Post Grant Review of U.S. Patent No. 10,583,155, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00068, (PTAB June 8, 2020).							

Examiner		Date	
Signature	/BENJAMIN J PACKARD/	Considered	07/20/2020

LEGAL02/39845197v1

	Modified 1 To/SD/66 Toffit						
	Substitute fo	or form 1449B/PTO			Complete if Known		
					Application Number	16/746,028	
	INFOF	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020	
	STATEMENT BY APPLICANT			ANT	First Named Inventor	John Maloney	
					Art Unit	1612	
	(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard	
5	Sheet	2	of	2	Attorney Docket Number	066859/542422	

,		
321 De	eclaration of Barrett Rabinow, (2020), Exhibit 1003, Petition for Post Grant Review of U.S.	
l Pa	atent No. 10,583,155, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC,	
l Po	GR2020-00068, (PTAB June 8, 2020).	

Examiner		Date	
Signature	/BENJAMIN J PACKARD/	Considered	07/20/2020

	Widding 170/5B/00 Tolin					
Substitute t	for form 1449B/PTO			Complete if Known		
				Application Number	16/746,028	
INFO	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020	
STAT	STATEMENT BY APPLICANT			First Named Inventor	John Maloney	
				Art Unit	1612	
(	(Use as many sheets as necessary)			Examiner Name	Benjamin J. Packard	
Sheet	1	of	1	Attorney Docket Number	066859/542422	

	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>						
		"Total Parenteral Nutrition (TPN) - Administration in Adult Ward Areas and Intensive Care of St. George Hospital Only," St. George/Sutherland Hospitals and Health Services, NSW Government Health South Eastern Sydney Local Health Network, 10 pages, (2013). [Retrieved from the Internet May 11, 2020: <url: 0006="" 306438="" al_nutrition_icu_adult_wards_sgshhs_clin089.pdf="" assets="" data="" https:="" pdf_file="" stgeorgetotal_parenter="" www.aci.health.nsw.gov.au="">].</url:>						
		CLEMENS et al., "Twice Daily Dosing of Dabigatran for Stroke Prevention in Atrial Fibrillation: A Pharmacokinetic Justification," Curr Med Res Opin, 28(2):195-201, (2012).						
		FRIEDMANN et al., "Reactions of Pyruvic Acid with Thiolacetic Acid and Cysteine," Biochem J, 30(10):1886-1891, (1936).						
		NICOLET, BEN H., "Biochemistry by Analogy: the Sulfur of Cystine,"Journal of the Washington Academy of Sciences, 28(3):84-93, (1938).						
		PATEL et al., "Stability Considerations for Biopharmaceuticals: Overview of Protein and Peptide Degradation Pathways," BioProcess International, 23 pages, (2011). [Retrieved from the Internet May 11, 2020: <url: biopharmaceutical-product-stability-considerations-part-1="" bioprocessintl.com="" formulation="" https:="" manufacturing=""></url:> ].						

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered	07/20/2020

Substitute f	or form 1449B/PTO			Complete if Known		
INFORMATION DISCLOSURE				Application Number	16/746,028	
				Filing Date	January 17, 2020	
STATEMENT BY APPLICANT			ANT	First Named Inventor	John Maloney	
				Art Unit	1612	
(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard	
Sheet	1	of	4	Attorney Docket Number	066859/542422	

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant			
	,	Number Kind Code <sup>2 (if known)</sup>			Figures Appear			
<u>[</u>	292	US 6,382,442 B1	05-07-2002	Thibault et al.				
	276	US 8,415,337 B1	04-09-2013	Krishna				

		NON PATENT LITERATURE DOCUMENTS					
Examiner   Cite   No.		" I manazina injimal carial cymnocijim catalog atc.) data nago(c) voljima-iccija nijmbar(c) nijblichar city					
	293	"Aluminum in large and small volume parenterals used in total parenteral nutrition," Food and Drug Administration, 21 C.F.R. § 201.323, 89-90, (2003).					
	272	"American Regent Announces the Launch and Availability of Selenious Acid Injection, USP," Press Release, American Regent, Inc., 6 pages, (2019).					
	251	"Cysteine," DrugBank, 23 pages, Exhibit 1016, Petition for Post Grant Review of U.S. Patent No. 10,478,453, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00064, (PTAB May 19, 2020).					
	298	"ELCYS (Cysteine Hydrochloride)," NDA 210660, Orange Book: Approved Drug Products with Therapeutic Equivalence Evaluations, 3 pages, (2019).					
	274	"Neonatal Parenteral Nutrition," Intensive Care Nursery House Staff Manual, UCSF Children's Hospital, pp. 136-142, (2004-2006).					
	280	"Aluminum in Large and Small Volume Parenterals Used in Total Parenteral Nutrition," Federal Register, 63(2):176-185, (1998).					
	246	"AMINOSYN [label information]", Hospira, Inc., 11 pages, Exhibit 1009, Petition for Post Grant Review of U.S. Patent No. 10,478,453, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00064, (PTAB May 19, 2020).					
	278	"Aminosyn Sulfite Free [drug information]," RX List, 15 pages, Exhibit 1052, Petition for Post Grant Review of U.S. Patent No. 10,478,453, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00064, (PTAB May 19, 2020).					
	253	"Guidance for Industry: Q8(R2) Pharmaceutical Development," U.S. Dept. of Health and Human Services, FDA, CDER, CBER, 29 pages, (2009).					
	244	"L-CYSTEINE HYDROCHLORIDE - cysteine hydrochloride injection, solution [label information]", Sandoz Inc., 11 pages, Exhibit 1005, Petition for Post Grant Review of U.S. Patent No. 10,478,453, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00064, (PTAB May 19, 2020).					
	248	"Q3D Elemental Impurities: Guidance for Industry," U.S. Dept. of Health and Human Services, FDA, CDER, CBER, 85 pages, (2015).					
	271	"Selenious Acid Injection [prescribing information]," American Regent, Inc., 8 pages, (2019).					
	273	"Zinc sulfate injection [prescribing information]," American Regent, Inc., 9 pages, (2019).					

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered	07/20/2020
-----------------------	----------------------	--------------------	------------

LEGAL02/39818195v1

Substitute for form 1449B/PTO				Complete if Known		
				Application Number	16/746,028	
INFO	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020	
STAT	STATEMENT BY APPLICANT			First Named Inventor	John Maloney	
				Art Unit	1612	
(	(Use as many sheets as necessary)			Examiner Name	Benjamin J. Packard	
Sheet	2	of	4	Attorney Docket Number	066859/542422	

243	Affadavit of Christopher Butler, Exhibit 1004, Petition for Post Grant Review of U.S. Patent No. 10,478,453, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00064, (PTAB May 19, 2020).
247	Affadavit of Christopher Butler, Exhibit 1010, Petition for Post Grant Review of U.S. Patent No. 10,478,453, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00064, (PTAB May 19, 2020).
249	AKERS, MICHAEL J., "Parenteral Preparations," Remington: The Science and Practice of Pharmacy, 21st ed., Ed. David B. Troy, Baltimore: Lippincott Williams & Wilkins, pp. 802 and 808-813, (2006).
257	ASQUITH and HIRST, "The Photochemical Degradation of Cystine in Aqueous Solution in the Presence of Air," Biochimica et Biophysica Acta, 184:345-357, (1969).
263	AVALLONE et al., "Food and Drug Administration Inspection and Licensing of Manufacturing Facilities," Drug Biotechnology Regulation: Scientific Basis and Practices, Ed. Yuan-yuan H. Chiu et al., New York: Marcel Dekker, Inc., pp. 315-340, (1991).
289	BLOCK et al., "Methionine, Cysteine, Cystine, and Taurine Interrelationships in Human Plasma," The American Journal of Clinical Nutrition, 22(1):33-37, (1969).
304	BUTLER et al., "Removal of Dissolved Oxygen from Water: A Comparison of Fou Common Techniques," Talanta, 41(2):211–215, (1994).
255	CAIRNS, DONALD, "Stability of Drugs and Medicines," Essentials of Pharmaceutical Chemistry, 4th ed., London: Pharmaceutical Press, pp. 217-238, (2012).
275	CLARK et al., "Effects of Two Different Doses of Amino Acid Supplementation on Growth and Blood Amino Acid Levels in Premature Neonates Admitted to the Neonatal Intensive Care Unit: A Randomized, Controlled Trial," Pediatrics, 120(6):1286-1296, (2007).
270	CONNAUGHTON and FIORELLO, "Argon or Nitrogen. Which is Best for Your Application?," Parker, 3 pages, (2016).
262	Copyright Registration Number for Alpsalan Yaman, "Engineering Considerations in Sterile Powder Processes," Sterile Pharmaceutical Products: Process Engineering Applications, Ed. Kenneth E. Avis, Buffalo Grove: Interpharm Press, Inc., (1995).
300	Copyright Registration Number for Drug Facts & Comparisons, St. Louis: Clinical Drug Information, LLC, (2015).
242	Declaration of Barrett Rabinow, Exhibit 1003, Petition for Post Grant Review of U.S. Patent No. 10,478,453, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00064, (PTAB May 19, 2020).
303	Declaration of Daniel Ingles, Exhibit 1078, Petition for Post Grant Review of U.S. Patent No. 10,478,453, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00064, (PTAB May 19, 2020).
256	Declaration of Harry "Warren" Johnson, Exhibit 1022, Petition for Post Grant Review of U.S. Patent No. 10,478,453, Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00064, (PTAB May 19, 2020).
299	Drug Facts & Comparisons, "Dietary Reference Intakes of Vitamins and Minerals" and "Intravenous Nutitional Therapy," St. Louis: Clinical Drug Information, LLC, pp. 3-4 and 133-155, (2015).

Examiner		Date
Signature	/BENJAMIN J PACKARD/	Considered 07/20/2020

LEGAL02/39818195v1

								_
Substitute for form 1449B/PTO			Con	nplete if Known				
					Application Number	16/7	46,028	
		ATION DIS			Filing Date		ary 17, 2020	
STA	ATEM	ENT BY A	PPLIC	ANT	First Named Invent	or John	Maloney	
					Art Unit	1612	2	
		s many sheets as i	necessary)	T	Examiner Name		amin J. Packard	
Sheet	3		of	4	Attorney Docket Nu	imber 0668	359/542422	_
	302	Exela Pharma filed March 16 Patent No. 10 PGR2020-000	Science 5, 2020), r ,478,453, 064, (PTA	s, LLC v. Etor retrieved from Eton Pharma B May 19, 20	n Pharmaceuticals, Exhibit 1077, Petit aceuticals, Inc. v. E 120).	Inc., No. 1: tion for Post Exela Pharm		
	290	Water and Se 5:68-86, (190	a-Water, 9).	and of Atmos	pheric Carbonic Ad	cid in Sea-W	n and Oxygen in Distilled /ater," Trans. Farad. Soc.,	
	254	General Advid Pharma Scier				of Health an	d Human Services to Exela	
	285	and Related A Publishes, Inc	reas of N ., pp. 201	lolecular Biol -266, (1951).	ogy, Vol. 11, Ed. F.	. F. Nord, Ne	Advances in Enzymology ew York: InterScience	
	284	284 HANAKI and KAMIDE, "Manometric Study of the Copper-Catalyzed Oxidation of Cysteine ," Chem. Pharm. Bull., 19(5):1006-1010, (1971).						
	279		otassium	Acetate 40 m	eq/20 ml Injection I		Potential Aluminum Toxicity n Neonatal Patients and	
	294		f Danoflo	kacin Injectab		al Developmo	Oxygen-Sensitive Drug: A ent and Technology,	
	250				Nutrition Solution– trition, 10(6):591-5		nd Possible Alternatives,"	
	282	LUO et al., "K Aqueous Solu	inetics an tion," Jου	d Mechanism Irnal of Pharm	of the Reaction of naceutical Sciences	f Cysteine ar s, 94(2):304	nd Hydrogen Peroxide in -316, (2005).	
	260						dation of Compounded ng, 3(6):493-495, (1999).	
	295		curate ar	nd Sensitive A	nalytical Methodol	ogy," Journa	s Through the Development al of Parenteral Science &	
	281				EN/ESPR/CSPEN (	guidelines o	n pediatric parenteral 37:2360-2365, (2018).	
	283	Non-Clinical F Research, 25			No. 210906Orig1s0	000, Center	for Drug Evaluation and	
	287				ility of Cystine Und Journal of Biocher		Conditions, and on a New 2):441-457, (1927).	
	277	Cystinosis," B	iochem J	., 83:248-256	, (1962).		-Cystine in vitro by Liver in	
	288	Products (OIN	IDPs) in t	he USA," ON	DQA,OPS, CDER,	DD Europe		
	241				S. Patent No. 10,47 2020-00064, (PTAE		Pharmaceuticals, Inc. v. 220).	
Examiner Signature		BENJAMIN .	J PACK	ARD/		Date Considered	07/20/2020	_

LEGAL02/39818195v1

/BENJAMIN J PACKARD/

	Modified F 10/3D/08 Point						
Subst	itute for form 1449B/PTO			Complete if Known			
				Application Number	16/746,028		
INF	FORMATION DIS	CLOS	URE	Filing Date	January 17, 2020		
ST	STATEMENT BY APPLICANT			First Named Inventor	John Maloney		
				Art Unit	1612		
	(Use as many sheets as necessary)			Examiner Name	Benjamin J. Packard		
Sheet	4	of	4	Attorney Docket Number	066859/542422		

245	POOLE et al., "Aluminum in Pediatric Parenteral Nutrition Products: Measured Versus Labeled Content," J. Pediatr. Pharmacol. Ther., 16(2):92-97, (2011).	
252	RABINOW and ROSEMAN, "Plastic Packaging Materials," Remington: The Science and Practice of Pharmacy, 21st ed., Ed. David B. Troy, Baltimore: Lippincott Williams & Wilkins, pp. 1047-1057, (2006).	
296	RABINOW et al., "Aluminum in Parenteral Products: Analysis, Reduction, and Implications for Pediatric TPN," Journal of Parenteral Science & Technology, 43(3):132–139, (1989).	
268	REICHERT et al., "Metal Residue: How Much is Too Much?" Pharma Manufacturing, 12 pages, (2013).	
258	ROKUSHIKA et al., "Radiolysis of Cystine in Aqueous Solution by Gamma Irradiation," Journal of Radiation Research, 7(2):47-57, (1966).	
286	RUDMAN et al., "Hypotyrosinemia, Hypocystinemia, and Failure to Retain Nitrogen During Total Parenteral Nutrition of Cirrhotic Patients," Gastroenterology, 81:1025-1035, (1981).	
266	SCHURINGA et al., "The Reaction of Combined Cystine of Wool with Sodium Bisulfite," Textile Research Journal, 21:281–285, (1951).	
291	Standard Methods for the Examination of Water and Sewage, 2nd ed., Boston: American Public Health Association, pp. 59-62, (1915).	
265	TEASDALE et al., "Impurities in New Drug Substances and New Drug Products," ICH Quality: An Implementation Guide, Eds. Andrew Teasale et al., Hoboken: John Wiley & Sons, Inc., pp. 167-198, (2018).	
269	TRIBBLE et al., "Hypercysteinemia and delayed sulfur excretion in cirrhotics after oral cysteine loads," Am J Clin Nutr, 50:1401-1406, (1989).	
301	USP 23/NF 18, The U.S. Pharmacopeial Convention, Inc., The National Formulary, pp. 1635- 1637, 1650-1652, and 1813-1819, (1995).	
259	WATERMAN et al., "Stabilization of Pharmaceuticals to Oxidative Degradation," Pharmaceutical Development and Technology, 7(1):1-32, (2002).	
297	WHIPPLE and WHIPPLE, "Solubility of Oxygen in Sea Water," J. Am. Chem. Soc., 33:362– 365, (1911).	
261	YAMAN, ALPASLAN, "Engineering Considerations in Sterile Powder Processes," Sterile Pharmaceutical Products: Process Engineering Applications, Ed. Kenneth E. Avis, Buffalo Grove: Interpharm Press, Inc., pp. 269-304, (1995).	
267	YU et al., "Understanding Pharmaceutical Quality by Design," The AAPS Journal, 16(4):771- 783 (2014).	
264	ZHU and WANG, "Formulation of protein- and peptide-based parenteral products," Pharmaceutical Dosage Forms: Parenteral Medications, Volume 1: Formulation and Packaging, 3rd ed., Eds. Sandeep Nema and John D. Ludwig, New York: Informa Healtchare, pp. 222-253, (2010).	

