

UNITED STATES COURT OF APPEALS
FOR THE DISTRICT OF COLUMBIA CIRCUIT

JANE DOE,

Petitioner.

v.

THE SECURITIES AND
EXCHANGE COMMISSION,

Respondent.

No. 21-1098

Petition for Review

**Public Copy—Sealed Material
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Petition for Review

Pursuant to Section 21(F) of the Securities Exchange Act of 1934 and Fed. R. App. P. 15, Petitioners Jane Doe (Claimant No. 12), a person aggrieved by the final order of the Securities and Exchange Commission entered on March 4, 2021, in Administrative Proceeding File No. 3-17177 of the Commission (*In the Matter of Novartis AG*), petition this Court to review that final order.

Respectfully submitted,

/s/ Max Maccoby

Max Maccoby, DC Bar No. 462064
Washington Global Law Group PLLC
1701 Pennsylvania Ave., NW, Suite 200
Washington, D.C. 20006
(202) 248-5439
maccoby@washglobal-law.com
Counsel for Petitioner

ADDENDUM 1

The petitioner's real name is [REDACTED].

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 2nd day of April, 2021, copies of the Petition for Review were served on the following by first class mail to:

Office of the General Counsel
Securities and Exchange Commission
100 F Street NE
Washington, DC 20549

Jane A. Norberg, Esq.,
Chief Office of the Whistleblower
Securities and Exchange Commission
100 F Street NE, Mail Stop 5628
Washington, DC 20549

/s/ Max Maccoby

Max Maccoby, DC Bar No. 462064
Washington Global Law Group PLLC
1701 Pennsylvania Ave., NW, Suite 200
Washington, D.C. 20006
(202) 248-5439
maccoby@washglobal-law.com
Counsel for Petitioner