To: Ellingson Drainage, Inc. (mjelen@cadlaw.com) U.S. TRADEMARK APPLICATION NO. 86310867 - ELLINGSON COMPANIES - N/A **Subject:** Sent: 9/25/2014 2:17:40 PM Sent As: ECOM118@USPTO.GOV **Attachments:** Attachment - 1 Attachment - 2 Attachment - 3 Attachment - 4 Attachment - 5 Attachment - 6 Attachment - 7 Attachment - 8 Attachment - 9 Attachment - 10 Attachment - 11 Attachment - 12 Attachment - 13 Attachment - 14 Attachment - 15 Attachment - 16 Attachment - 17 Attachment - 18 Attachment - 19 Attachment - 20 Attachment - 21 Attachment - 22 Attachment - 23 Attachment - 24

UNITED STATES PATENT AND TRADEMARK OFFICE (USPTO) OFFICE ACTION (OFFICIAL LETTER) ABOUT APPLICANT'S TRADEMARK APPLICATION

U.S. APPLICATION SERIAL NO. 86310867	
MARK: ELLINGSON COMPANIES	*86310867*
CORRESPONDENT ADDRESS: MELISSA R. JELEN 200 E 10TH ST STE 200 SIOUX FALLS, SD 57104-6371	CLICK HERE TO RESPOND TO THIS LETTER: http://www.uspto.gov/trademarks/teas/response_forms.jsp VIEW YOUR APPLICATION FILE
APPLICANT: Ellingson Drainage, Inc.	
CORRESPONDENT'S REFERENCE/DOCKET NO: N/A CORRESPONDENT E-MAIL ADDRESS: mjelen@cadlaw.com	

OFFICE ACTION

STRICT DEADLINE TO RESPOND TO THIS LETTER

TO AVOID ABANDONMENT OF APPLICANT'S TRADEMARK APPLICATION, THE USPTO MUST RECEIVE APPLICANT'S COMPLETE RESPONSE TO THIS LETTER WITHIN 6 MONTHS OF THE ISSUE/MAILING DATE BELOW.

ISSUE/MAILING DATE: 9/25/2014

TEAS PLUS APPLICANTS – TO MAINTAIN REDUCED FEE, ADDITIONAL REQUIREMENTS MUST BE MET, INCLUDING SUBMITTING DOCUMENTS ONLINE: Applicants who filed their application online using the lower-fee TEAS Plus application form must (1) continue to submit certain documents online using TEAS, including responses to Office actions (see TMEP §819.02(b) for a complete list of these documents); (2) accept correspondence from the USPTO via e-mail throughout the examination process; and (3) maintain a valid e-mail address. *See* 37 C.F.R. §2.23(a)(1), (a)(2); TMEP §819.02(a). TEAS Plus applicants who do not meet these three requirements must submit an additional fee of \$50 per international class of goods and/or services. 37 C.F.R. §2.6(a)(1)(iv); TMEP §819.04. However, in certain situations, authorizing an examiner's amendment by telephone will not incur this additional fee.

The referenced application has been reviewed by the assigned trademark examining attorney. Applicant must respond timely and completely to the issue(s) below. 15 U.S.C. §1062(b); 37 C.F.R. §§2.62(a), 2.65(a); TMEP §§711, 718.03.

SEARCH

The trademark examining attorney has searched the Office's database of registered and pending marks and has found no conflicting marks that would bar registration under Trademark Act Section 2(d). TMEP §704.02; see 15 U.S.C. §1052(d).

SECTION 2(e)(4) REFUSAL – PRIMARILY MERELY A SURNAME

Registration is refused because the applied-for mark is primarily merely a surname. Trademark Act Section 2(e)(4), 15 U.S.C. §1052(e)(4); see TMEP §1211. The primary significance of the mark to the purchasing public determines whether a term is primarily merely a surname. In re Kahan & Weisz Jewelry Mfg. Corp., 508 F.2d 831, 832, 184 USPQ 421, 422 (C.C.P.A. 1975); In re Binion, 93 USPQ2d 1531, 1537 (TTAB 2009); see TMEP §§1211, 1211.01.