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered	07/20/2020

LEGAL02/39818195v1

Substitute	for form 1449B/PTO			Complete if Known		
				Application Number	16/746,028	
INFO	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020	
STATEMENT BY APPLICANT				First Named Inventor	John Maloney	
				Art Unit	1612	
(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard	
Sheet	1	of	2	Attorney Docket Number	066859/542422	

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
	327	"ELCYS (cysteine hydrochloride injection), for intravenous use [Label and Highlights of Prescribing Information]," Exela Pharma Sciences, LLC, 9 pages, (2019).				
	349	"Guidance for Industry: Q1A(R2) Stability Testing of New Drug Substances and Products," U.S. Dept. of Health and Human Services, FDA, CDER, CBER, 25 pages, (2003).				
	345	"International Conference on Harmonisation; Guidance on Q6A Specifications: Test Procedures and Acceptance Criteria for New Drug Substances and New Drug Products: Chemical Substances," Federal Register, 65(251):83041-83063, (2000).				
	341	AKERS, MICHAEL J., Sterile Drug Products: Formulation, Packaging, Manufacturing, and Quality, New York: Informa Healthcare, (2010).				
	328	Amended Complaint [redacted], <i>Exela Pharma Sciences, LLC v. Sandoz, Inc.</i> , Civil Action No. 1:20-cv-645-MN, (D. Del., June 1, 2020), ECF No. 12.				
	329	Amended Complaint, <i>Exela Pharma Sciences, LLC v. Eton Pharmaceuticals, Inc.</i> , Civil Action No. 20-00365-MN, (D. Del., July 28, 2020), ECF No. 14.				
	347	CHA et al., "Stability Studies," <i>Handbook of Modern Pharmaceutical Analysis</i> , Ed. Satinder Ahuja and Stephen Scypinski, 2nd ed., Vol. 10, Amsterdam: Elsevier, 459-467 and 485-486, (2011).				
	325	Declaration of Dr. Robert J. Kuhn, Exhibit 2001, Patent Owner's Preliminary Response, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00064, U.S. Patent No. 10,478,453, (August 28, 2020).				
	351	Declaration of Dr. Robert J. Kuhn, Exhibit 2001, Patent Owner's Preliminary Response, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00068, U.S. Patent No. 10,583,155, (September 18, 2020).				
	338	Declaration of Barrett Rabinow, Exhibit 1003, Petition for Post Grant Review of U.S. Patent No. 10,653,719, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00086, (PTAB September 21, 2020).				
	339	Declaration of Daniel Ingles, Exhibit 1078, Petition for Post Grant Review of U.S. Patent No. 10,653,719, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00086, (PTAB September 21, 2020).				
	348	Declaration of Harry "Warren" Johnson, dated August 24, 2020, Exhibit 1116, Petition for Post Grant Review of U.S. Patent No. 10,653,719, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma</i> <i>Sciences, LLC</i> , PGR2020-00086, (PTAB September 21, 2020).				
	330	Declaration of Mark Hartman [redacted], <i>Exela Pharma Sciences, LLC v. Sandoz, Inc.</i> , No. 19-cv-00318-MR (W.D.N.C. December 6, 2019), ECF No. 26-1.				
	340	LANGILLE, STEPHEN E., "Particulate Matter in Injectable Drug Products," PDA Journal of Pharmaceutical Science and Technology, 67(3):186–200, (2013).				
	323	MIRTALLO, JAY M., "Aluminum Contamination of Parenteral Nutrition Fluids," Journal of Parenteral and Enteral Nutrition, 34(3):346-347, (2010).				

Examiner	Date	
Signature	Considered	

LEGAL02/40218625v1

						Modified PTO/SB/08 For	m		
Substit	Substitute for form 1449B/PTO				Complete if Known				
					Application Number	16/746,028			
INF	INFORMATION DISCLOSURE			SURE	Filing Date January 17, 2020				
STA	ATEM	ENT BY A	PPLIC	CANT	First Named Inventor	John Maloney			
					Art Unit	1612			
	(Use as	many sheets as i	necessary)		Examiner Name	Benjamin J. Packard			
Sheet	2		of	2	Attorney Docket Number	066859/542422			
	324 1 334	LLC, PGR202	0-00064	, U.S. Patent I	No. 10,478,453, (August	s, Inc. v. Exela Pharma Sciences, 28, 2020). s, Inc. v. Exela Pharma Sciences,			
<u> </u>					No. 10,583,155, (Septen				
	333		als, Inc. v	v. Exela Phari		ner's Preliminary Response, <i>Eton</i> 2020-00064, U.S. Patent No.			
	336	Patent Owner's Sur-Reply to Petitioner's Reply to Patent Owner's Preliminary Response, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC, PGR2020-00068, U.S. Patent No.</i> 10,583,155, (October 26, 2020).							
	337				S. Patent No. 10,653,719 2020-00086, (PTAB Sep	9, <i>Eton Pharmaceuticals, Inc. v.</i> tember 21, 2020).			
	332	Petitioner's Re Exela Pharma 2020).	eply to Pa Science	atent Owner's s, <i>LLC</i> , PGR2	Preliminary Response, 2020-00064, U.S. Patent	Eton Pharmaceuticals, Inc. v. : No. 10,478,453, (September 28,			
	335	Petitioner's Reply to Patent Owner's Preliminary Response, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00068, U.S. Patent No. 10,583,155, (October 19, 2020).							
	344	RIGNALL, ANDY, "ICHQ1A(R2) Stability Testing of New Drug Substance and Product and ICHQ1C Stability Testing of New Dosage Forms," <i>ICH Quality: An Implementation Guide</i> , Ed. Andrew Teasdale et al., Hoboken, NJ: John Wiley & Sons, Inc., pp. 3-14, 26-31 and 37-38, (2018).							
	326	SEDMAN et al., "Evidence of Aluminum Loading in Infants Receiving Intravenous Therapy," The New England Journal of Medicine, 312(21):1337-1343, (1985).							
	350	The Merck Ind O'Neil et al., 1	dex: An E 4th ed., \	<i>ncyclopedia d</i> Whitehouse S	of Chemicals, Drugs, and station: Merck & Co., Inc,	d Biologicals, Ed. Maryadele J. , pp. 2782-2783, (2006).			
	331					nmaceuticals, Inc. v. Exela 0,478,453, (September 21, 2020).			

Examiner	Date	
Signature	Considered	

TURCO, SALVATORE J., "Intravenous Admixtures," *Remington: The Science and Practice*, 21 ed., Philadelphia: Lippincott Williams & Wilkins, pp. 837-846, (2006).

Warning Letter from U.S. Food and Drug Administration to Mr. Ian Reed, Pfizer, Hospira Inc, dated February 14, 2017.

USP 23/NF 27, The U.S. Pharmacopeial Convention, The National Formulary, pp. 1-12,

LEGAL02/40218625v1

342

Electronic Patent Application Fee Transmittal						
Application Number:	16	746028				
Filing Date:	17-	Jan-2020				
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE				injection and	
First Named Inventor/Applicant Name:	JOHN MALONEY					
Filer:	Bry	ran Lee Skelton/Lau	ra Tremont			
Attorney Docket Number:	06	5859/542422				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
SUBMISSION- INFORMATION DISCLOSURE STMT	1806	1	260	260
	Total in USD (\$)			260

Electronic Acknowledgement Receipt				
EFS ID:	41076124			
Application Number:	16746028			
International Application Number:				
Confirmation Number:	4075			
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE			
First Named Inventor/Applicant Name:	JOHN MALONEY			
Customer Number:	826			
Filer:	Bryan Lee Skelton/Laura Tremont			
Filer Authorized By:	Bryan Lee Skelton			
Attorney Docket Number:	066859/542422			
Receipt Date:	09-NOV-2020			
Filing Date:	17-JAN-2020			
Time Stamp:	18:49:14			
Application Type:	Utility under 35 USC 111(a)			

## **Payment information:**

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	\$260
RAM confirmation Number	E2020A9I49284893
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing	٦٠				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			107413		
1	Transmittal Letter	542422_IDS_Transmittal.pdf	83ddaf9649ff270990e104637494e5448183 ff59	no	2
Warnings:	-		<u> </u>	l	
Information:					
			208750		
2	Information Disclosure Statement (IDS) Form (SB08)	542422_IDS_SB08.pdf	d4ccc31b3a3b11593625d923f23ef966160 9654d	no	2
Warnings:	-				
Information:					
This is not an US	SPTO supplied IDS fillable form				
			573912		
3	Non Patent Literature	323-Mirtallo_2010.pdf	no d0ca007e754f8c52c0c32b116350d99cf461 8a58		2
Warnings:	-		1		
Information:					
		324-	408477		
4	Non Patent Literature	Patent_Owners_Preliminary_R esponse_08-28-20.pdf	45df060010a78ebd4b5c8fb9155a0174751 a1b57	no	75
Warnings:	-				
Information:					
		325-	8463043		
5	Non Patent Literature	Exhibit_2001_Kuhn_Declaratio n.pdf	2249f401f06a38a062614e6f6c4c0e1131b1 a281	no	52
Warnings:	+				
Information:					
			1830554		
6	Non Patent Literature	326-Sedman_1985.pdf	9fb612544339a635b649c3a1603aafb14fcb dffe		
Warnings:	<b>.</b>				

Information:					
			308946		
7	Non Patent Literature	327-ELCYS_Label.pdf	490206d0703302ff80e48bee2a0d7280611 490ca	no	9
Warnings:			lI		
Information:					
			4226700		
8	Non Patent Literature	328- Exhibit_2005_Amended_Comp laint_Redacted.pdf	7635c41107001d77f7a89535288a75cac7d 4a62f	no	55
Warnings:			1		
Information:					
			808598		
9	Non Patent Literature	329- Exhibit_2006_Amended_Comp laint.pdf	785dafd26f89d23c0b4c68878526c8c99c35 1787	no	39
Warnings:			LL		
Information:					
			1530726		
10 Non Patent Literature		330- Exhibit_2007_Hartman_Declar ation.pdf	M5713ee37c105f319b8121cbb25ab7f1e29		10
Warnings:			1		
Information:					
			2534150		
11	Non Patent Literature	331- Exhibit_1083_Transcript_of_Tel ephone_Conference.pdf	Sc3b3cb76d2da0000ddc53c92545Sad591e 267c0	no	34
Warnings:				•	
Information:					
			465203		
12	Non Patent Literature	332- Petitioners_Reply_to_POPR.pdf	48a2920ebb1a81b2fe6761173fc28007f588 05b8	no	9
Warnings:			<u>l</u>		
Information:					
			171567		
13	Non Patent Literature	333-Patent_Owner_Sur- Reply_10-05-20.pdf	f9fe2092687af432ca9865edbaffec2adb9db b8f	no	10
Warnings:					
Information:					

Non Patent Literature						
Marriangs:	14	Non Patent Literature	Patent_Owners_Preliminary_R	612693	no	67
Information:				9a03b66ab01f7bdaaacf808d9c9ce3198298 c517		
Non Patent Literature	Warnings:					
Non Patent Literature	Information:					
Non Patent Literature				502325		
Information:	15	Non Patent Literature		132C97/abc753Ue4363dU3d58b1a335bfd3	no	9
Non Patent Literature	Warnings:					
Non Patent Literature	Information:					
Non Patent Literature				172412		
This content   This	16	Non Patent Literature			no	10
Non Patent Literature	Warnings:		-		'	
Non Patent Literature	Information:					
Non Patent Literature		Non Patent Literature	227	2818682	no	
Non Patent Literature	17		Petition_for_Post_Grant_Revie	8ae99a4048a974805388887505cd31bf9c9		72
18	Warnings:		<del>- !</del>			
Non Patent Literature	Information:					
Non Patent Literature			338-	6335429		
Non Patent Literature	18	Non Patent Literature	Exhibit_1003_Rabinow_Declar	fa6359411697023ac3b827e53f56d0caed9d	no	122
19	Warnings:		-			
19   Non Patent Literature   Exhibit_1078_Ingles_Declaration   n.pdf	Information:					
19			339-	13894589		
Non Patent Literature	19	Non Patent Literature		9313d8df96ea3949cf86e376abd73526566	no	58
20 Non Patent Literature 340-Exhibit_1108_Langille.pdf	Warnings:		1			
20 Non Patent Literature 340-Exhibit_1108_Langille.pdf  no 15  82d962c8bb0d7ddb3471250fe185872358a f5d03  Warnings:	Information:					
### ### ### ### ### ### ### ### ### ##				773991		
	20	20 Non Patent Literature 340-Exhibit_1108_Langille.pd		82d962c8bb0d7ddb3471250fe185872358a	no	15
	Warnings:		1			
	Information:					

			25437641		
21	Non Patent Literature	341-Exhibit_1109_Akers.pdf	c80a0d41ffe798f836138f12d7454bb22d16 3906	no	517
Warnings:		•			
Information:					
			11047654		
22	Non Patent Literature Exhibit_1110_USPhai		9efaa800a374a48f53d0fcccea7468c4d8a0 d184	no	16
Warnings:		•			
Information:					
			7242677		
23	Non Patent Literature	343-Exhibit_1111_Turco.pdf	f5073806cc1b5daeeb190d28c248a64654e b0946	no	14
Warnings:		-	'		
Information:					
	Non Patent Literature		20394457	no	
24		344-Exhibit_1112_Rignall.pdf	713fe5c4ca976b57e7aeb1b7366b410f496 2942f		31
Warnings:		•			
Information:					
		345-	908257		
25	Non Patent Literature	Exhibit_1113_InternationalCon	0cd453f7f320d27874ab132592e7b695d6af d38b	no	23
Warnings:		•			
Information:					
		346-	2814886		
26	Non Patent Literature	Exhibit_1114_FDA_Warning_L etter.pdf	0e87d171f1c2d231934c3ab4ed2b9f8a279 8f391	no	6
Warnings:		+			
Information:					
			11725404		
27	Non Patent Literature	347-Exhibit_1115_Ahuja.pdf	dc7ac612cb1f14faba9c446aaf39d588a2b3 eef5	no	19
Warnings:		•			
Information:					

inioiniation.		Total Files Size (in bytes)	142	504219	
Information:					
Warnings:		1			
32	Fee Worksheet (SB06)	fee-info.pdf	30500 df9da579b698590b70c7864a83f134b31d1 86b64	no	2
			30500		
Information:					
Warnings:					
31	Non Patent Literature	351- Exhibit_2001_Kuhn_Declaratio n.pdf		no	52
inioiniation.			8453582		
Warnings: Information:					
30 Non Patent Literature		Exhibit_1119_TheMerckIndex. pdf	42c70e6e9a28e5d46ce5454054cf72e7896f 49b5	no	
20		350-	6652061	20	4
Information:		I	· · · · · · · · · · · · · · · · · · ·		
Warnings:					
29	Non Patent Literature	Exhibit_1117_FDA_CDER_2003 .pdf	62f3957b5ebbd07eaf40fff989e2904702cf0 da8	no	25
		349-	339222		
Information:					
Warnings:					
28	Non Patent Literature	Exhibit_1116_Johnson_Declara tion.pdf	39d8b5c72eaa1057200df06c111dd1fccc1b 2149	no	8
		348-	709718		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: John Maloney et al. Confirmation No.: 4075 Appl. No.: 16/746,028 Group Art Unit: 1612

Filed: January 17, 2020 Examiner: Benjamin J. Packard For: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION

AND METHODS OF USE

Submitted via EFS-Web Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(c), before Final Office Action or Allowance, whichever is earlier.

Attached is a list of documents on form PTO-SB08.

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

Ref. Nos. 323-333 are documents from the IFW of PGR2020-00064 for related U.S. Patent No. 10,478,453, which the Applicant has already disclosed to the Examiner.

Ref Nos. 323, 326-330, 334-336 and 351 are documents from the IFW of PGR2020-00068 for related U.S. Patent No. 10,583,155, which the Applicant has already disclosed to the Examiner.

Ref Nos. 337-350 are documents from the IFW of PGR2020-00086 for related U.S. Patent No. 10,653,719, which the Applicant is hereby disclosing to the Examiner.

