

Exhibit 4

US 8,524,365 B2

Page 3

- Gao, M. et al. "Synthesis of PbS Nanoparticles in Polymer Matrices", *J. Chem. Soc. Commun.* (1994) pp. 2779-2780.
- Gou et al., *J. Am. Chem. Soc.* (2006) 128:7222-7229.
- Gur et al., "Air Stable all-inorganic nanocrystal solar cells processed from solution," Lawrence Berkeley Natl. Lab., Univ. of California, paper LBNL-58424 (2005).
- Gurin, *Colloids Surf. A* (1998) 142:35-40.
- Guzelian, A. et al. "Colloidal chemical synthesis and characterization of InAs nanocrystal quantum dots", *Appl. Phys. Lett.* (1996) 69: 1432-1434.
- Guzelian, A. et al., *J. Phys. Chem.* (1996) 100: 7212.
- Hagfeldt, A. et al. "Light-induced Redox Reactions in Nanocrystalline Systems", *Chem. Rev.* (1995) 95: 49-68.
- Henglein, A. "Small-Particle Research: Physicochemical Properties of Extremely Small Colloidal Metal and Semiconductor Particles", *Chem Rev.* (1989) 89: 1861-1873.
- Hirpo et al., "Synthesis of Mixed Copper-Indium Chalcogenolates. Single-Source Precursors for the Photovoltaic Materials CuIn₂Q₂ (Q=S, Se)", *J. Am. Chem. Soc.* (1993) 115:1597.
- Hu et al., *Sol. State Comm.* (2002) 121:493-496.
- International Search Report for PCT/GB2005/001611 mailed Sep. 8, 2005 (5 pages).
- Jegier, J.A. et al. "Poly(imidogallane): Synthesis of a Crystalline 2-D Network Solid and Its Pyrolysis To Form Nanocrystalline Gallium Nitride in Supercritical Ammonia", *Chem. Mater.* (1998) 10: 2041-2043.
- Jiang et al., *Inorg. Chem.* (2000) 39:2964-2965.
- Kaelin et al., "CIS and CIGS layers from selenized nanoparticle precursors," *Thin Solid Films* 431-432 (2003) pp. 58-62.
- Kapur et al., "Non-Vacuum processing of CuIn_{1-x}Ga_xSe₂ solar cells on rigid and flexible substrates using nanoparticle precursor inks," *Thin Solid Films* 431-432 (2003) pp. 53-57.
- Kher, S. et al. "A Straightforward, New Method for the Synthesis of Nanocrystalline GaAs and GaP", *Chem. Mater.* (1994) 6: 2056-2062.
- Kim et al., "Synthesis of CuInGaSe₂ Nanoparticles by Low Temperature Colloidal Route," *J. Mech. Sci. Tech.*, Vol. 19, No. 11, pp. 2085-2090 (2005).
- Law et al., "Nanowire dye-sensitized solar cells," *Nature Mater.* (2005) vol. 4 pp. 455-459.
- Li et al., *Adv. Mat.* (1999) 11:1456-1459.
- Lieber, C. et al. "Understanding and Manipulating Inorganic Materials with Scanning Probe Microscopes", *Angew. Chem. Int. Ed. Engl.* (1996) 35: 687-704.
- Little et al., "Formation of Quantum-dot quantum-well heterostructures with large lattice mismatch: Zn/CdS/ZnS," *114 J. Chem. Phys.* 4 (2001).
- Lu et al., *Inorg. Chem.* (2000) 39:1606-1607.
- Løver, T. et al. "Preparation of a novel CdS nanocluster material from a thiophenolate-capped CdS cluster by chemical removal of SPH ligands", *J. Mater. Chem.* (1997) 7(4): 647-651.
- Malik et al., *Adv. Mat.*, (1999) 11:1441-1444.
- Matijevic, E., "Monodispersed Colloids: Art and Science", *Langmuir* (1986) 2:12-20.
- Matijevic, E. "Production of Monodispersed Colloidal Particles", *Ann. Rev. Mater. Sci.* (1985) 15: 483-518.
- Mekis, I. et al., "One-Pot Synthesis of Highly Luminescent CdSe/CdS Core-Shell Nanocrystals via Organometallic and "Greener" Chemical Approaches", *J. Phys. Chem. B.* (2003) 107: 7454-7462.
- Mews et al., *J. Phys. Chem.* (1994) 98:934.
- Micic et al., "Synthesis and Characterization of InP, GaP, and GaInP₂ Quantum Dots", *J. Phys. Chem.* (1995) pp. 7754-7759.
- Milliron et al., "Electroactive Surfactant Designed to Mediate Electron Transfer between CdSe Nanocrystals and Organic Semiconductors," *Adv. Materials* (2003) 15, No. 1, pp. 58-61.
- Murray, C.B. et al., "Synthesis and Characterization of Nearly Monodisperse CdE (E = S, Se, Te) Semiconductor Nanocrystallites", *J. Am. Chem. Soc.* (1993) 115 (19) pp. 8706-8715.
- Nairn et al., *Nano Letters* (2006) 6:1218-1223.