Please see the attached evidence from www.nexis.com, establishing the surname significance of the surname "ELLINGSON". This evidence shows the applied-for mark appearing at least 500 times as a surname in a nationwide telephone directory of names.

Evidence that a word has no meaning or significance other than as a surname is relevant to determining whether the word would be perceived as primarily merely a surname. *See In re Petrin Corp.*, 231 USPQ 902, 903 (TTAB 1986); TMEP §1211.02(b)(vi). The attached evidence from www.merriam-webster.com and www.columbiagazetteer.org shows that the word "ELLINGSON" does not appear in the dictionary or the gazetteer. Thus, this word appears to have no meaning or significance other than as a surname.

The fact that a term looks and sounds like a surname may contribute to a finding that the primary significance of the term is that of a surname. *In re Giger*, 78 USPQ2d 1405, 1409 (TTAB 2006); *In re Gregory*, 70 USPQ2d 1792, 1796 (TTAB 2004); *In re Industrie Pirelli Societa per Azioni*, 9 USPQ2d 1564, 1566 (TTAB 1988); *In re Petrin Corp.*, 231 USPQ 902, 904 (TTAB 1986); *see* TMEP §1211.01(a)(vi). The attached pages from http://surhelp-bin.rootsweb.ancestry.com/sursrch.pl show that "ELLINGSON" has the sound and structure of many other surnames, such as ELLINGSTON, ELLINGTON, EVINGSON, HEMINGSON, HENNINGSON, HUCHINGSON, JENINGSON, among others.

A term that is the surname of an individual applicant or that of an officer, owner, or principal of applicant's business is probative evidence of the term's surname significance. TMEP §1211.02(b)(iv); see, e.g., In re Etablissements Darty et Fils, 759 F.2d 15, 16, 225 USPQ 652, 653 (Fed. Cir. 1985) (holding DARTY primarily merely a surname where "Darty" was the surname of applicant's corporate president); Mitchell Miller, P.C. v. Miller, 105 USPQ2d 1615, 1620-21 (TTAB 2013) (holding MILLER LAW GROUP primarily merely a surname where "Miller" was the surname of the applicant and the term "law group" was found generic and disclaimed); In re Cazes, 21 USPQ2d 1796, 1796-97 (TTAB 1991) (holding BRASSERIE LIPP primarily merely a surname where "Lipp" was the surname of the restaurant's founder and the term "brasserie" (translated as "brewery") was found merely descriptive and disclaimed). The attached evidence from www.manta.com and http://mydigimag.rrd.com shows that multiple people associated with applicant, including applicant's founder and president, have the surname "ELLINGSON".

The mark is in standard characters. Thus, there is no design or stylization to remove the primary significance of the mark from that of a surname.

The applied-for mark contains the wording "COMPANIES". However, the addition of an entity or structure designation, such as "Inc." or "Co.," or wording that identifies a family business, such as "Bros." or "& Sons," does not diminish the surname significance of a term that is otherwise primarily merely a surname. *See In re I. Lewis Cigar Mfg. Co.*, 205 F.2d 204, 206, 98 USPQ 265, 267 (C.C.P.A. 1953) (holding S. SEIDENBERG & CO'S. primarily merely a surname); *Mitchell Miller, P.C. v. Miller*, 105 USPQ2d 1615, 1622-23 (TTAB 2013) (holding MILLER LAW GROUP primarily merely a surname, noting that LAW GROUP is a common designation for an entity comprised of lawyers offering legal services); *In re P.J. Fitzpatrick, Inc.*, 95 USPQ2d 1412, 1412 (TTAB 2010) (holding P.J. FITZPATRICK, INC. not primarily merely a surname but noting that the mere addition of the entity designation "INC." to a surname does not transform the surname into a mark); *see also* attached definitions from www.merriam-webster.com.

Although applicant's mark has been refused registration, applicant may respond to the refusal(s) by submitting evidence and arguments in support of registration.

