

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING PATENT OR TRADEMARK
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In Compliance with 35 § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern California on the following Patents or Trademarks:

DOCKET NO. 1:23-CV-00006-ADA-HBK	DATE FILED 1/4/23	US District Court Eastern California Fresno
PLAINTIFF MATTHEW R. SWANSON,		DEFENDANT JUSTIN R HARRISON, ET AL.,
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 2892356	October 12, 2004	Howard Alexander Dumble
2 2909913	December 14, 2002	Howard Alexander Dumble
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In the above-entitled case the following patents(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleadings		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
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In the above-entitled case, the following decision has been rendered or judgment issued:

DECISION/JUDGMENT

CLERK	(BY) DEPUTY CLERK	DATE
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1 b. Remote Appearances

2 Judge de Alba encourages telephonic or videoconference appearances. The parties may
3 appear telephonically by dialing 1-888-557-8511 (access code 2219767) at the time of the hearing.
4 Please be aware that the court may be hearing other matters using the same conference line, as such,
5 wait to state your appearance until the court calls your case and requests it. Keep all background
6 noise to a minimum. There will be no hybrid appearances: all persons in a single case must appear
7 via the same format. If you intend to appear telephonically or via videoconference, you must inform
8 Courtroom Deputy Mamie Hernandez at least 48 hours prior to the hearing at
9 MHernandez@caed.uscourts.gov and she will provide videoconference information to attorney(s).

10 c. Briefing

11 All moving and opposition briefs or legal memorandum must use Times New Roman font
12 size no smaller than 12. Footnotes shall be in typeface no more than one size smaller than text size.
13 Unless the parties obtain prior leave of court, all moving and opposition briefs or legal
14 memorandum in civil cases shall not exceed 20 pages. Reply briefs shall not exceed 10 pages.
15 Only for good cause shown will the court grant an application to extend these page limitations.
16 Briefs exceeding these limits without prior permission will not be considered. No supplemental
17 briefs shall be filed without prior leave of court. The parties are required to notify the court if they
18 are continuing to work toward settlement after submitting a motion.

19 d. Meet and Confer Requirement

20 Prior to filing a motion in a case where the parties are represented by counsel, counsel shall
21 engage in pre-filing meet and confer to thoroughly discuss the substance of the contemplated
22 motion and any potential resolution. The parties are expected to resolve minor procedural or other
23 non-substantive matters during the meet and confer process so that the briefing on motions that
24 proceeds to hearing is directed only to those substantive issues requiring court resolution. Counsel
25 filing the motion shall include a declaration that the parties exhausted meet and confer efforts, with
26 a very brief summary of said efforts.

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1 e. Electronic Filing

2 The United States District Court for the Eastern District of California requires electronic
3 filing of documents in all new and pending civil cases in which parties are represented by counsel.
4 A party proceeding without counsel may request authorization to file electronically. Information
5 about the court's Electronic Case Filing ("ECF") system is available on the court's website at
6 www.caed.uscourts.gov/cmecf. See Local Rule 133.

7 All manually filed documents, i.e., those documents excused from the electronic filing
8 requirements by the Local Rules, shall be served in conformity with the applicable Federal Rules of
9 Civil Procedure or Local Rule 133.

10 f. Courtesy Copies

11 The parties are ordered to deliver to the Clerk's Office clearly marked courtesy copies of
12 all electronically filed documents that exceed twenty-five (25) pages, and conformed courtesy copies
13 of all manually filed documents, by either personally delivering them or sending them via guaranteed
14 overnight delivery without signature required upon receipt. See Local Rule 133(f). There is no need
15 to provide courtesy copies of answers or shorter pleadings.

16 g. Proposed Orders

17 Judge de Alba does not require the parties to submit proposed orders with motions to
18 dismiss or motions for summary judgement but does require the parties to provide proposed consent
19 decrees and proposed findings of fact. If the court does direct the parties to file a proposed order,
20 the party should submit it as required by Local Rule 137(b) and email it in Microsoft Word format
21 to adaorders@caed.uscourts.gov.