- Nazeeruddin et al., "Conversion of Light to Electricity by cis-X₂Bis(2,2'-bipyridyl)-4,4'-dicarboxylate)ruthenium(II) Charge-Transfer Sensitizers (X=C1-, Br-, I-, CN-, and SCN-) on Nazeeruddin et al., "Engineering of Efficient Panchromatic Sensitizers for Nanocrystalline TiO₂-Based Solar Cells," *J. Am. Chem. Soc.* (2001) 123:1613-1624.
- O'Brien et al., "The Growth of Indium Selenide Thin Films from a Novel Asymmetric Dialkydiselenocarbamate," *3 Chem. Vap. Depos.* 4, pp. 227 (1979).
- Olshavsky, M.A., et al. "Organometallic Synthesis of GaAs Crystallites Exhibiting Quantum Confinement", *J. Am. Chem. Soc.* (1990) 112: 9438-9439.
- Olson et al., *J. Phys. Chem. C.*, (2007) 111:16640-16645.
- Patents Act 1977: Search Report under Section 17 for Application No. GB0409877.8 dated Oct. 7, 2004 (2 pages).
- Patent Act 1977 Search Report under Section 17 for Application No. GB0522027.2 dated Jan. 27, 2006 (1 page).
- Patent Act 1977 Search Report under Section 17 for Application No. GB0606845.6 dated Sep. 14, 2006.
- Patent Act 1977 Search Report under Section 17 for Application No. GB0719073.9 dated Feb. 29, 2008.
- Patent Act 1977 Search Report under Section 17 for Application No. GB0719075.4 dated Jan. 22, 2008.
- Patent Act 1977 Search Report under Section 17 for Application No. GB0723539.3 dated Mar. 27, 2008 (1 page).
- Peng et al., "Mechanisms of the Shape Evolution of CdSe Nanocrystals", *J. AM. Chem. Soc.* (2001) 123:1389.
- Peng et al., "Kinetics of I-VI and III-V Colloidal Semiconductor Nanocrystal Growth: "Focusing" or Size Distributions", *J. Am. Chem. Soc.*, (1998) 129: 5343-5344.
- Peng et al., "Shape control of CdSe nanocrystals", *Nature*, (2000) vol. 404, No. 6773, pp. 59-61.
- Pradhan, N. et al. "Single-Precursor, One-Pot Versatile Synthesis under near Ambient Conditions of Tunable, Single and Dual Band Fluorescing Metal Sulfide Nanoparticles", *J. Am. Chem. Soc.* (2003) 125: 2050-2051.
- Qi et al., "Efficient polymer-nanocrystal quantum-dot photodetectors," *Appl. Physics Lett.* 86 (2005) 093103-093103-3.
- Qu, L. et al. "Alternative Routes toward High Quality CdSe Nanocrystals", *Nano Lett.* (2001) vol. 1, No. 6, pp. 333-337.
- Robel et al., "Quantum Dot Sellar Cells. Harvesting Light Energy with CdSe Nanocrystals Molecularly Linked to Mesoscopic TiO₂ Films," *J. Am. Chem. Soc.* (2006) 128; 2385-2393.
- Salata, O.V. et al. "Uniform GaAs quantum dots in a polymer matrix", *Appl. Phys. Letters* (1994) 65(2): 189-191.
- Sercel, P.C. et al. "Nanometer-scale GaAs clusters from organometallic precursors", *Appl. Phys. Letters* (1992) 61: 696-698.
- Shulz et al., *J. Elect. Mat.* (1998) 27:433-437.
- Steigerwald, M.L. et al. "Semiconductor Crystallites: A Class of Large Molecules", *Acc. Chem. Res.* (1990) 23: 183-188.
- Stroscio, J.A. et al. "Atomic and Molecular Manipulation with the Scanning Tunneling Microscope", *Science* (1991), 254: 1319-1326.
- Trinidad et al., "A Single Source Approach to the Synthesis of CdSe Nanocrystallites", *Advanced Materials*, (1996) vol. 8, No. 2, pp. 161-163.
- Vayssieres et al., "Highly Ordered SnO₂ Nanorod Arrays from Controlled Aqueous Growth," *Angew. Chem. Int. Ed.* (2004) 43: 3666-3670.
- Wang Y. et al. "PbS in polymers, From molecules to bulk solids", *J. Chem. Phys.* (1987) 87: 7315-7322.
- Weller, H. "Colloidal Semiconductor Q-Particles: Chemistry in the Transition Region Between Solid State and Molecules", *Angew. Chem. int. Ed. Engl.* (1993) 32: 41-53.
- Weller, H. "Quantized Semiconductor Particles: A Novel State of Matter for Materials Science", *Adv. Mater.* (1993) 5: 88-95.
- Wells, R.L. et al. "Synthesis of Nanocrystalline Indium Arsenide and Indium Phosphide from Indium(III) Halides and Tris (trimethylsilyl)pnictogens. Synthesis, Characterization, and Decomposition Behavior of I₃In-P(SiMe₃)₃", *Chem. Mater.* (1995) 7: 793-800.
- Xiao et al., *J. Mater. Chem.* (2001) 11:1417-1420.
- Yang et al., *Crystal Growth & Design* (2007) 12:2562-2567.
- Yu et al., "Polymer Photovoltaic Cells: Enhanced Efficiencies via a