The fee specified in 37 C.F.R. § 1.17(p) is being paid at the time of e-filing. The Commissioner is authorized to charge any additional fee (including this fee if inadvertently omitted), or credit any refund, to our Deposit Account No. 16-0605.

In re: John Maloney et al. Appl. No.: 16/746,028 Filed: January 17, 2020

Page 2

Respectfully submitted,

/bryan 1. skelton/

Bryan L. Skelton Registration No. 50,893

Customer No. 826
ALSTON & BIRD LLP

Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Research Triangle Area Office (919) 862-2200 Fax Research Triangle Area Office (919) 862-2260

ELECTRONICALLY FILED USING THE EFS-WEB ELECTRONIC FILING SYSTEM OF THE UNITED STATES PATENT & TRADEMARK OFFICE ON November 9, 2020.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 16/746,028 Confirmation No.: 4075

Applicant(s): Exela Pharma Sciences, LLC

Filed: January 17, 2020

Art Unit: 1612

Examiner: Packard, Benjamin J.

Title: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION

AND METHODS OF USE

Docket No.: 066859/542422

Customer No.: 00826

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **RESPONSE TO OFFICE ACTION UNDER 37 C.F.R. 1.111**

In response to the Office Action dated July 23, 2020 ("Office Action"), Applicant submits:

A Listing of Claims beginning on page 2 of this paper; and,

Remarks beginning on page 5 of this paper.

LEGAL02/39933953v1

Electronic Patent Application Fee Transmittal						
Application Number:	16	746028				
Filing Date:	17-	Jan-2020				
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE				injection and	
First Named Inventor/Applicant Name:	JOHN MALONEY					
Filer:	Bry	ran Lee Skelton/Kar	en Trachtman			
Attorney Docket Number:	06	5859/542422				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:	Petition:					
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Extension - 1 month with \$0 paid	1251	1	220	220	
Miscellaneous:					
	Total in USD (\$)			220	

Electronic Acknowledgement Receipt				
EFS ID:	41116238			
Application Number:	16746028			
International Application Number:				
Confirmation Number:	4075			
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE			
First Named Inventor/Applicant Name:	JOHN MALONEY			
Customer Number:	826			
Filer:	Bryan Lee Skelton/Karen Trachtman			
Filer Authorized By:	Bryan Lee Skelton			
Attorney Docket Number:	066859/542422			
Receipt Date:	13-NOV-2020			
Filing Date:	17-JAN-2020			
Time Stamp:	15:21:23			
Application Type:	Utility under 35 USC 111(a)			

### **Payment information:**

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	\$220
RAM confirmation Number	E2020ACF21480199
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Eilo Listings					
File Listing:			File Size(Bytes)/	Multi	Pages
Number	Document Description	File Name	Message Digest		(if appl.)
			39305		
1	Extension of Time	2020-11-13_542422_EOT.pdf	8afa9fa6f7cc9545f79559c573530ab2ed47a 382	no	2
Warnings:				-	
Information:					
			260879		
2		2020-11-13_542422_Response _Non-Final_OA.pdf	30b79cc5371e8f49ca11f528f5ac642f8d03c 0c8	yes	12
	Multip	i part Description/PDF files in .	zip description		
	Document De	Document Description		End	
	Applicant Arguments/Remarks	Applicant Arguments/Remarks Made in an Amendment		5 1	
	Claims		2	4	
	Amendment/Req. Reconsideration-After Non-Final Reject		1	1	
Warnings:					
Information:					
			30936		
3	Fee Worksheet (SB06)	fee-info.pdf	0f6a7f17c1b25a503eeb39534e77c7db7a2c 5809	no	2
Warnings:					
Information:					
			54743		
4	EFS Acknowledgment Receipt efilingAck.pdf		no 811c1afbb286fc86200c9cdSebd1803450fc aba1		2
Warnings:		l			
Information:					
		Total Files Size (in bytes)	38	35863	

PTO/AIA/22 (10-20)
Approved for use through 11/30/2020. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Panerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless, it displays a valid OMB control number

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)			.136(a)	Docket Number (Optional) 066859/542422		
Application Number 16/746,028 Filed January 1			ary 17	7, 2020		
For STABLE, HIGHLY PURE L-CY	•					
Art Unit 1612		Examiner Pa	ckard,	Benja	ımin J.	
This is a request under the provisions of 37 CF	R 1.136(a) to extend th	e period for filing a	a reply in the a	above-identifie	d application.	
The requested extension and fee are as follow	rs (check time period de	sired and enter the	e appropriate t	fee below):		
	<u>Fee</u> <u>Sma</u>	II Entity Fee	Micro Entity			
One month (37 CFR 1.17(a)(1))	\$220	\$110	\$55	\$	220	
Two months (37 CFR 1.17(a)(2))	\$640	\$320	\$160	\$		
Three months (37 CFR 1.17(a)(3))	\$1,480	\$740	\$370	\$		
Four months (37 CFR 1.17(a)(4))	\$2,320	\$1,160	\$580	\$		
Five months (37 CFR 1.17(a)(5))	\$3,160	\$1,580	\$790	\$		
Applicant asserts small entity status. See 37 CFR 1.27.  Applicant certifies micro entity status. See 37 CFR 1.29. Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously.  A check in the amount of the fee is enclosed.  Payment by credit card. Form PTO-2038 is attached.  The Director has already been authorized to charge fees in this application to a Deposit Account.  The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 16-0605  Payment made via EFS-Web.  WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  I am the						
$\checkmark$ attorney or agent of record. Registration number $50893$						
attorney or agent acting under 37 CFR 1.34. Registration number						
/Bryan L. Skelton/ November 13, 2020						
Signature  Bryan L. Skelton  Typed or printed name		(919) 86		Date phone Numbe	r	
NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.						
* Total of forms	are submitted.					

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

#### **REMARKS**

#### I. Status of Claims

Claims 1–27 are pending and under examination in this application. Applicant is submitting no amendments with this paper, but is providing the foregoing Listing of Claims for the convenience of the Examiner.

Applicant respectfully request favorable reconsideration of the claims in view of the following remarks.

#### II. Claim Rejections Under 35 U.S.C. § 103 Are Overcome

#### A. The Office Action Fails to Establish a *Prima Facie* Case of Obviousness

Claims 1-27 stand rejected under 35 U.S.C. § 103 as allegedly being unpatentable over Sandoz Label (2010) (hereinafter, "Sandoz Label") in view of Hernandez-Sanchez et al., *Aluminum in Parenteral Nutrition: A Systematic Review*, 67 Eur J Clinical Nutrition 230 (2013) (hereinafter, "Hernandez-Sanchez"); in further view of Bohrer et al., *Influences of the Glass Packing on the Contamination of Pharmaceutical Products in Aluminum Part II: Amino Acids for Parenteral Nutrition*, 15 J Trace Elements Med & Biology 103 (2001) (hereinafter, "Bohrer"); in further view of Nakayama et al. (US 4,385,086) (hereinafter, "Nakayama"); in further view of Asquith et al., Biochimica et Biophysica Acta, 345-357,1696 (hereinafter, "Asquith"); and in further view of Waterman et al., *Stabilization of Pharmaceuticals to Oxidative Degradation*, 7 Pharmaceutical Dev. & Tech. 1 (2002) (hereinafter, "Waterman").

#### 1. Applicant's Overcoming the Aluminum Problem Is Inventive

The Office Action cites Sandoz Label for its purported teaching of a L-Cysteine Hydrochloride injection solution that contains water, air replaced by nitrogen, a pH or of 1.0 - 2.5, and "no more than 5,000 mcg/L (5,000 ppb) of aluminum." *Office Action, paragraph bridging pages 3 and 4*. Of note, the Office Action stresses that Sandoz Label "discloses a warning about aluminum which suggests premature neonates should not receive levels of more than 4mcg to 5 mcg/kg/day accumulate aluminum levels." *Id., page 4*. The FDA has required such warning labels since 2004. *Hernandez-Sanchez, p. 230, middle of left column*. The Office Action admits that Sandoz Label "does not teach methods to remove aluminum content." *Office Action, page 4*. Nor

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

could it, because as late as October or November 2019—months after Applicant's filing date—Sandoz was still marketing an L-cysteine product labeled as containing up to 5,000 ppb of aluminum.

The Office Action cites Hernandez-Sanchez for its plea "that parenteral compositions should limit the aluminum content in formulations to limit patients' exposure and to prevent cases of Al toxicity, especially in infants," and states that Hernandez-Sanchez "provides motivation to develop lower aluminum content formulations." *Office Action, page 4, internal citation omitted.* In fact, Hernandez-Sanchez also explains that, as of 2013, "Aluminum (Al) toxicity problem in parenteral nutrition solutions (PNS)" was already "decades old and is still unresolved." *Hernandez-Sanchez, Abstract. Id.* 

Applicant agrees with the Examiner that "the skilled artisan would recognize that aluminum was a known contaminant of L-Cysteine parenteral formulations and that the aluminum content should be minimized." *Office Action, page 4.* Without question, manufacturers of parenteral products would have been highly motivated to develop an L-cysteine product with substantially reduced aluminum levels than remain low over time. Yet, the cited art shows that the problem went unsolved for decades until Applicant's invention. That alone is strong evidence of non-obviousness because the length of the intervening time between the publication dates of the art and the claimed invention is itself objective evidence of nonobviousness. *See Ecolochem, Inc. v. S. Cal. Edison Co.*, 227 F.3d 1361, 1376–77 (Fed. Cir. 2000).

Even in light of the art, other manufacturers tried and failed to solve the problem. As an example, Applicant points the Examiner's attention to the previously considered Declaration of John Geissler dated December 6, 2019 (IDS Cite No. 235) at page 38 (Exhibit C), where the standard for aluminum content in a 2019 Sandoz L-cysteine product is "max 5000 ppb," and further at page 45 (Exhibit D), where on August 19, 2019, the reported amount of aluminum on a batch manufactured on June 2, 2019, was "<375 ppb." Simply put, at only *two and a half months* postmanufacture (far short of the 6 months required by the present claims), the aluminum level was already more than double the present Applicant's highest claimed amounts. Also the aluminum level in the batch would have been expected to increase further over time, as aluminum was known to leach from glass vials. *Bohrer, page 107*. If there had been an obvious solution to the problem, the manufacturer certainly would have solved the problem by this time.

6

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

However, it is the inventive solutions described and claimed in the present application that overcame the problem. The present inventors solved this problem by developing a stable, low aluminum L-cysteine composition that is safe for administration to vulnerable infants over the shelf life of the product.

2. The Art Did Not Appreciate the Complexity of the Problem nor Did It Suggest A Solution

Reducing aluminum levels in L-cysteine parenteral solutions proved to be a surprisingly complex undertaking because of the chemistry of L-cysteine. The present inventors discovered in solving the problem that one has to consider and balance multiple variables, including interactions of the active, its concentration, trace components, the excipients, the solvents, the packaging, the pH, and the manufacturing among other things. The art did not predict and could not have predicted how those variables would interact under the specific conditions of the presently claimed L-cysteine parenteral solution, let alone how changing one variable would affect the other variables.

As an example of the art's failure to appreciate these interrelated variables and the complexity of the problem at hand, multiple prior art references (including those cited by Examiner) recommended using plastic containers to solve L-cysteine's aluminum problem. For example, in 2001 Bohrer observed that, "[w]hen polyethylene containers were used in place of glass, no increase in the aluminum concentration was observed." *Bohrer, page 5, left column, lines 3-5.* In 2010, the Journal of Parenteral and Enteral Nutrition taught that "clinicians should insist that small-volume parenterals," including cysteine hydrochloride, "be packaged in polyethylene containers." *Mirtallo 2010 (IDS Cite No. 122), page 347, left column, last line.* In 2016, the journal again reported cysteine to be among the "main sources of aluminum contamination in PN [parenteral nutrition] mixtures" and taught plastic containers as an "effective measure to reduce aluminum intake" by patients. *Lima-Rogel 2016 (IDS Cite No. 213), page 1019.* Hernandez-Sanchez similarly touted polyethylene vials to reduce aluminum in parenteral nutritional products. *Hernandez-Sanchez, page 231, left column, last paragraph.* However, plastic is known to be oxygen permeable. The art's repeated teaching of plastic suggests that the art did not appreciate the complexity of the problem.

Applicant respectfully points to the Examiner's conclusion in the ultimate parent of the present case that the "unpredictable nature of the art" belies any obvious solution because "while

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

aluminum contamination is a known problem, it is unknown how to reduce the aluminum content of formulations." File History, U.S. Patent Appl. No. 16/248,460, Office Action dated June 19, 2019, paragraph bridging pages 3-4. Applicant respectfully submits that any finding of a reasonable expectation of success requires looking past the complexity involved and the unpredictability, using the Applicant's own footsteps through a maze of prior art references to combine certain references in a certain way to arrive at the claimed solution. This is improper hindsight. See Grain Processing Corp. v. Am. Maize Prods. Co., 840 F.2d 902, 907 (Fed. Cir. 1988).

3. The Remaining Secondary References Underscore the Complexity of the Problem Without Solving It

In addition to Sandoz Label and Hernandez-Sanchez, the Office Action also cites Nakayama, Asquith, Waterman and Bohrer for the reasons of record. Applicant addresses each reference and the combination of all six references below, and traverses the rejection for the following reasons.

The Office Action states that Nakayama teaches a method to prevent leaching of contaminants from the surface of glass," and alleges that the person of ordinary skill "would solve the problem by using treated glass as taught by Nakayama et al." *Office Action, pages 4 and 5*. Applicant respectfully disagrees. The exemplary coating solution of Nayakama itself contains aluminum. The use of Nakayama's container would be counter to solving the L-cysteine aluminum problem given L-cysteine's known affinity for aluminum. In addition, Nakayama is primarily concerned about sodium leaching from soda glass, not reducing aluminum levels while preventing oxygen contamination, which was the problem confronting Applicant. A person of ordinary skill in the art would not have looked to the teachings of Nakayama to solve the problem at hand

Regarding Asquith, the Office Action states Asquith teaches that "cysteine was known to degrade in the presence of air." *Office Action, page 4.* Applicant respectfully points out that Asquith is discussing the "destruction of cystine" (not cysteine) and by exposure to ultraviolet radiation, which is far afield from the problem solved by the present claims. *Asquith, page 347, bottom paragraph.* Also Asquith reports that the results show that the initial reaction proceeded at the same order under N<sub>2</sub> or O<sub>2</sub>. *Id., page 349, middle of page*.

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

Waterman discusses amino acid residues which tend to oxidize in protein pharmaceuticals and lists cysteine as one of five. *Id.*, *right column*, *second paragraph*. Waterman then provides its recommendations (page 19, top left column), none of which apply specifically to L-cysteine and all of which come with this caveat: "Due to the complexities induced by the many potential oxidation sites present in typical pharmaceutical proteins, each of which has different intrinsic chemical properties and a different local chemical environment as well as different sensitivities to local and global protein conformation, only general guidelines such as those above can be given with confidence" *Id.* Thus, Waterman actually supports Applicant's argument regarding the complexities associated with reducing aluminum while also controlling L-cysteine oxidation (and cystine formation). It does not offer a solution to that problem.

Bohrer criticizes glass containers for storing cysteine solutions because the aluminum level in the solution increases over time. *Bohrer*, *page 107*, *left column*, *lines 1-2*. Bohrer then suggests replacing glass containers with plastic containers. *Id.*, *left column*, *lines 3-6*. As discussed above, Bohrer underscores the art's failure to appreciate the complexity of the problem at hand.