OPTIONS

A mark deemed primarily merely a surname may be registered on the Principal Register under Trademark Act Section 2(f) by satisfying one of the following:

- (1) Submit a claim of ownership of one or more prior registrations on the Principal Register for a mark that is the same as the mark in the application and for the same or related goods and/or services. 37 C.F.R. §2.41(b); TMEP §§1212, 1212.04. The following wording is suggested: "The mark has become distinctive under Section 2(f) of the goods or services as evidenced by ownership of U.S. Registration No(s). {specify} on the Principal Register for the same mark for the same or related goods and/or services." TMEP §1212.04(e).;
- (2) Submit the following statement, verified with an affidavit or signed declaration under 37 C.F.R. §2.20: "The mark has become distinctive of the goods and/or services through applicant's substantially exclusive and continuous use in commerce for at least the five years immediately before the date of this statement." 37 C.F.R. §2.41(b); TMEP §1212.05(d); see 37 C.F.R. §2.193(e)(1).; or
- (3) Submit actual evidence of acquired distinctiveness. 37 C.F.R. §2.41(a); TMEP §1212.06. Such evidence may include the following: examples of advertising and promotional materials that specifically promote the applied-for mark as a trademark and/or service mark in the United States; dollar figures for advertising devoted to such promotion; dealer and consumer statements of recognition of the applied-for mark as a trademark and/or service mark; and any other evidence that establishes recognition of the applied-for mark as a source-identifier for the goods and/or services. *See In re Ideal Indus., Inc.*, 508 F.2d 1336, 184 USPQ 487 (C.C.P.A. 1975); *In re Instant Transactions Corp. of Am.*, 201 USPQ 957 (TTAB 1979); TMEP §§1212.06 *et seq.*

Trademark Act Section 2(f), 15 U.S.C. §1052(f); see 37 C.F.R. §2.41; TMEP §§1211, 1212.

If applicant cannot satisfy one of the above, applicant can amend the application to seek registration on the Supplemental Register. Trademark Act Section 23, 15 U.S.C. §1091; see 37 C.F.R. §§2.47, 2.75(a).

If applicant has questions regarding this Office action, please telephone or e-mail the assigned trademark examining attorney. All relevant e-mail communications will be placed in the official application record; however, an e-mail communication will not be accepted as a response to this Office action and will not extend the deadline for filing a proper response. *See* 37 C.F.R. §2.191; TMEP §§304.01-.02, 709.04-.05. Further, although the trademark examining attorney may provide additional explanation pertaining to the refusal(s) and/or requirement(s) in this Office action, the trademark examining attorney may not provide legal advice or statements about applicant's rights. *See* TMEP §§705.02, 709.06.

/Cynthia Tripi/ Examining Attorney Law Office 118 571-272-2413 Cynthia.Tripi@USPTO.gov

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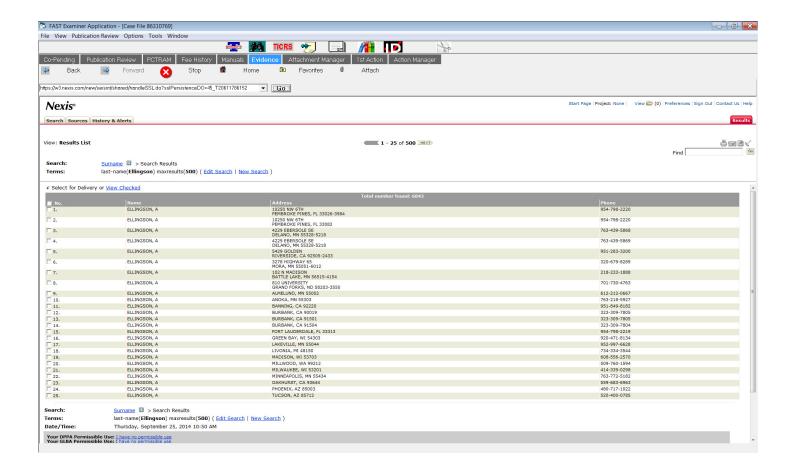
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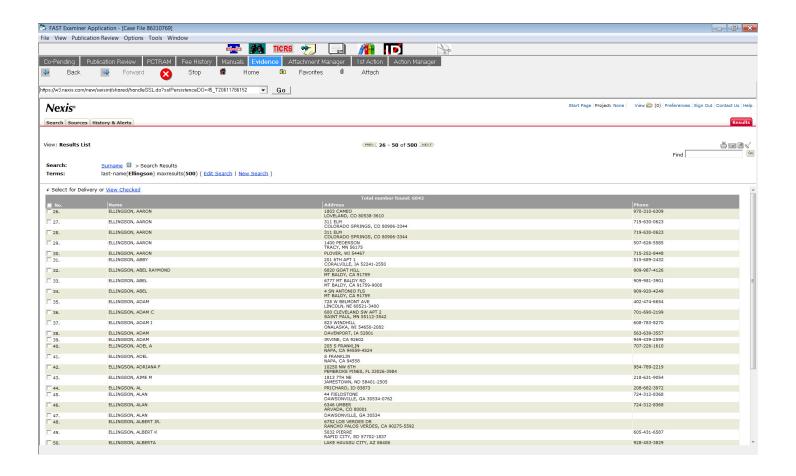
WHO MUST SIGN THE RESPONSE: It must be personally signed by an individual applicant or someone with legal authority to bind an applicant (i.e., a corporate officer, a general partner, all joint applicants). If an applicant is represented by an attorney, the attorney must sign the response.

