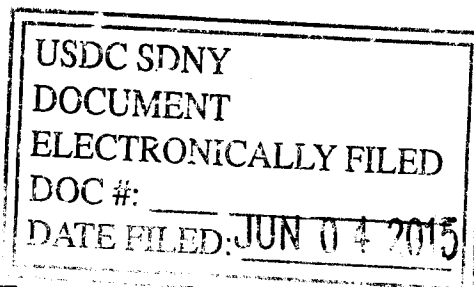


Criminal Notice of Appeal - Form A

NOTICE OF APPEAL

United States District Court

Southern District of New York



Caption:

United States v.

Ross William Ulbricht

Docket No.: 14 Cr. 68 (KBF)

Honorable Katherine B. Forrest
(District Court Judge)

Notice is hereby given that Ross William Ulbricht appeals to the United States Court of Appeals for the Second Circuit from the judgment ☒ other ☒ and Preliminary Order of Forfeiture/Money Judgment (specify) entered in this action on June 1, 2015 (date)

This appeal concerns: Conviction only ☐ Sentence only ☐ Conviction & Sentence ☒ Other ☐Defendant found guilty by plea ☐ trial ☒ N/A ☐Offense occurred after November 1, 1987? Yes ☒ No ☐ N/A ☐Date of sentence: May 29, 2015 N/A ☐Bail/Jail Disposition: Committed ☒ Not committed ☐ N/A ☐Appellant is represented by counsel? Yes ☒ No ☐ If yes, provide the following information:Defendant's Counsel: Law Offices of Joshua L. Dratel, P.C.Counsel's Address: 29 Broadway, Suite 1412New York, New York 10006Counsel's Phone: (212)732-0707Assistant U.S. Attorney: Serrin TurnerAUSA's Address: United States Attorney's Office, Southern District of New YorkOne Saint Andrews Plaza, New York, New York 10007AUSA's Phone: 212-637-1946

Signature

CLOSED,APPEAL,ECF,PRIOR

U.S. District Court
Southern District of New York (Foley Square)
CRIMINAL DOCKET FOR CASE #: 1:14-cr-00068-KBF All Defendants

Case title: USA v. Ulbricht
 Magistrate judge case number: 1:13-mj-02328-UA

Date Filed: 02/04/2014
 Date Terminated: 06/01/2015

Assigned to: Judge Katherine B.
 Forrest

Defendant (1)

Ross William Ulbricht
TERMINATED: 06/01/2015
also known as
 Dread Pirate Roberts
TERMINATED: 06/01/2015
also known as
 Silk Road
TERMINATED: 06/01/2015
also known as
 Sealed Defendant 1
TERMINATED: 06/01/2015
also known as
 DPR
TERMINATED: 06/01/2015

represented by **Joshua Lewis Dratel**
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Designation: Retained

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Designation: Retained

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 Fax: (212)-571-3792
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ATTORNEY TO BE NOTICED
Designation: Retained

Pending Counts

21:841A=CD.F AIDING AND
 ABETTING DISTRIBUTION OF
 DRUGS OVER INTERNET
 (2s)

21:848.F CONTINUING
 CRIMINAL ENTERPRISE

Disposition

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of: Counts Two (2) and Four (4): Life to run concurrently; Count (5): Five (5) Years to run concurrently; Count Six (6): Fifteen (15) Years to run concurrently; Count Seven (7): Twenty (20) Years to run concurrently. Upon release from imprisonment, the defendant shall be on supervised release for a term of: Life on Counts Two (2) and Four (4) to run concurrently; Three (3) Years on Counts Five (5), Six (6) and Seven (7) to run concurrently.

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned

18:1030A.F COMPUTER
HACKING CONSPIRACY
(5s)

concurrently; Count Six (6): Fifteen (15) Years to run concurrently; Count Seven (7): Twenty (20) Years to run concurrently. Upon release from imprisonment, the defendant shall be on supervised release for a term of: Life on Counts Two (2) and Four (4) to run concurrently; Three (3) Years on Counts Five (5), Six (6) and Seven (7) to run concurrently.