All of the references cited in the Office Action were available to manufacturers since 2010, except for Hernandez-Sanchez, which published in 2013 and reported that the problem was known and already very old. Yet, manufacturers armed with each of these references, a long-standing problem and a strong motivation to attempt to develop low-aluminum compositions like the presently claimed compositions, could not do so for *years* as the problem went on, unsolved. "[K]knowledge of a problem and motivation to solve it are entirely different from motivation to combine particular references." *TQ Delta, LLC v. CISCO Sys., Inc.*, 942 F.3d 1352, 1360 (Fed. Cir. 2019) (internal citations omitted). The length of the intervening time between the publication dates of the prior art and the claimed invention is itself objective evidence of nonobviousness. *See Ecolochem, Inc. v. S. Cal. Edison Co.*, 227 F.3d 1361, 1376–77 (Fed. Cir. 2000). It is only through improper hindsight, using Applicant's disclosure as a road map, that the six references are combined in a particular way. *See TQ Delta*, 942 F.3d at 1361 (rejecting "use [of] the challenged patent as a roadmap to reconstruct the claimed invention using disparate elements from the prior art—i.e., the impermissible *ex post* reasoning and hindsight bias that *KSR* warned against"); *see also, Grain Processing Corp.* 

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

Given the state of the art, its unpredictability, the motivation of manufacturers, the FDA warning and the time that had passed with no real progress, it is evident that there was no obvious solution to the aluminum problem or even expectation that it could be solved. Applicant respectfully points out that in the ultimate parent of the present case the Examiner stated:

[Applicant has] used multiple means disclosed in the specification and in the claims to achieve a composition which is far below the FDA demand, filling an unmet need which has been present for quite a number of years. Because each step taken independently did not demonstrate the desired result and there was no obvious reason to combine the steps actually taken, the product as claimed cannot be viewed as obvious. Instead the unexpected result is due to Applicants unexpected findings resulting from testing combinations of theories with no expectation of success.

File History, U.S. Patent Appl. No. 16/248,460, Notice of Allowability dated September 28, 2019, page 2.

For all of the reasons discussed above, the Office Action fails to establish a *prima facie* case of obviousness for any of the pending claims. Accordingly, Applicant respectfully requests withdrawal of the rejection.

#### B. Objective Evidence of Nonobviousness Supports Patentability

As the Examiner points out, and Applicant agrees, strong motivation existed to solve this long-standing problem. The art shows repeated pleas from the medical and academic communities for L-cysteine manufacturers to do better, and substantially reduce the aluminum contamination of parenteral products. The present inventors solved what was by 2013, as Hernandez-Sanchez states, already a "decades old and still unresolved" problem: aluminum toxicity in parenteral nutrition solutions, including from the L-cysteine formulations available at that time, whose aluminum levels increased over time to a maximum of 5,000 ppb, as shown by the Sandoz label—significantly higher than the maximum aluminum levels permitted by the present claims. And yet, until the present inventors provided the solution, the problem had gone unsolved for *years*. Plainly, at the time of filing the present application there was, and had been, a long-felt need for a low aluminum L-cysteine pharmaceutical product.

In short, even those who were motivated to solve the aluminum problem for L-cysteine, experienced with L-cysteine products, and uniquely positioned with manufacturing and analytical facilities failed to do so for years. These failures demonstrate that a person of ordinary skill would

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

not have had a reasonable expectation of success in arriving at the present claims through "known

means" (Office Action, page 5) or otherwise, and underscore that any claim to the contrary is

improper hindsight. See In re Cyclobenzaprine HCl Extended Release Capsule Patent Litig., 676

F.3d 1063, 1080-82 (Fed. Cir. 2013) (reversing obviousness finding in part based on evidence of

failure of others); see also Leo Pharm. Prods., Ltd. v. Rea, 726 F.3d 1346, 1353-56 (Fed. Cir.

2013) (reversing obviousness finding in part based on strong objective indicia, including that the

problem "was not solved for over a decade," and noting the Board "erred by collapsing the

obviousness analysis into a hindsight-guided combination of elements").

For at least this additional reason, each of the pending claims is patentable. Accordingly,

Applicant respectfully requests withdrawal of the rejection and issuance of an allowance.

**CONCLUSION** 

For all the reasons above, Applicant believes that the present application is now in

condition for allowance and respectfully requests favorable reconsideration of the Application.

Should there be any issue that impedes allowance of a claim, the Examiner is invited to telephone

the undersigned attorney so that the issue can be expeditiously resolved.

It is not believed that extensions of time or fees for net addition of claims are required,

beyond those that may otherwise be provided for in documents accompanying this paper.

However, in the event that additional extensions of time are necessary to allow consideration of

this paper, such extensions are hereby petitioned under 37 CFR § 1.136(a), and any fee required

therefor (including fees for net addition of claims) is hereby authorized to be charged to Deposit

Account No. 16-0605.

Respectfully submitted,

/Bryan L. Skelton/

Bryan L. Skelton

Registration No. 50,893

11

LEGAL02/39933953v1

Nexus Ex. 1023 Page 289 of 351 Appl. No.: 16/746,028 Amdt. dated November 13, 2020 Reply to Office Action of July 23, 2020

ALSTON & BIRD LLP Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Raleigh Office (919) 862-2200 Fax Raleigh Office (919) 862-2260

Customer No. 00826

ELECTRONICALLY FILED USING THE EFS-WEB ELECTRONIC FILING SYSTEM OF THE UNITED STATES PATENT & TRADEMARK OFFICE ON NOVEMBER 13, 2020.

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

### **Amendments to the Claims:**

1. (Original) A solution of L-cysteine comprising,

a pharmaceutically acceptable carrier,

about 50 mg/mL of L-cysteine hydrochloride monohydrate, or equivalent amount of a pharmaceutically acceptable L-cysteine or a salt or hydrate thereof,

less than about 150 ppb of aluminum for at least about 6 months from the time of manufacture of the solution, and

a pH from about 1.0 to about 2.5,

wherein the solution is suitable for use as an additive in a parenteral nutrition composition for administration to an individual.

- 2. (Original) The solution of claim 1, wherein the solution is safe for use as an additive in a parenteral nutrition composition for administration to a neonate or infant requiring parenteral nutrition.
- 3. (Original) The solution of claim 1, which comprises less than about 100 ppb of aluminum for at least about 6 months from the time of manufacture of the solution.
- 4. (Original) The solution of claim 1, which comprises less than about 50 ppb of aluminum for at least about 6 months from the time of manufacture of the solution.
- 5. (Original) The solution of claim 1, which comprises less than about 20 ppb of aluminum for at least about 6 months from the time of manufacture of the solution.
- 6. (Original) The solution of claim 1, which comprises less than about 10 ppb of aluminum for at least about 6 months from the time of manufacture of the solution.
- 7. (Original) The solution of claim 1, which comprises less than about 150 ppb of aluminum for at least about 12 months from the time of manufacture of the solution.

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

8. (Original) The solution of claim 7, further comprising a pharmaceutically acceptable amount of cystine for at least about 12 months from the time of manufacture of the

solution.

9. (Original) The solution of claim 8, wherein the solution is stored in a silica-coated

vial.

10. (Original) The solution of claim 1, which comprises less than about 100 ppb of

aluminum for at least about 12 months from the time of manufacture of the solution.

11. (Original) The solution of claim 10, further comprising a pharmaceutically acceptable

amount of cystine for at least about 12 months from the time of manufacture of the

solution.

12. (Original) The solution of claim 11, wherein the solution is stored in a silica-coated

vial.

13. (Original) The solution of claim 1, which comprises less than about 50 ppb of

aluminum for at least about 12 months from the time of manufacture of the solution.

14. (Original) The solution of claim 1, further comprising a pharmaceutically acceptable

amount of cystine for at least about 6 months from the time of manufacture of the

solution.

15. (Original) The solution of claim 14, which has a dissolved oxygen content of less than

2 ppm.

16. (Original) The solution of claim 14, wherein the solution is stored in a coated vial.

17. (Original) The solution of claim 16, wherein the vial is a silica-coated vial.

18. (Original) The solution of claim 16, wherein the vial has a headspace, wherein the

headspace comprises nitrogen, argon, or other inert gas.

3

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

19. (Original) The solution of claim 1, wherein the pharmaceutically acceptable carrier is water.

20. (Original) A solution of L-cysteine, comprising,

about 50 mg/mL of L-cysteine hydrochloride monohydrate, water,

a pH between about 1.0 and about 2.5, and

wherein the solution is stored in a container that minimizes both oxygen penetration into the container and aluminum leaching into the solution, such that the solution comprises less than about 150 ppb of aluminum for at least about 6 months from the time of manufacture of the solution.

- 21. (Original) The solution of claim 20, wherein the solution is suitable to be admixed with a parenteral nutrition composition for administration to an individual.
- 22. (Original) The solution of claim 21, wherein said individual has liver disease.
- 23. (Original) The solution of claim 21, wherein said individual has impairment in the enzymatic conversion of cysteine.
- 24. (Original) The solution of claim 21, wherein said individual is a neonate or infant requiring parenteral nutrition.
- 25. (Original) The solution of claim 20, wherein the container is a coated vial.
- 26. (Original) The solution of claim 25, wherein the container is a silica-coated vial.
- 27. (Original) The solution of claim 20, further comprising a pharmaceutically acceptable amount of cystine for at least about 6 months from the time of manufacture of the solution.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 16/746,028 Confirmation No.: 4075

Applicant(s): Exela Pharma Sciences, LLC

Filed: January 17, 2020

Art Unit: 1612

Examiner: Packard, Benjamin J.

Title: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION

AND METHODS OF USE

Docket No.: 066859/542422

Customer No.: 00826

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### **RESPONSE TO OFFICE ACTION UNDER 37 C.F.R. 1.111**

In response to the Office Action dated July 23, 2020 ("Office Action"), Applicant submits:

A Listing of Claims beginning on page 2 of this paper; and,

Remarks beginning on page 5 of this paper.

Electronic Patent Application Fee Transmittal								
Application Number:	16	746028						
Filing Date:	17-	17-Jan-2020						
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE							
First Named Inventor/Applicant Name:	JOHN MALONEY							
Filer:	Bry	ran Lee Skelton/Kar	en Trachtman					
Attorney Docket Number:	06	5859/542422						
Filed as Large Entity								
Filing Fees for Utility under 35 USC 111(a)								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:								

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Extension - 1 month with \$0 paid	1251	1	220	220				
Miscellaneous:								
	Total in USD (\$)			220				

Electronic Acknowledgement Receipt					
EFS ID:	41116238				
Application Number:	16746028				
International Application Number:					
Confirmation Number:	4075				
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE				
First Named Inventor/Applicant Name:	JOHN MALONEY				
Customer Number:	826				
Filer:	Bryan Lee Skelton/Karen Trachtman				
Filer Authorized By:	Bryan Lee Skelton				
Attorney Docket Number:	066859/542422				
Receipt Date:	13-NOV-2020				
Filing Date:	17-JAN-2020				
Time Stamp:	15:21:23				
Application Type:	Utility under 35 USC 111(a)				

### **Payment information:**

Submitted with Payment no					
File Listin	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			39305		
1	Extension of Time	2020-11-13_542422_EOT.pdf	8afa9fa6f7cc9545f79559c573530ab2ed47a 382	no	2

Warnings:

Information:					
2		2020-11-13_542422_Response _Non-Final_OA.pdf	260879 30b79cc5371e8f49ca11f528f5ac642f8d03c 0c8	yes	12
	Multi	 	zip description		
	Document De	scription	Start	E	nd
	Applicant Arguments/Remarks	5	12		
	Claims	2	4		
	Amendment/Req. Reconsiderat	1	1		
Warnings:					
Information:					
			30936		
3	Fee Worksheet (SB06)	fee-info.pdf	0f6a7f17c1b25a503eeb39534e77c7db7a2c 5809	no <sup>2c</sup>	
Warnings:					
Information:					
		Total Files Size (in bytes)	33	1120	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Under the Panerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless, it displays a valid OMB control number

					Docket Nur	mber (Optional)
PETITION FOR EXTENSION	R 37 CFR	1.136(a)	066859	)/542422		
Application Number 16/746,028	Filed Jan	uary 17	7, 202	20		
For STABLE, HIGHLY PURE L-CY	STEINE C	OMPO	SITIONS F	OR INJECT	TION AND	METHODS OF USE
Art Unit 1612			Examiner P	ackard	, Benj	jamin J.
This is a request under the provisions of 37 C	FR 1.136(a) to	extend the	e period for filin	ng a reply in the	above-identi	fied application.
The requested extension and fee are as follow	vs (check time p	period des	sired and enter	the appropriate	fee below):	
	<u>Fee</u>	<u>Smal</u>	Entity Fee	Micro Enti		
One month (37 CFR 1.17(a)(1))	\$220		\$110	\$55		<sub>\$</sub> _220
Two months (37 CFR 1.17(a)(2))	\$640		\$320	\$160	)	\$
Three months (37 CFR 1.17(a)(3))	\$1,480		\$740	\$370	)	\$
Four months (37 CFR 1.17(a)(4))	\$2,320	Ç	S1,160	\$580	)	\$
Five months (37 CFR 1.17(a)(5))	\$3,160	(	\$1,580	\$790	)	\$
Applicant asserts small entity status.	See 37 CFR 1.	27.				
Applicant certifies micro entity status Form PTO/SB/15A or B or equivalent mus  A check in the amount of the fee is e	t either be enclos		been submitted	previously.		
Payment by credit card. Form PTO-2	:038 is attached	I.				
The Director has already been autho	rized to charge	fees in th	is application to	o a Deposit Acc	count.	
The Director is hereby authorized to	charge any fee:	s which m	ay be required	, or credit any o	verpayment,	to
Deposit Account Number 16-0605						
Payment made via EFS-Web.						
WARNING: Information on this form may be credit card information and authorization of lam the	•	. Credit c	ard informatio	on should not b	oe included	on this form. Provide
applicant.						
attorney or agent of record	Pegistration n	umber 5	0893			
					·	
attorney or agent acting ur	nder 37 CFR 1.3	34. Regist				·
/Bryan L. Skelton/			Nover	mber 13, 2		
Signature Bryan L. Skelton			(919)	862-2200	Date	
Typed or printed name			(3.0)		ephone Num	ber
NOTE: This form must be signed in accordan multiple forms if more than one signature is re			e 37 CFR 1.4 f	or signature red	quirements ai	nd certifications. Submit

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

forms are submitted.

\* Total of

### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

### **REMARKS**

### I. Status of Claims

Claims 1–27 are pending and under examination in this application. Applicant is submitting no amendments with this paper, but is providing the foregoing Listing of Claims for the convenience of the Examiner.

Applicant respectfully request favorable reconsideration of the claims in view of the following remarks.

### II. Claim Rejections Under 35 U.S.C. § 103 Are Overcome

### A. The Office Action Fails to Establish a *Prima Facie* Case of Obviousness

Claims 1-27 stand rejected under 35 U.S.C. § 103 as allegedly being unpatentable over Sandoz Label (2010) (hereinafter, "Sandoz Label") in view of Hernandez-Sanchez et al., *Aluminum in Parenteral Nutrition: A Systematic Review*, 67 Eur J Clinical Nutrition 230 (2013) (hereinafter, "Hernandez-Sanchez"); in further view of Bohrer et al., *Influences of the Glass Packing on the Contamination of Pharmaceutical Products in Aluminum Part II: Amino Acids for Parenteral Nutrition*, 15 J Trace Elements Med & Biology 103 (2001) (hereinafter, "Bohrer"); in further view of Nakayama et al. (US 4,385,086) (hereinafter, "Nakayama"); in further view of Asquith et al., Biochimica et Biophysica Acta, 345-357,1696 (hereinafter, "Asquith"); and in further view of Waterman et al., *Stabilization of Pharmaceuticals to Oxidative Degradation*, 7 Pharmaceutical Dev. & Tech. 1 (2002) (hereinafter, "Waterman").

### 1. Applicant's Overcoming the Aluminum Problem Is Inventive

The Office Action cites Sandoz Label for its purported teaching of a L-Cysteine Hydrochloride injection solution that contains water, air replaced by nitrogen, a pH or of 1.0 - 2.5, and "no more than 5,000 mcg/L (5,000 ppb) of aluminum." *Office Action, paragraph bridging pages 3 and 4*. Of note, the Office Action stresses that Sandoz Label "discloses a warning about aluminum which suggests premature neonates should not receive levels of more than 4mcg to 5 mcg/kg/day accumulate aluminum levels." *Id., page 4*. The FDA has required such warning labels since 2004. *Hernandez-Sanchez, p. 230, middle of left column*. The Office Action admits that Sandoz Label "does not teach methods to remove aluminum content." *Office Action, page 4*. Nor

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

could it, because as late as October or November 2019—months after Applicant's filing date—Sandoz was still marketing an L-cysteine product labeled as containing up to 5,000 ppb of aluminum.