US 8,524,365 B2

Page 4

- Zhong et al., *Nanotechnology* 18 (2007) 025602.
- Barron, "Group III Materials: New Phases and Nano-particles with Applications in Electronics and Optoelectronics," Office of Naval Research Final Report (1999).
- Dabousi et al., "(CdSe)/ZnS Core—Shell Quantum Dots: Synthesis and Characterization of a Size Series of Highly Luminescent Nanocrystallites," *Jrl. Phys. Chem.*, (1997) 101, pp. 9463-9475.
- Dehnen et al., "Chalcogen-Bridged Copper Clusters," *Eur. J. Inorg. Chem.*, (2002) pp. 279-317.
- Eisenmann et al., "New Phosphido-bridged Multinuclear Complexes of Ag and Zn," *Zeitschrift für anorganische und allgemeine Chemie* (1995). (1 page—abstract).
- Müller et al., "From Giant Molecular Clusters and Precursors to Solid-state Structures," *Current Opinion in Solid State and Materials Science*, 4 (Apr. 1999) pp. 141-153.
- Timoshkin, "Group 13 imido metallanes and their heavier analogs [RMYR]₃N (M=Al, Ga, In; Y=N, P, As, Sb)," *Coordination Chemistry Reviews* (2005).
- Vittal, "The chemistry of inorganic and organometallic compounds with adamantane-like structures," *Polyhedron*, vol. 15, No. 10, pp. 1585-1642 (1996).
- Zhong et al., "Composition-Tunable Zn_xCu_{1-x}Se Nanocrystals with High Luminescence and Stability," *Jrl Amer. Chem. Soc.* (2003). International Search Report for PCT/GB2006/003028 mailed Jan. 22, 2007 (5 pages).
- Nielsch et al., "Uniform Nickel Deposition into Ordered Alumina Pores by Pulsed Electrodeposition," *Advanced Materials*, 2000 vol. 12, No. 8, pp. 582-586.
- Huang et al., "Bio-Inspired Fabrication of Antireflection Nanostructures by Replicating Fly Eyes," *Nanotechnology* (2008) vol. 19.
- Materials Research Society Symposium Proceedings Quantum Dots, Nanoparticles and Nanowires, 2004, ISSN: 0272-9172.
- Xie et al., "Synthesis and Characterization of Highly Luminescent CdSe-Core CdS/Zn_{0.5}Cd_{0.5}/ZnS Multishell Nanocrystals," *JACS* Articles published on web Apr. 29, 2005.
- Kim et al., "Engineering InAsP_{1-x}/InP/ZnSe III-V Alloyed Core-Shell Quantum Dots for the Near-Infrared," *JACS* Articles published on web Jul. 8, 2005.
- Rao et al. "The Chemistry of Nanomaterials: Synthesis, Properties and Applications" (2004).
- Trinidad et al., "Nanocrystalline Semiconductors: Synthesis, Properties, and Perspectives", *Chemistry of Materials*, (2001) vol. 13, No. 11, pp. 3843-3858.
- International Search Report for PCT/GB2009/001928 mailed Dec. 8, 2009 (3 pages).
- International Search Report for PCT/GB2009/002605 mailed Feb. 22, 2010 (3 pages).
- Search Report for GB0813273.0 searched Dec. 8, 2008 (1 page).
- Search Report for GB0814458.6 searched Dec. 5, 2008 (2 pages).
- Search Report for GB0820101.4 searched Mar. 3, 2009 (1 page).
- Search Report for GB0821122.9 searched Mar. 19, 2009 (2 pages).
- Foneberoy et al., "Photoluminescence of tetrahedral quantum-dot quantum wells" *Physica E*, 26:63-66 (2005).