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of: Counts Two (2) and Four (4): Life to run concurrently; Count (5): Five (5) Years to run concurrently; Count Six (6): Fifteen (15) Years to run concurrently; Count Seven (7): Twenty (20) Years to run concurrently. Upon release from imprisonment, the defendant shall be on supervised release for a term of: Life on Counts Two (2) and Four (4) to run concurrently; Three (3) Years on Counts Five (5), Six (6) and Seven (7) to run concurrently.

18:1028A.F FRAUD WITH
IDENTIFICATION
DOCUMENTS
(6s)

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of: Counts Two (2) and Four (4): Life to run concurrently; Count (5): Five (5) Years to run concurrently; Count Six (6): Fifteen (15) Years to run concurrently; Count Seven (7): Twenty (20) Years to run concurrently. Upon release from imprisonment, the defendant shall be on supervised release for a term of: Life on Counts Two (2) and Four (4) to run concurrently; Three (3) Years on Counts Five (5), Six (6) and Seven (7) to run concurrently.

18:1956–4999.F MONEY
LAUNDERING CONSPIRACY
(7s)

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of: Counts Two (2) and Four (4): Life to run concurrently; Count (5): Five (5) Years to run concurrently; Count Six (6): Fifteen (15) Years to run concurrently; Count Seven (7): Twenty (20) Years to run concurrently. Upon release from imprisonment, the defendant shall be on supervised release for a term of: Life on Counts Two (2) and Four (4) to run concurrently; Three (3) Years on Counts Five (5), Six (6) and Seven (7) to run concurrently.

Highest Offense Level (Opening)

Felony

Terminated Counts

21:846=CD.F DRUG
TRAFFICKING CONSPIRACY
(1)

21:841G=CI.F DRUG
TRAFFICKING
(1s)

21:848.F CONTINUING
CRIMINAL ENTERPRISE
(2)

18:1030B.F COMPUTER
HACKING CONSPIRACY
(3)

Disposition

Count is dismissed on the motion of the United States.

Count is dismissed on the motion of the United States.

Count is dismissed on the motion of the United States.

Count is dismissed on the motion of the United States.

21:846=CD.F DRUG

Count is dismissed on the motion of the United States.

(3s)

18:1956-6801.F MONEY
LAUNDERING (DRUG
TRAFFICKING CONSPIRACY)
(4)

Count is dismissed on the motion of the United
States.

Highest Offense Level
(Terminated)

Felony

Complaints

21:846=CD.F CONSPIRACY TO
DISTRIBUTE CONTROLLED
SUBSTANCE, 18:1030A.F
FRAUD ACTIVITY
CONNECTED WITH
COMPUTERS, , 18:1956-4999.F
MONEY LAUNDERING-
FRAUD, OTHER

Disposition

Plaintiff

USA

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ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
09/27/2013		SEALED ORAL ORDER as to Sealed Defendant 1. (Signed by Magistrate Judge Frank Maas on 9/27/2013)(dif) [1:13-mj-02328-UA] (Entered: 10/22/2013)
09/27/2013	1	COMPLAINT as to Sealed Defendant 1 (1). In Violation of 21 U.S.C. 846, 18 U.S.C. 1030 & 1956 (Signed by Magistrate Judge Frank Maas) (dif) [1:13-mj-02328-UA] (Entered: 10/22/2013)
10/01/2013		Arrest of Ross William Ulbright in the United States District Court – Northern District of California. (dif) [1:13-mj-02328-UA] (Entered: 10/22/2013)
10/18/2013	3	Rule 5(c)(3) Documents Received as to Ross William Ulbright from the United States District Court – Northern District of California. (dif) [1:13-mj-02328-UA] (Entered: 10/22/2013)
11/05/2013		Arrest of Ross William Ulbright. (dif) [1:13-mj-02328-UA] (Entered: 11/06/2013)
11/06/2013	4	NOTICE OF ATTORNEY APPEARANCE: Retained Attorney Joshua Lewis