The Office Action cites Hernandez-Sanchez for its plea "that parenteral compositions should limit the aluminum content in formulations to limit patients' exposure and to prevent cases of Al toxicity, especially in infants," and states that Hernandez-Sanchez "provides motivation to develop lower aluminum content formulations." *Office Action, page 4, internal citation omitted.* In fact, Hernandez-Sanchez also explains that, as of 2013, "Aluminum (Al) toxicity problem in parenteral nutrition solutions (PNS)" was already "decades old and is still unresolved." *Hernandez-Sanchez, Abstract. Id.* 

Applicant agrees with the Examiner that "the skilled artisan would recognize that aluminum was a known contaminant of L-Cysteine parenteral formulations and that the aluminum content should be minimized." *Office Action, page 4.* Without question, manufacturers of parenteral products would have been highly motivated to develop an L-cysteine product with substantially reduced aluminum levels than remain low over time. Yet, the cited art shows that the problem went unsolved for decades until Applicant's invention. That alone is strong evidence of non-obviousness because the length of the intervening time between the publication dates of the art and the claimed invention is itself objective evidence of nonobviousness. *See Ecolochem, Inc. v. S. Cal. Edison Co.*, 227 F.3d 1361, 1376–77 (Fed. Cir. 2000).

Even in light of the art, other manufacturers tried and failed to solve the problem. As an example, Applicant points the Examiner's attention to the previously considered Declaration of John Geissler dated December 6, 2019 (IDS Cite No. 235) at page 38 (Exhibit C), where the standard for aluminum content in a 2019 Sandoz L-cysteine product is "max 5000 ppb," and further at page 45 (Exhibit D), where on August 19, 2019, the reported amount of aluminum on a batch manufactured on June 2, 2019, was "<375 ppb." Simply put, at only *two and a half months* postmanufacture (far short of the 6 months required by the present claims), the aluminum level was already more than double the present Applicant's highest claimed amounts. Also the aluminum level in the batch would have been expected to increase further over time, as aluminum was known to leach from glass vials. *Bohrer, page 107*. If there had been an obvious solution to the problem, the manufacturer certainly would have solved the problem by this time.

6

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

However, it is the inventive solutions described and claimed in the present application that overcame the problem. The present inventors solved this problem by developing a stable, low aluminum L-cysteine composition that is safe for administration to vulnerable infants over the shelf life of the product.

2. The Art Did Not Appreciate the Complexity of the Problem nor Did It Suggest A Solution

Reducing aluminum levels in L-cysteine parenteral solutions proved to be a surprisingly complex undertaking because of the chemistry of L-cysteine. The present inventors discovered in solving the problem that one has to consider and balance multiple variables, including interactions of the active, its concentration, trace components, the excipients, the solvents, the packaging, the pH, and the manufacturing among other things. The art did not predict and could not have predicted how those variables would interact under the specific conditions of the presently claimed L-cysteine parenteral solution, let alone how changing one variable would affect the other variables.

As an example of the art's failure to appreciate these interrelated variables and the complexity of the problem at hand, multiple prior art references (including those cited by Examiner) recommended using plastic containers to solve L-cysteine's aluminum problem. For example, in 2001 Bohrer observed that, "[w]hen polyethylene containers were used in place of glass, no increase in the aluminum concentration was observed." Bohrer, page 5, left column, lines 3-5. In 2010, the Journal of Parenteral and Enteral Nutrition taught that "clinicians should insist that small-volume parenterals," including cysteine hydrochloride, "be packaged in polyethylene containers." Mirtallo 2010 (IDS Cite No. 122), page 347, left column, last line. In 2016, the journal again reported cysteine to be among the "main sources of aluminum contamination in PN [parenteral nutrition] mixtures" and taught plastic containers as an "effective measure to reduce aluminum intake" by patients. Lima-Rogel 2016 (IDS Cite No. 213), page 1019. Hernandez-Sanchez similarly touted polyethylene vials to reduce aluminum in parenteral nutritional products. Hernandez-Sanchez, page 231, left column, last paragraph. However, plastic is known to be oxygen permeable. The art's repeated teaching of plastic suggests that the art did not appreciate the complexity of the problem.

Applicant respectfully points to the Examiner's conclusion in the ultimate parent of the present case that the "unpredictable nature of the art" belies any obvious solution because "while

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

aluminum contamination is a known problem, it is unknown how to reduce the aluminum content of formulations." File History, U.S. Patent Appl. No. 16/248,460, Office Action dated June 19, 2019, paragraph bridging pages 3-4. Applicant respectfully submits that any finding of a reasonable expectation of success requires looking past the complexity involved and the unpredictability, using the Applicant's own footsteps through a maze of prior art references to combine certain references in a certain way to arrive at the claimed solution. This is improper hindsight. See Grain Processing Corp. v. Am. Maize Prods. Co., 840 F.2d 902, 907 (Fed. Cir. 1988).

3. The Remaining Secondary References Underscore the Complexity of the Problem Without Solving It

In addition to Sandoz Label and Hernandez-Sanchez, the Office Action also cites Nakayama, Asquith, Waterman and Bohrer for the reasons of record. Applicant addresses each reference and the combination of all six references below, and traverses the rejection for the following reasons.

The Office Action states that Nakayama teaches a method to prevent leaching of contaminants from the surface of glass," and alleges that the person of ordinary skill "would solve the problem by using treated glass as taught by Nakayama et al." Office Action, pages 4 and 5. Applicant respectfully disagrees. The exemplary coating solution of Nayakama itself contains aluminum. The use of Nakayama's container would be counter to solving the L-cysteine aluminum problem given L-cysteine's known affinity for aluminum. In addition, Nakayama is primarily concerned about sodium leaching from soda glass, not reducing aluminum levels while preventing oxygen contamination, which was the problem confronting Applicant. A person of ordinary skill in the art would not have looked to the teachings of Nakayama to solve the problem at hand.

Regarding Asquith, the Office Action states Asquith teaches that "cysteine was known to degrade in the presence of air." *Office Action, page 4.* Applicant respectfully points out that Asquith is discussing the "destruction of cystine" (not cysteine) and by exposure to ultraviolet radiation, which is far afield from the problem solved by the present claims. *Asquith, page 347, bottom paragraph.* Also Asquith reports that the results show that the initial reaction proceeded at the same order under N<sub>2</sub> or O<sub>2</sub>. *Id., page 349, middle of page*.

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

Waterman discusses amino acid residues which tend to oxidize in protein pharmaceuticals and lists cysteine as one of five. *Id.*, *right column*, *second paragraph*. Waterman then provides its recommendations (page 19, top left column), none of which apply specifically to L-cysteine and all of which come with this caveat: "Due to the complexities induced by the many potential oxidation sites present in typical pharmaceutical proteins, each of which has different intrinsic chemical properties and a different local chemical environment as well as different sensitivities to local and global protein conformation, only general guidelines such as those above can be given with confidence" *Id.* Thus, Waterman actually supports Applicant's argument regarding the complexities associated with reducing aluminum while also controlling L-cysteine oxidation (and cystine formation). It does not offer a solution to that problem.

Bohrer criticizes glass containers for storing cysteine solutions because the aluminum level in the solution increases over time. *Bohrer*, *page 107*, *left column*, *lines 1-2*. Bohrer then suggests replacing glass containers with plastic containers. *Id.*, *left column*, *lines 3-6*. As discussed above, Bohrer underscores the art's failure to appreciate the complexity of the problem at hand.

All of the references cited in the Office Action were available to manufacturers since 2010, except for Hernandez-Sanchez, which published in 2013 and reported that the problem was known and already very old. Yet, manufacturers armed with each of these references, a long-standing problem and a strong motivation to attempt to develop low-aluminum compositions like the presently claimed compositions, could not do so for *years* as the problem went on, unsolved. "[K]knowledge of a problem and motivation to solve it are entirely different from motivation to combine particular references." *TQ Delta, LLC v. CISCO Sys., Inc.*, 942 F.3d 1352, 1360 (Fed. Cir. 2019) (internal citations omitted). The length of the intervening time between the publication dates of the prior art and the claimed invention is itself objective evidence of nonobviousness. *See Ecolochem, Inc. v. S. Cal. Edison Co.*, 227 F.3d 1361, 1376–77 (Fed. Cir. 2000). It is only through improper hindsight, using Applicant's disclosure as a road map, that the six references are combined in a particular way. *See TQ Delta*, 942 F.3d at 1361 (rejecting "use [of] the challenged patent as a roadmap to reconstruct the claimed invention using disparate elements from the prior art—i.e., the impermissible *ex post* reasoning and hindsight bias that *KSR* warned against"); *see also, Grain Processing Corp.* 

9

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

Given the state of the art, its unpredictability, the motivation of manufacturers, the FDA warning and the time that had passed with no real progress, it is evident that there was no obvious solution to the aluminum problem or even expectation that it could be solved. Applicant respectfully points out that in the ultimate parent of the present case the Examiner stated:

[Applicant has] used multiple means disclosed in the specification and in the claims to achieve a composition which is far below the FDA demand, filling an unmet need which has been present for quite a number of years. Because each step taken independently did not demonstrate the desired result and there was no obvious reason to combine the steps actually taken, the product as claimed cannot be viewed as obvious. Instead the unexpected result is due to Applicants unexpected findings resulting from testing combinations of theories with no expectation of success.

File History, U.S. Patent Appl. No. 16/248,460, Notice of Allowability dated September 28, 2019, page 2.

For all of the reasons discussed above, the Office Action fails to establish a *prima facie* case of obviousness for any of the pending claims. Accordingly, Applicant respectfully requests withdrawal of the rejection.

### B. Objective Evidence of Nonobviousness Supports Patentability

As the Examiner points out, and Applicant agrees, strong motivation existed to solve this long-standing problem. The art shows repeated pleas from the medical and academic communities for L-cysteine manufacturers to do better, and substantially reduce the aluminum contamination of parenteral products. The present inventors solved what was by 2013, as Hernandez-Sanchez states, already a "decades old and still unresolved" problem: aluminum toxicity in parenteral nutrition solutions, including from the L-cysteine formulations available at that time, whose aluminum levels increased over time to a maximum of 5,000 ppb, as shown by the Sandoz label—significantly higher than the maximum aluminum levels permitted by the present claims. And yet, until the present inventors provided the solution, the problem had gone unsolved for *years*. Plainly, at the time of filing the present application there was, and had been, a long-felt need for a low aluminum L-cysteine pharmaceutical product.

In short, even those who were motivated to solve the aluminum problem for L-cysteine, experienced with L-cysteine products, and uniquely positioned with manufacturing and analytical facilities failed to do so for years. These failures demonstrate that a person of ordinary skill would

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

not have had a reasonable expectation of success in arriving at the present claims through "known

means" (Office Action, page 5) or otherwise, and underscore that any claim to the contrary is

improper hindsight. See In re Cyclobenzaprine HCl Extended Release Capsule Patent Litig., 676

F.3d 1063, 1080-82 (Fed. Cir. 2013) (reversing obviousness finding in part based on evidence of

failure of others); see also Leo Pharm. Prods., Ltd. v. Rea, 726 F.3d 1346, 1353-56 (Fed. Cir.

2013) (reversing obviousness finding in part based on strong objective indicia, including that the

problem "was not solved for over a decade," and noting the Board "erred by collapsing the

obviousness analysis into a hindsight-guided combination of elements").

For at least this additional reason, each of the pending claims is patentable. Accordingly,

Applicant respectfully requests withdrawal of the rejection and issuance of an allowance.

**CONCLUSION** 

For all the reasons above, Applicant believes that the present application is now in

condition for allowance and respectfully requests favorable reconsideration of the Application.

Should there be any issue that impedes allowance of a claim, the Examiner is invited to telephone

the undersigned attorney so that the issue can be expeditiously resolved.

It is not believed that extensions of time or fees for net addition of claims are required,

beyond those that may otherwise be provided for in documents accompanying this paper.

However, in the event that additional extensions of time are necessary to allow consideration of

this paper, such extensions are hereby petitioned under 37 CFR § 1.136(a), and any fee required

therefor (including fees for net addition of claims) is hereby authorized to be charged to Deposit

Account No. 16-0605.

Respectfully submitted,

/Bryan L. Skelton/

Bryan L. Skelton

Registration No. 50,893

11

LEGAL02/39933953v1

Nexus Ex. 1023 Page 307 of 351 Appl. No.: 16/746,028 Amdt. dated November 13, 2020 Reply to Office Action of July 23, 2020

ALSTON & BIRD LLP Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Raleigh Office (919) 862-2200 Fax Raleigh Office (919) 862-2260

Customer No. 00826

ELECTRONICALLY FILED USING THE EFS-WEB ELECTRONIC FILING SYSTEM OF THE UNITED STATES PATENT & TRADEMARK OFFICE ON NOVEMBER 13, 2020.

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

### **Amendments to the Claims:**

1. (Original) A solution of L-cysteine comprising,

a pharmaceutically acceptable carrier,

about 50 mg/mL of L-cysteine hydrochloride monohydrate, or equivalent amount of a pharmaceutically acceptable L-cysteine or a salt or hydrate thereof,

less than about 150 ppb of aluminum for at least about 6 months from the time of manufacture of the solution, and

a pH from about 1.0 to about 2.5,

wherein the solution is suitable for use as an additive in a parenteral nutrition composition for administration to an individual.

- 2. (Original) The solution of claim 1, wherein the solution is safe for use as an additive in a parenteral nutrition composition for administration to a neonate or infant requiring parenteral nutrition.
- 3. (Original) The solution of claim 1, which comprises less than about 100 ppb of aluminum for at least about 6 months from the time of manufacture of the solution.
- 4. (Original) The solution of claim 1, which comprises less than about 50 ppb of aluminum for at least about 6 months from the time of manufacture of the solution.
- 5. (Original) The solution of claim 1, which comprises less than about 20 ppb of aluminum for at least about 6 months from the time of manufacture of the solution.
- 6. (Original) The solution of claim 1, which comprises less than about 10 ppb of aluminum for at least about 6 months from the time of manufacture of the solution.
- 7. (Original) The solution of claim 1, which comprises less than about 150 ppb of aluminum for at least about 12 months from the time of manufacture of the solution.

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

8. (Original) The solution of claim 7, further comprising a pharmaceutically acceptable amount of cystine for at least about 12 months from the time of manufacture of the

solution.

9. (Original) The solution of claim 8, wherein the solution is stored in a silica-coated

vial.

10. (Original) The solution of claim 1, which comprises less than about 100 ppb of

aluminum for at least about 12 months from the time of manufacture of the solution.

11. (Original) The solution of claim 10, further comprising a pharmaceutically acceptable

amount of cystine for at least about 12 months from the time of manufacture of the

solution.

12. (Original) The solution of claim 11, wherein the solution is stored in a silica-coated

vial.

13. (Original) The solution of claim 1, which comprises less than about 50 ppb of

aluminum for at least about 12 months from the time of manufacture of the solution.

14. (Original) The solution of claim 1, further comprising a pharmaceutically acceptable

amount of cystine for at least about 6 months from the time of manufacture of the

solution.

15. (Original) The solution of claim 14, which has a dissolved oxygen content of less than

2 ppm.

16. (Original) The solution of claim 14, wherein the solution is stored in a coated vial.

17. (Original) The solution of claim 16, wherein the vial is a silica-coated vial.

18. (Original) The solution of claim 16, wherein the vial has a headspace, wherein the

headspace comprises nitrogen, argon, or other inert gas.

3

Amdt. dated November 13, 2020

Reply to Office Action of July 23, 2020

19. (Original) The solution of claim 1, wherein the pharmaceutically acceptable carrier is water.

20. (Original) A solution of L-cysteine, comprising,

about 50 mg/mL of L-cysteine hydrochloride monohydrate, water,

a pH between about 1.0 and about 2.5, and

wherein the solution is stored in a container that minimizes both oxygen penetration into the container and aluminum leaching into the solution, such that the solution comprises less than about 150 ppb of aluminum for at least about 6 months from the time of manufacture of the solution.

- 21. (Original) The solution of claim 20, wherein the solution is suitable to be admixed with a parenteral nutrition composition for administration to an individual.
- 22. (Original) The solution of claim 21, wherein said individual has liver disease.
- 23. (Original) The solution of claim 21, wherein said individual has impairment in the enzymatic conversion of cysteine.
- 24. (Original) The solution of claim 21, wherein said individual is a neonate or infant requiring parenteral nutrition.
- 25. (Original) The solution of claim 20, wherein the container is a coated vial.
- 26. (Original) The solution of claim 25, wherein the container is a silica-coated vial.
- 27. (Original) The solution of claim 20, further comprising a pharmaceutically acceptable amount of cystine for at least about 6 months from the time of manufacture of the solution.