22 h. Ex Parte Applications

23 Ex Parte Applications are typically not heard but are submitted to the court unless the court
24 notifies the parties otherwise. The filer is required to contact Judge de Alba's Courtroom Deputy
25 and the opposing party *prior* to filing the application to advise that they are making such a request.
26 Documents in support of the Ex Parte Application must include an affidavit indicating a satisfactory
27 explanation for the following: (1) the need for issuance of such an order, (2) the filer's inability to

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1 obtain a stipulation for the issuance of such an order from the other parties on the caption, and (3)
2 why such request cannot be noticed on the court's motion calendar as provided by Local Rule 230.

3 i. Discovery Matters and other Magistrate Judge Referrals

4 All discovery matters and other duties the Magistrate Judge performs pursuant to Local
5 Rule 302 shall be noticed before the assigned Magistrate Judge unless the court orders otherwise.
6 The assigned Magistrate Judge may modify a discovery cutoff if, in their discretion, an extension
7 of that cutoff is warranted to allow for resolution of a discovery dispute.

8 j. Pagination of Exhibits

9 Multi-page exhibits shall be internally paginated with the pagination for each exhibit
10 beginning with the number one; reference to those exhibits shall refer to the exhibit designation
11 and page number, i.e., Ex. A at 7, Ex. B at 1, etc.

12 k. TROs and Injunctions

13 Parties seeking emergency or provisional relief shall comply with Federal Rule of Civil
14 Procedure 65 and Local Rule 230. The court typically will not rule on any application for such
15 relief for at least twenty-four (24) hours after the party subject to the order requested has been
16 served; such party may file opposing or responding papers in the interim. The parties shall lodge
17 a courtesy copy with chambers of all papers related to proposed TROs and injunctions, conformed
18 to reflect that they have been filed.

19 l. Sealing and Protective Orders

20 No document will be sealed, nor shall a redacted document filed, without prior approval of
21 the court. If a document for which sealing or redaction is sought relates to the record on a motion
22 Judge de Alba is set to decide, the request to seal shall be directed to her and not the assigned
23 Magistrate Judge. All requests to seal or redact shall be governed by Local Rules 141 (sealing) and
24 140 (redaction); protective orders covering the discovery phase shall not govern the filing of sealed
25 or redacted documents on the public docket. The court will only consider requests to seal or redact
26 filed by the proponent of sealing or redaction. If a party plans to make a filing that includes material
27 an opposing party has identified as confidential and potentially subject to sealing, the filing party

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1 shall provide the opposing party with sufficient notice in advance of filing to allow the party to
2 seek an order from the court regarding sealing or redacting the material in question.

3 **2. SETTLEMENT CONFERENCES**

4 Settlement conferences are mandatory and are scheduled at times mutually convenient to
5 the court, counsel, and the parties. *See* Local Rule 270. Judge de Alba expects the parties to
6 meaningfully work toward settlement and may continue trial to allow for the parties to continue
7 working toward settlement where appropriate.

8 **3. TRANSCRIPT ORDERS**

9 If you would like to order a transcript, please contact the court reporter who covered the
10 hearing directly. Contact information for individual court reporters may be found on the U.S. District
11 Court's website.

12 **4. FINAL PRETRIAL CONFERENCES**

13 Cases in which Judge de Alba is conducting the Final Pretrial Conference, the parties are
14 required to file a Joint Pretrial statement seven days (7) before the Final Pretrial Conference hearing
15 date. Parties must email the Joint Pretrial statement as a Microsoft Word document to
16 adaorders@caed.uscourts.gov.

17 **5. TRIALS**

18 a. Jury Selection

19 Judge de Alba utilizes the following method for jury selection. The court first conducts voir
20 dire, then allows short voir dire by counsel, and then ascertains if any potential juror will be excused
21 for cause. If a potential juror is excused for cause, a new name is selected and that person is voir
22 dired before jury selection continues. Once voir dire is completed and the Judge has ruled on all
23 challenges for cause, the Courtroom Deputy will provide the attorneys with a strike sheet to exercise
24 their peremptory challenges. Once the peremptory challenges are marked, the Judge excuses those
25 jurors until the desired number of jurors remain.

26 b. Presumptive Trial Hours

27 Subject to adjustment based on the other court calendars, Judge de Alba's presumptive trial
28 hours are Tuesdays, Wednesdays, and Thursdays, 8:30 a.m. – 12:00 p.m. and again from 1:30 p.m. –

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