		11/06/2013)
11/06/2013		Minute Entry for proceedings held before Magistrate Judge Ronald L. Ellis: Initial Appearance as to Ross William Ulbright held on 11/6/2013., Deft Appears with Retained Attorney Joshua Dratel and AUSA Serrin Turner for the government. Detention Hearing Scheduled for 11/21/13 at 11:00 AM; (Preliminary Hearing set for 12/6/2013 at 10:00 AM before Judge Unassigned.) (dif) [1:13-mj-02328-UA] (Entered: 11/06/2013)
11/21/2013		Minute Entry for proceedings held before Magistrate Judge Kevin Nathaniel Fox: Detention Hearing as to Ross William Ulbright held on 11/21/2013. Deft Appears with Retained Attorney Joshua Dratel and AUSA Serrin Turner for the government. Detention. The Defendant Did Not Overcome The Presumption That There Are No Conditions That Can Be Fashioned to Permit Him To Be At Liberty While The Criminal Action is Pending. Clear and Convincing Evid That The Defendant Sought To Have Several Persons Murdered Was Presented To The Court Which Demonstrate The Defendant Presents As A Danger To The Community. In Addition Considerable Un-Rebutted Evid Was Presented That The Defendant Has The Resources To Flee and Previously Acquired Many False Identification Documents That Would Permit Him to Flee. Furthermore He Has Used an Alias Previously. (dif) [1:13-mj-02328-UA] (Entered: 11/21/2013)
11/22/2013	5	SEALED DOCUMENTS FILED as to Ross William Ulbright.. (Signed by Magistrate Judge Kevin Nathaniel Fox on 11/22/2013)(dif) [1:13-mj-02328-UA] (Entered: 11/26/2013)
11/22/2013	6	LETTER as to Ross William Ulbright addressed to Magistrate Judge Kevin Nathaniel Fox from Joshua Dratel, Esq dated 11/19/2013 re: USA v Ross William Ulbright, 13 Mag 2328.. (Signed by Magistrate Judge Kevin Nathaniel Fox on 11/22/2013) (Docket and File)(dif) [1:13-mj-02328-UA] (Entered: 11/26/2013)
11/22/2013	7	LETTER as to Ross William Ulbright addressed to Magistrate Judge Kevin Nathaniel Fox from Joshua Dratel, Esq dated 11/20/2013 re: USA v Ross William Ulbright, 13 Mag 2328.. (Signed by Magistrate Judge Kevin Nathaniel Fox on 11/22/2013) (Docket and File)(dif) [1:13-mj-02328-UA] (Entered: 11/26/2013)
11/22/2013	8	LETTER as to Ross William Ulbright addressed to Magistrate Judge Kevin Nathaniel Fox from AUSA Serrin Turner dated 11/20/2013 re: USA v Ross William Ulbright, 13 Mag 2328.. (Signed by Magistrate Judge Kevin Nathaniel Fox on 11/22/2013) (Docket and File)(dif) Modified on 12/4/2013 (jm). [1:13-mj-02328-UA] (Entered: 11/26/2013)
12/06/2013	9	AFFIRMATION of Serrin Turner in Support by USA as to Ross William Ulbright, the government is requesting a 30-day continuance until 1/6/14.(jbo) [1:13-mj-02328-UA] (Entered: 12/09/2013)
12/06/2013	10	ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Ross William Ulbright. Time excluded from 12/6/13 until 1/6/14. (Signed by Magistrate Judge James C. Francis on 12/6/13)(jbo) [1:13-mj-02328-UA] (Entered: 12/09/2013)
01/06/2014	11	AFFIRMATION of AUSA Serrin Turner in Support by USA as to Ross William Ulbright, the Government is requesting a 30 continuance until 2/4/2014. (ajc) [1:13-mj-02328-UA] (Entered: 01/07/2014)
01/06/2014		ORDER TO CONTINUE IN THE INTEREST OF JUSTICE as to Ross William Ulbright re: 10 Order to Continue – Interest of Justice. Time excluded from 1/6/2014 until 2/4/2014. Follows oral order of 1/6/2014. (Signed by Magistrate Judge Sarah Netburn on 1/6/2014) (ajc) [1:13-mj-02328-UA] (Entered: 01/07/2014)
02/04/2014		Case Designated ECF as to Ross William Ulbright. (jbo) (Entered: 02/04/2014)
02/04/2014	<u>12</u>	INDICTMENT FILED as to Ross William Ulbright (1) count(s) 1, 2, 3, 4. (jbo) (Entered: 02/04/2014)
02/07/2014		Minute Entry for proceedings held before Judge Katherine B. Forrest:Arraignment as to Ross William Ulbright (1) Count 1 2 3 4Ross William Ulbright (1) Count

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