PTO/SB/06 (09-11)
Approved for use through 1/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875							or Docket Number 6/746,028	Filing Date 01/17/2020	To be Mailed		
	ENTITY: ☑ LARGE ☐ SMALL ☐ MICRO										
				APPLIC	(Column 2)	LED - PAR	TI				
	FOR		(Column NUMBER FI		RATE (\$)	1	FEE (\$)				
	BASIC FEE		N/A		NUMBER EXTRA N/A		N/A		Τ ΕΕ (Ψ)		
	(37 CFR 1.16(a), (b), o	or (c))			-			-			
	SEARCH FEE (37 CFR 1.16(k), (i), o	r (m))	N/A		N/A		N/A				
	EXAMINATION FEE (37 CFR 1.16(o), (p), c		N/A		N/A		N/A				
	FAL CLAIMS OFR 1.16(i))		mi	nus 20 = *			x \$100 =				
	EPENDENT CLAIM OFR 1.16(h))	S	m	inus 3 = *			x \$460 =				
	APPLICATION SIZE CFR 1.16(s))	FEE (37 fo	paper, the r small entit	application size y) for each addit	ngs exceed 100 s fee due is \$310 tional 50 sheets C. 41(a)(1)(G) an	(\$155 or					
	MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))										
* If th	ne difference in co	olumn 1 is les	s than zero	enter "0" in colu	ımn 2.		TOTAL				
				APPLICAT	TION AS AME	NDED - PA	ART II				
		(Column 1)		(Column 2)	(Column 3	3)					
ENDMENT	11/13/2020	CLAIMS REMAINING AFTER AMENDMEN		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	(TRA	RATE (\$)	ADDIT	IONAL FEE (\$)		
Įξ	Total (37 CFR 1.16(i))	* 27	Minus	** 27	= 0		= 0		x \$100 =		0
	Independent (37 CFR 1.16(h))	* 2	Minus	*** 3	= 0		x \$480 =		0		
AM	Application S	Size Fee (37	CFR 1.16(s	))							
	☐ FIRST PRES	SENTATION	OF MULTIF	PLE DEPENDEN	IT CLAIM (37 CI	FR					
	3,7					<u>,                                    </u>	TOTAL ADD'L FE	E	0		
		(Column 1)		(Column 2)	(Column 3	3)					
Þ		CLAIMS REMAINING AFTER AMENDMEN		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	(TRA	RATE (\$)	ADDIT	IONAL FEE (\$)		
ME	Total (37 CFR 1.16(i))	*	Minus	**	=		x \$0 =				
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		x \$0 =				
▮	Application Size Fee (37 CFR 1.16(s))										
	☐ FIRST PRES 1.16(j))	SENTATION	OF MULTIF	PLE DEPENDEN	IT CLAIM (37 CI	R					
	1 ···->4//						TOTAL ADD'L FE	E			
* If t	he entry in column	1 is less than th	e entry in col	umn 2, write "0" in	column 3.		LIE				
** If	the "Highest Numbe	er Previously P	aid For" IN TI	HIS SPACE is less	than 20, enter "20	".	/DORIS M BU	RNS/			
***	f the "Highest Numb	er Previously F	Paid For" IN T	HIS SPACE is les	s than 3, enter "3".						
Tho	The "Highest Number Proviously Paid For" (Total or Independent) is the highest number found in the an							mn 1			

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

 ${\tt ADDRESS}. \textbf{ SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA~22313-1450.}$ 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Modified PTO/SB/08 Form

					Modified 1 10/5B/66 10111	
Substitute for form 1449B/PTO				Complete if Known		
				Application Number	16/746,028	
INFO	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020	
STAT	STATEMENT BY APPLICANT		First Named Inventor	John Maloney		
					1612	
	(Use as many sheets as necessary)			Examiner Name	Benjamin J. Packard	
Sheet	1	of	1	Attorney Docket Number	066859/542422	

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>			
	352	Decision Denying Institution of Post-Grant Review, <i>Eton Pharmaceuticals, Inc. v. Exela</i> <i>Pharma Sciences, LLC</i> , PGR2020-00064, U.S. Patent No. 10,478,453, (November 18, 2020).				

Examiner	Date	
Signature	Considered	

Electronic Acknowledgement Receipt					
EFS ID:	41167930				
Application Number:	16746028				
International Application Number:					
Confirmation Number:	4075				
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE				
First Named Inventor/Applicant Name:	JOHN MALONEY				
Customer Number:	826				
Filer:	Bryan Lee Skelton/Laura Tremont				
Filer Authorized By:	Bryan Lee Skelton				
Attorney Docket Number:	066859/542422				
Receipt Date:	19-NOV-2020				
Filing Date:	17-JAN-2020				
Time Stamp:	12:02:42				
Application Type:	Utility under 35 USC 111(a)				

## **Payment information:**

Submitted wi	th Payment		no						
File Listing:									
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
				107644					
1	Transmittal Letter	5	42422_IDS_Transmittal.pdf	db17df04dd5115b5f1b9dabe116710e52f6 29f31	no	2			
Warnings:				-					

Information	:								
	_	542422_IDS_SB08.pdf f	186658						
2	Information Disclosure Statement (IDS) Form (SB08)		f40534ff729a4c34a8a784b827f9a75717c51 176	no	1				
Warnings:	-								
Information	1								
This is not an U	ISPTO supplied IDS fillable form								
			294795						
3	Non Patent Literature	352-Institution_Denied.pdf	8ca2a29083c9f220a342a252719ae6407d0 4b4bb	no	24				
Warnings:	Warnings:								
Information	:								
		Total Files Size (in bytes)	5	89097					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of

the application.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: John Maloney et al. Confirmation No.: 4075 Appl. No.: 16/746,028 Group Art Unit: 1612

Filed: January 17, 2020 Examiner: Benjamin J. Packard For: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION

AND METHODS OF USE

Submitted via EFS-Web Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Applicant points the Examiner's attention to the attached Decision Denying Institution of Post-Grant Review dated November 18, 2020, which is cited here as Ref. No. 352, and to the file history of PGR2020-00064 for related U.S. Patent No. 10,478,453, which Applicant has previously disclosed to the Examiner.

It is requested that the Examiner consider this document and officially make it of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed document, Applicant in no way makes any admission as to the prior art status of the listed document, but is instead identifying the listed document for the sake of full disclosure.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(c), before Final Office Action or Allowance, whichever is earlier. Attached is a list of the cited document on form PTO-SB08.

In accordance with the requirements of 37 C.F.R. § 1.97(c), the following statement as specified in 37 C.F.R. § 1.97(e) is made:

No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three (3) months prior to the filing of this information disclosure statement.

In re: John Maloney et al. Appl. No.: 16/746,028 Filed: January 17, 2020

Customer No. 826

Page 2

Respectfully submitted,

/bryan 1. skelton/

Bryan L. Skelton Registration No. 50,893

ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000
Tel Research Triangle Area Office (919) 862-2200
Fax Research Triangle Area Office (919) 862-2260

ELECTRONICALLY FILED USING THE EFS-WEB ELECTRONIC FILING SYSTEM OF THE UNITED STATES PATENT & TRADEMARK OFFICE ON November 19, 2020.

Modified PTO/SB/08 Form

		Medited 1 10/6B/00 10 III				
Substitute for form 1449B/PTO				Complete if Known		
INFORMATION DISCLOSURE				Application Number	16/746,028	
			URE	Filing Date	January 17, 2020	
STAT	STATEMENT BY APPLICANT		First Named Inventor	John Maloney		
				Art Unit	1612	
(Use as many sheets as necessary)				Examiner Name	Benjamin J. Packard	
Sheet	1	of	1	Attorney Docket Number	066859/542422	

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>			
	353	Decision Denying Institution of Post-Grant Review, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00068, U.S. Patent No. 10,583,155, (December 15, 2020).				

Examiner	Date	
Signature	Considered	

### PATENT TERM ADJUSTMENT STATEMENT UNDER 37 CFR 1.704(d)

Application Number	16/746,028
Filing Date	January 17, 2020
First Named Inventor	John Maloney
Art Unit	1612
Examiner Name	Benjamin J. Packard
Practitioner Docket No.	066859/542422

APPLICANT HEREBY	STATES THE	FOLLOWING	(please review 3	37 CFR 1.704(d	) before filing	this
form):						

Each item of information contained in the information disclosure statement was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

### AND/OR

■ Each item of information contained in the information disclosure statement is a communication that was issued by a patent office in a counterpart for eign or international application or by the Office, and this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

#### INSTRUCTIONS:

- This form will not satisfy the requirement of 37 CFR 1.97(e). The present statement is filed under 37 CFR 1.704(d) and will not substitute for compliance with any of the requirements of 37 CFR 1.97 and 1.98. For an information disclosure statement to comply with 37 CFR 1.97(c) or (d), the information disclosure statement must be accompanied by a statement under 37 CFR 1.97(e) notwithstanding any statement filed under 37 CFR 1.704(d).
- The present form (PTO/SB/133) should be filed concurrently with the information disclosure statement to derive benefit under 37 CFR 1.704(d).

Signature	/bryan I. skelton/	Date	December 16, 2020	
Typed or Printed Name	Bryan L. Skelton	Practitio Registra	ner tion Number	50893

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative (s) are required in accordance

with.	, ,	11.18. Please see 37 CFR 1.4(d) for the form of the signature. If necessary, submit multiple forms for more be below*.
<	*Total of	forms are submitted.
		If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counselin the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Ack	Electronic Acknowledgement Receipt				
EFS ID:	41410103				
Application Number:	16746028				
International Application Number:					
Confirmation Number:	4075				
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE				
First Named Inventor/Applicant Name:	JOHN MALONEY				
Customer Number:	826				
Filer:	Bryan Lee Skelton/Laura Tremont				
Filer Authorized By:	Bryan Lee Skelton				
Attorney Docket Number:	066859/542422				
Receipt Date:	16-DEC-2020				
Filing Date:	17-JAN-2020				
Time Stamp:	19:31:35				
Application Type:	Utility under 35 USC 111(a)				

### **Payment information:**

Submitted wi	th Payment		no			
File Listin	g:					
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	5-	42422_IDS_Transmittal.pdf	107942 621898f81d28afe58bf5854ef36adbe5e1fa6 4b1	no	2
Warnings:						

Information Disclosure Statement (IDS)		187414		
Form (SB08)	542422_IDS_SB08.pdf	dbb2a3920a6f8ebe042cffe78b328b29ba26 bb91	no	1
PTO supplied IDS fillable form				
Data at Tarra Adia at a sa Chart Hada		259249		
37CFR1.704(d)	542422_PTA_Statement.pdf	bb77137e36e424c147048dcdf2863e009bb 7e21c	no	2
•				
		1784305		
Non Patent Literature	353-Institution_Denied.pdf	fc13c8f0234908e631fcea1f3bd8e83eda111 f05	no	22
	Total Files Size (in bytes)	23.	38910	
	Patent Term Adjustment Stmt Under 37CFR1.704(d)	Patent Term Adjustment Stmt Under 37CFR1.704(d)  Non Patent Literature  353-Institution_Denied.pdf	Patent Term Adjustment Stmt Under 37CFR1.704(d)  September 1	Patent Term Adjustment Stmt Under 37CFR1.704(d)  State of the state of

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: John Maloney et al. Confirmation No.: 4075 Appl. No.: 16/746,028 Group Art Unit: 1612

Filed: January 17, 2020 Examiner: Benjamin J. Packard For: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION

AND METHODS OF USE

Submitted via EFS-Web Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Applicant points the Examiner's attention to the attached Decision Denying Institution of Post-Grant Review dated December 15, 2020, which is cited here as Ref. No. 353, and to the file history of PGR2020-00068 for related U.S. Patent No. 10,583,155, which Applicant has previously disclosed to the Examiner.

It is requested that the Examiner consider this document and officially make it of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed document, Applicant in no way makes any admission as to the prior art status of the listed document, but is instead identifying the listed document for the sake of full disclosure.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(c), before Final Office Action or Allowance, whichever is earlier. Attached is a list of the cited document on form PTO-SB08.

In accordance with the requirements of 37 C.F.R. § 1.97(c), the following statement as specified in 37 C.F.R. § 1.97(e) is made:

No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three (3) months prior to the filing of this information disclosure statement.

Applicant notes, in accordance with 37 C.F.R. § 1.704(d), that each item of information contained in the information disclosure statement is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication LEGAL02/40295459v1

In re: John Maloney et al. Appl. No.: 16/746,028 Filed: January 17, 2020

Page 2

was not received by any individual designated by 37 C.F.R. § 1.56(c) more than thirty (30) days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

/bryan 1. skelton/

Bryan L. Skelton Registration No. 50,893

Customer No. 826 ALSTON & BIRD LLP Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Research Triangle Area Office (919) 862-2200 Fax Research Triangle Area Office (919) 862-2260

ELECTRONICALLY FILED USING THE EFS-WEB ELECTRONIC FILING SYSTEM OF THE UNITED STATES PATENT & TRADEMARK OFFICE ON December 16, 2020.

### United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

### NOTICE OF ALLOWANCE AND FEE(S) DUE

826 7590 01/13/2021
ALSTON & BIRD LLP
BANK OF AMERICA PLAZA
101 SOUTH TRYON STREET
SUITE 4000
CHARLOTTE, NC 28280-4000

EXAMINER

PACKARD, BENJAMIN J

ART UNIT PAPER NUMBER

1612

DATE MAILED: 01/13/2021

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/746,028	01/17/2020	JOHN MALONEY	066859/542422	4075

TITLE OF INVENTION: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00	\$1200	04/13/2021

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

Page 1 of 3

### PART B - FEE(S) TRANSMITTAL

Complete and send	this form, together	with applicable fee(s	), by mail or fax, or	via EFS-Web.				
By mail, send to:	Mail Stop ISSUE Commissioner for P.O. Box 1450 Alexandria, Virgin	Patents				By fax, send t	:0:	(571)-273-2885
further correspondence is	ncluding the Patent, adva	nce orders and notificatio	E and PUBLICATION FE in of maintenance fees will dence address; and/or (b)	be mailed to the cu	rrent con	rrespondence address a	s indi	cated unless correcte
CURRENT CORRESPOND  826  ALSTON & B  BANK OF AMI 101 SOUTH TR	7590 01/13 IRD LLP ERICA PLAZA	lock 1 for any change of address) 3/2021	Fe paj ha I h Sta ade	e(s) Transmittal. Thers. Each addition we its own certificat Ceereby certify that the tes Postal Service theresed to the Mail	nis certifical paper e of main retificate in section is Fee(section is Fee(section is Stop IS	g can only be used for icate cannot be used for , such as an assignmentiling or transmission.  e of Mailing or Transmiss) Transmittal is being ficient postage for firs SUE FEE address about 1571 276 276 276 276 276 276 276 276 276 276	or any nt or f mission deposit class	other accompanying ormal drawing, muse on sited with the United s mail in an envelope being transmitted to
SUITE 4000			_					(Typed or printed name
CHARLOTTE,	NC 28280-4000		_					(Signature
			L					(Date
					1			
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTO	RNEY DOCKET NO.	COI	NFIRMATION NO.
16/746,028	01/17/2020		JOHN MALONEY		(	066859/542422		4075
TITLE OF INVENTION	: STABLE, HIGHLY P	URE L-CYSTEINE COM	IPOSITIONS FOR INJEC	CTION AND METH	HODS C	OF USE		
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	JE FEE	TOTAL FEE(S) DUE		DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00 \$0.00 \$		\$1200		04/13/2021
EXAM	AINED	ART UNIT	CLASS-SUBCLASS	٦				
				J				
PACKARD, I	BENJAMIN J	1612	424-621000					
Address form PTO/SI	ondence address (or Cha	inge of Correspondence	2. For printing on the (1) The names of up or agents OR, alternat (2) The name of a sin registered attorney or 2 registered patent att listed, no name will b	to 3 registered pate ively, gle firm (having as agent) and the nan orneys or agents. If	nt attorn a memb	er a p to 2		
Number is required.  3 ASSIGNEE NAME A		A TO BE PRINTED ON	THE PATENT (print or ty	me)				
PLEASE NOTE: Unl	ess an assignee is identifi recordation, as set forth i	ied below, no assignee dat	ta will appear on the paten FR 3.81(a). Completion o	t. If an assignee is i f this form is NOT	a substit	tute for filing an assign	must ment.	have been previously
Please check the appropr	riate assignee category or	categories (will not be pa	rinted on the patent) : $\Box$	Individual 🖵 Corp	oration o	or other private group o	entity	Government
4b. Method of Payment:	(Please first reapply any nt via EFS-Web			y credit card (Attac	h form l	PTO-2038)		
Applicant assertin Applicant changin	ng micro entity status. See g small entity status. See ng to regular undiscounte	ee 37 CFR 1.29 237 CFR 1.27 d fee status.	NOTE: Absent a valid c fee payment in the micr NOTE: If the applicatio to be a notification of lo NOTE: Checking this be entity status, as applicat 3. See 37 CFR 1.4 for sign	o entity amount wil n was previously ur ss of entitlement to ox will be taken to l le.	l not be ider mic micro e be a noti	accepted at the risk of ero entity status, checki- entity status. ification of loss of entit	applic ng thi	eation abandonment. s box will be taken
				_				
Authorized Signature				Date				

