

## Criminal Notice of Appeal - Form A

6/4/15

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DATE FILED: JUN 04 2015

## NOTICE OF APPEAL

United States District Court

Southern District of New York

Caption:  
United States \_\_\_\_\_ v.

Ross William Ulbricht

Docket No.: 14 Cr. 68 (KBF)  
Honorable Katherine B. Forrest  
(District Court Judge)

Notice is hereby given that Ross William Ulbricht appeals to the United States Court of Appeals for the Second Circuit from the judgment  other  and Preliminary Order of Forfeiture/Money Judgment entered in this action on June 1, 2015 (specify) (date)

This appeal concerns: Conviction only  Sentence only  Conviction & Sentence  Other

Defendant found guilty by plea  trial  N/A

Offense occurred after November 1, 1987? Yes  No  N/A

Date of sentence: May 29, 2015 N/A

Bail/Jail Disposition: Committed  Not committed  N/A

Appellant is represented by counsel? Yes  No  If yes, provide the following information:

Defendant's Counsel: Law Offices of Joshua L. Dratel, P.C.

Counsel's Address: 29 Broadway, Suite 1412

New York, New York 10006

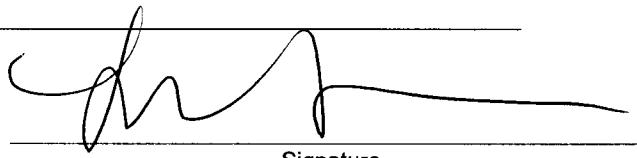
Counsel's Phone: (212)732-0707

Assistant U.S. Attorney: Serrin Turner

AUSA's Address: United States Attorney's Office, Southern District of New York

One Saint Andrews Plaza, New York, New York 10007

AUSA's Phone: 212-637-1946



Signature

CLOSED,APPEAL,ECF,PRIOR

**U.S. District Court**  
**Southern District of New York (Foley Square)**  
**CRIMINAL DOCKET FOR CASE #: 1:14-cr-00068-KBF All Defendants**

Case title: USA v. Ulbricht  
 Magistrate judge case number: 1:13-mj-02328-UA

Date Filed: 02/04/2014  
 Date Terminated: 06/01/2015

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Assigned to: Judge Katherine B.  
 Forrest

**Defendant (1)**

**Ross William Ulbricht**  
 TERMINATED: 06/01/2015  
*also known as*  
 Dread Pirate Roberts  
 TERMINATED: 06/01/2015  
*also known as*  
 Silk Road  
 TERMINATED: 06/01/2015  
*also known as*  
 Sealed Defendant 1  
 TERMINATED: 06/01/2015  
*also known as*  
 DPR  
 TERMINATED: 06/01/2015

represented by **Joshua Lewis Dratel**  
 Law Offices of Joshua L. Dratel, P.C.  
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 New York, NY 10006  
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**ATTORNEY TO BE NOTICED**  
*Designation: Retained*

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 Tech Law Ny  
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**ATTORNEY TO BE NOTICED**  
*Designation: Retained*

**Pending Counts**

21:841A=CD.F AIDING AND  
 ABETTING DISTRIBUTION OF  
 DRUGS OVER INTERNET  
 (2s)

21:848.F CONTINUING  
 CRIMINAL ENTERPRISE

**Disposition**

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of: Counts Two (2) and Four (4): Life to run concurrently; Count (5): Five (5) Years to run concurrently; Count Six (6): Fifteen (15) Years to run concurrently; Count Seven (7): Twenty (20) Years to run concurrently. Upon release from imprisonment, the defendant shall be on supervised release for a term of: Life on Counts Two (2) and Four (4) to run concurrently; Three (3) Years on Counts Five (5), Six (6) and Seven (7) to run concurrently.

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned

18:1030A.F COMPUTER  
HACKING CONSPIRACY  
(5s)

concurrently; Count Six (6): Fifteen (15) Years to run concurrently; Count Seven (7): Twenty (20) Years to run concurrently. Upon release from imprisonment, the defendant shall be on supervised release for a term of: Life on Counts Two (2) and Four (4) to run concurrently; Three (3) Years on Counts Five (5), Six (6) and Seven (7) to run concurrently.