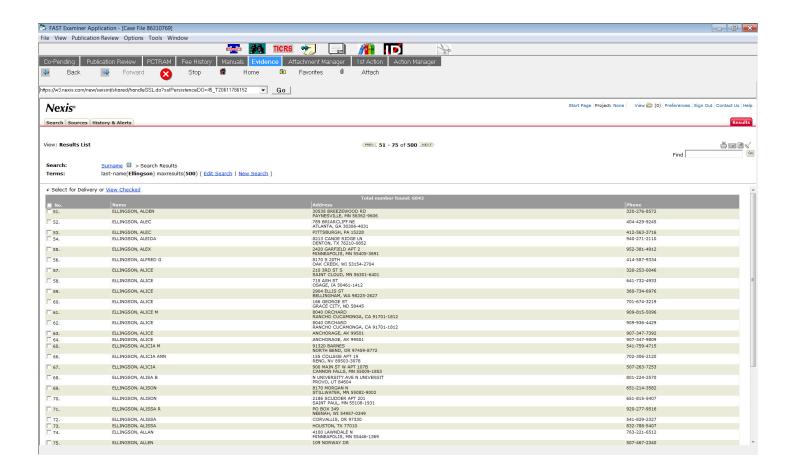
PERIODICALLY CHECK THE STATUS OF THE APPLICATION: To ensure that applicant does not miss crucial deadlines or official notices, check the status of the application every three to four months using the Trademark Status and Document Retrieval (TSDR) system at http://tsdr.uspto.gov/. Please keep a copy of the TSDR status screen. If the status shows no change for more than six months, contact the Trademark Assistance Center by e-mail at TrademarkAssistanceCenter@uspto.gov or call 1-800-786-9199. For more information on checking