Page 2 of 3 OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Registration No. \_

Typed or printed name \_

#### United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/746,028	01/17/2020	JOHN MALONEY	066859/542422	4075
826 75	90 01/13/2021		EXAM	INER
ALSTON & BIR	D LLP	PACKARD, BENJAMIN J		
BANK OF AMER	ICA PLAZA			2122217777
101 SOUTH TRY	ON STREET		ART UNIT	PAPER NUMBER
SUITE 4000			1612	
CHARLOTTE, NO	28280-4000		DATE MAIL ED: 01/13/202	1

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No. 16/746,028	Applicant( MALONEY	s) et al
Notice of Allowability	Examiner BENJAMIN J PACKARD	<b>Art Unit</b> 1612	AIA (FITF) Status Yes
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS ( herewith (or previously mailed), a Notice of Allowance (PTOL-85) on NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIC of the Office or upon petition by the applicant. See 37 CFR 1.313 a	OR REMAINS) CLOSED in this or other appropriate communica GHTS. This application is subje	s application. If no ation will be maile	t included d in due course. <b>THIS</b>
1. This communication is responsive to response filed 11/13/20  A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/			
2. An election was made by the applicant in response to a rest restriction requirement and election have been incorporated		ring the interview	on; the
3. The allowed claim(s) is/are 1-27. As a result of the allowed Highway program at a participating intellectual property offinhttp://www.uspto.gov/patents/init_events/pph/index.jsp	ce for the corresponding applica	ation. For more in	formation, please see
4. Acknowledgment is made of a claim for foreign priority unde	er 35 U.S.C. § 119(a)-(d) or (f).		
Certified copies:			
a) ☐All b) ☐ Some *c) ☐ None of the:			
1. Certified copies of the priority documents have	e been received.		
2. Certified copies of the priority documents have	• •		
3. Copies of the certified copies of the priority do	cuments have been received in	this national stag	ge application from the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		reply complying v	vith the requirements
5. CORRECTED DRAWINGS (as "replacement sheets") must	be submitted.		
<ul><li>including changes required by the attached Examiner's Paper No./Mail Date</li></ul>	Amendment / Comment or in the	he Office action o	f
Identifying indicia such as the application number (see 37 CFR 1. sheet. Replacement sheet(s) should be labeled as such in the heat	,	-	nt (not the back) of each
6. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT F			
Attachment(s)			
1. Notice of References Cited (PTO-892)	5. 🗌 Examiner's Ar	nendment/Comm	ent
2. Information Disclosure Statements (PTO/SB/08),	6. 🗌 Examiner's St	atement of Reaso	ons for Allowance
Paper No./Mail Date See Continuation Sheet.  3. Examiner's Comment Regarding Requirement for Deposit	7. Other		
of Biological Material 4. Interview Summary (PTO-413), Paper No./Mail Date			
/BENJAMIN J PACKARD/			
Primary Examiner, Art Unit 1612			
	l		

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20210106

Continuation of Attachment(s) 2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date: 2pgs (11/9/20), 1pg(11/19/20), 1pg (12/16/20)

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	16/746,028	MALONEY et al.
	Examiner	Art Unit
	BENJAMIN J PACKARD	1612

1	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected

CLAIMS										
Z) Claii	ms renumbe	ered in the sa	ame order as	s presented	by applican	t	□ СРА	<b>☑</b> T.[	D. 🗌	R.1.47
CL	CLAIM DATE									
Final	Original	04/27/2020	01/06/2021							
	1	=	=							
	2	=	=							
	3	=	=							
	4	=	=							
	5	=	=							
	6	=	=							
	7	=	=							
	8	=	=							
	9	=	=							
	10	=	=							
	11	=	=							
	12	=	=							
	13	=	=							
	14	=	=							
	15	=	=							
	16	=	=							
	17	=	=							
	18	=	=							
	19	=	=							
	20	=	=							
	21	=	=							
	22	=	=							
	23	=	=							
	24	=	=							
	25	=	=							
	26	=	=							
	27	=	=							

U.S. Patent and Trademark Office Part of Paper No.: 20210106

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	16/746,028	MALONEY et al.
	Examiner	Art Unit
	BENJAMIN J PACKARD	1612

CPC				
Symbol			Туре	Version
A61K	/ 33	/ 06	F	2013-01-01
A61K	/ 31	/ 191	I	2013-01-01
A23L	/ 33	/ 16	I	2016-08-01
A61K	/ 31	/ 198	I	2013-01-01
A61K	/ 31	/ 095	1	2013-01-01
A61K	/ 33	/ 28	I	2013-01-01
A61K	/ 33	/ 00	I	2013-01-01
A61K	/ 31	/ 401	I	2013-01-01
A61K	/ 31	/ 405	I	2013-01-01
A61K	/ 33	/ 241	I	2019-01-01
A61K	/ 47	/ 02	I	2013-01-01
A61K	/ 31	/ 4172	I	2013-01-01
A23L	/ 33	175	I	2016-08-01
A61K	/ 9	/ 0029	I	2013-01-01
A61K	/ 33	/ 36	I	2013-01-01
A61J	/ 1	/ 1412	A	2013-01-01
A23V	/ 2002	<i>∄</i> 00	A	2013-01-01

CPC Combination Sets				
Symbol	Туре	Set	Ranking	Version

NONE	Total Claims	s Allowed:		
(Assistant Examiner)	(Date)	27		
/BENJAMIN J PACKARD/ Primary Examiner, Art Unit 1612	06 January 2021	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	1	

U.S. Patent and Trademark Office

Part of Paper No.: 20210106

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	16/746,028	MALONEY et al.
	Examiner	Art Unit
	BENJAMIN J PACKARD	1612

INTERNATIONAL CL	ASSIFICATION					
CLAIMED						
A61K		/ 33		/ 06	i	
NON-CLAIMED						
US ORIGINAL CLASS	SIFICATION					
	CLASS			SUBCL	ASS	
CROSS REFERENCE	S(S)					
CLASS		SI	JBCLASS (ONE SU	BCLASS PER BLOC	CK)	

NONE		Total Claims Allowed:	
(Assistant Examiner)	(Date)	27	7
/BENJAMIN J PACKARD/ Primary Examiner, Art Unit 1612	06 January 2021	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1

U.S. Patent and Trademark Office

Part of Paper No.: 20210106

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	16/746,028	MALONEY et al.
	Examiner	Art Unit
	BENJAMIN J PACKARD	1612

>	Claims r	enumbe	ered in t	he san	ne ordei	r as pre	sented	by app	licant	□ C	PA (	▼ T.D	. 🗆	R.1.47	7
CLAIN	IS														
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
										·				·	

NONE		Total Claims	s Allowed:
(Assistant Examiner)	(Date)	27	,
/BENJAMIN J PACKARD/ Primary Examiner, Art Unit 1612	06 January 2021	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1

U.S. Patent and Trademark Office

Part of Paper No.: 20210106

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	16/746,028	MALONEY et al.
	Examiner	Art Unit
	BENJAMIN J PACKARD	1612

CPC - Searched*		
Symbol	Date	Examiner
A61K 33/06	04/27/2020	BP
A61K 33/06	07/20/2020	BP
A61K 33/06	01/06/2021	ВР

CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*				
Class	Subclass	Date	Examiner	

 $<sup>^{\</sup>star}$  See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

/BENJAMIN J PACKARD/ Primary Examiner, Art Unit 1612	
,	

l	Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination		
16/746,028	MALONEY et al.		
Examiner	Art Unit		
BENJAMIN J PACKARD	1612		

Search Notes						
Search Notes	Date	Examiner				
Palm inventor search	03/26/2020	ВР				
East search	03/26/2020	ВР				
STN- Caplus search, terms: I-cycsteine, aluminum, contaminate	03/26/2020	ВР				
STN plus and East searches updated	04/27/2020	ВР				
Reviewed PGR2020-00064	07/20/2020	ВР				
Reviewed PGR2020-00068	07/20/2020	ВР				
East search updated	07/20/2020	ВР				
Google scholar search	07/20/2020	ВР				
East search	01/06/2021	ВР				
Google scholar search	01/06/2021	ВР				

Interference Sea	nterference Search					
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner			
A61K	33/06	04/27/2020	ВР			
A61K	33/06	07/20/2020	ВР			
A61K	33/06	01/06/2021	ВР			

/BENJAMIN J PACKARD/ Primary Examiner, Art Unit 1612	
,	

U.S. Patent and Trademark Office Page 2 of 2

Modified PTO/SB/08 Form

Substitute f	or form 1449B/PTO			Complete if Known		
				Application Number	16/746,028	
INFO	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020	
STAT	EMENT BY A	PPLIC	ANT	First Named Inventor	John Maloney	
				Art Unit	1612	
(	Use as many sheets as r	ecessary)		Examiner Name	Benjamin J. Packard	
Sheet 1 of 2		Attorney Docket Number	066859/542422			

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
	327	"ELCYS (cysteine hydrochloride injection), for intravenous use [Label and Highlights of Prescribing Information]," Exela Pharma Sciences, LLC, 9 pages, (2019).					
	349	"Guidance for Industry: Q1A(R2) Stability Testing of New Drug Substances and Products," U.S. Dept. of Health and Human Services, FDA, CDER, CBER, 25 pages, (2003).					
	345	"International Conference on Harmonisation; Guidance on Q6A Specifications: Test Procedures and Acceptance Criteria for New Drug Substances and New Drug Products: Chemical Substances," Federal Register, 65(251):83041-83063, (2000).					
	341	AKERS, MICHAEL J., Sterile Drug Products: Formulation, Packaging, Manufacturing, and Quality, New York: Informa Healthcare, (2010).					
	328	Amended Complaint [redacted], <i>Exela Pharma Sciences, LLC v. Sandoz, Inc.</i> , Civil Action No. 1:20-cv-645-MN, (D. Del., June 1, 2020), ECF No. 12.					
	329	Amended Complaint, <i>Exela Pharma Sciences, LLC v. Eton Pharmaceuticals, Inc.</i> , Civil Action No. 20-00365-MN, (D. Del., July 28, 2020), ECF No. 14.					
	347	CHA et al., "Stability Studies," <i>Handbook of Modern Pharmaceutical Analysis</i> , Ed. Satinder Ahuja and Stephen Scypinski, 2nd ed., Vol. 10, Amsterdam: Elsevier, 459-467 and 485-486, (2011).					
	325	Declaration of Dr. Robert J. Kuhn, Exhibit 2001, Patent Owner's Preliminary Response, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00064, U.S. Patent No. 10,478,453, (August 28, 2020).					
	351	Declaration of Dr. Robert J. Kuhn, Exhibit 2001, Patent Owner's Preliminary Response, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00068, U.S. Patent No. 10,583,155, (September 18, 2020).					
	338	Declaration of Barrett Rabinow, Exhibit 1003, Petition for Post Grant Review of U.S. Patent No. 10,653,719, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00086, (PTAB September 21, 2020).					
	339	Declaration of Daniel Ingles, Exhibit 1078, Petition for Post Grant Review of U.S. Patent No. 10,653,719, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00086, (PTAB September 21, 2020).					
	348	Declaration of Harry "Warren" Johnson, dated August 24, 2020, Exhibit 1116, Petition for Post Grant Review of U.S. Patent No. 10,653,719, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma</i> <i>Sciences, LLC</i> , PGR2020-00086, (PTAB September 21, 2020).					
	330	Declaration of Mark Hartman [redacted], <i>Exela Pharma Sciences, LLC v. Sandoz, Inc.</i> , No. 19-cv-00318-MR (W.D.N.C. December 6, 2019), ECF No. 26-1.					
	340	LANGILLE, STEPHEN E., "Particulate Matter in Injectable Drug Products," PDA Journal of Pharmaceutical Science and Technology, 67(3):186–200, (2013).					
	323	MIRTALLO, JAY M., "Aluminum Contamination of Parenteral Nutrition Fluids," Journal of Parenteral and Enteral Nutrition, 34(3):346-347, (2010).					

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered	01/06/2021
-----------------------	----------------------	--------------------	------------

LEGAL02/40218625v1

Modified PTO/SB/08 Form

	Wodined 1 To/SD/66 Toffic						
	Substitute fo	or form 1449B/PTO			Complete if Known		
					Application Number	16/746,028	
	INFOF	RMATION DIS	CLOS	URE	Filing Date	January 17, 2020	
	STATEMENT BY APPLICANT				First Named Inventor	John Maloney	
					Art Unit	1612	
	(0	Use as many sheets as r	ecessary)		Examiner Name	Benjamin J. Packard	
	Sheet 2 of 2		Attorney Docket Number	066859/542422			

,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
324	Patent Owner's Preliminary Response, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00064, U.S. Patent No. 10,478,453, (August 28, 2020).
334	Patent Owner's Preliminary Response, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00068, U.S. Patent No. 10,583,155, (September 18, 2020).
333	Patent Owner's Sur-Reply to Petitioner's Reply to Patent Owner's Preliminary Response, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00064, U.S. Patent No. 10,478,453, (October 5, 2020).
336	Patent Owner's Sur-Reply to Petitioner's Reply to Patent Owner's Preliminary Response, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC,</i> PGR2020-00068, U.S. Patent No. 10,583,155, (October 26, 2020).
337	Petition for Post Grant Review of U.S. Patent No. 10,653,719, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00086, (PTAB September 21, 2020).
332	Petitioner's Reply to Patent Owner's Preliminary Response, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC,</i> PGR2020-00064, U.S. Patent No. 10,478,453, (September 28, 2020).
335	Petitioner's Reply to Patent Owner's Preliminary Response, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00068, U.S. Patent No. 10,583,155, (October 19, 2020).
344	RIGNALL, ANDY, "ICHQ1A(R2) Stability Testing of New Drug Substance and Product and ICHQ1C Stability Testing of New Dosage Forms," <i>ICH Quality: An Implementation Guide</i> , Ed. Andrew Teasdale et al., Hoboken, NJ: John Wiley & Sons, Inc., pp. 3-14, 26-31 and 37-38, (2018).
326	SEDMAN et al., "Evidence of Aluminum Loading in Infants Receiving Intravenous Therapy," The New England Journal of Medicine, 312(21):1337-1343, (1985).
350	The Merck Index: An Encyclopedia of Chemicals, Drugs, and Biologicals, Ed. Maryadele J. O'Neil et al., 14th ed., Whitehouse Station: Merck & Co., Inc, pp. 2782-2783, (2006).
331	Transcript of Telephone Conference, Exhibit 1083, <i>Eton Pharmaceuticals, Inc. v. Exela Pharma Sciences, LLC</i> , PGR2020-00064, U.S. Patent No. 10,478,453, (September 21, 2020).
343	TURCO, SALVATORE J., "Intravenous Admixtures," <i>Remington: The Science and Practice</i> , 21 ed., Philadelphia: Lippincott Williams & Wilkins, pp. 837-846, (2006).
342	USP 23/NF 27, The U.S. Pharmacopeial Convention, The National Formulary, pp. 1-12, (2009).
346	Warning Letter from U.S. Food and Drug Administration to Mr. Ian Reed, Pfizer, Hospira Inc, dated February 14, 2017.