- Cao, "Effect of Layer Thickness on the Luminescence Properties of ZnS/CdS/ZnS quantum dot quantum well", *J. of Colloid and interface Science* 284:516-520 (2005).
- Harrison et al. "Wet Chemical Synthesis on Spectroscopic Study of CdHgTe Nanocrystals with Strong Near-infrared Luminescence" *Mat. Sci and Eng.* B69-70:355-360 (2000).
- Sheng et al. "In-Situ Encapsulation of Quantum Dots into Polymer Microspheres", *Langmuir* 22(8):3782-3790 (2006).
- W. Peter Wuelfing et al., "Supporting Information for Nanometer Gold Clusters Protected by Surface Bound Monolayers of Thiolated Poly (ethylene glycol) Polymer Electrolyte" *Journal of the American Chemical Society* (XP002529160) (1998).
- International Search Report for PCT/GB2009/000510 mailed Jul. 6, 2010 (16 pages).
- International Search Report for PCT/GB2008/003958 mailed Sep. 4, 2009 (4 pages).
- Banger et al., "Ternary single-source precursors for polycrystalline thin-film solar cells" *Applied Organometallic Chemistry*, 16:617-627, XP002525473 Scheme 1 Chemical Synthesis (2002).
- D Qi, M Fischbein, M Drndic, S. Selmic, "Efficient polymer-nanocrystal quantum-dot photodetectors", *Appl. Phys. Lett.*, 2004, 84, 4295.
- Shen et al., "Photoacoustic and photoelectrochemical characterization of CdSe-sensitized TiO₂ electrodes composed of nanotubes and nanowires" *Thin Solid Films*, Elsevier-Sequoia S.A. Lausanne, CH vol. 499, No. 1-2, Mar. 21, 2006, pp. 299-305, XP005272241 ISSN: 0040-6090.
- Smestad GP, et al., "A technique to compare polythiophene solid-state dye sensitized TiO₂ solar cells to liquid junction devices" *Solar Energy Materials and Solar Cells*, Elsevier Science Publishers, Amsterdam, NL, vol. 76, No. 1, Feb. 15, 2003, pp. 85-105, XP004400821 ISSN: 0927-0248.
- Chen et al., "Electrochemically synthesized CdS nanoparticle-modified TiO₂ nanotube-array photoelectrodes: Preparation, characterization, and application to photoelectrochemical cells" *Journal of Photochemistry and Photobiology, a: Chemistry*, Elsevier Sequoia Lausanne, CH, vol. 177, No. 2-3, Jan. 25, 2006, pp. 177-184, XP005239590 ISSN: 1010-6030.
- Wang, et al., "In situ polymerization of amphiphilic diacetylene for hole transport in solid state dye-sensitized solar cells" *Organic Electronics*, Elsevier, Amsterdam NL, vol. 7, No. 6, Nov. 18, 2006, pp. 546-550, XP005773063 ISSN: 1566-1199.
- International Search Report and Written Opinion for PCT/GB2008/001457 mailed Aug. 21, 2008 (14 pages).
- Richardson et al., "Chemical Engineering: Chemical and Biochemical Reactors and Process Control," vol. 3, Third Edition pp. 3-5 (1994).
- Hu et al., *Solar Cells: From basics to advanced systems*. McGraw-Hill Book Co. pp. 73-74 (1983).
- Talpin et al. "Synthesis of Surface-Modified Colloidal Semiconductor Nanocrystals and Study of Photoinduced Charge Separation and Transport in Nanocrystal-Polymer Composites," *Physica E*, vol. 14, pp. 237-241 (2002).

* cited by examiner

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.