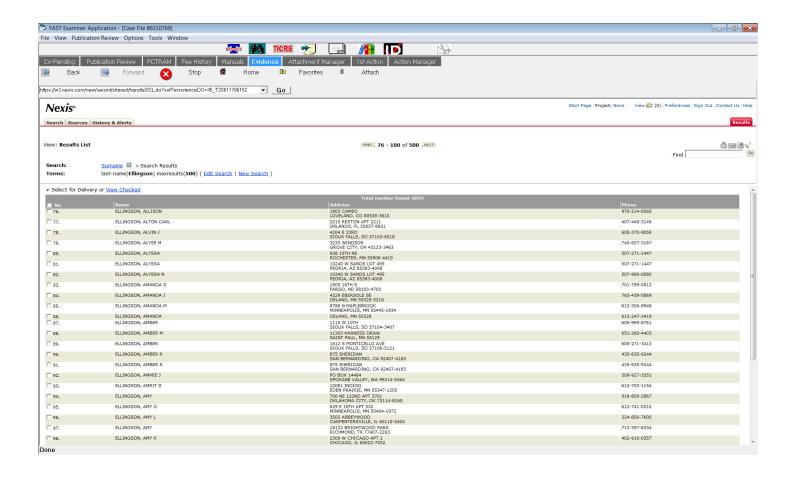
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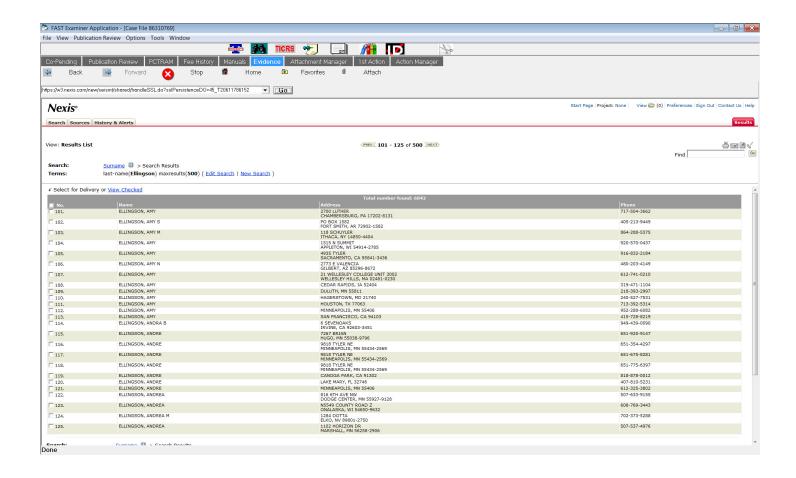
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 in all geographic locations
 for all types of sites
 for all post types
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 2. East of London FHS
 3. Story Co., IA
 4. Kankakee Co., Illinois
 5. Becker County, Minnesota
 6. Olmsted Co. Minnesota
 7. Ray Co., MO
 8. Grand Forks County NDGenWeb Project
 9. Nelson Co., ND
 10. Towner Co., North Dakota
 11. Union County, Ohio Surname Register
 12. Waupaca Co., Wisconsin

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- 5. The Cassity Cassidy Family Association
 6. Harris Co., GA
 7. Rockfalle Co., Georgia
 8. Taliaferro Co., Georgia
 9. Story Co., IA
 10. Bend Co., Blinois
 11. Kankakee Co., Binois
 12. Tazewell County, Illinois
 13. Woodford Co., II.
 14. Becker County, Minnesota
 15. Olmsted Co. Minnesota
 16. Lauderdale Co., Mississippi
 17. New Hanover County, NC Genealogical Page
 18. Grand Forks County, NDGenWeb Project
 19. Netson Co., ND
 20. Towner Co., North Dakota
 11. Lawrence Co., OH
 22. Crockett County, TN
 23. Hawkins County, Tennessee
 24. Waupaca Co., Wisconsin

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Surname Helper found entries on the following Web Sites:

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http://surhelp-bin.rootsweb.ancestry.com/sursrch.pl 09/25/2014 11:24:57 AM

- 1. Cemetery Records Onnie Veterans and National Cemeteries
 2. Ancestors Found Surname Registry and Lost Items
 3. Standish and Bemout from United States and Europe
 4. East of London FHS
 5. Perry Co., Arkansas
 6. Story Co., Li
 7. Kankakee Co., Ilinois
 8. Becker County, Minnesota
 9. Olmsted Co. Minnesota
 10. Cass County, North Dakota
 11. Grand Forts County NDGenWeb Project
 12. Nelson Co., ND
 13. Towner Co., North Dakota
 14. Providence Co., Robel Island
 15. Wood Co., Texas
 16. Augusta Co., Virginia
 17. Waupaca Co., Wisconsin