18:1028A.F FRAUD WITH  
IDENTIFICATION  
DOCUMENTS  
(6s)

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of: Counts Two (2) and Four (4): Life to run concurrently; Count (5): Five (5) Years to run concurrently; Count Six (6): Fifteen (15) Years to run concurrently; Count Seven (7): Twenty (20) Years to run concurrently. Upon release from imprisonment, the defendant shall be on supervised release for a term of: Life on Counts Two (2) and Four (4) to run concurrently; Three (3) Years on Counts Five (5), Six (6) and Seven (7) to run concurrently.

18:1956-4999.F MONEY  
LAUNDERING CONSPIRACY  
(7s)

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of: Counts Two (2) and Four (4): Life to run concurrently; Count (5): Five (5) Years to run concurrently; Count Six (6): Fifteen (15) Years to run concurrently; Count Seven (7): Twenty (20) Years to run concurrently. Upon release from imprisonment, the defendant shall be on supervised release for a term of: Life on Counts Two (2) and Four (4) to run concurrently; Three (3) Years on Counts Five (5), Six (6) and Seven (7) to run concurrently.

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of: Counts Two (2) and Four (4): Life to run concurrently; Count (5): Five (5) Years to run concurrently; Count Six (6): Fifteen (15) Years to run concurrently; Count Seven (7): Twenty (20) Years to run concurrently. Upon release from imprisonment, the defendant shall be on supervised release for a term of: Life on Counts Two (2) and Four (4) to run concurrently; Three (3) Years on Counts Five (5), Six (6) and Seven (7) to run concurrently.

### Highest Offense Level (Opening)

Felony

### Terminated Counts

21:846=CD.F DRUG  
TRAFFICKING CONSPIRACY  
(1)

### Disposition

Count is dismissed on the motion of the United States.

21:841G=CI.F DRUG  
TRAFFICKING  
(1s)

Count is dismissed on the motion of the United States.

21:848.F CONTINUING  
CRIMINAL ENTERPRISE  
(2)

Count is dismissed on the motion of the United States.

18:1030B.F COMPUTER  
HACKING CONSPIRACY  
(3)

Count is dismissed on the motion of the United States.

(3s)

18:1956-6801.F MONEY  
 LAUNDERING (DRUG  
 TRAFFICKING CONSPIRACY)  
 (4)

Count is dismissed on the motion of the United States.

**Highest Offense Level**  
**(Terminated)**

Felony

**Complaints**

21:846=CD.F CONSPIRACY TO  
 DISTRIBUTE CONTROLLED  
 SUBSTANCE, 18:1030A.F  
 FRAUD ACTIVITY  
 CONNECTED WITH  
 COMPUTERS, , 18:1956-4999.F  
 MONEY LAUNDERING-  
 FRAUD, OTHER

**Disposition**

**Plaintiff**

USA

represented by **Serrin Andrew Turner**  
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**ATTORNEY TO BE NOTICED**

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**ATTORNEY TO BE NOTICED**

Date Filed	#	Docket Text
09/27/2013		SEALED ORAL ORDER as to Sealed Defendant 1. (Signed by Magistrate Judge Frank Maas on 9/27/2013)(dif) [1:13-mj-02328-UA] (Entered: 10/22/2013)
09/27/2013	1	COMPLAINT as to Sealed Defendant 1 (1). In Violation of 21 U.S.C. 846, 18 U.S.C. 1030 & 1956 (Signed by Magistrate Judge Frank Maas) (dif) [1:13-mj-02328-UA] (Entered: 10/22/2013)
10/01/2013		Arrest of Ross William Ulbright in the United States District Court – Northern District of California. (dif) [1:13-mj-02328-UA] (Entered: 10/22/2013)
10/18/2013	3	Rule 5(c)(3) Documents Received as to Ross William Ulbright from the United States District Court – Northern District of California. (dif) [1:13-mj-02328-UA] (Entered: 10/22/2013)
11/05/2013		Arrest of Ross William Ulbright. (dif) [1:13-mj-02328-UA] (Entered: 11/06/2013)
11/06/2013	4	NOTICE OF ATTORNEY APPEARANCE: Retained Attorney Joshua Lewis