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered	01/06/2021

### **EAST Search History**

### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	30	"I-cysteine" parenteral aluminum ppb	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	ON	2021/01/06 17:26
L2	5	"l-cysteine".clm. parenteral aluminum ppb	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	ON	2021/01/06 17:26
L3	18,721	A61K33/06.cpc.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	ON	2021/01/06 17:26
L5	138	"I-cysteine" and I3	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	ON	2021/01/06 17:26

### **EAST Search History (Interference)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L4	1,555	A61K33/06.cpc.	USPAT	AND	ON	2021/01/06 17:26
L6	32	"I-cysteine" and I4	USPAT	AND	ON	2021/01/06 17:26

1/6/2021 5:27:01 PM

C:\Users\bpackard\Documents\EAST\Workspaces\16746028-4.wsp

### Immunodiagnosis of Fasciola hepatica infection (fascioliasis) in a human population in the Bolivian Altiplano using **purified** cathepsin **L cysteine** proteinase.

SM O'Neill, M Parkinson, W Strauss, R Angles... - The American journal of ..., 1998 - ASTMH Cathepsin L1 (CL1), an immunogenic cysteine proteinase secreted by juvenile and adult Fasciola hepatica, was assessed for its potential as a diagnostic agent for the serologic detection of human fascioliasis. Using ELISAs, we compared the ability of liver fluke ...

### Rat Liver Cysteine Dioxygenase (Cysteine Oxidase) Further **Purification**, Characterization, and Analysis of the Activation and Inactivation

K Yamaguchi, Y HOSOKAWA... - The Journal of ..., 1978 - academic.oup.com ... our laboratory; activation of the inactive preparation by anaerobic incubation with **L-cysteine** and the ... findings the enzyme from cytosol fraction of rat liver was partially **purified** by Sakakibara ... This paper describes the further **purification** of the enzyme, physical properties and the ... 599 Cited by 105 Related articles All 9 versions

#### High resolution X-ray photoelectron spectroscopy of L-cysteine self-assembled films

O Cavalleri, G Gonella, S Terreni, M Vignolo... - Physical chemistry ..., 2004 - pubs.rsc.org ... Results. Fig. 1 shows the typical results of HRXPS measurements performed on pristine SAMs of purified L-cysteine ... The S B species detected in purified pristine samples (Fig. 1a) could be either a purification residue or a radiation product accumulated during the measurement ...

### **Purification**, characterization and identification of cysteine desulfhydrase of *Corynebacterium glutamicum*, and its relationship to cysteine production

#### Purification and characterization of cysteine aminotransferase from rat liver cytosol.

R Akagi - Acta medica Okayama, 1982 - europepmo.org

... The **purified** enzyme was homogeneous as judged by gel filtration, isoelectric focusing and disc electrophoresis ... Ratios of activities for L-aspartic acid and **L-cysteine** were essentially constant during the **purification** of the enzyme ...

☆ ワワ Cited by 54 Related articles All 6 versions ⋙

## Preparation and **purification** of **L-cysteine** capped CdTe quantum dots and its self-recovery of degenerate fluorescence

M.Li, H.Zhou, H.Zhang, P.Sun, K.Yi, M.Wang... - Journal of ..., 2010 - Elsevier Abstract I-cysteine capped CdTe quantum dots (QDs) were prepared in aqueous solution by a simple and efficient method, showing many advantages such as short synthesis period, the broaden range of starting pH value and the wide fluorescence emission wavelength ...

### **Purification** and characterization of human kidney cytosolic cysteine conjugate beta-lyase activity.

LH Lash, RM Nelson, RA Van Dyke... - Drug Metabolism and ..., 1990 - Citeseer ... chased from Bio-Rad (Richmond, CA). BTC, BTHC, S-(1,2-dichlorovi- nyl)-L-cysteine, and S-(I,2-dichlorovinyl)-L-homocysteine were synthe ... periments are presented. Purification Procedure ... purified by a modification ofthe procedure of Ricci et al. (21) for GTK ...

... NIFS-like Protein of Escherichia coli with Selenocysteine Lyase and Cysteine Desulfurase Activities GENE CLONING, **PURIFICATION**, AND CHARACTERIZATION OF ...

### Heterologous expression, **purification**, and characterization of recombinant rat cysteine dioxygenase

SC Chai, AA Jerkins, JJ Banik, I Shalev... - Journal of Biological ..., 2005 - ASBMB ... As compared with those existing **purification** protocols for native CDO, the milder conditions used in ... Metal analysis of **purified** recombinant protein indicated that only 10% of the protein contained ... and 37 °C. The enzyme was shown to be specific for **I-cysteine** oxidation, whereas ... \$\frac{1}{12}\$ \$\sqrt{99}\$ Cited by 69 Related articles All 8 versions \$\sqrt{9}\$\$

### **Purification** and characterization of cysteine synthetase, a bifunctional protein complex, from Salmonella typhimurium

NM Kredich, MA Becker, GM Tomkins - Journal of Biological Chemistry, 1969 - ASBMB ... Purification and Characterization of Cysteine Synthetase ... multifunctional protein complex of molecular weight 309,000 which catalyzes the two-step synthesis of L-cysteine from L-serine, acetyl-CoA ... Certain enzymic and chemical properties of a purified preparation of cysteine ...

#### Related searches

purification and characterization cysteine aminotransferase purification and characterization cysteine conjugate

Modified PTO/SB/08 Form

	Modified 1 10/gb/00 1 yrm					
Substitute	Substitute for form 1449B/PTO			Complete if Known		
		Application Number	16/746,028			
INFO	INFORMATION DISCLOSURE			Filing Date	January 17, 2020	
STAT	STATEMENT BY APPLICANT		First Named Inventor	John Maloney		
			Art Unit	1612		
	(Use as many sheets as necessary)		Examiner Name	Benjamin J. Packard		
Sheet	1	of	1	Attorney Docket Number	066859/542422	

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
	353	Decision Denying Institution of Post-Grant Review, <i>Eton Pharmaceuticals, Inc. v. Exela</i> <i>Pharma Sciences, LLC</i> , PGR2020-00068, U.S. Patent No. 10,583,155, (December 15, 2020).			

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered	01/06/2021

Modified PTO/SB/08 Form

Modifical 1 O/SD/00 1 Office						
Substitute	Substitute for form 1449B/PTO			Complete if Known		
		Application Number	16/746,028			
INFORMATION DISCLOSURE			URE	Filing Date	January 17, 2020	
STAT	STATEMENT BY APPLICANT		First Named Inventor	John Maloney		
		Art Unit	1612			
(Use as many sheets as necessary)		Examiner Name	Benjamin J. Packard			
Sheet	1	of	1	Attorney Docket Number	066859/542422	

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
	352	Decision Denying Institution of Post-Grant Review, <i>Eton Pharmaceuticals, Inc. v. Exela</i> <i>Pharma Sciences, LLC</i> , PGR2020-00064, U.S. Patent No. 10,478,453, (November 18, 2020).			

Examiner Signature	/BENJAMIN J PACKARD/	Date Considered	01/06/2021
-----------------------	----------------------	--------------------	------------

		PART I	B - FEE(S) TRANSI	MITTAL		
Complete and send	this form, together v	vith applicable fee(s	), by mail or fax, or v	ria EFS-Web.		
By mail, send to:	Mail Stop ISSUE l Commissioner for	FEE			By fax, send	l to: (571)-273-2885
	P.O. Box 1450 Alexandria, Virgin	ia 22313-1450				
further correspondence	form should be used for transcription for the form and the patent, advantage of the patent, advantage of the patent of the paten	ansmitting the ISSUE FEI	n of maintenance fees will	be mailed to the cur	rent correspondence address	apleted where appropriate. All
below or directed others	vise in Block 1, by (a) spe	ecitying a new correspond	Not	e: A certificate of	"FEE ADDRESS" for main mailing can only be used f	for domestic mailings of the
CURRENT CORRESPOND	DENCE ADDRESS (Note: Use Blo	ock 1 for any change of address)	pap	ers. Each additiona	is certificate cannot be used I paper, such as an assignm of mailing or transmission.	for any other accompanying tent or formal drawing, must
826	7590 01/13.	/2021	Lho		tificate of Mailing or Tran	nsmission ng deposited with the United
ALSTON & B			Stat	es Postal Service v	vith sufficient postage for fi	rst class mail in an envelope
BANK OF AM 101 SOUTH TE						pove, or being transmitted to 273-2885, on the date below.
SUITE 4000	CTON STREET			Bryan L. Skelt	on	(Typed or printed name)
	NC 28280-4000		/t	oryan 1. skelto	1/	(Signature)
			J	anuary 18, 20	21	(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	2	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/746,028	01/17/2020	•	JOHN MALONEY		066859/542422	4075
TITLE OF INVENTION	N: STABLE, HIGHLY PU	JRE L-CYSTEINE COM	IPOSITIONS FOR INJEC	TION AND METH	ODS OF USE	
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE TOTAL FEE(S) DU	E DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00	\$1200	04/13/2021
EXAM	MINER	ART UNIT	CLASS-SUBCLASS	1		
PACKARD,	BENJAMIN J	1612	424-621000	1		
1. Change of correspond	lence address or indication	of "Fee Address" (37	2. For printing on the	patent front page, li	st	
CFR 1.363).			(1) The names of up to or agents OR, alternati		at attorneys	& Bird LLP
Change of corresp Address form PTO/S	oondence address (or Cha	nge of Correspondence	(2) The name of a sing	le firm (having as a	member a	
			registered attorney or 2 registered patent attorney			
SB/47; Rev 03-09 or	lication (or "Fee Address" more recent) attached. Us	' Indication form PTO/ se of a Customer	listed, no name will be	printed.	3	
Number is required 3 ASSIGNEE NAME A		TO BE PRINTED ON	L ΓΗΕ PATENT (print or ty	ne)		
				•	dentified below, the document substitute for filing an assign	nt must have been previously gnment.
(A) NAME OF ASSI	GNEE		(B) RESIDENCE: (CITY	and STATE OR C	COUNTRY)	
	rma Sciences, LLC		Lenoir, NC			
				-	ration or other private group	entity 🗖 Government
		lication Fee (if required)		of Copies		
_	(Please first reapply any				4 pmc 4000)	
X Electronic Payme			Non-electronic payment by	,	,	5
The Director is he	ereby authorized to charge	the required fee(s), any	deficiency, or credit any o	verpayment to Dep	osit Account No. <u>16-060</u>	<u> </u>
5. Change in Entity 64		1 -1>				
	ntus (from status indicate ng micro entity status. Se				Entity Status (see forms PT	
• • • • • • • • • • • • • • • • • • • •	ng small entity status. See		NOTE: If the application	was previously un	not be accepted at the risk of der micro entity status, chec	
_			to be a notification of los NOTE: Checking this bo		micro entity status. e a notification of loss of en	titlement to small or micro
	ng to regular undiscounted		entity status, as applicable	e.		
	-		3. See 37 CFR 1.4 for sign	•		
Authorized Signature	/bryan l. skelton			Date <b>Janu</b>	ary 18, 2021	

Page 2 of 3 OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Registration No. 50,893

Typed or printed name Bryan L. Skelton

Electronic Patent Application Fee Transmittal							
Application Number:	167	746028					
Filing Date:	17-	-Jan-2020					
Title of Invention:		ABLE, HIGHLY PURE THODS OF USE	L-CYSTEINE CO	Ompositions for	INJECTION AND		
First Named Inventor/Applicant Name:	JOHN MALONEY						
Filer:	Bry	van Lee Skelton/Lau	ra Tremont				
Attorney Docket Number:	066	6859/542422					
Filed as Small Entity							
Filing Fees for Utility under 35 USC 111(a)							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
UTILITY APPL ISSUE FEE		2501	1	600	600		

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	600

Electronic Ack	knowledgement Receipt
EFS ID:	41672760
Application Number:	16746028
International Application Number:	
Confirmation Number:	4075
Title of Invention:	STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE
First Named Inventor/Applicant Name:	JOHN MALONEY
Customer Number:	826
Filer:	Bryan Lee Skelton/Laura Tremont
Filer Authorized By:	Bryan Lee Skelton
Attorney Docket Number:	066859/542422
Receipt Date:	18-JAN-2021
Filing Date:	17-JAN-2020
Time Stamp:	17:52:46
Application Type:	Utility under 35 USC 111(a)

### **Payment information:**

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	\$600
RAM confirmation Number	E20211HH53107864
Deposit Account	160605
Authorized User	Laura Tremont

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.21 (Miscellaneous fees and charges)

37 CFR 1.20 (Post Issuance fees)

37 CFR 1.19 (Document supply fees)

37 CFR 1.17 (Patent application and reexamination processing fees)

37 CFR 1.16 (National application filing, search, and examination fees)

#### **File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			126357		
1	Issue Fee Payment (PTO-85B)	542422_Issue_Fee_Transmittal. pdf	027a1e374fc01ab4bfd5debecea83dd3d57 12447	no	1
Warnings:					
Information:					
			30268		
2	Fee Worksheet (SB06)	fee-info.pdf	6ec0d02b3e25cec8ec335cd02af38882b2c b3770	no	2
Warnings:			'	•	
Information:					
		Total Files Size (in bytes)	15	56625	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

### UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
16/746,028	03/02/2021	10933089	066859/542422	4075	

826

02/10/2021

ALSTON & BIRD LLP ONE SOUTH AT THE PLAZA 101 SOUTH TRYON STREET SUITE 4000 CHARLOTTE, NC 28280-4000

7590

### **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

JOHN MALONEY, Salisbury, NC; Exela Pharma Sciences, LLC, Lenoir, NC; Aruna Koganti, Lenoir, NC; Phanesh Koneru, Waxhaw, NC;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

IR103 (Rev. 10/09)

AO 120 (Rev. 08/10)

TO:

### Mail Stop 8 Director of the U.S. Patent and Trademark Office

### REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450		ACTION REGARDING A PATENT OR TRADEMARK			
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court for the District of Delaware on the following  ☐ Trademarks or Patents. ☐ the patent action involves 35 U.S.C. § 292.):					
DOCKET NO. DATE FILED 1:20-cv-00365 MN 4/14/2021			STRICT COURT for the District of Delaw	/arp	
PLAINTIFF			DEFENDANT	, ai C	
EXELA PHARMA SCIENCES, LLC			ETON PHARMACEUTICALS, INC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	DEMARK	
1 10,478,453	11/19/2019		Exela Pharma Sceinces, LLC		
2 10,583,155	3/10/2020		Exela Pharma Sciences, LLC		
3		anna anna			
4					
5					
DATE INCLUDED 7/28/2020 & 4/14/2021	INCLUDED BY	the following	patent(s)/ trademark(s) have been included:	Other Diagline	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	emerancia.	HOLDER OF PATENT OR TRADEMARK		
1 10,653,719	5/19/2020	EXE	EXELA PHARMA SCIENCES, LLC(Incl. 7/28/2020; Amd.Cmp)		
2 10,905,713	2/2/2021	EXE	EXELA PHARMA SCIENCES, LLC (Incl. 4/14/2021; 2d Amd.Cmp		
3 10,912,795	2/9/2021	EXE	EXELA PHARMA SCIENCES, LLC (Incl. 4/14/2021; 2d Amd.Cmp		
4 10,933,089	3/2/2021	EXE	EXELA PHARMA SCIENCES, LLC (Incl. 4/14/2021; 2d Amd.Cmp		
5					
			Order dated 9/24/2021. is been rendered or judgement issued:		
DECISION/JUDGEMENT					
CLERK	I)	BY) DEPUTY	CLERK	DATE	

Copy 1-Upon initiation of action, mail this copy to Director Copy 3----Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY.DOCKET NO./TITLE	REQUEST ID
16/746,028	01/17/2020	JOHN MALONEY	066859/542422	182921

### Acknowledgement of Loss of Entitlement to Entity Status Discount

The entity status change request below filed through Private PAIR on 01/27/2023 has been accepted.

### **CERTIFICATIONS:**

### **Change of Entity Status:**

X Applicant changing to regular undiscounted fee status.

NOTE: Checking this box will be taken to be notification of loss of entitlement to small or micro entity status, as applicable.

This portion must be completed by the signatory or signatories making the entity status change in accordance with 37 CFR 1.4(d)(4).

Signature:	/Bryan L. Skelton/
Name:	Bryan L. Skelton
Registration Number:	50893