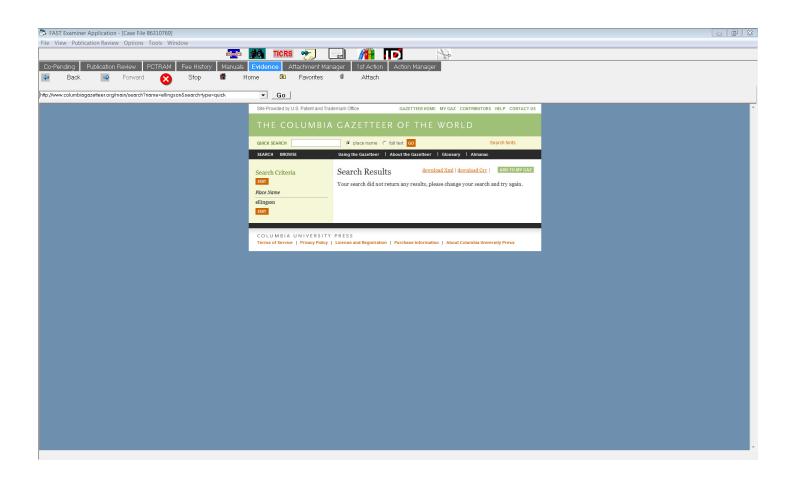
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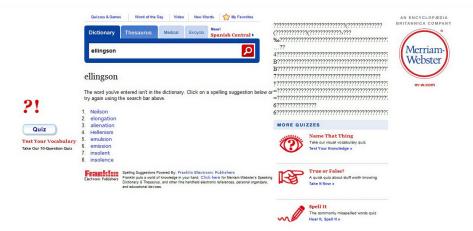
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Ellingson Companies Road to Success

In 1973, after a year in college, Roger Elingson decided, as he pixingly put it, "I was smart enough," and decided to return to his home in West Concord, Minnesot to work in the family business. Eldon, Roger's father, had started Elingson Drahage in 1970 as many small contractors do - farming a couple hundred scress and contracting on the side. And, like many second-generation business owners, Roger had grown up working weekends and summers, learning the business from the ground up.

By 1973 when Roper returned from college, Eldon was no longer farming and Elingson Drainage had purchased its first laser guided, high-speed trencher, was generating about \$150,000 in annual revenue, and had a couple full-time employees. Today, "Elingson Drainage," now called Elingson Companies, is projected to have an annual revenue of \$70 million and employs 185 people through its business complexes located in West Concord, MN and the Red River Valley in harmood, MD - an amazing transformation!

How did it happen? Roger's description of his business' transformation is a perfect road map to success, ripe with sound business principles and lessons learned from his father.

Re Honest

According to Roger, "there's only one path to success - be honest. Do what you say you will do, when you say you will do it. Deliver on your promises."

Although Roger admits this may sound a bit "corny," he firmly believes it is the truth. "You can't burn your bridges," Roger added.

In the 87s, the farm crisis hit the agricultural drainage market hard. In addition to a failing agl economy, there was an onsisuight of new welfand regulations which were particularly hards in a state known for its '10,000 lakes' Becouse of Elingson Drainage's firm regulation of providing a quality service on time, it was able to withstand these crises which easily put over 50% of Minnesola's damage contractors out of business. When the agl economy began to recover, there were fewer competitors and Elingson Drainage's customer base began to grow.

is addition to picking up more drainage work, the Elingson family returned to farming. They formed an informal farming partnership and began farming a couple thousand acres. By 1956, however, it became difficult to continue both the farming and dirange enterprises, so Edon and Larry, one of Roger's brothers, book over the farming operations, while Roger and Edons, another brother, took over the farming operations, while Roger and Edons, another brother, took over the farming operations, while Roger and Edons, another brother, took charge of Elingson in Finance.

Know Your Market

Diversify and Specialize

As Roger says, "diversification pays big dividends." The same can be add for developing a specialty within a market which results in "the pie" being divided amongst a smaller number of competitors, making it more possible for a company to withstand - and outlast - the turbulent, sometimes volatile, changes within any end industry.

By the late 80's, Elingson Drainage began to develop its own specialty within the drainage industry. Purchasing its first computerized mapping system, AutoCad design system, and a Total Station allowed Elingson Drainage to provide more efficient and accurate drainage designs for its customers, a win-win for the landowner and the company.

In the late 90's Ellingson Drainage purchased its first directional drilling machine and began installing commercial septic and pressurized sewer systems. What started out as a means to keep its crews busy during the spring and fall when agricultural work was slow, ended up being a significant catalyst for the company's extreme growth.