		11/06/2013)
11/06/2013		Minute Entry for proceedings held before Magistrate Judge Ronald L. Ellis: Initial Appearance as to Ross William Ulbright held on 11/6/2013., Deft Appears with Retained Attorney Joshua Dratel and AUSA Serrin Turner for the government. Detention Hearing Scheduled for 11/21/13 at 11:00 AM; ( Preliminary Hearing set for 12/6/2013 at 10:00 AM before Judge Unassigned.) (dif) [1:13-mj-02328-UA] (Entered: 11/06/2013)
11/21/2013		Minute Entry for proceedings held before Magistrate Judge Kevin Nathaniel Fox: Detention Hearing as to Ross William Ulbright held on 11/21/2013. Deft Appears with Retained Attorney Joshua Dratel and AUSA Serrin Turner for the government. The Defendant Did Not Overcome The Presumption That There Are No Conditions That Can Be Fashioned to Permit Him To Be At Liberty While The Criminal Action is Pending. Clear and Convincing Evid That The Defendant Sought To Have Several Persons Murdered Was Presented To The Court Which Demonstrate The Defendant Presents As A Danger To The Community. In Addition Considerable Un-Rebutted Evid Was Presented That The Defendant Has The Resources To Flee and Previously Acquired Many False Identification Documents That Would Permit Him to Flee. Furthermore He Has Used an Alias Previously. (dif) [1:13-mj-02328-UA] (Entered: 11/21/2013)
11/22/2013	5	SEALED DOCUMENTS FILED as to Ross William Ulbright.. (Signed by Magistrate Judge Kevin Nathaniel Fox on 11/22/2013)(dif) [1:13-mj-02328-UA] (Entered: 11/26/2013)
11/22/2013	6	LETTER as to Ross William Ulbright addressed to Magistrate Judge Kevin Nathaniel Fox from Joshua Dratel, Esq dated 11/19/2013 re: USA v Ross William Ulbright, 13 Mag 2328.. (Signed by Magistrate Judge Kevin Nathaniel Fox on 11/22/2013) (Docket and File)(dif) [1:13-mj-02328-UA] (Entered: 11/26/2013)
11/22/2013	7	LETTER as to Ross William Ulbright addressed to Magistrate Judge Kevin Nathaniel Fox from Joshua Dratel, Esq dated 11/20/2013 re: USA v Ross William Ulbright, 13 Mag 2328.. (Signed by Magistrate Judge Kevin Nathaniel Fox on 11/22/2013) (Docket and File)(dif) [1:13-mj-02328-UA] (Entered: 11/26/2013)
11/22/2013	8	LETTER as to Ross William Ulbright addressed to Magistrate Judge Kevin Nathaniel Fox from AUSA Serrin Turner dated 11/20/2013 re: USA v Ross William Ulbright, 13 Mag 2328.. (Signed by Magistrate Judge Kevin Nathaniel Fox on 11/22/2013) (Docket and File)(dif) Modified on 12/4/2013 (jm). [1:13-mj-02328-UA] (Entered: 11/26/2013)
12/06/2013	9	AFFIRMATION of Serrin Turner in Support by USA as to Ross William Ulbright, the government is requesting a 30-day continuance until 1/6/14.(jbo) [1:13-mj-02328-UA] (Entered: 12/09/2013)
12/06/2013	10	ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Ross William Ulbright. Time excluded from 12/6/13 until 1/6/14. (Signed by Magistrate Judge James C. Francis on 12/6/13)(jbo) [1:13-mj-02328-UA] (Entered: 12/09/2013)
01/06/2014	11	AFFIRMATION of AUSA Serrin Turner in Support by USA as to Ross William Ulbright, the Government is requesting a 30 continuance until 2/4/2014. (ajc) [1:13-mj-02328-UA] (Entered: 01/07/2014)
01/06/2014		ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Ross William Ulbright re: 10 Order to Continue – Interest of Justice. Time excluded from 1/6/2014 until 2/4/2014. Follows oral order of 1/6/2014. (Signed by Magistrate Judge Sarah Netburn on 1/6/2014) (ajc) [1:13-mj-02328-UA] (Entered: 01/07/2014)
02/04/2014		Case Designated ECF as to Ross William Ulbright. (jbo) (Entered: 02/04/2014)
02/04/2014	12	INDICTMENT FILED as to Ross William Ulbright (1) count(s) 1, 2, 3, 4. (jbo) (Entered: 02/04/2014)
02/07/2014		Minute Entry for proceedings held before Judge Katherine B. Forrest:Arraignment as to Ross William Ulbright (1) Count 1 2 3 4Ross William Ulbright (1) Count

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