

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------------------|---------------------------|----------------------|---------------------|------------------|
| 14/627,634 | 02/20/2015 | MARK UNAK | CU-100220 | 4837 |
| 124057 FLENER IP LA | 7590 03/22/201 XW. LLC | 7 | EXAM | INER |
| 77 West Washin Suite 800 | | | SYED, FA | RHAN M |
| Chicago, IL 606 | 502 | | ART UNIT | PAPER NUMBER |
| | | | 2165 | |
| | | | NOTIFICATION DATE | DELIVERY MODE |
| | | | 03/22/2017 | ELECTRONIC |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

info@fleneriplaw.com fleneriplaw_docketing@cardinal-ip.com zflener@fleneriplaw.com



| | 14/627,634 | | UNAK ET AL. | |
|--|--|---|---|--|
| Office Action Summary | Examiner FARHAN SYED | Art Unit 2165 | AIA (First Inventor to File) Status Yes | |
| The MAILING DATE of this communication a Period for Reply | ppears on the cover sheet wit | h the corresponder | nce address | |
| A SHORTENED STATUTORY PERIOD FOR REF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory perion. - Failure to reply within the set or extended period for reply will, by stated the state of the sta | 1.136(a). In no event, however, may a re od will apply and will expire SIX (6) MONT ute, cause the application to become ABA | ply be timely filed THS from the mailing date of the ANDONED (35 U.S.C. § 13 | of this communication. 33). | |
| Status | | | | |
| 1) Responsive to communication(s) filed on $\frac{2/2}{2}$ | 20/15. | | | |
| A declaration(s)/affidavit(s) under 37 CFR | | <u>.</u> | | |
| 2a) This action is FINAL . 2b) ▼ The street This action is FINAL . | nis action is non-final. | | | |
| 3) An election was made by the applicant in res | sponse to a restriction require | ement set forth dur | ing the interview on | |
| ; the restriction requirement and electi | on have been incorporated in | nto this action. | | |
| 4) Since this application is in condition for allow | vance except for formal matte | ers, prosecution as | to the merits is | |
| closed in accordance with the practice unde | r <i>Ex parte Quayle</i> , 1935 C.D. | 11, 453 O.G. 213. | | |
| Disposition of Claims* | | | | |
| 5) Claim(s) <u>1-21</u> is/are pending in the application | on. | | | |
| 5a) Of the above claim(s) is/are withd | rawn from consideration. | | | |
| 6) Claim(s) is/are allowed. | | | | |
| 7) Claim(s) <u>1-21</u> is/are rejected. | | | | |
| 8) Claim(s) is/are objected to. | | | | |
| 9) Claim(s) are subject to restriction and | I/or election requirement. | | | |
| If any claims have been determined <u>allowable</u> , you may be | - | _ | hway program at a | |
| participating intellectual property office for the corresponding | gapplication. For more information | on, please see | | |
| http://www.uspto.gov/patents/init_events/pph/index.jsp_orse | nd an inquiry to PPHfeedback@ | uspto.gov. | | |
| Application Papers | | | | |
| 10) ☐ The specification is objected to by the Exami | ner. | | | |
| 11)⊠ The drawing(s) filed on <u>2/20/15</u> is/are: a)⊠ | accepted or b) ☐ objected to | by the Examiner. | | |
| Applicant may not request that any objection to the | ne drawing(s) be held in abeyand | ce. See 37 CFR 1.85 | ō(a). | |
| Replacement drawing sheet(s) including the corre | ection is required if the drawing(s | s) is objected to. See | 37 CFR 1.121(d). | |
| Priority under 35 U.S.C. § 119 | | | | |
| 12) Acknowledgment is made of a claim for foreign | gn priority under 35 U.S.C. § | 119(a)-(d) or (f). | | |
| Certified copies: | · • | | | |
| a) ☐ All b) ☐ Some** c) ☐ None of the: | | | | |
| Certified copies of the priority docume | ents have been received. | | | |
| 2. Certified copies of the priority docume | | • • | | |
| Copies of the certified copies of the p | | received in this Na | ational Stage | |
| application from the International Bure | , | | | |
| * See the attached detailed Office action for a list of the cert | tified copies not received. | | | |
| | | | | |
| | | | | |
| Attachment(s) | | | | |
|) Notice of References Cited (PTO-892) | 3) 🔲 Interview St | ummary (PTO-413) | | |
| P) ☑ Information Disclosure Statement(s) (PTO/SB/08a and/or PT | O/SB/08b) Paper No(s) |)/Mail Date | | |



Application/Control Number: 14/627,634 Page 2

Art Unit: 2165

DETAILED ACTION

1. The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

2. In response to communications filed on 20 February 2015, claims 1-21 are presently pending in the application, of which, claims 1, 8 and 15 are presented in independent form. The Examiner acknowledges that no claims were amended, cancelled, or newly.

Priority

3. The Examiner acknowledges the pending application claims the benefit of U.S. Provisional 61/942,295, filed 20 February 2014 and U.S. Provisional 61/990,893, filed 09 May 2014, and has been accorded the earliest effective file date.

Information Disclosure Statement

4. The information disclosure statement (IDS) submitted on 24 June 2015 is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.



Application/Control Number: 14/627,634 Page 3

Art Unit: 2165

Drawings

5. The drawings, filed 20 February 2015, have been reviewed and accepted by the Examiner.

Specification

- 6. The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed.
- 7. The lengthy specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

Claim Objections

8. Claims 17 and 18 are objected to because of the following informalities:

Claim 17 is a computer system claim that appears to depend from a computer program product claim 14. The Examiner presumes the Applicant intended for the claim to depend from computer system claim 16.

Claim 18 is a computer system claim that appears to depend from a computer method claim 1. The Examiner presumes the Applicant intended for the claim to depend from computer system claim 15. Appropriate correction is required.



Application/Control Number: 14/627,634 Page 4

Art Unit: 2165

Claim Rejections - 35 USC § 101

9. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

10. Claims 1-21 are rejected under 35 U.S.C. 101 because the claimed invention is directed to a judicial exception (i.e., a law of nature, a natural phenomenon, or an abstract idea) without significantly more.

Claims 1-7 are directed to a computer-implemented method. The claims do not include additional elements that are sufficient to amount to significantly more than the judicial exception because the claims as a whole, considering all claim elements both individually and in combination, do not amount to significantly more than an abstract idea. The claims are subject to a §101 rejection in accordance with the 2016 Interim Eligibility Guidance for use by USPTO personnel in determining subject matter eligibility under 35 U.S.C. 101 in view of the Supreme Court decision in *Alice Corp. Pty. Ltd. v. CLS Bank Int'l*, 134 S. Ct. 2347 (2014). The guidance may be found in the Federal Register (79 FR 74618 (Jul. 14, 2016)) (hereinafter <u>Guidance</u>).

As an initial matter, the independent claims fall under the four categories of subject matter eligible for patent protection: process, machines, manufactures, and compositions of matter. Claims falling under the subject matter categories may be ineligible for patent protection if they encompass laws of nature, physical phenomena, or abstract ideas (judicially recognized exceptions). In *Alice*, the Supreme Court applied the two-part framework earlier set out in *Mayo Collaborative Servs. v. Prometheus*



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