By the time the new milennium started, the name "Elingson Drainage" no longer accurately defined the company's range of services and its current and potential customers didn't know it. Roper heard several customers say, "Iddin't know you did that kind of work." As a result, the name was changed and Elingson Companies was born.

In the early to mid-2000s, Ellingson Companies began to provide designibuild services for its customers, instead of the industry standard "design-bidbuild" process. This innovation allowed Ellingson Companies to design and build projects in the most costel fective manner, while still providing the customer with a high quality protoct. It also reduced the turn-around time for projects by teepings all the elements in house, a furnity operation.

During this same time frame, Elingson Companies continued to diversify by installing its first gas line utilizing the plowing method and installing GPS coordinated tile projects in the Red River Valley area of North Dakota.

in 2010, Elingson Companies once again, began using knovative techniques to broaden it's customer base by introducing the use of "cured-in-place pipe."
The rehalfallation technique is printless and seamless - a pipe-with-a-pipe (White the process was first developed in Lindon in 1917 and less used a US
part of the process of the proce

Elingson Companies continued to grow ... into bigger pipe, bigger drife, larger markets, including oil and gas. Ultimately, in 2012, Elingson bought two 'big' drifes - 500,000 pound driftin cambines, casable of drifting a mile without stoopie and drifting through sold rock. Once the oil risk, mostly, natural case, are set. Elingson Companies establis the per needed to move the product from well to well for market, once again, a specially within a market oil case.

Research New and Existing Markets

Elingson Companies knows that a successful company needs to be aware of frends in a market and "work in a market where people are successful," and the while continuing to develop a specialty within that market. While the housing market was booming in the late 950 and early 2001 thingson begarder market. While the housing market was booming in the late 950 and early 2001 thingson begarder market. While the housing market was booming in the late 950 and early 2001 thingson begarder with the second provided that the second provided in the second provided that the second provided in the second provided that the second

Elingson Companies began installing community wasterwater treatment facilities for these same customers. Seeing the writing on the wall, they sold their airps, objected severe equipment before the housely soluble burst. ... an added benefit of facilitying their market. Elinguish in immagnetic fleam was not only an expensive service of the service of

Promote Your Business

The principles of honesty, diversity, and market awareness have been invaluable tools to Etingson Companies' success, but there is yet another one which Roiger believes is often everbooked - sales. All contractors, whether they know it or not - or perform is proficeably or not - are salessens. Rojeast coultoners may be considered to the contract of the contract of

Get Involved in Your Industry

The set but cretainly not least, principle which Roger believes about guide a successful basiness, perioularly a new basiness, is involvement in an industry lead essociation. Roger believes that by thorping and other member up just polytry doctor and entiring the newstetens, pure will build responsible to the property of the propert

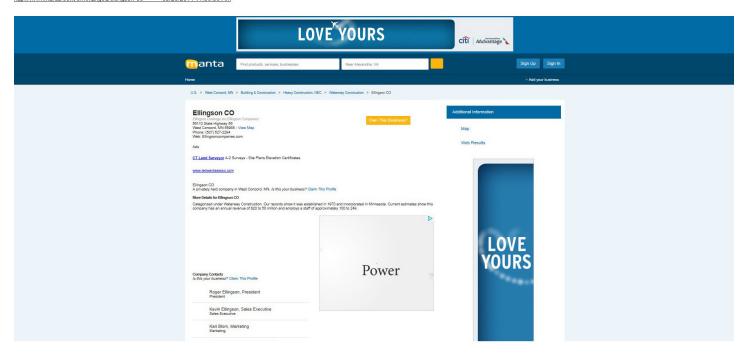
Whether it's learning about new regulations in a classroom, a new technique at a field day, or just visiting in the hallway, being an active member of LICA provided Roger the opportunity for an easy, open and honest exchange of ideas with other contractors facing the same struggles. Even being able to commiserate about what might seem to be insumoritable obstacts by our business as a valuable memberable bearf.

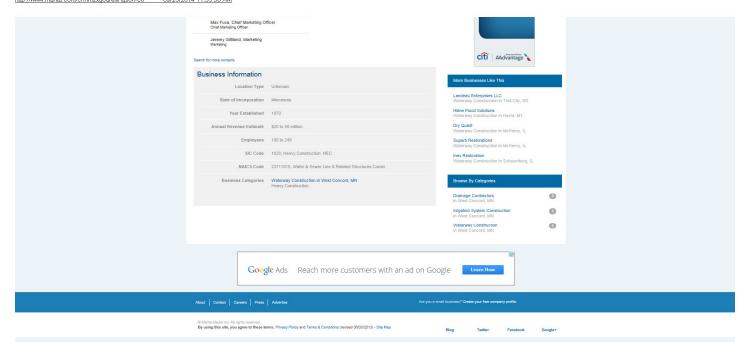
As Royer books aftered for his own boateress, for easys fer can sheep at highli, knowing that what his family stanted in 1970 will continue buy into the follow. His favorite part of his pib body is seen; the year part of the pib o

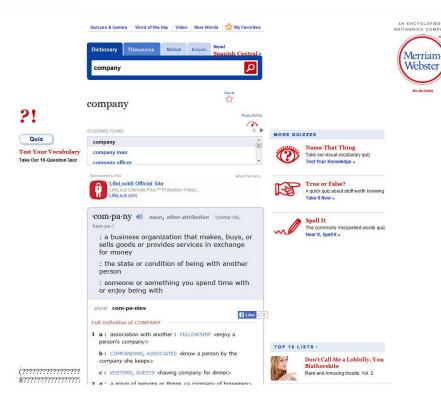
While Roger and his wife, Denise, have six children, he fried hard to manage the business without nepolism. His sons have at come up through the ranks and learned the business as he did. They have "earned their stripes." Today, Roger's oldest son, Jeremy is Chief Operating Officer in charge of the directional drining division; his son, Derris, & General Hanager of the Harwood, RO faculty, Chris manages the southern literated dranage division and Cole works with Chris southern literated. They also have two disaphlers, Taylor and Growde.

In 1973, when Roger made the decision to returned to West Concord, MN, a town of approximately 800 people, he never imagined that by today, his business would have expanded to the point where it has served customers in librois, lows, Kansas, Minnesoto, Missouri, Netrasias, New York, North Diacks, Ohio, Penniyavina, and Teass, north that he would have hid abut can impact on the reliebods of 180 sign employees and the findless. He is firm his dedication to the principles outlined above and his company's overwhelming growth and endurance, even during the most recent recession, is proof of their validity.

VIEW ALL ARTICLE







····· 8???????????????

- 2 a: a group of persons or things <a company of horsemen>
- a body of soldiers; especially: a unit (as of infantry) consisting usually of a headquarters and two or more platoons
- $\ensuremath{\mathbf{c}}$: an organization of performing artists
- d: the officers and crew of a ship
- e: a firefighting unit
- ${\bf 3}\;\;{\bf a}:\;$ a chartered commercial organization or medieval trade guild
- $\boldsymbol{b}:$ an association of persons for carrying on a commercial or industrial enterprise
- c: those members of a partnership firm whose names do not appear in the firm name <John Doe and Company>
- See company defined for English-language learners »
 See company defined for kids »

- He runs his own trucking company.
- · She joined the company last year.
- The company is based in Paris.
- Her dogs are her only company these days.
- You can tell a lot about people by the company they keep.

Origin of COMPANY

Middle English companie, from cumpaignie, from cumpaing companion — more at COMPANION First Known Use: 13th century

Related to COMPANY

Synonyms troop, troupe

[+] more

Other Business Terms

amortize, caveat emptor, clearinghouse, divest, due diligence, emolument, green-collar, marque, overhead, perquisite



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Subject: U.S. TRADEMARK APPLICATION NO. 86310867 - ELLINGSON COMPANIES - N/A

Sent: 9/25/2014 2:17:42 PM

Sent As: ECOM118@USPTO.GOV

Attachments:

UNITED STATES PATENT AND TRADEMARK OFFICE (USPTO)

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